Home Mechanical Ventilation for COPD: High-Intensity Versus Target Volume Noninvasive Ventilation

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BACKGROUND: High-intensity noninvasive ventilation (HI-NIV) is the most effective means of improving several physiological and clinical parameters in subjects with chronic hypercapnic COPD. Whether the newer hybrid mode using target tidal volume noninvasive ventilation (target V_T NIV) provides additional benefits remains unclear. METHODS: Subjects with COPD successfully established on long-term HI-NIV were switched to target V_T NIV. Optimal target V_T settings according to nocturnal transcutaneous P_{CO_2} measurements were achieved following a randomized crossover trial using 8 mL/kg ideal body weight and 110% of individual V_T during HI-NIV, respectively. The following parameters were compared at the beginning of the trial while subjects were on HI-NIV, and after 3 months on optimal target V_T NIV: sleep quality by polysomnography, overnight gas exchange, subjects' tolerance, overnight pneumotachygraphic measurements during NIV, health-related quality of life (severe respiratory insufficiency questionnaire), exercise capacity (6-min walk test), and lung function. RESULTS: Ten of 14 subjects completed the study. There were no differences between HI-NIV and target V_T NIV in any of the above-mentioned parameters. Specifically, the mean overnight transcutaneous P_{CO_2} was equivalent under each form of ventilation (both 45 ± 5 mm Hg, $P = .75$). CONCLUSIONS: Switching subjects from well-established HI-NIV to target V_T NIV shows no clinical benefits in chronic hypercapnic COPD. In particular, sleep quality, the control of nocturnal hypoventilation, daytime hypercapnia, overnight ventilation patterns, subjects' tolerance, health-related quality of life, lung function, and exercise capability were all similar in subjects who underwent HI-NIV and target V_T NIV. Nevertheless, target V_T NIV might offer some physiological advantages in breathing pattern and might be beneficial in some individual patients. (German Clinical Trials Register [www.drks.de] Registration DRKS00000450.) *Key words: Chronic obstructive pulmonary disease, mechanical ventilation, sleep quality, target volume, ventilation mode* [Respir Care 2014;59(9):1–•. © 2014 Daedalus Enterprises]

Introduction

High-intensity noninvasive positive-pressure ventilation (HI-NIV), a controlled form of ventilation that is com-bined with high inspiratory pressures, was introduced as a new therapeutic option for patients with COPD with stable

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chronic hypercapnia, based on its capacity to improve physiological and clinical parameters, including control of nocturnal hypoventilation, daytime hypercapnia, exercise-related dyspnea, lung function, and health-related quality of life.[1,2] In addition, this approach has been shown to be superior to the conventional approach of low-intensity NIV, which combines purely assisted forms of ventilation with considerably lower inspiratory pressures, and fails to consistently improve gas exchange and the other important aforementioned parameters.[2,3] However, the concern has been raised that constant high inspiratory pressures are not well tolerated by all patients, and that HI-NIV might even have the capacity to impair cardiac function.[4] Therefore, alternative modes of NIV need to be evaluated for their potential to provide additional benefits to these patients.

Target volume NIV (target V_T NIV) was introduced as a hybrid mode of ventilation aimed at combining the advantages of volume- and pressure-preset NIV.[5] Nearly all studies on target V_T NIV were performed on subjects suffering from obesity hypoventilation syndrome (OHS).[5-9] To this end, evidence suggests that target V_T NIV does not provide clear benefits to subjects with OHS if pressure-preset NIV is optimized in relation to gas exchange.[9] However, there is only sparse and inconclusive information available on the effect of target V_T NIV in subjects with COPD.[8] Furthermore, HI-NIV therapy in patients with COPD is clearly different than the pressure-preset mode to which target V_T NIV has been compared in patients with OHS. Therefore, the findings on target V_T NIV in OHS cannot be directly transferred to patients with COPD.

Based on these considerations, the current study was performed to systematically evaluate the effects of target V_T NIV versus HI-NIV as forms of home mechanical ventilation. In particular, it was investigated whether target V_T NIV was capable of promoting further improvements in sleep quality, ventilation patterns, health-related quality of life, lung function, and exercise capability in subjects already established on HI-NIV. Thereby, care was taken to determine the best settings for target V_T NIV that

QUICK LOOK

Current knowledge

High-intensity noninvasive ventilation (HI-NIV) has been proposed to reverse dyspnea, improve quality of life, and reverse hypoventilation in subjects with chronic hypercapnic COPD. HI-NIV is typically delivered using a pressure controlled mode. The use of target volume NIV in hypercapnic COPD has not been described.

What this paper contributes to our knowledge

There was no difference in sleep quality, control of nocturnal hypoventilation, daytime hypercapnia, overnight ventilation patterns, subjects' tolerance, health-related quality of life, lung function, and exercise capability in subjects who underwent HI-NIV in the pure pressure or target volume modes.

would have the potential to provide additional benefits to those gained from HI-NIV.

