

Revised Article

Growth of Nasal-Laryngeal Airways in Children and Their Implications in Breathing and Inhaled Aerosol Dynamics

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The use of these images has been approved by the UAMS Institutional Review Board.

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1 **BACKGROUND:** The human respiratory airway undergoes dramatic growth during infancy
2 and childhood, which induces significant variability in airflow pattern and particle depositions.
3 However, deposition studies have typically focused on adult subjects, results of which may not
4 be readily extrapolated to children.

5 **OBJECTIVE:** To quantify the growth of human nasal-laryngeal airways at early ages, and to
6 evaluate its impact on breathing resistance and respirable aerosol deposition.

7 **METHODS:** Four image-based nasal-laryngeal models were developed from children of
8 different ages (10-day to 5-year old) and were compared to that of an adult. The airway
9 dimensions were quantified in terms of different parameters (volume, cross-section area, and
10 hydraulic diameter) and of different anatomies (nose, pharynx, and larynx). Breathing resistance
11 and aerosol deposition were computed using a high-fidelity fluid-particle transport model and
12 validated against *in vitro* measurements in replica casts fabricated from the same airway models.

13 **RESULTS:** Significant differences in nasal anatomy were observed among the five subjects in
14 both morphology and dimension. The turbinate region appeared to experience the most
15 noticeable growth during the first five years of life. The nasal airway volumes of the 10-day, 7-
16 month, 3-year, and 5-year old subjects were 6.4%, 18.8%, 24.2% and 40.3% that of the adult,
17 respectively. Remarkable inter-group variability was observed in airflow, pressure drop,
18 deposition fraction, and particle accumulation. The CFD predicted pressure drops and deposition
19 fractions were in close agreement with *in vitro* measurements.

20 **CONCLUSIONS:** Age effects are significant in both breathing resistance and micrometer
21 particle deposition. The image-CFD coupled method provides an efficient and effective
22 approach in understanding patient-specific airflows and particle deposition, which have
23 important implications in pediatric inhalation drug delivery and respiratory disorder diagnosis.

24 **Key words:** Nasal physiology; child-adult discrepancy; infants; breathing resistance; aerosol
25 deposition; pediatric drug delivery.

Introduction

1
2 Considerable structural changes occur in human respiratory tracts during early ages,
3 which lead to vast variations in breathing disorders that are specific to children. Compared to
4 adults, infants and children are more susceptible to respiratory distresses due to their immature
5 defense mechanism. Respiratory disease remains a leading cause of childhood morbidity in the
6 U.S. and other developed countries and is a leading cause of childhood deaths worldwide. Only
7 by understanding the developmental respiratory system can we formulate effective protocols to
8 treat an impaired physiology in pediatric patients.

9 A number of *in vivo* studies have been conducted to understand the development of
10 respiratory physiology of early ages. Summaries of such studies can be found in recent reviews
11 by Bassham et al.¹ and Ghuman et al.² However, these studies mainly focused on the lower
12 respiratory tract. Very few studies have considered the development of upper airway
13 physiology.³ Clinical techniques for evaluating nasal pathology include visual analog scales⁴,
14 rhinostereometry⁵, video-endoscopy⁶, rhinomanometry⁷, and acoustic rhinometry⁸. A
15 combination of the above techniques are usually employed in practice based on the specific
16 performance of each technique⁹⁻¹². Compared with clinical studies, computational fluid
17 dynamics (CFD) predictions have the advantage of providing detailed information on airflow and
18 aerosol deposition, such as regional dosage that are more relevant to health outcome than the
19 average deposition. However, to the authors' knowledge, very few CFD studies have been
20 reported so far studying the nasal physiology of children; one exception is Xi et al.¹³ who
21 examined the upper airway structure and associated airflow dynamics in a 5-year-old child. The
22 general neglect of child and infant airways in previous studies may largely be attributed to

1 limited accessibility of pediatric medical images to CFD practitioners, as well as the
2 complexities involved in constructing physiologically realistic nasal passages.

3 The objective of this study is to characterize the growth of the upper airway in four
4 subjects aged from 10-day to 5-year old using a coupled image-CFD approach. Specific aims
5 are: (1) developing anatomically accurate airway models of children based on CT/MRI images;
6 (2) quantifying the airway dimensions; (3) numerically and experimentally determining the
7 nasal-laryngeal resistance; and (4) numerically determining the inhaled particle deposition.
8 Results of this study may lead to a better understanding of the developmental respiratory
9 physiology and its effects on children's health responses to environmental exposure, or the
10 outcomes from inhalation therapy for infants and children.

11

12

Methods

13 Nasal-Laryngeal Airway Models

14 One example was used to illustrate the computer method to develop respiratory airway
15 models based on CT/MRI images. Figure 1 shows the procedures of translating the 2-D MRI
16 scans of a health 5-year-old boy (weight 21 kg and height 109 cm)¹³ into a 3-D model. The
17 image tracings (Fig. 1a) contained 128 slices that were spaced 1.5 mm apart and spanned from
18 the nostrils to the upper trachea. The multi-slice tracings were segmented in MIMICS
19 (Materialise, Ann Arbor, MI) according to the contrast between soft tissue and intranasal air to
20 isolate the nasal-laryngeal airway, which was further converted into a set of cross-sectional
21 contours that define the airway. Based on these contours, an internal nasal surface geometry was
22 constructed in ANSYS Workbench (Ansys, Inc.). The surface model could be either printed as
23 an *in vitro* cast with 3-D prototyping techniques for experimental purposes (Fig. 1b) or be

1 discretized into a computational mesh for numerical analysis (Fig. 1c). Due to the high
2 complexity of the model geometry, an unstructured tetrahedral mesh was created with high-
3 resolution pentahedral elements in the near-wall region (Fig. 1c). Respiratory geometry retained
4 in this example extended from the nostrils to the upper trachea. In particular, anatomical details
5 such as the epiglottal fold and laryngeal sinuses were retained (Fig. 1a). The resulting model was
6 intended to accurately represent the anatomy of the upper airway with only minor surface
7 smoothing.

