# High-Flow Nasal Cannula in Critically Ill Subjects With or at Risk for Respiratory Failure: A Systematic Review and Meta-Analysis

Wagner Luis Nedel MD MSc, Caroline Deutschendorf MD MSc, and Edison Moraes Rodrigues Filho MD PhD

Introduction
Methods
Results
High-Flow Nasal Cannula and Invasive Mechanical Ventilation
High-Flow Nasal Cannula and Mortality
High-Flow Nasal Cannula and Oxygenation Improvement
Discussion
Conclusion

High-flow nasal cannula (HFNC) oxygen delivery has been gaining attention as an alternative means of respiratory support for critically ill patients, with recent studies suggesting equivalent outcomes when compared with other forms of oxygen therapy delivery. The main objective of this review was to extract current data about the efficacy of HFNC in critically ill subjects with or at risk for respiratory failure. We performed a systematic review of publications (from database inception to October 2015) that evaluated HFNC in critically ill subjects with or at risk for acute respiratory failure and performed a meta-analysis comparing HFNC with noninvasive ventilation (NIV) and with standard oxygen therapy regarding major outcomes: incidence of invasive mechanical ventilation and ICU mortality. A total of 9 studies were included. HFNC was not associated with a reduction in the incidence of invasive mechanical ventilation compared with NIV (odds ratio [OR] 0.83, 95% CI 0.57-1.20, P = .31) or standard oxygen therapy (OR 0.49, 95% CI 0.22–1.08, P = .17). Additionally, HFNC use did not reduce ICU mortality compared with NIV (OR 0.72, 95% CI 0.23–2.21, P = .56) or with standard oxygen therapy (OR 0.69, 95% CI 0.33–1.42, P = .29). There was a trend toward better oxygenation compared with conventional oxygen therapy but a worse gas exchange compared with NIV. At this moment, HFNC therapy seems not to be superior to conventional oxygen therapy or NIV in terms of invasive mechanical ventilation rate or ICU mortality in critical illness, but new studies are needed to determine whether HFNC is associated with any difference in major outcomes when compared with other techniques. Key words: high-flow nasal cannula; noninvasive ventilation; oxygen therapy. [Respir Care 0;0(0):1-•. © 0 Daedalus Enterprises]

## Introduction

Oxygen therapy is one of the most prescribed treatments in medicine, especially in critical care patients. It is an adjunctive therapy in respiratory support, the purpose of which is to maintain adequate ventilation and oxygenation, thereby providing adequate alveolar gas exchange. Highflow nasal cannula (HFNC) oxygen delivery has been gaining attention as an alternative means of oxygen therapy for

Rodrigues Filho is affiliated with the ICU, Hospital de Clinicas de Porto Alegre, Porto Alegre, Brazil.

The authors have disclosed no conflicts of interest.

Dr Nedel is affiliated with the ICU, Hospital Nossa Senhora da Conceição and the ICU, Hospital de Clinicas de Porto Alegre, Porto Alegre, Brazil. Dr Deutschendorf is affiliated with the Infection Control Unit, Hospital de Clínicas de Porto Alegre, Porto Alegre, Brazil. Dr Moraes

critically ill patients. The apparatus comprises an air-oxygen blender, an active heated humidifier, a single heated circuit, and a nasal cannula. At the air-oxygen blender,  $F_{\rm IO_2}$  is set up to 1.0 at a maximum flow of 60 L/min via a nasal cannula. The gas is heated and humidified with the active humidifier and delivered through the heated circuit, which increases patient tolerance<sup>1</sup> without the potential side effects of an increased dead space provided by noninvasive ventilation (NIV). HFNC offers several physiological advantages that might encourage its use, including, but not limited to, improvements in oxygenation, the generation of a flow-dependent PEEP, reduction of nasopharyngeal resistance and pharyngeal dead space washout, and an increase in end-expiratory lung volume.<sup>2,3</sup>

