

1 **Title:** Fat Free Mass Depletion is Associated to Poor Exercise Capacity Irrespective of
2 Dynamic Hyperinflation in COPD Patients

3

4

5 **Authors:** Elisabetta Teopompi¹, Panagiota Tzani¹, Marina Aiello¹, Sara Ramponi¹,
6 Francesco Andrani¹, Emilio Marangio¹, Enrico Clini², Alfredo Chetta¹

7

8 **Institutional affiliations:** ¹Clinical & Experimental Medicine, Respiratory Disease and
9 Lung Function Unit, University of Parma, and ²Department of Oncology, Haematology,
10 Respiratory Diseases and Ospedale Villa Pineta di Gaiato, Pavullo (MO), University of
11 Modena-Reggio Emilia, Modena, Italy

12

13 **Short title:** Low Fat Free Mass and Response to Exercise in COPD Patients

14

15 **Correspondence to:** Dr Elisabetta Teopompi, Unità di Malattie Respiratorie e
16 Funzionalità Polmonare, Dipartimento di Medicina Clinica e Sperimentale, Università
17 di Parma, Padiglione Rasori, via G. Rasori 10, 43100 Parma, Italy Tel. +39 0521
18 703414, Fax +39 0521 292615

19 E-mail: elisabettateopompi@ymail.com

1 **ABSTRACT**

2 **BACKGROUND:** In patients with COPD, we investigated the effect of the fat-free
3 mass (FFM) on maximal exercise capacity and the relationship with changes in
4 operational lung volumes during exercise. **METHODS:** In a cross-sectional study fifty-
5 seven patients (16 females; age 65 ± 8 yrs) were consecutively assessed by resting lung
6 function, symptom-limited cardiopulmonary exercise test, and body composition by
7 means of bioelectrical impedance analysis to measure the FFM index (FFMI, kg/m^2).
8 **RESULTS:** Patients were categorized as depleted ($n = 14$) or non depleted ($n = 43$)
9 according to FFMI. No significant difference in gender, age and in resting lung function
10 was found between depleted and non depleted patients. When compared with non
11 depleted, the depleted COPD patients had a significantly lower O_2 uptake at peak of
12 exercise and at anaerobic threshold as well as peak O_2 pulse, O_2 uptake efficiency slope
13 (OUES) and heart rate recovery (HRR) ($p < 0.05$ for all comparisons), but similar
14 inspiratory capacity/total lung capacity ratio at peak of exercise. Moreover, they also
15 reported significantly higher leg fatigue ($p < 0.05$), but not dyspnea on exertion. In all
16 patients, significant correlations ($p < 0.01$) were found between FFMI and peak
17 O_2 Pulse, OUES, HRR and leg fatigue. **CONCLUSIONS:** This study shows that FFM
18 depletion *per se* plays a part in the reduction of exercise capacity of COPD patients,
19 regardless of dynamic hyperinflation, and is strictly associated to poor cardiovascular
20 response to exercise and to leg fatigue, but not to dyspnoea.

21 **Abstract word count: 243**

22 **Key Words:** Fat-free Mass, Dynamic hyperinflation, Exercise, Cardiac function, COPD

1 **ABBREVIATIONS**

- 2 AT: anaerobic threshold
- 3 BMI: body mass index
- 4 COPD: chronic obstructive pulmonary disease
- 5 CPET: cardiopulmonary exercise test
- 6 FEF₅₀: forced expiratory flow measured at 50% of FVC
- 7 FEV₁: forced expiratory volume in 1st second
- 8 FIF₅₀: forced inspiratory flow measured at 50% of FVC
- 9 FFM: fat-free mass
- 10 FVC: forced vital capacity
- 11 HR: heart rate
- 12 HRR: heart rate recovery
- 13 IC: inspiratory capacity
- 14 O₂Pulse: oxygen pulse
- 15 OUES: oxygen uptake efficiency slope
- 16 SD: standard deviation
- 17 TLC: total lung capacity
- 18 TLco: lung diffusion capacity for carbon monoxide
- 19 VAS: visual analogue scale
- 20 VC : Vital Capacity
- 21 VCO₂: carbon dioxide production
- 22 VE: minute ventilation
- 23 VO₂: oxygen uptake
- 24 V_T: tidal volume

1 **INTRODUCTION**

2 Patients with chronic obstructive pulmonary disease (COPD) commonly exhibit
3 impaired exercise capacity. In these patients, the decreased physical activity does not
4 seem to depend merely on resting lung function. The relationship between the forced
5 expiratory volume in 1st second (FEV₁) and daily physical activities in COPD is very
6 modest (1) and other factors are considered important in contributing to stagnation. On
7 the one hand, the development of dynamic hyperinflation plays an important role in
8 limiting exercise capacity (2) and may explain the reduction in the patient's daily
9 physical activities, regardless of the severity of the COPD (3). Indeed, these subjects
10 breathe in before achieving a full exhalation and, as a consequence, trap air within the
11 lungs with each further breath with serious mechanical and sensory repercussions (4).
12 Furthermore, the ventilatory constraints occurring during exercise have the potential for
13 significant negative effects on the cardiovascular function (5,6).

14

15 Additionally, a fat free mass (FFM) depletion commonly occurs in COPD patients,
16 resulting from several factors, such as systemic inflammatory mediators, disuse atrophy,
17 poor nutrition and oral corticosteroid medication (7). Importantly, the reduction in FFM
18 may also contribute to impairment of exercise capacity in these patients. Earlier studies
19 have reported a significant correlation between body weight and maximal exercise
20 capacity in COPD patients (8-10). Notably, the FFM was found to be strictly related
21 both to submaximal (11) and maximal (12) exercise performance in these patients.

1 As compared with non depleted patients, a blunted ventilatory response at maximal
2 exercise was found in depleted COPD patients (10,12), thereby suggesting a greater
3 degree of dynamic hyperinflation in these patients. However, in these studies (10,12)
4 the end-expiratory lung volume was not measured during exercise and thus the
5 involvement of dynamic hyperinflation in the ventilatory constraints was only
6 speculative.

7

8 We hypothesized that the depletion of lean body mass may *per se* reduce exercise
9 capacity independent of dynamic hyperinflation during exertion. Therefore, the purpose
10 of this study was to investigate in a cohort of patients with COPD the effect of the FFM
11 on maximal exercise capacity and the relationship with changes in operational lung
12 volumes during maximal effort.