8

9 **Study Design**

10 Age effects on airway physiology, airflow, pressure drop, and inhaled particle deposition
11 were assessed among five subjects aged from 10 days to 53 years old. The CT scans of the three
12 young children (10 days, 7 months, and 3 years old) were provided by Arkansas Children
13 Hospital with no health information disclosed, and their use has been approved by the UAMS
14 Institutional Review Board. Steady inhalations were assumed for all simulations with a wide
15 spectrum of breathing conditions (1–45 L/min). Comparison among the five subjects under
16 equivalent physical activities (quiet breathing) were also conducted based on published
17 respiratory parameters¹⁴⁻¹⁶. Table 1 shows the literature-based inhalation flow rates under quiet
18 breathing, which were selected to be 3.8 L/min for the 10-day-old girl (10-D-F), 6.5 L/min for
19 the 7-month-old girl (7-M-F), 8.6 L/min for the 3-year-old girl (3-Y-F), 11.2 L/min for the 5-
20 year-old boy (5-Y-M), and 18 L/min for the 53-year-old male adult (53-Y-M). Interestingly, the
21 tidal volumes in the five subjects were observed to intimately correlate with the nasal-laryngeal
22 airway volumes. As shown in Tables 1 and 2, relative to the adult, the tidal volume ratios for 10-
23 D-F, 7-M-F, 3-Y-M, and 5-Y-M were respectively 4.4%, 17.4%, 26.0%, and 35.4%, while the

1 airway volume ratios were respectively 6.4%, 18.8%, 24.0, and 40.3%. Based on the nostril
2 areas in Table 3, the inlet velocities were similar among the five subjects under quiet breathing
3 conditions. Initial particle velocities were assumed to be the same as the local fluid velocity.
4 The airway surface was assumed to be smooth and rigid with a no-slip ($u_{wall} = 0$) condition.

6 **Pressure Measurement**

7 Pressure drops through the *in vitro* casts of the subjects at varying ages were measured
8 using a pressure meter (Magnehelic Gage, Dwyer Instrument Inc., Michigan City, IN). The cast
9 inlets (i.e., nostrils) were open to room air, and a vacuum was connected to the outlet. The
10 pressure meter was positioned immediately after the outlet of the cast to measure the outlet
11 pressure. Pressure drop was obtained for constant inhalation flow rates between 1 and 48 L/min
12 by adjusting the valve on the vacuum line. The flow rate was measured at the outlet using a flow
13 meter (Model 4140, TSI Inc., Shoreview, MN) positioned upstream of the flow valve.

15 **Numerical Method**

16 The low Reynolds number (LRN) $k-\omega$ model was selected to simulate the airflow
17 dynamics based on its ability to accurately predict pressure drop, velocity profiles, and shear
18 stress for multi-regime flows.^{13, 17-19} It is noted that the LRN $k-\omega$ model applies to both laminar
19 and turbulent flow regimes. Deposition fraction was calculated as the ratio of the amount of
20 particles deposited on the airway surface to the amount of particles entering the nostrils. The
21 transport and deposition of inhaled particles are simulated with a well-tested discrete Lagrangian
22 tracking model enhanced with near-wall treatment. The inhaled particles were assumed to be
23 dilute and had no influence upon the continuous-phase, i.e., one-way coupled particle motion. In

1 our previous studies, the Lagrangian tracking model enhanced with user-defined routines was
2 shown to provide a close match to experimental deposition data in upper respiratory airways for
3 both submicrometer¹⁸ and micrometer particles¹⁷.

4 To establish grid-independent results, convergence sensitivity analysis was conducted
5 following Xi et al.¹⁷. The final grids for reporting flow field consisted of 1.6–2.0 million cells
6 with a thin five-layer pentahedral grid in the near-wall region and a cell height of 0.05 mm in the
7 first layer (Fig. 1c). The final number of particles tracked was 60,000; increasing the number of
8 tracked particles did not alter the deposition fractions.

9

10

Results

11 Airway Morphological and Dimensional Variations

12 Significant discrepancies in the nasal-laryngeal airways among the five subjects (10-D-F,
13 7-M-F, 3-Y-F, 5-Y-M, 53-Y-M) are found in terms of both morphology (Fig. 2) and dimension
14 (Fig. 3). Considering the nasal morphology, younger subjects have smaller sized nostrils, a
15 shorter turbinate region, a more slender nasopharynx, and a thinner pharynx-larynx. Moreover,
16 the nostril shape appears circular at birth, becomes more oval during infancy and childhood, and
17 eventually evolves into a wedge shape in adults²⁰. Of particular interest is the turbinate region
18 that seems to be undeveloped in both 10-D-F newborn and 7-M-F infant and is much simpler in
19 morphology compared to those of the children and adult considered in this study. The inferior
20 meatus is missing in the 10-D-F. The inferior and middle meatuses grow with age both in size
21 and complexity, as shown in Fig. 2.