Most of the available data regarding this technique have been published in the neonatal field.<sup>4,5</sup> Currently, HFNC use is increasing in a variety of critically ill adult patients with diverse underlying conditions, including acute respiratory failure,<sup>6-8</sup> during bronchoscopy,<sup>9</sup> or to prevent severe desaturation during intubation of patients with mild-to-moderate hypoxemia, despite the lack of reliable, large, controlled clinical trials published.<sup>10-12</sup> Some authors even define the postextubation scenario as "at risk for respiratory failure," despite the same clinical management as compared with true "respiratory failure."<sup>11</sup>

The objective of this study was to extract current data about the actual efficacy of HFNC in critically ill subjects with or at risk for respiratory failure, and, through a meta-analysis, specify the effects of this support in terms of relevant outcomes (mortality, need for invasive mechanical ventilation, improvement in gas exchange).

## Methods

Our study was performed according to the recommendations of the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) statement.<sup>13</sup> The study protocol was published in the PROSPERO database (www.crd.york.ac.uk/PROSPERO) with number CRD42015025912. We performed a systematic search of MEDLINE, the Cochrane Database, and EMBASE (from the inception of each database to June 2015) to identify full-text publications in English, Spanish, French, and Portuguese that evaluated the use of HFNC treatment in clinical-surgical critically ill subjects with acute hypoxemic respiratory failure or at risk for this complication, compared with standard oxygen therapy or NIV. The primary

Correspondence: Wagner L Nedel MD MSc, Avenida João XXIII, 525, 801E, Porto Alegre/RS, Brazil 91060100. E-mail: wagnernedel@uol.com.br.

DOI: 10.4187/respcare.04831

outcome was the intubation rate in different groups, whereas the secondary outcomes were oxygenation improvement (defined as  $P_{aO_2}/F_{IO_2}$ ), mechanical ventilation time, and ICU mortality. The following major medical subject headings terms were included: ("respiratory insufficiency" OR "respiratory distress syndrome, adult" OR "shock lung" OR "acute lung injury" OR "lung diseases, obstructive" OR "pneumonia") AND (high-flow AND ("nose" OR "nasal") AND ("catheters" OR "cannula")) OR (high-flow AND "oxygen") OR optiflow OR "oxygen inhalation therapy." The references of review articles were also reviewed to identify any other potentially eligible articles.

The review was limited to adult subjects, and only original peer-reviewed randomized controlled trials were selected. Exclusion criteria were observational studies and quasi-experimental trials and patients with a donot-intubate order in the emergency room or in the general ward. Two authors (WLN and EMRF) independently reviewed the abstracts of all citations from the search and the full articles for inclusion. Then selected articles were compared by a third author (CD) who resolved any disagreements. The following data were extracted: study location, enrollment period, sample size, inclusion and exclusion criteria, baseline characteristics, details of intervention and comparator groups, and clinical outcomes. To ascertain the validity and the risk of bias of the eligible randomized studies, 2 reviewers working independently used the Cochrane Collaboration's tool for assessing the risk of bias (version 5.1.0; http://handbook.cochrane.org).

The statistical analysis was performed using the MetaView statistical program within Review Manager software (RevMan 5.3.4, the Nordic Cochrane Center, Cochrane Collaboration, Copenhagen, Denmark) using the Mantel-Haenszel random effects model. Statistical heterogeneity across trials was assessed using the Cochrane chisquare test and the Higgins inconsistency test. We analyzed the probability of publication bias using funnel plots and considered plot asymmetry to be suggestive of reporting bias.

## Results

The initial search identified 5,560 studies in PubMed, 5,610 in EMBASE, and 1,223 in Cochrane; after the removal of duplicates, 6,806 articles were reviewed. After review of the abstracts, 49 studies were retrieved and reviewed in detail. Finally, after full-text review, we excluded 42 records, and 9 articles met the inclusion criteria and were selected by both reviewers (Fig. 1). The main characteristics of the included studies are summarized in Table 1.