13

1 **METHODS**

2

3 ***Patients***

4 We enrolled patients affected by COPD, as defined according to the GOLD criteria
5 (13), who were consecutively admitted to a pulmonary rehabilitation program.
6 Eligibility criteria were: 1) stable clinical condition (exacerbation free for at least 4
7 weeks); 2) no oxygen therapy; 3) absence of any comorbidity affecting exercise
8 performance (anaemia, neuromuscular disorders, chronic cardiac failure, malignancies
9 or obesity); 4) ability to perform a symptom-limited cycle ergometry cardio pulmonary
10 test (CPET) with a peak of respiratory exchange ratio (RER) ≥ 1.05 in order to exclude
11 poor motivation; 5) CPET stopped for muscle fatigue and/or dyspnoea. All the
12 procedures and their risks were explained to the patients, who gave their written
13 informed consent to enter the study. The protocol was approved by the ethical
14 committee of the University Hospital of Parma (Clinical Trial Registration Number:
15 36215; Nov, 12th 2010). All participants' data were analysed and reported anonymously.
16 No extramural funding was used to support the study.

17

18 ***Lung function***

19 Pulmonary function tests were performed according to international recommendations
20 (14,15). A flow-sensing spirometer and a body plethysmograph connected to a
21 computer for data analysis (Vmax 22 and 6200, Sensor Medics, Yorba Linda, U.S.A.)
22 were used for the measurements. Vital Capacity (VC), Forced Expiratory Volume at 1st
23 Second (FEV₁), Forced Expiratory Flow measured at 50% of Forced Vital Capacity

1 (FVC) (FEF_{50} in L/s) and Forced Inspiratory Flow measured at 50% of FVC (FIF_{50} in
2 L/s) were recorded. FEV_1/VC and FEF_{50}/FIF_{50} ratios were taken as indices of airway
3 obstruction and airway collapsibility, respectively.

4

5 Thoracic Gas Volume (TGV) was measured by body plethysmography with the subject
6 panting against a closed shutter at a frequency slightly < 1 Hz and their cheeks
7 supported by their hands. Total Lung Capacity (TLC) was obtained as the sum of TGV
8 and linked Inspiratory Capacity (IC). IC/TLC ratio was taken as index of hyperinflation
9 of the lung. At least three measurements were made for each spirometry and lung
10 volume variable to ensure reproducibility and the highest value was used in subsequent
11 calculations. The flow-sensor was calibrated before each test using a three-litre syringe.

12

13 Lung diffusion capacity for carbon monoxide (TLco) was measured by the single breath
14 method using a mixture of carbon monoxide and methane; this measurement was done
15 at least in duplicate. TLC, VC, IC, FEV_1 and TLco were expressed as a percentage of
16 the predicted values, which were obtained from regression equations by Quanjer et al
17 (16) and Cotes et al (17).

18

19 ***Cardiopulmonary exercise test***

20 CPET was performed according to a standardized procedure (18). After calibrating the
21 oxygen and carbon dioxide analyzers and flow mass sensor, patients were asked to sit
22 on an electromagnetically braked cycle ergometer (Corival PB, Lobe Bv, Groningen,
23 The Netherlands) and the saddle was adjusted properly to avoid the maximal extension

1 of the knee. The exercise protocol involved an initial 3 minutes of rest, followed by
2 unloaded cycling for another 3 minutes with an increment every minute of 5 to 15 W,
3 according to the patient's anthropometry and degree of functional impairment, in order
4 to achieve an exercise time in between 8-12 min. Patients were asked to maintain a
5 pedalling frequency of 60 rpm indicated by a digital display placed on the monitor of
6 the ergometer. Breath-by-breath oxygen uptake (VO_2 in L/min), carbon dioxide
7 production (VCO_2 in L/min), tidal volume (V_T in L) and minute ventilation (VE in
8 L/min) were collected during the test (CPX/D; Med Graphics, St Paul, MN, U.S.A.).
9 Patients were continuously monitored by a 12-lead electrocardiogram (Welch Allyn
10 CardioPerfect, Delft, the Netherlands) and a pulse oximeter (Pulse Oximeter 8600,
11 Nonin Medical Inc, MPLS, Mn U.S.A.). Blood pressure was measured at 2 min
12 intervals. Stopping criteria consisted of symptoms such as unsustainable dyspnoea or
13 leg fatigue, chest pain, ECG significant ST-segment depression, a drop in systolic blood
14 pressure or oxygen saturation ($\text{SaO}_2 \leq 84\%$).

15

16 Peak workload and peak VO_2 were recorded as the mean value of watts and VO_2 during
17 the last 20 sec of the test. Peak VO_2 was expressed as absolute value in mL/min.
18 Anaerobic threshold (AT) was non-invasively determined by both V-slope and
19 ventilatory equivalents methods ("dual method approach"), as the respiratory exchange
20 ratio approximated 1.0 (18), and was expressed as absolute value in mL/min.
21 Ventilatory response to exercise was calculated as a linear regression function by
22 plotting VE against VCO_2 obtained every 10 seconds during exercise (VE/ VCO_2 slope).

1 Changes in operational lung volumes were assessed every two min during exercise and
2 at peak exercise, taking the IC measured at rest, as the baseline. After a full explanation
3 to each patient of the procedure, satisfactory technique and reproducibility of IC
4 manoeuvres were established during an initial practice session at rest. Assuming that
5 TLC remains constant during exercise in COPD (19), changes in IC reflect changes in
6 end-expiratory lung volume. Accordingly, dynamic hyperinflation can be defined as a
7 decline in the IC greater than zero (20).

8 The exercise's cardiovascular response was expressed by the following parameters:
9 oxygen pulse (O_2 Pulse), oxygen uptake efficiency slope (OUES) and heart rate recovery
10 (HRR). O_2 Pulse (mL/bpm) was calculated by dividing instantaneous oxygen uptake by
11 the heart rate (18) and was recorded at peak of exercise. The OUES describes the
12 relationship between VO_2 and VE during incremental exercise, via a log transformation
13 of VE and was expressed in L/min as the gradient of the linear relationship of $\log_{10}VE$
14 to VO_2 (21). OUES thus represents the absolute rate of increase in oxygen uptake per
15 10-fold increase of minute ventilation. HRR in bpm was defined as the reduction of the
16 heart rate at the exercise peak level compared to the rate one minute after the cessation
17 of exercise (22).