22 A quantitative comparison of airway dimensions among these five subjects is shown in
23 Fig. 3 in terms of coronal cross-sectional area and perimeter as a function of distance from the

1 nose tip. Comparison of airway volume and surface area in different anatomic sections are listed
 2 in Tables 2 and 3. In Table 2, the nasal airway volumes of the 10-D-F, 7-M-F, 3-Y-F, and 5-Y-M
 3 are 6.4%, 18.8%, 24.2% and 40.3% that of the 53-Y-M, respectively. The nasal airway surface
 4 areas are 22.7%, 30.0%, 41.7% and 65.7% that of the adult, respectively (Table 3). In particular,
 5 two major disparities are noted in light of the nasopharynx and nasal valve. First, compared to
 6 the adult, children have a much smaller and narrower nasopharynx (NP) lumen. From Fig. 3a,
 7 the NP cross-sectional areas of the 10-D-F ($A = 31 \text{ mm}^2$), 7-M-F ($A = 78 \text{ mm}^2$), 3-Y-F ($A = 29$
 8 mm^2) and 5-Y-M ($A = 170 \text{ mm}^2$) are only 5.8%, 15%, 5.4% and 32% that of the adult ($A = 535$
 9 mm^2), respectively. The NP hydraulic diameters ($d_h = 4A/Perimeter$) of the 10-D-F ($d_h = 5.7$
 10 mm), 7-M-F ($d_h = 8.1 \text{ mm}$), 3-Y-F ($d_h = 4.0 \text{ mm}$), and 5-Y-M ($d_h = 12.2 \text{ mm}$) are about 1/4, 1/3,
 11 1/6 and 1/2 that of the adult (i.e., $d_h = 24.0 \text{ mm}$), respectively. Specifically, the 3-Y-F seems to
 12 have an abnormal NP which is more slender and more constricted than the other three young
 13 subjects. The second major disparity is the nostril-valve distances which are much shorter in the
 14 two infants (8.0 mm for 10-D-F and 11.2 mm for 7-M-F, Fig. 3a) compared to the two elder
 15 children (~20.0 mm) and adult (27.2 mm). The nasal valve cross-sectional areas are likewise
 16 smaller for the two youngest subjects (i.e., ~41.7 mm^2 for 10-D-F and ~56 mm^2 for 7-M-F) in
 17 compared with the two elder children and adult (Fig. 3a).

18

19 **Airflow and Breathing Resistance**

20 Nasal airflows under quiet breathing conditions in the five subjects are visualized in Fig.
 21 4a as streamlines within the right nasal passage. Flows of high velocity magnitude are observed
 22 in the middle portion of the nasal passage for all models considered. The main flow changes its
 23 direction dramatically from the nostrils to the nasopharynx, forming a nearly 180° curvature.

1 However, this curvature is less severe for the 7-M-F infant model compared to the other three,
2 presumably resulting from a more back-tilted head position of the infant during image
3 acquisition. The airflow speed is higher in the NP of the 3-Y-F due to the severe NP constriction.
4 No recirculation zone is observed in the NP of the three young subjects due to a much smaller
5 airway diameter in this region, which differs from the adult NP where flow recirculation is
6 obvious (Fig. 4a).

7 Airflow dynamics within the nasal passages are further visualized in Fig. 4b as snapshots
8 of particle locations at selected instants. Two thousand 1.0 μm particles were released at the
9 right nostril at $t = 0$ second and particle positions were recorded after designated periods of time.
10 Due to the small inertia, 1.0 μm particles are assumed to closely follow the airflow. Faster
11 transport and deeper penetration of aerosols are apparent in the medial passages, while slow-
12 moving particles are found near the airway walls. Because of the dramatic airway bend from the
13 nostrils to the nasopharynx, a high-concentration of particles constantly adjust their directions
14 following the mean streamline curvature of inhaled airflows. The seemingly random particle
15 distributions in the pharynx indicate enhanced turbulent mixing in this region. Due to the
16 smaller nasopharynx-larynx regions in children, particles are transported faster and reach the
17 glottal aperture faster than in the adult. This difference is more pronounced in the 3-Y-F who
18 possesses an abnormally slender naso- and velo-pharynx.

19 The comparison of measured and CFD-predicted pressure drop (Δp) among the five
20 subjects is shown in Fig. 5 for a wide spectrum of breathing conditions (1–48 L/min). All
21 subjects considered are in close agreements. It is noted that the *in vitro* experiments and CFD-
22 predictions have been conducted in the same airway geometry models so that a direct
23 comparison is possible. In general, the pressure drop (i.e., breathing resistance) decreases as the

1 age increases (Fig. 5a). For a given flow rate, infants and children have much higher breathing
2 resistances than the adult. However, it is also not surprising to observe that the 3-Y-F model
3 gives a much higher pressure drop than the 7-M-F, considering the abnormally constricted
4 nasopharynx in the 3-Y-F as well as the less severe airway curvature in the 7-M-F. The flow-
5 pressure relationships can be expressed as a power function ($\Delta P = a \cdot Q^b$), which can be plotted as
6 straight lines on a log-log scale with a slope of “ b ”, as shown in Fig. 5b. The best-fitted
7 coefficients “ a ” and “ b ” for subjects of different ages are listed in Table 4.