Three studies evaluated postextubation subjects<sup>14,17</sup>: one in obese post-cardiac surgery subjects,<sup>18</sup> one in sub-

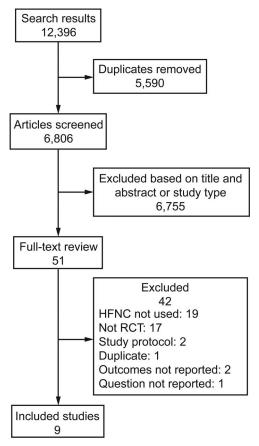


Fig. 1. Flow chart. HFNC = high-flow nasal cannula, RCT = randomized controlled trial.

jects with hypoxemic respiratory failure undergoing diagnostic fibrobronchoscopy,<sup>9</sup> and 3 in medical subjects with acute respiratory failure,<sup>12,15,16</sup> including one in immunosuppressed subjects.<sup>19</sup> One randomized controlled trial was performed in a crossover fashion,<sup>14</sup> 5 were single-center,<sup>14,15,16,18</sup> and 4 were multi-center.<sup>11,12,17,19</sup> The main comparator was conventional oxygen therapy; 3 studies compared with air-entrainment mask,<sup>16,17,19</sup> 2 studies compared with standard oxygen therapy,<sup>12,18</sup> and 2 studies compared with high-flow face mask.<sup>14,15</sup> Two studies compared with NIV,<sup>9,16</sup> whereas one compared with NIV and conventional oxygen therapy.<sup>12</sup>

Overall, subjects had well-balanced baseline characteristics in each group (with the exception of the study by Simon et al,<sup>9</sup> in which more subjects with hematological disorders and more subjects with hospital-acquired pneumonia were allocated in the HFNC group). The Simplified Acute Physiology Score II was the main disease severity score employed by the studies,<sup>9,11,12,16,17,19</sup> with scores varying between 25<sup>12</sup> and 46.<sup>9</sup> The breathing frequency was also variable in a range between 18<sup>15</sup> and 33 breaths/min<sup>12</sup>; similarly, there was heterogeneity in the reported P<sub>aO,</sub>/F<sub>IO,</sub> (from 128 in Lemiale et al<sup>19</sup> to 241 in

Maggiore et al<sup>17</sup>). There were no significant differences between studies about baseline arterial pH (from 7.37 to 7.46) or in baseline  $P_{CO_2}$  (from 35 to 42). The length of ICU stay varied from 1.5 d in Corley et al<sup>18</sup> to 11 d in Maggiore et al<sup>17</sup> Results of the Cochrane Risk of Bias Tool of the included studies are presented in Table 2. Overall, there was a preponderance of good methodological randomized controlled trials, with the great majority of trials reporting sequence generation, allocation concealment, and intention-to-treat analysis. None of the studies, however, presented blinding of outcome assessment and, due to the nature of the intervention, none of them performed blinding of participants and personnel.

# **HFNC** and Invasive Mechanical Ventilation

HFNC demonstrated outcomes similar to NIV with respect to the need for invasive mechanical ventilation in a meta-analysis of 3 trials (OR 0.83, 95% CI 0.57–1.20,  $P=.31,\ I^2=22\%$ ) with a low heterogeneity among studies (Fig. 2). Similar outcomes to conventional oxygen therapy were also observed (OR 0.49, 95% CI 0.22–1.08,  $P=.17,\ I^2=37\%$ ) in a moderate heterogeneity meta-analysis of 5 trials (Fig. 3) of hypoxemic respiratory failure in medical (3 trials, one in immunosuppressed subjects), surgical (one study), and post-procedure (one study) subjects.

## **HFNC and Mortality**

Two studies compared HFNC and NIV mortality in hypoxemic respiratory failure, and there was no difference between groups in their meta-analysis (OR 0.72, 95% CI 0.23–2.21, P=.56,  $I^2=83\%$ ) (Fig. 4); that meta-analysis also showed no difference between groups in the comparison of HFNC and standard oxygen therapy (OR 0.69, 95% CI 0.33–1.42, P=.29,  $I^2=11\%$ ) (Fig. 5).