Methods

The study protocol was approved by the Institutional Review Board for Human Studies at Albert-Ludwigs University (Freiburg, Germany), and was performed in accordance with ethical standards laid down in the Declaration of Helsinki. Written informed consent was obtained from all subjects. The study was performed as a single-center study at the Department of Pneumology, University Medical Centre (Freiburg, Germany).

Subjects

Subjects with COPD and chronic hypercapnic respiratory failure who were already established on HI-NIV for at least 3 months before the study were eligible for inclusion.[1,2] Subjects were recruited during a routine follow-up hospital visit.[10] Only patients who reported good compli-

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                  ┌──────────────────┐
                  │ Subjects screened │
                  │        14         │
                  └──────────────────┘
                           │
  Night 1        ──────────┼──────►  ┌────────────────────┐
                           │         │ Withdrew from study │
                           │         │         2          │
                           │         └────────────────────┘
                  ┌──────────────────┐
                  │ Completed baseline│
                  │   assessment      │
                  │        12         │
                  └──────────────────┘

                      Randomization
  ┌─────────────────┐  Period 1,   ┌─────────────────┐
  │ Target VT-1-NIV │   night 2    │ Target VT-2-NIV │
  │        5        │              │        7        │
  └─────────────────┘              └─────────────────┘
  ┌─────────────────┐  Period 2,   ┌─────────────────┐
  │ Target VT-2-NIV │   night 3    │ Target VT-1-NIV │
  │        5        │              │        7        │
  └─────────────────┘              └─────────────────┘

            ┌────────────────────────┐
            │  Discharged to home NIV │
            │   Target VT-1: 6        │
            │   Target VT-2: 6        │
            └────────────────────────┘
                     │
                     ├──────►  ┌──────────────────────────┐
                     │         │ Withdrew from Target VT-2 │
                     │         │            2              │
                     │         │ gastric distension: 1     │
                     │         │ dyspnea: 1                │
                     │         └──────────────────────────┘
            ┌────────────────────────┐
            │ Completed 3 month       │
  Night 4   │   assessment            │
            │  Target VT-1: 6         │
            │  Target VT-2: 4         │
            └────────────────────────┘
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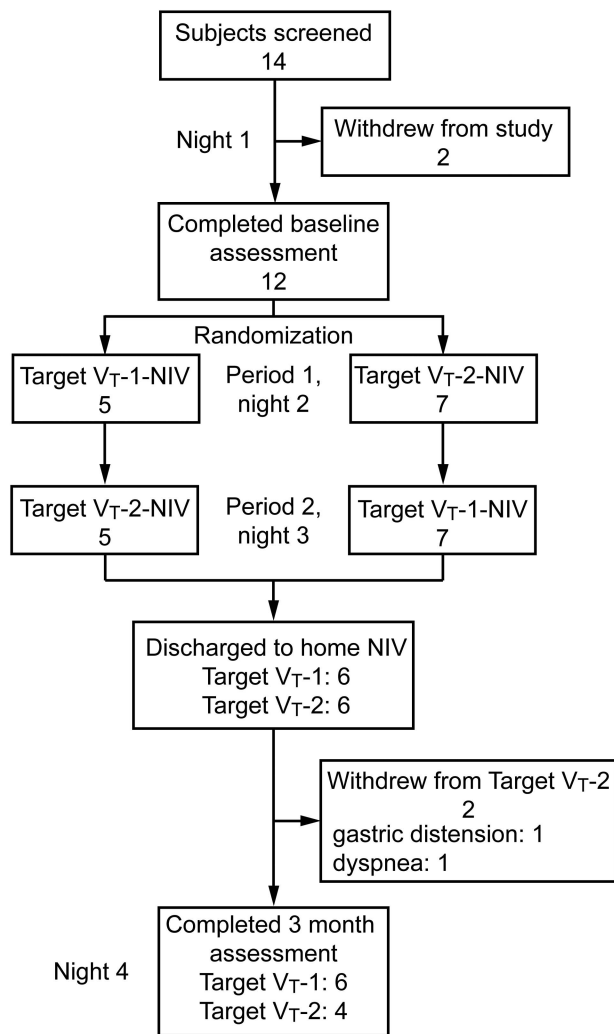
Fig. 1. Trial flow chart. HI-NIV = high-intensity noninvasive ventilation; Target V_T NIV = target volume noninvasive ventilation.

NIV, subjects were switched to the alternative mode of target V_T NIV. Accordingly, all measurements were performed during 3 consecutive nights.

Subjects were ventilated with a Vivo 40 or Vivo 50 ventilator (Breas Medical, Mölnlycke, Sweden) to establish target V_T NIV. The 2 different target V_T NIV settings were chosen according to previous recommendations: 8 mL/kg ideal body weight (target V_T-1)[6] and 110% of individual V_T during HI-NIV (target V_T-2).[8] For target V_T NIV, minimal inspiratory airway pressure (IPAP) was set to 5 cm H_2O lower than IPAP used during HI-NIV, and maximal IPAP was set to 35 cm H_2O. If an IPAP $\geq$ 35 cm H_2O was used during HI-NIV, this pressure was adapted to target V_T NIV as the maximal IPAP. Further ventilator settings and oxygen flows were carried over from the last-used settings of HI-NIV and not changed during any measurements.