8

9 **Particle Deposition**

10 Particle deposition in the nasal-laryngeal airway of the 5-year-old boy is displayed in Fig.
11 6a for particles ranging from 2.5–40 μm at a flow rate of 10 L/min. Highly heterogeneous
12 particle distributions are noted for all particle sizes considered. Moreover, the variation of
13 deposition location with particle size is clearly shown in this example. Particles of 40 μm or
14 larger are mostly filtered out at the nasal vestibule region (gray points in Fig. 6a) and cannot be
15 inhaled. For those respirable aerosols, larger particles (20 μm) are found to deposit mainly in the
16 superior and middle nasal passages due to their higher inertia. The deposition of smaller
17 particles (10 μm) shifts downward and is mainly observed in the middle passage. The even
18 smaller particles (2.5 μm), which can closely follow the mainstream airflow, are observed
19 mainly in the middle and inferior passages.

20 The deposition fraction as a function of particle aerodynamic diameter is shown in Fig.
21 6b in comparison with existing experimental data. The inhalation flow rates considered are 10
22 and 20 L/min and the particle sizes range from 0.5–32 μm . The *in vitro* experiments of Zhou et
23 al.²¹ and the current CFD predictions are based on the same airway geometry model. Again,

1 close matches are observed between the predicted and the measured deposition data, with slight
2 underestimation by CFD compared to measurements at the flow rate of 20 L/min. For both flow
3 rates considered, particles larger than 10 μm are mostly filtered out in this pediatric nasal-
4 laryngeal airway (i.e., the cut size being 10 μm). For particles smaller than 10 μm , the deposition
5 rate exhibits a high sensitivity to the aerosol size and flow rate, which increases quickly as the
6 aerosol size or flow rate increases.

7 The age-related effects on nasal depositions among the five subjects are shown in Fig. 7
8 under equivalent physical activity (i.e., sedentary) conditions. The particle sizes considered are
9 2.5 μm and 10 μm . Large variability is observed among the five models, both in deposition
10 pattern (Fig. 7a) and regional deposition fractions (Fig. 7b). For small particles (2.5 μm),
11 deposition fractions are very low in the three regions of each model (i.e., anterior, middle, and
12 posterior). One exception is the 3-year-old girl model, whose elevated deposition is presumably
13 attributed to the more constricted nasopharynx. For large particles (10 μm), nasal depositions
14 increase by approximately one order of magnitude. Again, the highest deposition is found in the
15 3-year-old model. Considering regional depositions, the allocation of deposition fractions in the
16 three regions differs for 2.5 and 10 μm particles in each model considered. For 2.5 μm particles,
17 the anterior region (nasal vestibule and valve) generally receives more particles than the others.
18 In contrast, the middle (turbinate) region receives more deposition for 10 μm particles (Fig. 7b).
19 A high deposition of 10 μm particles is found in the anterior region in the adult model, but not in
20 the infant and child models. If considering the anterior and middle regions together (nasal
21 passage), the adult model filters more 10 μm particles than the children. This may result from the
22 higher complexity of the adult nasal passages.

23

Discussion

1
2 There is enormous physiological growth during infancy and childhood. Significant
3 differences were noted in the nose-throat anatomy among the five subjects of different ages.
4 These differences manifest themselves not only in airway dimension but also in airway
5 morphology. For example, the nasal-laryngeal airway volumes of the 10-D-F, 7-M-F, 3-Y-F,
6 and 5-Y-M are 6.4%, 18.8%, 24.2%, and 40.3% that of the 53-Y-M, respectively. At the same
7 time, the four young subjects have smaller sized nostrils, a shorter turbinate region, and a more
8 slender nasopharynx. Results of this study indicate that the nasal valve and vestibule region
9 might mature between the ages three and five. This is supported by the much shorter nostril-
10 valve distance and much smaller cross-sectional area of the nasal valve in the two infants
11 compared to the two elder children as well as the proximity of the these two parameters between
12 the adult and two elder children (Fig. 3a). Specifically, the nostril-valve distances are 8.0–11.2
13 mm for the two infants vs. 19.0–20.8 mm for the two children and 27.2 mm for the adult; the
14 nasal valve areas are 42–56 mm² for the infants vs. 120–180 mm² for the children and adult.
15 Another observation is that the turbinate region experiences fast growth from birth to the age of
16 five, as indicated by the remarkable volume increase of this region (i.e., 1.57 cm³ for 10-D-F,
17 2.83 cm³ for 7-M-F, 5.83 for 3-Y-F, 11.03 cm³ for 5-Y-M, and 12.63 cm³ for 53-Y-M in Table
18 2). However, a lack of similarity in shape between the 5-Y-M and adult may still indicate an
19 undeveloped turbinate region at the age of five. It is apparent that the nasopharynx grows the
20 least compared to other respiratory anatomies during the first five years of life (i.e., volume =
21 0.48 cm³ for 10-D-F, 1.74 cm³ for 7-M-F, 1.07 cm³ for 3-Y-F, 3.95 cm³ for 5-Y-M, and 16.33
22 cm³ for 53-Y-M, Table 2). Further studies on more age groups are necessary to quantify the

1 developmental respiratory physiology and their associated effects on breathing and aerosol
2 filtration.