# **HFNC** and Oxygenation Improvement

Overall, we identified 6 studies comparing oxygenation pre- and post-HFNC therapy, 4 with conventional oxygen therapy and 2 with NIV. We opted not to subject these data to meta-analysis because of the substantial heterogeneity among the studies in terms of interventions, measured outcomes, and different time intervals. Compared with high-flow face mask, there was no difference in post-intervention  $P_{aO_2}$  between groups 14; however, better  $P_{aO_2}/F_{IO_2}$  ratios were observed at 4 h post-intervention. In another study, the regression analysis found that HFNC was also associated with fewer desaturation episodes. 20 In 2 studies, HFNC was inferior to NIV with regard to  $P_{aO_2}$  30 min post-intervention

P<sub>40</sub>, highest under NIV (129 ± 38 mm Hg) compared with HFNC (101 ± 34 mm Hg, P < 0.01) and Venturi mask (85 ± 21 mm Hg, P < 0.01 vs NIV, P < 0.01 vs HFNC), pyspnea score significantly better using HFNC (2.9 ± 2.1) compared with NIV (5.0 ± 3.3, P < .05) but new when compared with HFNC and Venturi mask (3.3 ± 2.3, P > .05). The lowest  $S_{PO}$ , during FBC was  $55 \pm 5\%$  in the NIV group and  $92 \pm 7\%$  in the HFNC group,  $P_{PO}$ - $P_{PO}$ , significantly better in post-intervention (P = 0.07). There was no (P = 0.02). There was no (P = 0.02). There was no rate in 244 after FBC (P = 29) There was no difference in oxygenation between groups (P.o., S<sub>1</sub>o<sub>2</sub>, P.co<sub>2</sub>, and pH). HFNP associated with better tolerance than standard therapy fewer desaturations than HFFM (42% vs 71%, P = .009). Pa<sub>0.2</sub>Fl<sub>0.2</sub> did not significantly differ between groups (P = .08). HFNC were associated with (continued) Main Results Comparison of relative effects on heart rate, BP, breathing frequency, patient comfort and tolerance Number of patients with oxygen desaturations, time to ICU and hospital Changes in blood gases for up to 50 min after the procedure, requirement for intubation within 8 h after completion of FBC and at any other point of ICU Breathing frequency, dyspnea (Borg scale), discomfort (10-point NRS), P<sub>aCO2</sub>, heart rate, blood pressure, S<sub>CO2</sub>, global rating, patient preference Secondary Outcome(s) Failure of therapy, defined as worsening respiratory failure that required a change in the respiratory support device within 24 h of Efficacy of HFNP with HFFM in maintaining gas exchange (PaO2), Lowest oxygen saturation recorded by pulse oximetry during FBC Main Outcome Р<sub>СО2</sub>, S<sub>РО2</sub>, НСО<sub>3</sub>, рН) P<sub>aO2</sub> post-intervention study enrollment Clinical evidence for cardiac pulmonary edema, COPD or ventilatory failure, hemodynamic instability, contraindications to NIV. Previous diagnosis of COPD, previous CO<sub>2</sub> retainers Patients requiring imminent mechanical mechanical patients under orders not to receive mechanical ventilation Contraindications to NIV or NIV or HFNC, mas opharyngeal obstruction, intubation, pre-existing invasive ventilation disorientation, inability to give informed Exclusion Criteria consciousness impaired Patients > 18 y and the following criteria before extubration: pH 7.35–7.45, S<sub>1902</sub> > 90%. Floz < 40%, PEEP < 5 cm H<sub>2</sub>O Patients with respiratory failure with hypoxemia (P.o./Flo<sub>2</sub> <300) with 'indication for diagnostic or therapeutic FBC, age > 18 y Patients with ARF  $(P_{aO_2} < 55 \text{ mm Hg})$ Patients with mild to moderate hypoxemic respiratory failure Inclusion Criteria NIV and Venturi mask NIV Main Intervention HFNC HENC Subjects with acute hypoxemic respiratory failure (P<sub>aO<sub>2</sub></sub> <55 mm Hg in breathing air) Subjects with mild to moderate hypoxemic respiratory failure Subjects with hypoxemic respiratory failure undergoing FBC Subjects following endotracheal tube extubation Study Population Not reported Sex, % male‡ 47 28 9  $55 \pm 21.1$ 17.5  $66 \pm 11.5$ Age† 2 . 59 9 45 9 4 \* Prospective randomized trial Randomized crossover trial Study Type RCT RCT 2011 2014 Year 2014 Simon et al9 Parke et al15 Tiruvoipati et al<sup>14</sup> Study