Subjects were discharged for home mechanical ventilation with target V_T NIV settings, which produced lower mean nocturnal transcutaneous P_{CO_2} (P_{tcCO_2}). Final assessments were performed following readmission after 3 months of target V_T NIV.

Measurements

Lung function parameters (Masterlab-Compact Labor, Jaeger, Hochberg, Germany) were investigated at baseline and after 3 months, in accordance with international guidelines (Fig. 1).[11,12] In addition, mouth occlusion pressures (ZAN100, ZAN Gerätetechnik, Oberthulba, Germany),[13] exercise capacity during the 6-min walk test,[2] subjective sleep quality according to the Epworth sleepiness scale,[14] and health-related quality of life as determined by the severe respiratory insufficiency (SRI) questionnaire[15] were documented. Subjects' compliance for target V_T NIV was analyzed by calculating the hours of use per day during home mechanical NIV from operating hours of the device being used. In addition, subjects reported subjective tolerance of HI-NIV after night 1 and target V_T NIV after night 4 by an individual questionnaire with 5 items (scale 1–5, indicating multiple complaints to no complaints): ventilation quality, overly high air flow, sleep quality, gastric distention, and sufficient air flow. Arterial blood gas samples (AVL Omni, Roche Diagnostics, Graz, Austria) were taken from the arterialized earlobe. P_{tcCO_2} was monitored using a digital monitor (SenTec DM, software 06.21.1, version 04.04.05; SenTec, Therwil, Switzerland) during each night, as previously described.[16] Full polysomnography (Somnoscreen plus; Somnomedics, Melbourne, Florida) was recorded at baseline during HI-NIV and after 3 months of target V_T NIV (Fig. 1), as previously described in detail.[3] In addition, pulse oximetry (Somnoscreen plus) was recorded during target V_T NIV titration on the first visit. For measurements of ventilation patterns, a pneu-

ance to HI-NIV with overnight use of at least 6 h were included. Subjects were excluded if they presented with evidence of acute respiratory failure (pH < 7.35) or signs of respiratory infection (eg, fever or purulent sputum). In addition, only target V_T NIV-naive subjects were included.

Study Design

The trial profile is illustrated in Figure 1. After baseline assessment with HI-NIV, which also included nocturnal measurements during the first night, the first part of the study had a randomized, open-label, 2-treatment, 2-period-crossover design aimed at establishing optimal target V_T NIV. Subjects were randomized to receive either the target V_T-1 NIV/ target V_T-2 NIV sequence or target V_T-2 NIV/target V_T-1 NIV sequence during the following 2 consecutive nights. After the first night with target V_T

Table 1. Pneumotachygraphic Measurements of Ventilation Pattern, Nocturnal Gas Exchange, and Pulse Oximetry During Nocturnal NIV Target V_T-1 NIV vs Target V_T-2 NIV

Subject Measurements ($n = 12$)	Period	Target V_T-1 NIV	Target V_T-2 NIV	Treatment Effect (95% CI)	P
Nocturnal gas exchange and pulse oximetry					
Mean P_{tcCO_2} (mm Hg)	1	45.0 ± 3.5	48.8 ± 5.9	0.1 (−1.0 to 1.2)	.80
	2	50.0 ± 5.4	45.9 ± 3.2		
Maximal P_{tcCO_2} (mm Hg)	1	48.8 ± 4.6	52.8 ± 6.9	0.8 (−0.6 to 2.2)	.25
	2	54.7 ± 6.0	49.2 ± 3.8		
pH at 5 AM	1	7.38 ± 0.06	7.40 ± 0.03	−0.01 (−0.02 to 0.00)	.10
	2	7.39 ± 0.03	7.39 ± 0.06		
P_{aCO_2} at 5 AM (mm Hg)	1	46.0 ± 3.9	50.5 ± 7.4	1.4 (−0.6 to 3.3)	.15
	2	52.7 ± 7.2	45.5 ± 3.0		
P_{aO_2} at 5 AM (mm Hg)	1	72.9 ± 10.3	71.7 ± 13.1	−1.3 (−12.6 to 10.0)	.80
	2	73.7 ± 16.9	77.4 ± 19.0		
HCO_3^- at 5 AM (mmol/L)	1	26.5 ± 3.9	29.8 ± 2.0	0.3 (−0.2 to 0.7)	.29
	2	30.3 ± 2.4	26.5 ± 3.8		
Mean S_{PO_2} (%)	1	94.2 ± 1.5	91.6 ± 3.6	0.3 (−0.5 to 1.1)	.39
	2	92.3 ± 2.9	94.5 ± 1.9		
Minimum S_{PO_2} (%)	1	83.0 ± 5.1	81.3 ± 7.8	1.2 (−4.5 to 6.8)	.64
	2	86.7 ± 5.6	86.0 ± 2.9		
Mean heart rate (beats/min)	1	67.2 ± 17.1	76.4 ± 11.7	−0.7 (−5.9 to 4.4)	.75
	2	74.7 ± 10.5	65.3 ± 15.8		
Maximal heart rate (beats/min)	1	144.2 ± 46.2	141.9 ± 50.5	−2.5 (−39.8 to 34.9)	.88
	2	128.7 ± 33.9	135.8 ± 23.9		
Nocturnal pneumotachygraphic measurements					
Mean inspiratory volume (mL)	1	914 ± 200	852 ± 219	33 (−114 to 181)	.62
	2	954 ± 341	949 ± 240		
Mean expiratory volume (mL)	1	368 ± 157	413 ± 118	−44 (−83 to 15)	.15
	2	366 ± 171	389 ± 163		
Mean leak volume (mL)	1	546 ± 320	439 ± 222	−4.2 (−227 to 218)	.97
	2	445 ± 393	561 ± 341		
Mean airway pressure (cm H_2O)	1	9.8 ± 1.4	10.8 ± 2.4	−0.1 (−1.6 to 1.4)	.89
	2	10.8 ± 1.1	10.1 ± 0.5		
Peak inspiratory pressure (cm H_2O)	1	17.9 ± 2.2	19.8 ± 3.0	−0.5 (−2.5 to 1.5)	.59
	2	19.0 ± 1.5	18.0 ± 1.2		
PEEP (cm H_2O)	1	6.0 ± 1.5	6.9 ± 2.3	0.00 (−1.5 to 1.5)	.96
	2	7.1 ± 1.5	6.1 ± 0.8		
f measured (breaths/min)	1	20.4 ± 1.6	22.5 ± 2.6	−0.2 (−1.5 to 1.2)	.80
	2	22.0 ± 1.3	20.2 ± 1.1		