18

19 *Dyspnoea and muscle fatigue*

20 Daily living activity-related dyspnoea was evaluated with the Italian version of five-
21 point MRC scale modified by the ATS (23). Dyspnoea and muscle fatigue induced by
22 CPET were measured at the end of the incremental exercise by a visual analogue scale

1 (VAS). The VAS scale consisted of a 100-mm horizontal line with the word “*none*”
2 placed at the left end of the scale and the word “*very severe*” placed at the right of the
3 scale. The VAS scored from 0 to 100, but the subjects were unaware of the numbers.
4 Dyspnoea and muscle fatigue ratings were then divided by the maximal workload
5 (VAS_{dys} and VAS_{fat} , respectively in mm/watts) (24).

6

7 ***Body Composition***

8 Body height and weight were measured anthropometrically. Body composition was
9 assessed by a bioelectrical impedance analysis (BIA) method, that is based on the
10 conductance of an electrical sinusoidal alternating current through body fluids. BIA
11 measures the impedance or resistance to the signal as it travels through the water that is
12 found in muscle and fat. Foot-to-foot BIA was measured using a SC-331S Body
13 Composition analyzer (TANITA CO., Tokyo, Japan). Patients were measured in
14 standing position with bare feet on the analyzer footpads. The algorithms used to
15 estimate lean body mass from impedance are those given by Segal et al (25). The fat-
16 free mass (FFM) was standardized for height similar to BMI: FFM index (FFMI:
17 $FFM/height^2$, kg/m^2).

18

19 ***Statistical analysis***

20 This is a pilot, cross-sectional study. Due to the explorative nature of the study no
21 formal sample size calculation was performed. Data are reported as mean \pm standard
22 deviation (SD), unless otherwise specified. The distribution of variables was assessed
23 by means of Kolmogorov-Smirnov Goodness-of-Fit test. Relationships between

1 variables were assessed by the Pearson's correlation coefficient (r) and linear regression
2 analysis. Comparisons between variables were determined by unpaired t test and χ^2 test,
3 when appropriate.

4

5 For analysis purposes, population sample was divided into **depleted** and **non depleted**
6 patients, according to the FFMI value. The cut-off values were 14.6 kg/m² and 16.7
7 kg/m² for women and men, respectively (26). According to IC/TLC ratio at peak of
8 exercise and to peak O₂pulse value, patients of both groups were also divided in two
9 categories: patients with IC/TLC ≤ 0.25 or > 0.25 , and patients with peak O₂pulse < 10
10 mL/bpm or ≥ 10 mL/bpm, respectively. The patients with the IC/TLC ratio at the peak
11 of exercise ≤ 0.25 may be defined as "heavy hyperinflators" (5,6). On the basis of the
12 age of our population sample, a value of 10 mL/kg/min may be considered as a
13 threshold value of normality for the peak oxygen pulse (27).

14

15 A p value of less than 0.05 was taken as significant.

1 RESULTS

2 Seventy-four consecutive stable COPD patients (19 females), aged between 42 and 75
3 years were screened. Seventeen patients were excluded because of their BMI > 30
4 kg/m². Demographic and clinical characteristics of the 57 patients included in the study
5 are shown in Table 1. At study entry, patients were receiving regular therapy with
6 inhaled steroids (65%), long-acting beta₂-agonists (63%) and Tiotropium (51%). All of
7 them were ex-smokers. Among them a wide range of airflow obstruction (FEV₁/VC
8 from 30 to 69%), lung hyperinflation (IC/TLC from 0.10 to 0.56), airway collapsibility
9 (FEF₅₀/FIF₅₀ ratio 0.07 to 0.93), diffusing capacity (TL_{CO} from 21 to 106 %) and daily
10 living activity-related dyspnoea (MRC from 0 to 4) were found.

11
12 Thirty-one (8 females) out of 57 patients (54%) suffered from arterial hypertension and
13 were taking diuretics (42%), ACE-inhibitors (30%), beta-blockers (25%), Ca-
14 antagonists (14%), and sartans (5%). The prevalence of arterial hypertension was not
15 different between **depleted** and **non depleted** patients (50% vs 56%). Additionally, the
16 percentage of patients on beta-blockers was not different between depleted and non
17 depleted patients (29% vs 23%).

18
19 Body composition varied consistently (FFMI range from 12.9 to 21.3 kg/m²) and, as
20 expected, females had significantly significantly lower FFMI (15.1 ± 1.6 kg/m² vs 18.3
21 ± 1.7 kg/m²; *p* < 0.001). According to the FFMI, 14 (24.5%) out of 57 patients were
22 categorized as **depleted** (mean±SD FFMI: 15.0 ± 1.4 kg/m²), whereas 43 were **non**
23 **depleted** (mean±SD FFMI: 18.1 ± 1.8 kg/m²). No significant differences in gender, age,

1 and any lung function parameter at rest were recorded between the two groups (Table
2 1).

3

4 All the included patients completed the exercise test without any complication. Mean
5 peak workload and peak VO_2 values were 83 ± 38 watt and $1,150 \pm 397$ mL/min,
6 respectively. Exercise data are summarised in Table 2. The two groups of patients
7 significantly differed ($p < 0.05$) in terms of peak VO_2 and AT and in terms of
8 cardiovascular, but not ventilatory parameters during exercise. Notably, IC/TLC at peak
9 of exercise was 0.24 ± 0.1 and 0.26 ± 0.1 in **depleted** and in **non depleted** patients,
10 respectively ($p = 0.496$) (Figure 1), being ≤ 0.25 in 9 out of 14 (64%) **depleted** and in
11 23 out of 43 (53%) **non depleted** patients (chi square = 0.500; $p = 0.479$) (Figure 2). On
12 the other hand, peak O_2 Pulse was 7.6 ± 2.4 and 10.1 ± 3.0 mL/bpm in **depleted** and in
13 **non depleted** patients, respectively ($p = 0.006$), being ≥ 10 mL/bpm in 3 out of 14
14 (21%) **depleted** and in 23 out of 43 (53%) **non depleted** patients (*chi square* = 4.376; p
15 = 0.036) (Figure 2). The two categories of patients were different in VAS_{fat} , but not in
16 VAS_{dys} .