Characteristics of Included Studies

Main Results	HPNC at $24 h$ ( $P = 0.03$ ), at $36 h$ ( $P = 0.00$ ), and at $36 h$ ( $P = 0.00$ ), and at $48 h$ ( $P = 0.01$ ). Show was significantly greater with HFNC than with experience with HFNC than with steps. $P_{\rm c}$ Cy, and was lower with the HFNC and better in the steps. $P_{\rm c}$ Cy and was lower with the HFNC significantly lower in HFNC. HFNC significantly lower in the HFNC are always similar between groups. Discomfort related to the interface was at each of the HFNC group and $34.6\%$ in the HFNC group and $34.6\%$ in the Ventui group that episodes of $P_{\rm c}$ ( $P_{\rm c}$ ). During study period, $7.5\%$ in the Ventui group requiring any form of required NIV ( $P = 0.04$ ) and required endotracheal influence of $P_{\rm c}$ ( $P_{\rm c}$ ) in the HFNC group and $34.6\%$ in the Ventui group requiring any form of required endotracheal influence of $P_{\rm c}$ ( $P_{\rm c}$ ) in the HFNC group.	Treatment failure in 21% in HPNC group and 21.9% in BPA group (absolute difference 0.9%, 95% CI –4.9 to 6.6%, $P = 0.03$ ). No significant differences between groups in ICU mortality. BPAP 5.5% and HPNC 6.8% ( $P = .66$ ). P. 40-AF(2) and HPNC 6.8% ( $P = .66$ ). P. 40-AF(2) day 3 in both groups but significantly higher with BPAP ( $P < .00$ ).	Intubation rate at day 2.8 was 3.8% in HPNC, 47% in standard oxygen group, and 50.8% in NIV group (P = .18; P = .17). Group (P = .18; P = .17). Heart rate for death at 90 d was 2.01 (95% CI 1.01-5.99) in standard oxygen group compared with HFNC group compared with HFNC group propagated with HFNC group (P = .006). In the subgroup of a patients with Pro_2;F0_2 COO mm. Hg, the 200 m
Secondary Outcome(s)	Patient's discomfort, episodes of device displacement, episodes of oxygen desarration, occurrence of post-extubation ARF requiring any form of ventilatory support and reintubation	Changes in respiratory variables after 1 h and between 6 and 12 h, worst daily values of respiratory variables under reatment, dyspnea score, comfort score, skin breakfown score, respiratory and extrapulmonary complications, number of bronchoscopies, ICU mortality	Mortality in ICU, mortality at 90 d, number of ventilator-free days between day 1 and day 28, duration of ICU stay
Main Outcome	P <sub>nov</sub> /F <sub>roz</sub> ratio at 24 h post- intervention	Treatment failure, defined as reducion for mechanical mechanical switch to the switch to the treatment, or prenature study treatment, or prenature study treatment and discontinuation	Proportion of patients who produced and and and after randomization within 28 d after randomization produced and after randomization and after randomi
Exclusion Criteria	age <18 y, pregnancy, pregnancy, predeostomy, do-not-intubate status, and planned use of NIV after extubation	Obstructive sleep apnea, apnea, tracheostomy, do-not-intubate status, delirium, nausea and vomiting, bradypnea, impaired impaired consciousness, hemodynamic instability	Paco- SA5 mm Hg, SA5 mm Hg, SA6 mm Hg, Cabhan or Cabha Cachan swere pulmonary edema, swere neuropenia, hemodynamic instability, use of vasopressors, GCS SI2 CONTAININGUIS,
Inclusion Criteria	Patients who successfully passed an SBT and had a 200 Flo2 ≤300 at the end of SBT end of	Patients who had undergone cardiothoracic surgery and met any of the following criteria: failure of SBT, in patients with in patients with risk factors for postexubation ARF, successful SBT followed by failed extubation	Patients > 18 y and the following criteria: 18.05   50
Control	Venturi mask	NIV delivered by full face mask for ≥4 h/d	Standard group group (13 ± 5 L/min) and NIV
Main Intervention	HENC	HFNC (optiflow): flow 50 L/min and Flo <sub>2</sub> = 50%	HFNC (48 ± 11 L/min)
Study Population	Subjects who processfully passed a spontaneous spontaneous a spontaneous had a PaO/fro, ≤ 300 at the end of SBT	Subjects who had undergone undergone cardiothoracic surgety who developed ARF or were deemed at risk for respiratory failure after extubation	Subjects with acute physomein respiratory failure in ICU
Sex, % male‡	8	99	8
Age†	64 ± 17.5	64 ± 1.9	60 + 16.6
*N	105	830	310
Study Type	Randomized, multi-center, controlled, open-label trial	RCT	RCT
Year	2014	2015	2015
Study	Maggiore et al <sup>17</sup>	Stéphan et al <sup>11</sup>	Frat et al <sup>12</sup>