Data are presented as mean ± SD unless otherwise noted.
Target V_T NIV = target tidal volume noninvasive ventilation
P_{tcCO_2} = transcutaneous carbon dioxide pressure
f = breathing frequency

motachograph (RSS 100 research pneumotach system, Korr Medical Technologies, Salt Lake City, Utah) was placed between the mask and the exhalation port as previously described.[6,10,17]

Statistics

This study originally aimed to compare the relative outcomes after 6 weeks of target V_T-1 NIV and 6 weeks of target V_T-2 NIV, respectively, in a randomized crossover design. Sample size calculation of 14 subjects was based on sleep efficiency, which served as the primary end point. The study was calculated to have 90% power to show a difference between both target V_T settings by a 2-sided level α of .05; this was predicted under the assumption that a 10% difference in sleep efficiency existed between both settings with an SD of 10%.[7,18] However, this study design with two 6-week periods of home mechanical ventilation turned out to be intolerable for the subjects. Of the first 4 subjects screened, 3 refused to participate in the study due

Table 2. Ventilator Settings and Pneumotachygraphic Measurements of Ventilation Patterns During Nocturnal NIV HI-NIV vs Target V_T NIV

Subject Measurements ($n = 10$)	HI-NIV	Target V_T NIV	Treatment Effect (95% CI)	P
Ventilator settings				
Mean oxygen flow rate (L/min)	1.9 ± 1.1	1.9 ± 1.1		
IPAP (cm H_2O)	25.7 ± 6.2			
Minimal IPAP (cm H_2O)		20.7 ± 6.2		
Maximal IPAP (cm H_2O)		35.3 ± 0.9		
Target V_T-1 (mL, $n = 6$)		535 ± 41		
Target V_T-2 (mL, $n = 4$)		655 ± 121		
EPAP (cm H_2O)	5.1 ± 1.7	5.1 ± 1.7		
f preset (breaths/min)	18.6 ± 1.2	18.6 ± 1.2		
Inspiratory time (s)	1.1 ± 0.1	1.1 ± 0.1		
Nocturnal pneumotachygraphic measurements				
Mean inspiratory volume (mL)	1089 ± 371	1032 ± 366	−57 (−307 to 192)	.62
Mean expiratory volume (mL)	388 ± 195	498 ± 510	109 (−243 to 461)	.50
Mean leak volume (mL)	701 ± 486	629 ± 420	−106 (−311 to 100)	.27
Mean airway pressure (cm H_2O)	10.9 ± 1.7	10.3 ± 1.6	−0.6 (−2.0 to 0.8)	.35
Peak inspiratory pressure (cm H_2O)	20.5 ± 3.8	17.9 ± 2.6	−2.6 (−5.3 to 0.2)	.06
PEEP (cm H_2O)	6.4 ± 2.0	6.7 ± 1.4	0.3 (−1.0 to 1.6)	.62
f measured (breaths/min)	21.2 ± 2.3	20.9 ± 1.7	−0.3 (−1.7 to 1.1)	.66

Data are presented as mean ± SD unless otherwise noted.
HI-NIV = high-intensity noninvasive ventilation
Target V_T NIV = target tidal volume noninvasive ventilation
IPAP = inspiratory positive airway pressure
EPAP = expiratory positive airway pressure
f = breathing frequency

to the 2 planned consecutive visits within the following 3 months.