17

18 Ten (mean age: 66 ± 9 years, 3 females) out of the 14 **depleted** patients and thirty-six
19 (mean age: 65 ± 8 years, 10 females) out of the 43 **non depleted** patients developed
20 dynamic hyperinflation (decline in IC greater than zero). When hyperinflators were
21 considered, again **depleted** and **non depleted** patients were not different in resting
22 airflow obstruction (FEV_1/VC : $50\% \pm 8$ vs $50\% \pm 11$; $p = 0.952$), lung hyperinflation
23 (IC/TLC : 0.28 ± 0.12 vs 0.33 ± 0.09 ; $p = 0.166$) and airway collapsibility ($\text{FEF}_{50}/\text{FIF}_{50}$:

1 0.26 ± 0.11 vs 0.31 ± 0.20; $p = 0.432$) as well as in dynamic hyperinflation during
2 exercise (peak IC/TLC: 0.22 ± 0.09 vs 0.26 ± 0.09; $p = 0.221$) and in VAS_{dys} (1.51
3 mm/watts ± 1.4 vs 1.16 mm/watts ± 0.56; $p = 0.227$). On the other hand, they
4 significantly differed in peak VO_2 (897 mL/min ± 213 vs 1,182 mL/min ± 356; $p =$
5 0.027), in peak O_2Pulse (7.3 mL/bpm ± 2.1 vs 9.8 mL/bpm ± 2.7; $p = 0.009$), OUES
6 (1,033 mL/min ± 334 vs 1,519 mL/min ± 512; $p = 0.007$), HRR (7.5 bpm ± 5.1 vs 15.0 ±
7 11.0; $p = 0.043$) and in VAS_{fat} (1.55 mm/watts ± 0.8 vs 0.99 mm/watts ± 0.44; $p =$
8 0.005).

9

10 In all patients, significant correlations were found between FFMI and peak O_2Pulse ($r =$
11 0.643, $p = 0.001$), peak VO_2 ($r = 0.587$, $p = 0.001$), OUES ($r = 0.527$, $p = 0.001$), peak
12 workload ($r = 0.507$, $p = 0.001$), and VAS_{fat} ($r = -0.438$, $p = 0.001$) (Figure 3).

13

1 **DISCUSSION**

2 The main finding of this study is that COPD patients with low fat-free mass, as
3 compared to non depleted patients, may show significant lower exercise tolerance for a
4 given and similar levels of resting and dynamic lung hyperinflation on exertion. This
5 finding supports the view that FFM depletion significantly plays a part in the reduction
6 of exercise capacity, irrespective of ventilatory constraints in COPD population. In
7 addition, the FFM depletion is strictly associated with poor cardiovascular response to
8 exercise and to leg fatigue but not to dyspnoea on exertion.

9

10 In the present study, we found that a fourth of COPD patients across GOLD stages and
11 in stable clinical condition presents depletion of lean body mass. Malnutrition is a
12 common finding in COPD patients and the estimate of its prevalence may vary
13 depending on the characteristics of the study population. In a large cohort of COPD
14 patients, Schols et al (28) showed that depletion of body weight was present in 40 to
15 50% of patients suffering from chronic hypoxemia or with severe airflow obstruction
16 and in 25% of patients with moderate airflow limitation. More recently, the prevalence
17 of low BMI as well as low FFMI was found to be significantly higher in female than in
18 male COPD patients, whereas no differences in FEV₁, dyspnoea score and health status
19 were observed between depleted and non-depleted COPD patients (29). The reduction
20 in body weight and in FFM in COPD is mainly ascribed to a disturbed energy balance,
21 since it has been shown that these patients may exhibit an increased daily energy
22 expenditure, as compared to healthy subjects (30). It is not yet clear whether
23 malnutrition in people with COPD is the cause of their deterioration or just part of the

1 progress of the disease (31), in any case it may be only partially reversed by nutritional
2 supplementation (32).

3

4 The results of this study are in line with previous reports showing that body weight
5 depletion is related to a decreased exercise performance in COPD patients (8-12). Our
6 patients with low FFM showed a significant reduction in aerobic capacity both at peak
7 of exercise and at anaerobic threshold. In our study, **depleted** and **non depleted** patients
8 did not differ both in resting spirometry and lung volume measurements and in
9 operational volumes measured during maximal exercise. The two groups of patients
10 were also not different in breathlessness perception, but they differed in leg fatigue at
11 peak of exercise. It is conceivable to assume that the same degree of dynamic
12 hyperinflation and the lower FFM may explain on the one hand the same degree of
13 exertional dyspnea and on the other hand the greater leg fatigue in the depleted patients,
14 as compared to non depleted patients.

15

16 Differently from our results, earlier studies reported a blunted ventilatory response at
17 maximal exercise in depleted patients with COPD (10,12). Notably, Palange et al (10)
18 showed that patients with a lower body weight had higher dead space to tidal volume
19 ratio when cycling. Moreover, Baarends et al (12) showed that patients with low FFM
20 had an impaired ability to increase the tidal volume during exercise. These findings
21 were explained by a greater degree of dynamic hyperinflation on exertion that would
22 have been likely borne by the malnourished COPD patients, though in none of these
23 studies the operational volumes during exercise were assessed. It is noteworthy that in

1 these two studies (10,12), the **depleted** patients differed in resting lung function and that
2 a blunted response of tidal volume at peak of exercise could have been also explained
3 by the weakness of respiratory muscle, as it can be found in malnourished COPD
4 patients (33,34). This was not the case in our study population.

5

6 In our study, a poor cardiovascular response to exercise was strictly associated to the
7 FFM below the cut-off values. A poor cardiovascular response to exercise was related to
8 dynamic hyperinflation on exertion in severe COPD patients (5), and this finding has
9 been recently confirmed and extended by our group in patients with a wide range of
10 airflow obstruction (6). In the present series, the **depleted** patients, as compared to **non**
11 **depleted**, significantly differed in cardiovascular response to exercise, for a given level
12 of hyperinflation at rest and on exertion, indicating that a low FFM may independently
13 affect the cardiovascular function. Indeed, both resting and peak O₂Pulse values were
14 significantly lower in **depleted** patients, as compared to **non depleted**, whereas peak
15 O₂Pulse strongly related to FFM in the whole population. The O₂Pulse may be
16 considered as a reliable surrogate marker of the stroke volume (19). In healthy subjects,
17 exercise stroke volume may be estimated simply as five times the slope of the linear
18 oxygen uptake-heart rate relationship (35).

19

20 We also found that **depleted** and **non depleted** patients differed in two other non
21 invasive parameters of cardiovascular response to exercise, such as OUES and HRR.
22 OUES values were significantly lower in depleted patients and strongly related to FFM
23 in all patients. The OUES represents the rate of increase of oxygen uptake in response to

1 a given minute ventilation during incremental exercise, indicating how effectively
2 oxygen is extracted and taken into the body (36) and it is considered as an objective
3 measure of cardiorespiratory and muscular fitness (37). Last, HRR, a marker of the
4 cardiac autonomic function and a powerful predictor of mortality in the general
5 population (22), was significantly lower in our depleted patients, being as on average
6 8.7 bpm. It is of note that a value of 12 bpm or less is considered as abnormal (22) and
7 a low HRR was associated with a decreased survival even in the COPD population (38).