3 A power function ($\Delta P = a \cdot Q^b$) has been used to correlate the respiratory rate and airway
4 pressure drop in previous inhalation studies.^{22, 23} The flow-pressure relation shows high
5 sensitivity to age in this study, as indicated by the large variation of “*b*” for different age groups
6 (8.73–0.16 from 10-D-F to 53-Y-M, Table 4). It is noted that for an equivalent physical activity,
7 the inhalation rates are different for different age groups due to their particular respiratory
8 variables such as frequency, I:E ratio, and tidal volume. Based on the selected flow rates (i.e., 3.8
9 L/min for 10-D-F, 6.5 L/min for 7-M-F, 9.5 L/min for 3-Y-F, 11.2 L/min for 5-Y-M, and 18
10 L/min for 53-Y-M), the nasal breathing resistance is maximum for 10-D-F, and decreases as age
11 increases for normal subjects. Furthermore, this decrease appears most dramatic in the first year
12 (10-D-F to 7-M-F herein), and the rate of decrease become gradually smaller beyond this age.
13 Interestingly, a similar observation in respiratory rates was reported by Fleming et al.¹⁵ who
14 studied 3381 children and showed a constant decline in respiratory rate from birth to
15 adolescence, with the steepest fall in infants under 2 years of age.

16 The flow-pressure relation also shows high sensitivity to airway abnormalities, as
17 suggested by the abrupt increase in magnitude of “*b*” for the 3-Y-F who has a more constricted
18 nasopharynx (Table 4). In this case, the subject may expect increased respiratory efforts when
19 awake and obstructive sleep apnea symptoms during sleep. Results of this study indicate that
20 computational modeling could be a supplemental tool to study breathing-related disorders. By
21 providing detailed airflow information that is not readily measured by conventional diagnosis
22 techniques²⁴, additional clues could be unveiled that are clinically relevant to breathing disorders
23 such as snoring and sleep apnea.

1 Deposition patterns of inhaled aerosols are not uniform in human upper airways, with
2 heterogeneous deposition allocations in different anatomic regions.^{17, 25, 26} In this study, the
3 regional deposition allocations are observed to vary substantially among different age groups.
4 Considering that tissues receiving higher depositions of toxicants are more vulnerable for injury,
5 such results might help to elucidate the diverse etiology and symptoms of respiratory disorder in
6 different subjects or age groups. The deposition partition in the three regions considered (i.e.,
7 vestibule-valve, turbinate, and nasopharynx) is remarkably different among the five subjects,
8 indicating a different level of risks upon the region of interest even when exposed to the same
9 environment. The higher turbinate deposition in the 3-year-old may suggest a higher chance of
10 nasal inflammation and exacerbate existing symptoms of difficult breathing. On the other hand,
11 outcomes from inhalation therapies require drugs to be delivered to the targeted tissues at a
12 sufficient dose. The heterogeneity in regional depositions among subjects of different ages
13 implies that existing adult deposition results might not guarantee an accurate dosimetry planning
14 for infants and children.

15

16 **Limitations**

17 Limitations of this study include the assumptions of steady flows, quiet breathing only,
18 rigid airway walls, idealized particles, and a limited number of samples per age group. Other
19 studies have highlighted the physical significance of tidal breathing²⁷, effects of physical
20 activities²⁷, airway wall motion²⁸, and nasal valve collapse²⁹. Besides, environmental aerosols
21 are mostly non-spherical³⁰, interacting among themselves³¹, and may undergo changes in size
22 due to hygroscopic effect³² or coagulation³³. Moreover, each model in this study is based on
23 images of one single subject and does not account for the intersubject variability which can be

1 significant^{34, 35}. Another limitation is the typical supine position of the subjects during data
2 acquisition, which is different from sedentary breathing. Images acquired at the end of the
3 inhalation may not reflect variations in airway geometry during a full breathing cycle.
4 Therefore, future studies are needed that should be orientated toward: (1) improving physical
5 realism and (2) including a broader population group. Our knowledge of nasal deposition is
6 currently lacking in subpopulations such as pediatrics, geriatrics, and patients with respiratory
7 diseases. Due to physiological development, aging, or disease states, the airway anatomy can be
8 remarkably different from that of a healthy adult. Concentrating on these specific
9 subpopulations will help to clarify inter-group and inter-individual variability and will allow for
10 the design of more efficient pharmaceutical formulations and drug delivery protocols for
11 different age groups. In addition, further methodology developments and deposition
12 measurements are required to provide robust estimates of airway depositions of either airborne
13 contaminants or inhaled pharmacological particles.

14

15 **Conclusions**

16 Significant variability exists in airway physiology, airflow dynamics, and aerosol
17 deposition among subjects of different ages. Specific observations include:

18 (1) The nasal airway volumes of the 10-D-F, 7-M-F, 3-Y-F, and 5-Y-M are 6.4%, 18.8%,
19 24.2% and 40.3% that of the 53-Y-M adult, respectively; while the nasal airway surface
20 areas are 22.7%, 30.0%, 41.7%, and 65.7% that of the adult, respectively.

21 (2) The airway pressure drop is sensitive to a person's age and airway abnormalities. Flow-
22 pressure correlations have been proposed for different age groups based on a wide range of
23 breathing conditions.

1 (3) Age effects are large in both total and regional deposition rates and should be considered in
2 future environmental health assessment and inhalation drug delivery.