Consequently, the study design was changed as outlined above, now comparing the 2 different target V_T NIV settings 1 and 2 only with regard to the end point nocturnal gas exchange, pulse oximetry, and pneumotachygraphic measurements. A comparison of both target V_T NIV settings with regard to the end point sleep efficiency could not be performed in a within-subject comparison. A between-subjects comparison of the target V_T NIV settings 1 and 2 after discharge with regard to sleep efficiency was not performed, because the study, planned for a within-subject comparison, was underpowered for this situation. Afterward, sleep efficiency was compared between the optimal target V_T NIV selected at discharge and HI-NIV at baseline. For the comparison of both target V_T NIV settings 1 and 2 in the crossover part of the study, an analysis of variance model was used that included treatment, period, and randomized sequence as fixed effects, and subject within sequence as a random effect. For the comparison of the selected target V_T NIV setting with HI-NIV at baseline, paired t tests were used. The treatment effects were estimated with 95% confidence intervals and tested with a 2-sided level α of .05. Comparisons with regard to the individual questionnaire were performed with paired Wilcoxon tests.

Sample size calculations were performed with nQuery Advisor 7.0 (Statistical Solutions, Boston, Massachusetts). All statistical analyses were performed with the SAS 9.2 statistical analysis system (SAS Institute, Cary, North Carolina).

Results

Fourteen subjects were included in the study (Fig. 1). In 2 subjects, baseline polysomnography was associated with technical problems, and reassessments were refused by the participants. Therefore, 12 subjects (7 female, 63.3 ± 8.2 y, body mass index 27.6 ± 6.3 kg/m^2) were randomized to receive target V_T-1 NIV/target V_T-2 NIV sequence or target V_T-2 NIV/target V_T-1 NIV sequence (Fig. 1).

Overnight comparisons of target V_T-1 NIV and target V_T-2 NIV are provided in Table 1. Six subjects representing lower overnight P_{tcCO_2} with target V_T-1 NIV and 6 subjects representing lower overnight P_{tcCO_2} with target V_T-2 NIV were identified and discharged with the favored target V_T NIV setting for home NIV, respectively. The difference in mean overnight P_{tcCO_2} during the favorable and nonfavorable target V_T setting ranged between 0.3 and 4.1 mm Hg (mean 1.9 ± 1.5 mm Hg). Subsequently, 2 subjects dropped out while on target V_T-2 NIV due to gastric distention and dyspnea, respectively (Fig. 1).

Table 3. Polysomnographic Measurements and Nocturnal Gas Exchange During Nocturnal NIV HI-NIV vs Target V_T NIV

Subject Measurements ($n = 10$)	HI-NIV	Target V_T NIV	Treatment Effect (95% CI)	P
Polysomnography				
Sleep efficiency (%)	64.2 ± 20.9	61.0 ± 19.6	-3.3 (-11.1 to 4.6)	.37
TST (min)	240 ± 100	251 ± 88	11 (-26 to 48)	.53
NREM stage 1 (% TST)	26.8 ± 17.8	30.9 ± 16.3	4.1 (-7.3 to 15.5)	.44
NREM stage 2 (% TST)	48.4 ± 19.0	49.9 ± 13.7	1.5 (-14.9 to 17.9)	.84
NREM stage 3 + 4 (% TST)	10.8 ± 10.8	9.0 ± 8.2	-1.8 (-5.4 to 1.8)	.28
REM sleep (% TST)	14.0 ± 8.2	10.2 ± 5.7	-3.8 (-12.0 to 4.5)	.33
Arousals (No./h)	17.7 ± 15.7	20.3 ± 15.9	2.6 (-11.8 to 16.9)	.70
AHI score (events/h)	3.1 ± 3.7	2.1 ± 3.0	-1.0 (-4.3 to 2.4)	.53
Mean heart rate (beats/min)	70.2 ± 20.8	68.3 ± 11.7	-1.9 (-12.2 to 8.4)	.69
Maximal heart rate (beats/min)	113.9 ± 46.8	96.3 ± 22.8	-17.6 (-50.7 to 15.5)	.26
ODI (events/h)	2.9 ± 3.4	2.2 ± 3.1	-0.7 (-4.0 to 2.5)	.63
Mean S_{pO_2} (%)	92.8 ± 3.5	92.4 ± 2.4	-0.4 (-2.0 to 1.2)	.59
Minimum S_{pO_2} (%)	85.6 ± 7.3	84.2 ± 4.2	-1.4 (-6.8 to 4.0)	.57
Nocturnal gas exchange				
Mean P_{tcCO_2} (mm Hg)	44.8 ± 5.0	45.3 ± 4.6	0.5 (-3.1 to 4.1)	.75
Maximal P_{tcCO_2} (mm Hg)	50.3 ± 7.9	49.3 ± 4.2	-1.0 (-5.2 to 3.3)	.62
pH at 5 AM	7.40 ± 0.04	7.41 ± 0.04	0.00 (-0.01 to 0.02)	.66
P_{aCO_2} at 5 AM (mm Hg)	47.1 ± 8.4	47.2 ± 3.9	0.1 (-4.8 to 4.9)	.98
P_{aO_2} at 5 AM (mm Hg)	79.1 ± 12.5	77.6 ± 18.2	-1.5 (-10.1 to 7.2)	.71
HCO_3^- at 5 AM (mmol/L)	28.3 ± 3.6	29.0 ± 1.8	0.7 (-1.1 to 2.5)	.40