8

9 It is conventionally assumed that the skeletal muscle pump is crucial in the local and
10 systemic circulatory effects following exercise (39). By expelling the peripheral venous
11 blood volume during exercise, the muscle pump may enhance venous return, central
12 venous pressure, end-diastolic volume and thus stroke volume and cardiac output (39).
13 In this way, the muscle pump makes also more blood flow available to be diverted to
14 active muscle and thereby indirectly inducing muscle hyperemia (39). Muscle blood
15 vessels are in turn tethered to the surrounding muscle, thereby ensuring that muscle
16 mechanical factors are transmitted to the vasculature (40). Accordingly, a skeletal
17 muscle depletion may negatively affect the cardiovascular response to exercise. The
18 present study further strengthens this conclusion.

19

20 In summary, low fat-free mass is one important determinant of exercise tolerance by
21 impairing the cardiovascular response in patients with COPD, irrespective of ventilatory
22 constraints on exertion. This finding may have some indirect clinical implications. It is

1 conceivable that during rehabilitation course, malnourished COPD patients might
2 present an impaired cardiovascular response to exercise, thereby having a worsen
3 impact on their disability. Further studies should be able to address this matter and to
4 find possible solutions.

5

6 **CONTRIBUTOSHIP STATEMENT**

7 ET served as the primary author. She developed the study protocol, participated in the
8 patients recruitment and statistical analysis and drafted the manuscript and she is the
9 guarantor of the entire manuscript. PT, MA, SR and FA participated in the design of the
10 study and helped to patients recruitment. EM participated in the coordination of the
11 study. EC participated in the design of the study and helped to draft the manuscript. AC
12 developed the study protocol, interpreted study data, contributed to and reviewed drafts
13 of the manuscript. All authors read and approved the final manuscript.

14

15 **DISCLOSURE**

16

17 The authors declare no conflicts of interest in this work.

18

1 **REFERENCES**

- 2 1. Pitta F, Troosters T, Spruit MA, Probst VS, Decramer M, Gosselink R.
3 Characteristics of physical activities in daily life in chronic obstructive
4 pulmonary disease. *Am J Respir Crit Care Med* 2005;171(9):972–977.
- 5 2. O’Donnell DE. Dynamic hyperinflation and its clinical implication in COPD.
6 *Rev Mal Respir* 2008; 25(10):1305-18.
- 7 3. Garcia-Rio F, Lores V, Mediano O, Rojo B, Hernanz A, López-Collazo E, et al.
8 Daily physical activity in patients with chronic obstructive pulmonary disease is
9 mainly associated with dynamic hyperinflation. *Am J Respir Crit Care Med*.
10 2009;180(6):506-12.
- 11 4. O’Donnell DE. Hyperinflation, dyspnea, and exercise intolerance in chronic
12 obstructive pulmonary disease. *Proc Am Thorac Soc* 2006; 3(2):180-84.
- 13 5. Vassaux C, Torre-Bouscoulet L, Zeineldine S, Cortopassi F, Paz-Diaz H, Celli
14 BR, et al. Effects of hyperinflation on the oxygen pulse as a marker of cardiac
15 performance in COPD. *Eur Respir J* 2008; 32(5):1275-82.
- 16 6. Tzani P, Aiello M, Elia D, Boracchia L, Marangio E, Olivieri D, et al. Dynamic
17 hyperinflation is associated with a poor cardiovascular response to exercise in
18 COPD patients. *Respir Res*. 2011;12:150. doi: 10.1186/1465-9921-12-150.
- 19 7. Kim HC, Mofarrahi M, Hussain SNA. Skeletal muscle dysfunction in patients
20 with chronic obstructive pulmonary disease. *Int J Chron Obstruct Pulmon Dis*
21 2008;3(4):637-658.
- 22 8. Wilson DO, Rogers RM, Wright EC, Anthonisen NR. Body weight in chronic
23 obstructive disease. *Am Rev Respir Dis* 1989; 139(6):1435-8.

- 1 9. Gray-Donald K, Gibbons L, Shapiro SH, Macklem PT, Martin JG: Nutritional
2 status and mortality in chronic obstructive pulmonary disease. *Am J Respir Crit*
3 *Care Med.* 1996;153(3):961-6.
- 4 10. Palange P, Forte S, Felli A, Galassetti P, Serra P, Carlone S. Nutritional state and
5 exercise tolerance in patients with COPD. *Chest* 1995; 107(5):1206-12.
- 6 11. Schols AM, Mostert R, Soeters PB, Wouters EF: Body composition and exercise
7 performance in patients with chronic obstructive pulmonary disease. *Thorax.*
8 1991;46(10):695-9.
- 9 12. Baarends EM, Schols AMWJ, Mostert R, Wouters EFM. Peak exercise response
10 in relation to tissue depletion in patients with chronic obstructive pulmonary
11 disease. *Eur Respir J* 1997; 10(12):2807-13.
- 12 13. Pauwels RA, Buist AS, Calverley PM, Jenkins CR, Hurd SS, GOLD Scientific
13 Committee: Global strategy for the diagnosis, management, and prevention of
14 chronic obstructive pulmonary disease. NHLBI/WHO Global Initiative for
15 Chronic Obstructive Lung Disease (GOLD) Workshop summary. *Am J Respir*
16 *Crit Care Med* 2001, 163(5):1256-76.
- 17 14. Miller MR, Hankinson J, Brusasco V, Burgos F, Casaburi R, Coates A, et al:
18 ATS/ERS Task Force Standardisation of spirometry. *Eur Respir J* 2005,
19 26(2):319-38.
- 20 15. Wanger J, Clausen JL, Coates A, Pedersen OF, Brusasco V, Burgos F, et al.
21 Standardisation of the measurement of lung volumes. *Eur Respir J* 2005,
22 26(3):511-22.