3 (4) Satisfactory agreements between CFD-predictions and *in vitro* experiments were obtained in
4 pressure drop and particle deposition, indicating that the image-CFD coupled method is a
5 practical tool in diagnosing respiratory disorders and developing effective inhalation
6 devices.

7

8

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7

1 **Figure Legends**

- 2 Fig. 1. Nasal-laryngeal airway model development: (a) 3D rendering of medical images of a 5-
3 year-old boy for (b) *in vitro* measurement and (c) numerical analysis. The nasal airway
4 was divided into different anatomical sections: nasal vestibule and valve region
5 (V&V), nasal turbinate (TR), nasopharynx (NP), pharynx, and larynx. The
6 computational mesh is composed of approximately 1.8 million unstructured tetrahedral
7 elements and fine near-wall pentahedral grid.
- 8 Fig. 2. Image-based nasal-laryngeal airway models of a 10-day-old girl, a 7-month-old girl, a
9 3-year-old girl, and a 5-year-old boy in comparison with a 53-year-old male.
- 10 Fig. 3. Comparison of the nasal dimensions among subjects of different ages as a function of
11 the distance from the nose tip: (a) cross-sectional area and (b) perimeter. The nasal
12 valve (i.e., minimum cross-sectional area) in each model is marked by an arrow in
13 panel (a).
- 14 Fig. 4. Inhalation airflow inside the nasal-laryngeal airways under quiet breathing conditions:
15 (a) streamlines (right passage); and (b) flow pattern visualized with mass-less fluid
16 particles at various instants.
- 17 Fig. 5. Comparison of measured and computed pressure drops in the nasal-laryngeal airways
18 of different ages: (a) linear diagram, and (b) logarithm diagram.
- 19 Fig. 6. Particle deposition in the nasal-laryngeal airway of the 5-year-old boy. (a)
20 heterogeneous deposition distributions were observed on the airway surface, and (b)
21 close matches were obtained between the measured and computed deposition fractions
22 for both 10 L/min and 20 L/min.

1 Fig. 7. Comparison of (a) surface deposition and (b) sub-regional deposition fractions among
2 the five models under quiet breathing conditions. Model surfaces are 50% translucent.
3

Tables:Table 1. Respiratory parameters under quiet breathing conditions at various ages based on references¹⁴⁻¹⁶

Respir. Variable	10-D-F	7-M-F	3-Y-F	5-Y-M	53-Y-M
Frequency (/min)	44	25	22	21	12
I:E* ratio	1:3	1:2	1:2	1:2	1:2
Inhalation (s)	0.34	0.8	0.9	0.95	1.67
Tidal vol (mL)	22	87	130	177	500
TV Ratio [‡] (%)	4.4	17.4	26.0	35.4	100
Flow rate (L/min)	3.8	6.5	8.6	11.2	18.0
Inlet vel (m/s)	1.91	1.84	1.66	1.84	1.48

10-D-F: 10-day-old female, 5-Y-M: 5-year-old male

*I:E ratio: Inspiratory to Expiratory ratio.

‡ TV Ratio: the ratio of tidal volume to that of the adult.

Table 2. Airway volume

Vol (cm³)	10-D-F	7-M-F	3-Y-F	5-Y-M	53-Y-M
V&V*	0.79	1.25	1.41	3.37	5.50
TR*	1.57	2.83	5.83	11.03	12.63
NP*	0.48	1.74	1.07	3.95	16.33
Pharynx	0.31	3.19	3.10	2.64	13.89
Larynx	0.36	1.32	1.92	1.22	6.70
Total:	3.51	10.33	13.32	22.21	55.05
Ratio ⁺ (%)	6.4	18.8	24.2	40.3	100

* V&V (vestibule and valve), TR (turbinate region), NP (nasopharynx)

+ Ratio: the ratio of anatomical parameter to that of the adult.

Table 3. Airway surface area

A (cm²)	10-D-F	7-M-F	3-Y-F	5-Y-M	53-Y-M
V&V	7.45	9.75	18.97	23.74	35.58
TR	21.09	35.63	54.71	107.34	112.59
NP	3.72	9.27	9.79	15.27	40.93
Pharynx	2.96	13.71	13.92	14.59	45.10
Larynx	2.87	8.37	9.46	7.20	12.81
Total:	38.09	76.73	106.85	168.14	256.01
Ratio (%)	22.7	30.0	41.7	65.7	100
Inlets:					
R nostril	0.166	0.280	0.434	0.492	1.013
L nostril	0.166	0.315	0.431	0.437	1.013
Total:	0.332	0.595	0.865	0.929	2.026
Ratio (%)	16.4	29.4	42.7	45.9	100
Outlets:					
Trachea	0.178	0.506	0.671	0.832	1.487
Ratio (%)	12.0	34.0	45.1	56.0	100

Table 4. Flow-pressure correlation coefficients

Subject	Image	<i>a</i>	<i>b</i>
10-D-F	CT	5.86	1.89
7-M-F	CT	1.77	<u>1.48</u>
3-Y-F	CT	2.71	<u>1.84</u>
5-Y-M	MRI	0.58	1.70
53-Y-M	MRI	0.21	1.71