Data are presented as mean $\pm$ SD unless otherwise noted.
HI-NIV = high-intensity noninvasive ventilation
Target V_T NIV = target tidal volume noninvasive ventilation
TST = total sleep time
NREM = nonrapid eye movement sleep
REM = rapid eye movement sleep
No. = number
AHI = apnea-hypopnea index
ODI = oxygen desaturation index
P_{tcCO_2} = transcutaneous carbon dioxide pressure

Thereafter, 10 subjects (5 female, 64.5 ± 8.5 y, body mass index 29.1 ± 5.8 kg/m^2) completed the trial. These subjects had used HI-NIV for 51.6 ± 51.1 months before screening. Subjects were ventilated with Breas Vivo 40 ($n = 6$), and Breas Vivo 50 ($n = 4$) during the study. Four subjects used nasal masks, and 6 subjects used oronasal masks. Ventilator settings and patterns comparing HI-NIV and target V_T NIV are given in Table 2. Accordingly, polysomnographic measurements and data on nocturnal gas exchange are shown in Table 3 and Figures 2 and 3, and daytime assessments are displayed in Table 4. With regard to the primary end point, sleep efficiency, the mean ($\pm$ SD) difference between optimal target V_T NIV setting and HI-NIV at baseline was $-3.3 \pm 11.0\%$ (95% CI -11.1 to 4.6, $P = .37$) (see Fig. 2). On an individual basis, mean overnight P_{tcCO_2} values were lower during HI-NIV in 4 subjects (difference to target V_T NIV ranging from 2.1 to 8.1 mm Hg), but were lower during target V_T NIV in 6 subjects (difference to HI-NIV ranging from 0.3 to 6.4 mm Hg) (see Fig. 3). Subjects used target

V_T NIV for 8.1 ± 1.1 (minimum 6.0, maximum 9.5) h/d during home mechanical ventilation.

Subanalysis of the effects between the elected target V_T-1 NIV ($n = 6$) versus target V_T-2 NIV ($n = 4$) after 3 months of home mechanical ventilation revealed no differences in each of the parameters investigated (data not presented).

Discussion

This is the first trial to investigate the effects of individually set target V_T NIV with successfully established HI-NIV in subjects with COPD with stable hypercapnic respiratory failure. Even though the 2 different settings for target V_T NIV generally produced comparable results, there were clear differences between the 2 settings on an individual basis regarding nocturnal P_{tcCO_2} measurements.

There are 2 major findings arising from this study: the transfer of COPD subjects to optimal target V_T NIV did not improve sleep efficiency and overall sleep quality, and
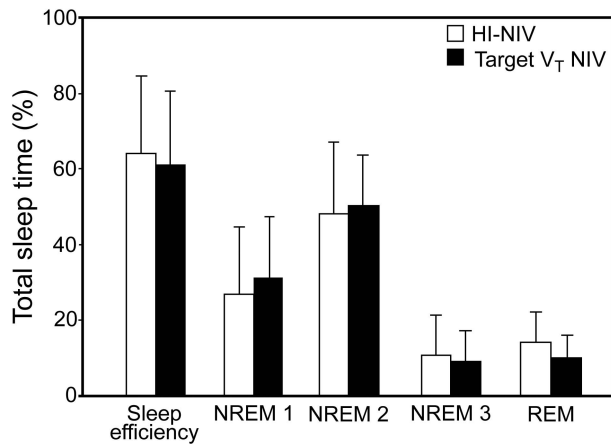
Fig. 2. Sleep efficiency and sleep stages following high-intensity noninvasive ventilation (HI-NIV) and target tidal volume noninvasive ventilation (Target V_T NIV). NREM = nonrapid eye movement sleep; REM = rapid eye movement sleep.
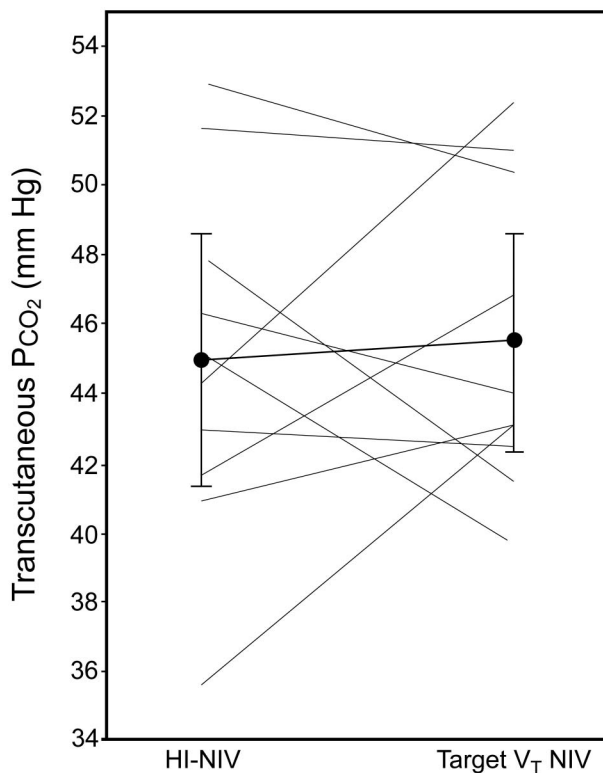