- 1 16. Quanjer PH, Tammeling GJ, Cotes JE, Pedersen OF, Peslin R, Yernault JC: Lung
2 volumes and forced ventilatory flows. Report Working Party Standardization of
3 Lung Function Tests, European Community for Steel and Coal. Official
4 Statement of the European Respiratory Society. *Eur Respir J Suppl* 1993, 6:5-40.
- 5 17. Cotes JE, Chinn DJ, Quanjer PH, Roca J, Yernault JC: Standardization of the
6 measurement of transfer factor (diffusing capacity). Report Working Party
7 Standardization of Lung Function Tests, European Community for Steel and
8 Coal. Official Statement of the European Respiratory Society. *Eur Respir J Suppl*
9 1993, 6:41-52.
- 10 18. ATS/ACCP. Statement on Cardiopulmonary Exercise Testing. *Am J Respir Crit*
11 *Care Med* 2003, 167(2):211-277.
- 12 19. Stubbing DG, Pengelly LD, Morse JL, Jones NL: Pulmonary mechanics during
13 exercise in subjects with chronic airflow obstruction. *J Appl Physiol* 1980,
14 49(3):511-515.
- 15 20. Zafar MA, Tsuang W, Lach L, Eschenbacher W, Panos RJ. Dynamic
16 hyperinflation correlates with exertional oxygen desaturation in patients with
17 Chronic Obstructive Pulmonary Disease. *Lung* 2013;191(2):177-182.
- 18 21. Baba R, Nagashima M, Goto M, Nagano Y, Yokota M, Tauchi N, et al. Oxygen
19 uptake efficiency slope: a new index of cardiorespiratory functional reserve
20 derived from the relation between oxygen uptake and minute ventilation during
21 incremental exercise. *J Am Coll Cardiol* 1996, 28(6):1567-72.

- 1 22. Cole CR, Blackstone EH, Pashkow FJ, Snader CE, Lauer MS. Heart-rate
2 recovery immediately after exercise as a predictor of mortality. *N Engl J Med*
3 1999;341(18):1351–7.
- 4 23. Brooks SM. Surveillance for respiratory hazards. *ATS News* 1982, 8:12-16.
- 5 24. Tzani P, Piepoli MF, Longo F, Aiello M, Serra W, Maurizio AR, et al. Resting
6 lung function in the assessment of the exercise capacity in patients with chronic
7 heart failure. *Am J Med Sci* 2010, 339(3):210-215.
- 8 25. Segal KR, Van Loan M, Fitzgerald PI, Hogdon JA, Van Itallie TB. Lean body
9 mass estimation by bioelectrical impedance analysis: a four-site cross-validation
10 study. *Am J Clin Nutr* 1988;47(1):7-14.
- 11 26. Kyle UG, Y Schutz, Dupertuis YM, Pichard C. Body composition interpretation:
12 contributions of the Fat-Free Mass Index and the Body Fat Mass Index.
13 *Nutrition* 2003; 19(7-8):597-604.
- 14 27. Wasserman K, Hansen JE, Sue DY Normal values. *Principles of Exercise Testing*
15 *& Interpretation* Lippincott Williams & Wilkins. Philadelphia US; 1994, pp 143-
16 162.
- 17 28. Schols AMW, Soeters PB, Dingemans AMC, Mostert R, Frantzen PJ, Wouters
18 EFM. Prevalence and Characteristics of Nutritional Depletion in Patients with
19 Stable COPD Eligible for Pulmonary Rehabilitation. *Am Rev Respir Dis* 1993;
20 147(5):1151-1156.
- 21 29. Vermeeren MA, Creutzberg EC, Schols AM, Postma DS, Pieters WR, Roldaan
22 AC, et al; COSMIC Study Group. Prevalence of nutritional depletion in a large
23 out-patient population of patients with COPD. *Respir Med*. 2006;100(8):1349-55.

- 1 30. Crisafulli E, Costi S, Clini EM. Anthropometry as measure of risk in COPD
2 patients. In: Preedy VR. (ed) Handbook on Anthropometry: Physical Measures of
3 Human Form in Health and Disease. Springer Science + Business Media LLC,
4 Berlin (D). 2012; pp. 2357-2371.
- 5 31. Collins PF, Stratton RJ, Elia M. Nutritional support in chronic obstructive
6 pulmonary disease: a systematic review and meta-analysis. *Am J Clin Nutr*
7 2012;95(6):1385–95.
- 8 32. Baarends EM, Schols AM, Pannemans DL, Westerterp KR, Wouters EF. Total
9 free living energy expenditure in patients with severe chronic obstructive
10 pulmonary disease. *Am J Respir Crit Care Med*. 1997;155(2):549-54.
- 11 33. Nishimura Y, Tsutsumi M, Nakata H, Tsunenari T, Maeda H, Yokoyama M.
12 Relationship between respiratory muscle strength and lean body mass in men
13 with COPD. *Chest* 1995;107(5):1232-6.
- 14 34. Piitulainen E, Areberg J, Lindén M, Eriksson S, Mattsson S, Wollmer P.
15 Nutritional status and muscle strength in patients with emphysema and severe
16 alpha(1)-antitrypsin deficiency. *Chest*. 2002;122(4):1240-6.
- 17 35. Whipp BJ, Higgenbotham MB, Cobb FC. Estimating exercise stroke volume
18 from asymptotic oxygen pulse in humans. *J Appl Physiol* 1996; 81(6):2674-2679.
- 19 36. Hollenberg M, Tager IB. Oxygen uptake efficiency slope: an index of exercise
20 performance and cardiopulmonary reserve requiring only submaximal exercise. *J*
21 *Am Coll Cardiol* 2000; 36(1):194-201.

- 1 37. Akkerman M, van Brussel M, Hulzebos E, Vanhees L, Helders PJ, Takken T.
2 The oxygen uptake efficiency slope: what do we know? *J Cardiopulm Rehabil*
3 *Prev.* 2010;30(6):357-73.
- 4 38. Lacasse M, Maltais M, Poirier P, Lacasse Y, Marquis K, Jobin J, et al. Post-
5 exercise heart rate recovery and mortality in chronic obstructive pulmonary
6 disease. *Respir Med* 2005; 99(7): 877–886.
- 7 39. Sheriff D. Point: The muscle pump raises muscle blood flow during locomotion.
8 *J Appl Physiol.* 2005;99(1):371-2
- 9 40. Sheriff DD. Local and reflex regulation of muscle blood flow during dynamic
10 exercise. In: *Exercise, Nutrition, and Environmental Stress.* Traverse City, MI: IL
11 Cooper, 2002, vol 2, p 19-44.
- 12

1 **Legends for figures**

2

3 **Figure 1.** Mean and standard deviation values of IC/TLC (*upper panel*) and O₂ Pulse
4 (*lower panel*) in relation to the corresponding rest and peak VO₂ values in depleted and
5 non depleted COPD patients. No difference was found between depleted and non
6 depleted patients when IC/TLC values were considered both at rest and at peak of VO₂
7 values. O₂ Pulse values were significantly lower both at rest (p = 0.004) and at peak (p =
8 0.006) of VO₂ values in depleted as compared to non depleted patients.