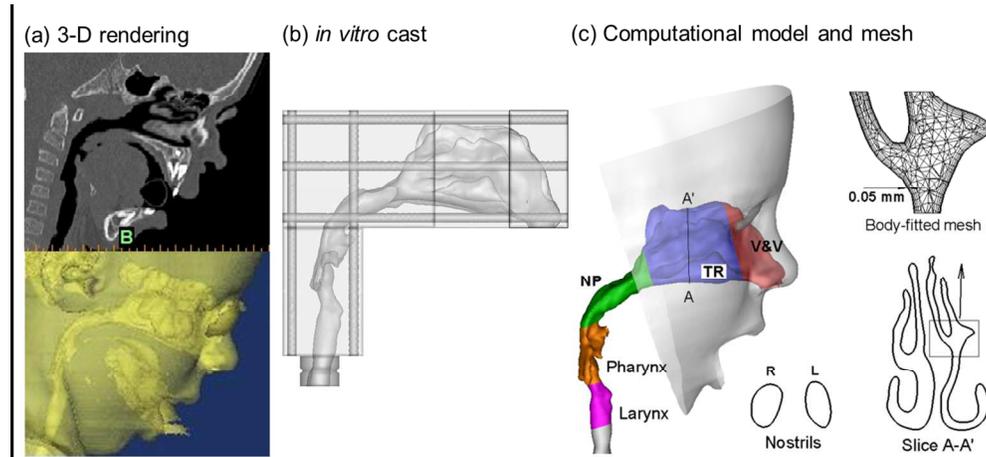


Fig. 1. Nasal-laryngeal airway model development: (a) 3D rendering of medical images of a 5-year-old boy for (b) *in vitro* measurement and (c) numerical analysis. The nasal airway was divided into different anatomical sections: nasal vestibule and valve region (V&V), nasal turbinate (TR), nanopharynx (NP), pharynx, and larynx. The computational mesh is composed of approximately 1.8 million unstructured tetrahedral elements and fine near-wall pentahedral grid. 254x116mm (150 x 150 DPI)

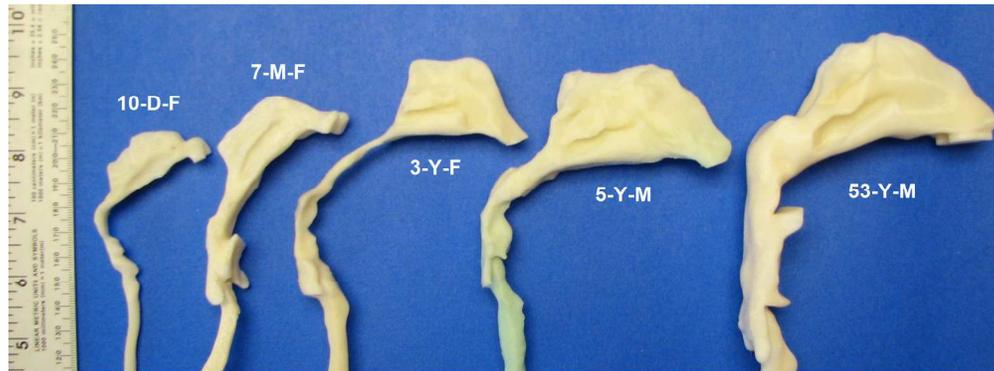


Fig. 2. Image-based nasal-laryngeal airway models of a 10-day-old girl, a 7-month-old girl, a 3-year-old girl, and a 5-year-old boy in comparison with a 53-year-old male.
617x228mm (96 x 96 DPI)