Fig. 3. Individual changes in nocturnal gas exchange determined by mean overnight transcutaneous P_{CO_2} following high-intensity noninvasive ventilation (HI-NIV) and target tidal volume noninvasive ventilation (Target V_T NIV). In addition, mean ± SD are given for all subjects ($n = 10$).

it produced similarly effective results as HI-NIV therapy in terms of outcome parameters such as the control of nocturnal hypoventilation, daytime hypercapnia, overnight ventilation patterns, subjects' tolerance, health-related quality of life, lung function, and exercise capability. The single result favoring target V_T NIV was the psychological well-being subscale of the SRI questionnaire; however, this needs to be interpreted with caution.

Another interesting observation was that there was a tendency toward a more physiologically advantageous ventilation pattern, with lower leakage and higher expiratory volumes reflecting the tidal volume and showing a clear trend in lower inspiratory pressures applying target V_T NIV compared with HI-NIV.[6] Thereby, the strength of the study lies in the fact that these ventilation pattern parameters were assessed by external independent pneumotachygraphic measurements. The observation of physiologically advantageous ventilation was also reported in subjects with OHS on target V_T NIV,[6] suggesting that this might be an overall benefit of the therapy.[6] An explanation might be the principle of target V_T NIV, because expiratory volumes are calculated by the device during ventilation and the IPAP is adjusted accordingly to guarantee the predefined target V_T. Therefore, target V_T NIV is suggested to respond to variation of lung resistance and compliance of the patient during mechanical ventilation. However, larger trials are required to substantiate these findings, and the clinical benefit of these physiological circumstances remains unclear.

Of note, sleep quality did not deteriorate when subjects were switched from HI-NIV to target V_T NIV, even though target V_T NIV parameters were aggressively set to achieve a maximal reduction in P_{tcCO_2}. This is in contrast to another study on subjects with OHS, where aggressive target V_T NIV settings reportedly produced deterioration in sleep quality compared with conventional pressure-preset NIV.[7] In addition, overall compliance and tolerance of target V_T NIV was comparable to HI-NIV. Two subjects dropped out on home mechanical target V_T-2 NIV. However, analyzing data of daily use and subjects' tolerance by the individual questionnaires did not support intolerance to target V_T NIV.

Overall, this indicates that findings on target V_T NIV cannot be generalized to other patients with long-term NIV. Nevertheless, these findings potentially show that aggressive forms of target V_T NIV are not harmful to subjects with COPD. This is an interesting aspect for practical implications of NIV modes for patients with COPD. Although not investigated in the present trial, target V_T NIV might serve as an alternative in patients unable to tolerate the high ventilator settings (especially a fixed high IPAP) applied during the establishment of HI-NIV, particularly in view of the fact that target V_T NIV is individually capable of improving alveolar ventilation in subjects already receiving HI-NIV as shown in this trial. However, to confirm this approach, further investigations with this topic are needed. An additional interesting observation is given

Table 4. Lung Function, Mouth Occlusion Pressures, 6-Min Walk Test, Epworth Sleepiness Scale, Health-Related Quality of Life Assessment by the SRI Questionnaire, and Individual Questionnaire after NIV HI-NIV vs Target V_T NIV