9

10 **Figure 2.** Percentage of COPD patients categorized by FFMI and by IC/TLC (*upper*
11 *panel*) and by FFMI and Peak O₂ Pulse (*lower panel*). The ratio between the number of
12 patients with IC/TLC ≤ 0.25 and that of patients with IC/TLC > 0.25 was not different
13 in depleted patients, as compared to non depleted (9/14 vs 23/20; $\chi^2 = 0.500$, p = 0.479).
14 On the contrary, the ratio between the number of patients with Peak O₂Pulse ≥ 10
15 mL/bpm and that of patients with Peak O₂Pulse < 10 mL/bpm was significantly lower
16 in in depleted patients, as compared to non depleted (3/11 vs 23/20; $\chi^2 = 4.376$, p =
17 0.036).

18

19 **Figure 3.** Relationship between fat-free mass index and peak O₂ pulse (*upper panel*),
20 oxygen uptake efficiency slope (*middle panel*) and leg fatigue (*lower panel*) in 57
21 COPD patients.

1 **Table 1. Demographic and baseline characteristics of COPD patients.**

	All Patients (n = 57)	Depleted (n = 14)	Non Depleted (n = 43)	<i>p value</i> *
Age (years)	65 ± 8	67 ± 8	65 ± 8	0.443
Females/Males	16/41	6/8	10/33	0.156
BMI (Kg/m ²)	25 ± 3	21 ± 2	26 ± 3	0.001
MRC (0-4)	1 (0-4)	1 (0-4)	1 (0-4)	0.990
TLC (% pred)	120 ± 24	119 ± 21	120 ± 25	0.890
FEV ₁ (% pred)	51 ± 16	48 ± 16	52 ± 16	0.394
FEV ₁ /VC (%)	52 ± 11	54 ± 9	51 ± 11	0.391
IC/TLC	0.31 ± 0.09	0.28 ± 0.11	0.32 ± 0.09	0.180
FEF ₅₀ /FIF ₅₀	0.33 ± 0.21	0.33 ± 0.21	0.32 ± 0.22	0.894
TLCO (% pred)	60 ± 19	52 ± 17	63 ± 20	0.089

2 **p value*: depleted patients vs non depleted patients

3 Values are expressed as mean ± SD, median (range) or ratio

4

5

6

7

8

9

10

1 **Table 2. Exercise characteristics of COPD patients.**

	All Patients (n = 57)	Depleted (n = 14)	Non Depleted (n = 43)	<i>p value</i> *
Peak VO ₂ (mL/min)	1,150 ± 397	942 ± 258	1,218 ± 413	0.023
AT (mL/min)	819 ± 258	667 ± 151	868 ± 268	0.003
Peak Workload (watts)	83 ± 38	68 ± 30	88 ± 39	0.084
VE (mL/min)	42 ± 14	38 ± 12	43 ± 14	0.227
VE/VCO ₂ (L)	31 ± 9	32 ± 9	31 ± 9	0.768
Peak IC/TLC	0.25 ± 0.1	0.24 ± 0.1	0.26 ± 0.1	0.496
Rest O ₂ Pulse (mL/bpm)	3.6 ± 1.3	2.74 ± 1.3	3.89 ± 0.8	0.004
Peak O ₂ Pulse (mL/bpm)	9.5 ± 3.1	7.6 ± 2.4	10.1 ± 3.0	0.006
OUES (mL/min)	1,421 ± 516	1,065 ± 323	1,537 ± 516	0.002
HRR (bpm)	12.9 ± 10	8.7 ± 6	14.4 ± 11	0.018
VAS _{dys} (mm/watts)	1.22 ± 0.79	1.46 ± 1.2	1.14 ± 0.62	0.198
VAS _{fat} (mm/watts)	1.16 ± 0.62	1.59 ± 0.83	1.01 ± 0.47	0.025