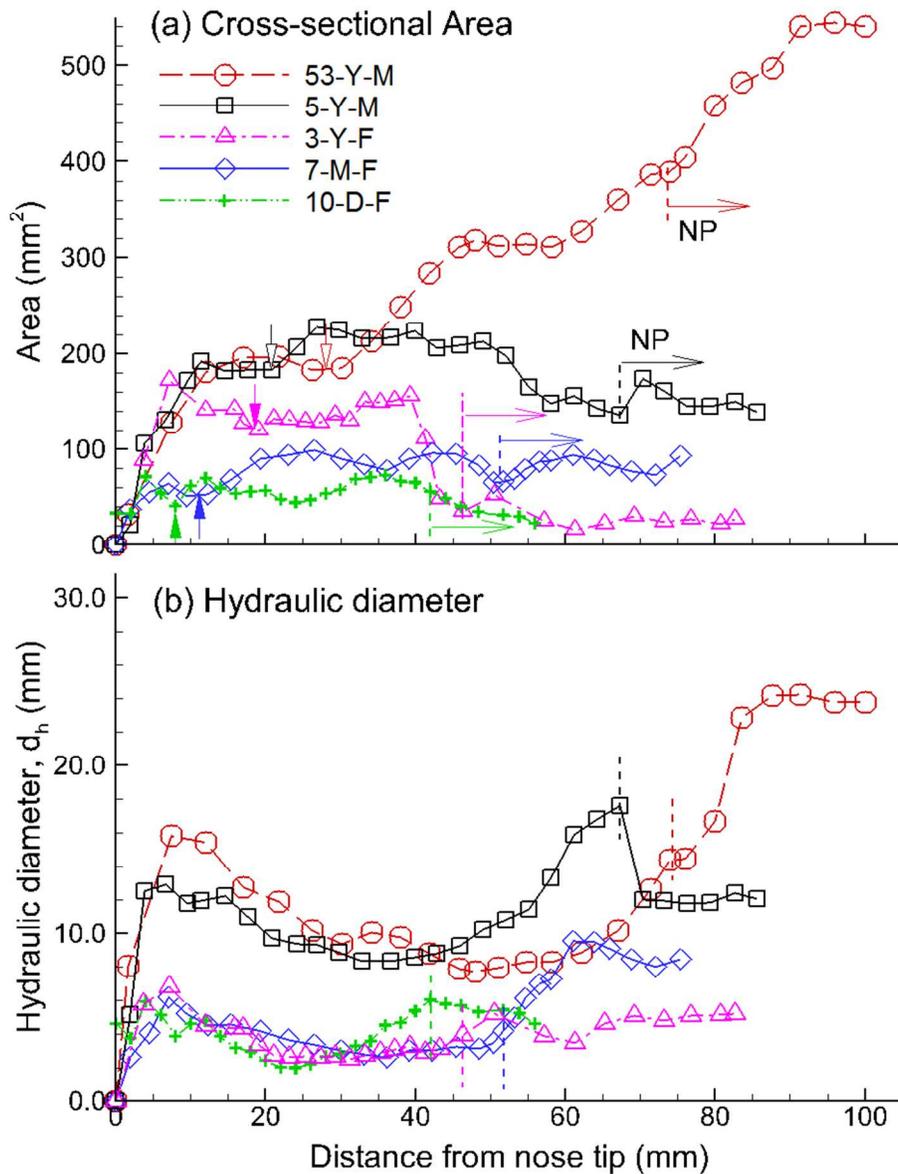


Fig. 3. Comparison of the nasal dimensions among subjects of different ages as a function of the distance from the nose tip: (a) cross-sectional area and (b) perimeter. The nasal valve (i.e., minimum cross-sectional area) in each model is marked by an arrow in panel (a).
264x329mm (96 x 96 DPI)

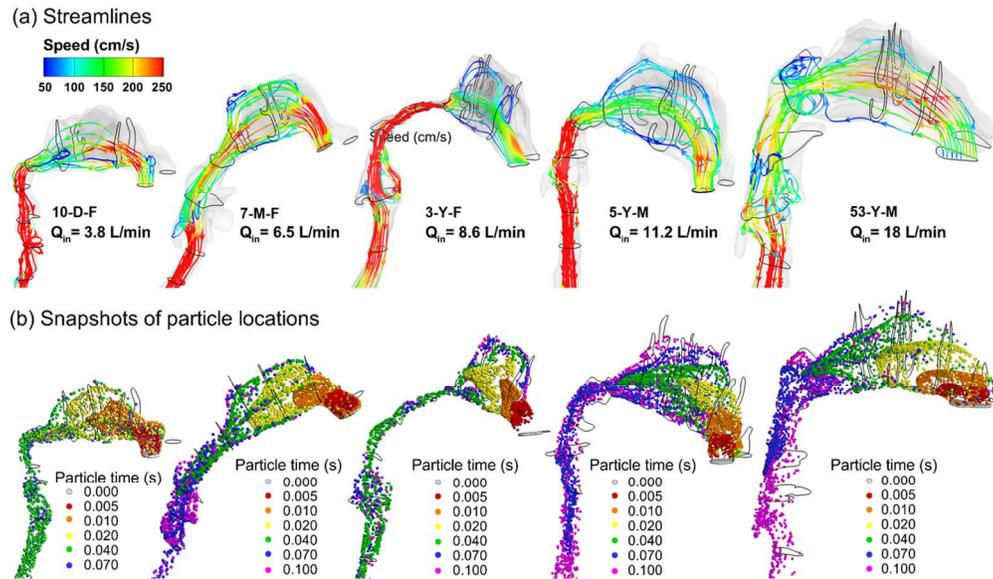


Fig. 4. Inhalation airflow inside the nasal-laryngeal airways under quiet breathing conditions: (a) streamlines (right passage); and (b) flow pattern visualized with mass-less fluid particles at various instants. 249x144mm (150 x 150 DPI)

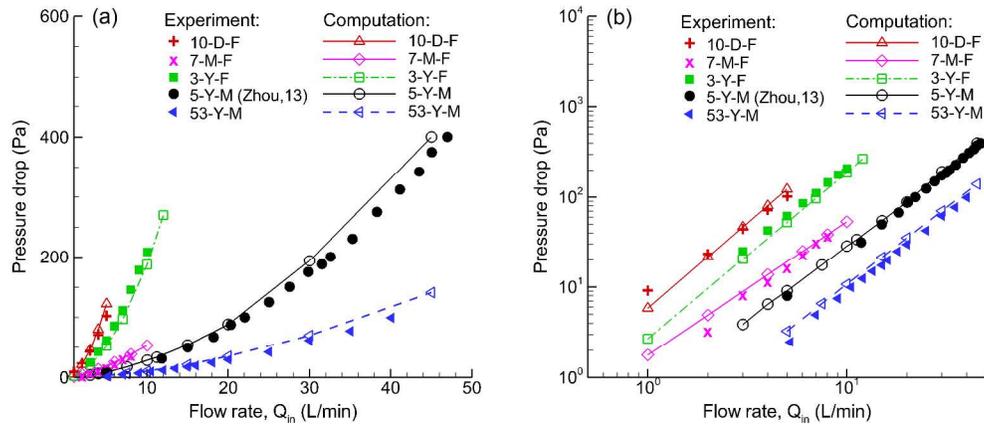


Fig. 5. Comparison of measured and computed pressure drops in the nasal-laryngeal airways of different ages: (a) linear diagram, and (b) logarithm diagram.
793x344mm (96 x 96 DPI)