Subject Measurements	HI-NIV	Target V_T NIV	Treatment Effect (95% CI)	P
Lung function, mouth occlusion pressures*				
FEV_1 (% predicted)	35.5 ± 15.0	35.3 ± 13.5	−0.2 (−5.1 to 4.6)	.92
FVC (% predicted)	57.6 ± 10.4	58.7 ± 21.5	−2.9 (−11.2 to 5.4)	.44
FEV_1/FVC ratio (%)	47.3 ± 12.9	49.5 ± 12.6	2.1 (−3.0 to 7.3)	.37
RV (% predicted)	200.2 ± 78.4	215.5 ± 105.3	15.3 (−26.8 to 57.3)	.43
TLC (% predicted)	103.7 ± 25.3	107.0 ± 31.6	3.3 (−6.9 to 13.6)	.48
$P_{0.1}$ (cm H_2O)	4.1 ± 2.0	5.1 ± 2.0	0.0 (−2.0 to 2.0)	> .99
P_{Imax} (cm H_2O)	50.0 ± 19.4	51.0 ± 19.4	2.0 (−9.2 to 14.3)	.64
6-min walk test†				
P_{aO_2} (mm Hg)	60.1 ± 9.0	60.9 ± 7.6	−0.4 (−5.7 to 4.9)	.86
P_{aCO_2} (mm Hg)	48.5 ± 5.5	51.7 ± 5.5	2.8 (−0.7 to 6.3)	.10
pH	7.38 ± 0.05	7.37 ± 0.04	0.00 (−0.02 to 0.01)	.67
S_{pO_2} (%)	89.8 ± 5.0	90.4 ± 3.8	0.4 (−4.0 to 4.8)	.83
6-min walk distance	238 ± 113	311 ± 115	54 (−29 to 139)	.17
Borg dyspnea scale after walking	5.6 ± 2.9	4.3 ± 3.0	−0.9 (−3.2 to 1.5)	.41
Epworth sleepiness scale*				
Summary scale	5.9 ± 2.1	5.7 ± 4.1	−0.2 (−2.2 to 1.8)	.83
SRI subscales*				
Respiratory complaints	61.6 ± 20.6	61.6 ± 17.6	0.0 (−10.9 to 10.9)	> .99
Physical functioning	49.2 ± 22.3	56.7 ± 26.8	7.5 (−3.3 to 18.3)	.15
Attendant symptoms and sleep	62.1 ± 23.6	57.9 ± 24.6	−4.3 (−15.0 to 6.5)	.39
Social relationships	71.4 ± 17.9	73.7 ± 16.4	2.4 (−2.4 to 7.1)	.29
Anxiety	54.5 ± 24.0	61.0 ± 24.9	6.5 (−3.5 to 16.5)	.17
Psychological well-being	65.0 ± 17.3	68.9 ± 16.1	3.9 (0.2 to 7.5)	.04
Social functioning	51.1 ± 21.5	57.2 ± 27.7	6.1 (−6.3 to 18.6)	.29
Summary scale	59.3 ± 14.8	62.4 ± 18.9	3.2 (−3.2 to 9.5)	.29
Individual questionnaire†‡				
Ventilation quality	4 (1–5)	5 (2–5)		.81
Overly high airflow	5 (2–5)	5 (3–5)		.50
Sleep quality	5 (2–5)	5 (4–5)		.75
Gastric distension	5 (1–5)	5 (2–5)		> .99
Sufficient airflow	5 (2–5)	5 (4–5)		> .99

Data are presented as mean ± SD unless otherwise noted.
* n = 10.
† n = 9.
‡ Data are presented as median (minimum to maximum). Scale 1 to 5, indicating multiple complaints to no complaints. No treatment effect was estimated, because a nonparametric analysis was performed.
SRI = severe respiratory insufficiency (questionnaire scoring: 0, worst; 100, best)
HI-NIV = high-intensity noninvasive ventilation
Target V_T NIV = target V_T noninvasive ventilation
RV = residual volume
TLC = total lung capacity
$P_{0.1}$ = mouth occlusion pressure 0.1 s after onset of inspiration during normal breathing
P_{Imax} = peak maximal inspiratory mouth pressure

by the distance of the subjects in the 6-min walk test. Here, a trend for a longer distance after establishment of target V_T NIV was detectable. However, this difference did not reach statistical significance, but this finding is in line with the previous assumption that target V_T NIV is at least not harmful for the patients.

Some limitations of the current study need to be addressed. First, the initial plan to compare two 6-week periods of target V_T NIV was not feasible. Therefore, one

might speculate that the time needed by subjects to become acclimatized to the different target V_T NIV-settings was too short during the initiation visit. On the other hand, we extended the treatment period of target V_T NIV to 3 months at home, which was favorable according to the selected study end points and in comparison to well-established HI-NIV. Second, the drop-out rate was relatively high (4 of 14 subjects), which led to a considerably low sample size. This difficulty is in line with a previous

study, which described the challenge of recruiting subjects with severe COPD into clinical trials with demanding study protocols.[19] Nevertheless, it remains questionable whether larger subject groups would have led to more statistically significant differences, given the similarity in outcomes between HI-NIV and target V_T NIV.

Conclusions

In conclusion, target V_T NIV does not offer additional clinical benefits in comparison to well-established HI-NIV in chronic hypercapnic subjects with COPD. In particular, sleep quality, the control of nocturnal hypoventilation, daytime hypercapnia, overnight ventilation patterns, subjects' tolerance, health-related quality of life, lung function, and exercise capability were all comparable and similar in subjects who underwent HI-NIV and target V_T NIV. Therefore, switching from well-established HI-NIV to target V_T NIV is generally not recommended. Nevertheless, target V_T NIV might offer some physiological advantages in breathing pattern and might be beneficial in some individual patients.

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