2 **p value*: depleted patients vs non depleted patients

3 Values are expressed as mean ± SD

4

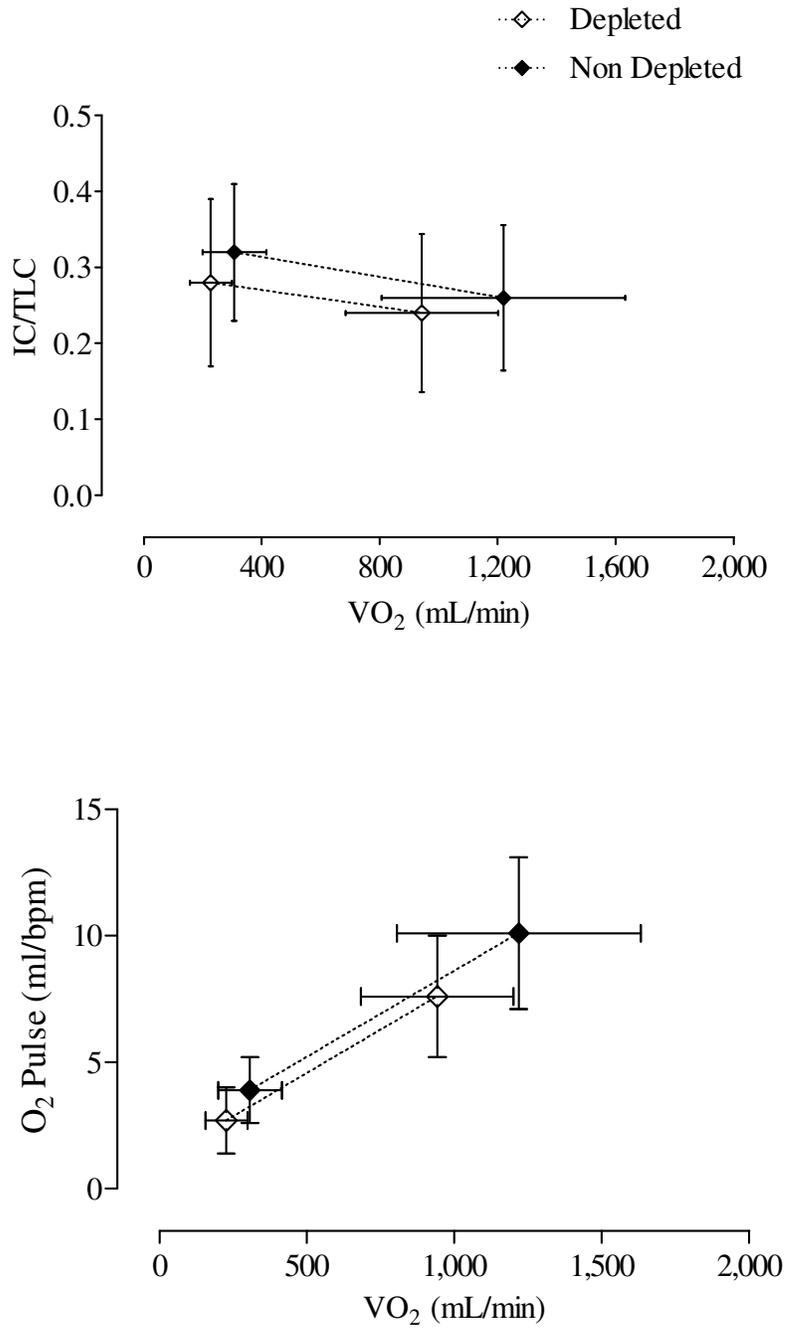


Figure 1

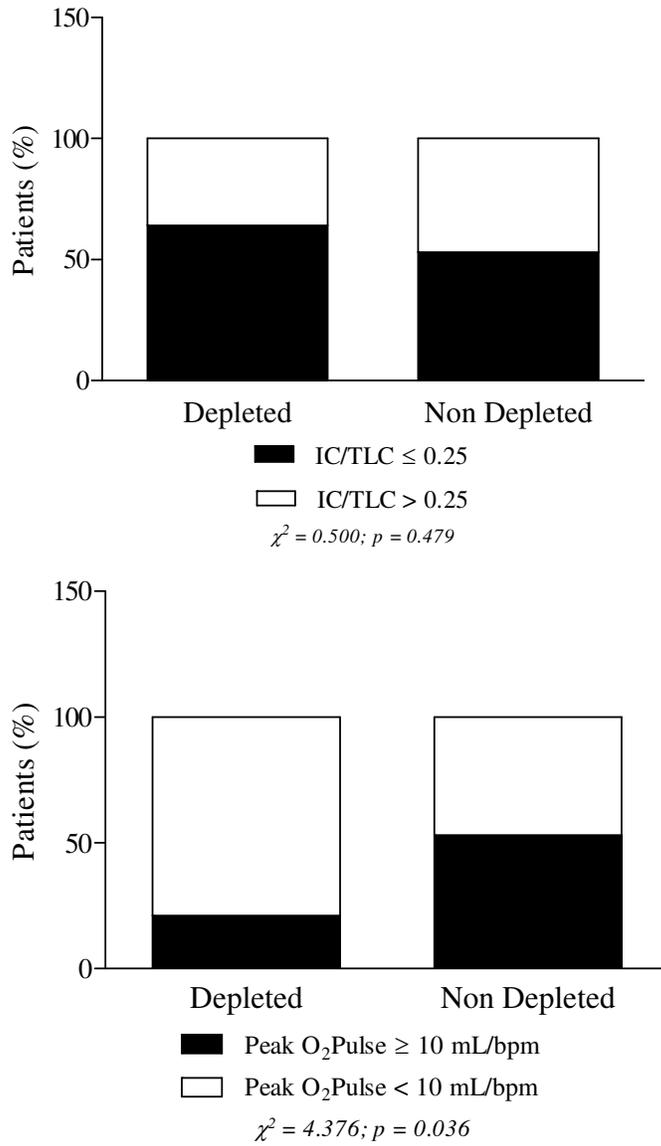


Figure 2

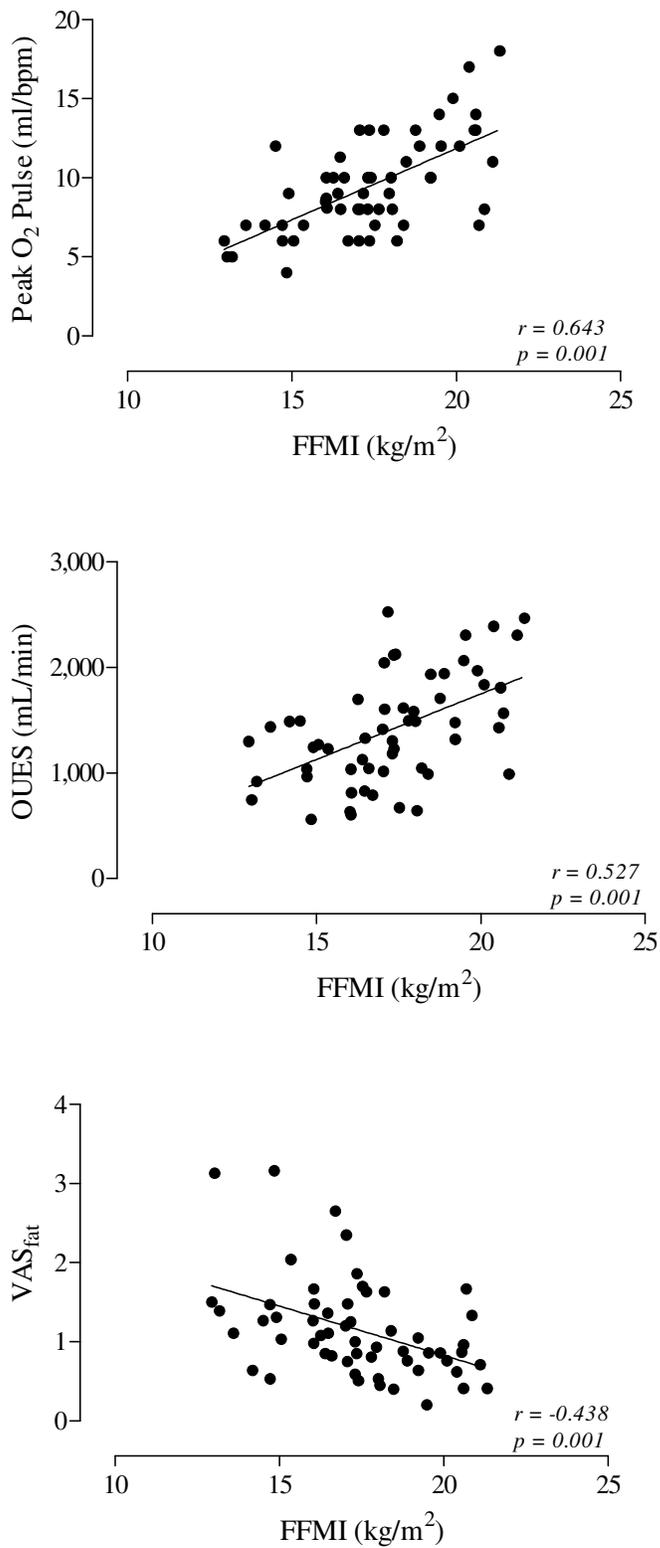


Figure 3