Table 1. Continued

Study	Year	Study Type	N*		Age† Sex	Sex, % male‡	Study Population	Main Intervention	Control	Inclusion Criteria	Exclusion Criteria	Main Outcome	Secondary Outcome(s)	Main Results
Corley et al <sup>18</sup>	2015	RCT	155		64 ± 11.3	74 PC	Post-cardiac surgery subjects with BMI ≥ 30 kg/m² following extubation	HFNC to a maximum of 50 L/min	Standard oxygen oxygen oxygen 2-4 L/min via masal camula or camula or camula or mask simple face mask	with a BMI >30 with a BMI >30 kg/m² and scheduled to undergo cardiac surgery on cardiopulmonary bypass	Ventilation time >36 h, sventilation onto NIV tracheostomy, and extubation as part of end-of-life treatment	Degree of chest cardiograph by radiograph by radiograph andectasis alelectasis and day 5 postoperatively	Oxygenation, dyspnea, breathing frequency, faiture of allocated treatment, and ICU length of stay	No difference in atelectasis score in day 1 and in day 5 between groups, no significant difference between groups in Pa <sub>0</sub> 2/F <sub>0</sub> between groups in the first 224 h postment of the page 125, and standard oxygen 253, mean difference 25.4 (95% CI – 2.5 to 52); P = .08). No difference between groups in the pressure groups for failure of allocated therapy (OR 0.53, 95% CI O.11–2.24, P = .40), by logistic pegression model.
Lemiale et al <sup>19</sup>	2015	RCT	100	0 61 ± 23		70 In	Immunocompromised 1 subjects admitted to ICU for ARF	HFNC at initial flow in 40–50 L/min with an Fig. of 100%, adjusted as needed to maintain S <sub>PO2</sub> of ≥95% of ≥95%	Venturi mask at 15 L/min (F <sub>102</sub> 60%)	with half was partial to the state of the st	Hypercapnia (A45 mm Hg), mechanical wentilation before ICU admission, need for immediate NIV or mechanical ventilation, petient refusal to participate in the study	Need for mechanical wentilation or NIV during or at the end of the 2-h study period	Visual analogue scale scores for comfort, thirst, and dyspnea; breathing frequency, heart rate	No significant difference between groups in need for mechanical ventilation or NIV (15% with FVC and 8% with HFVC and 8% with Venturi mask, $P = .36$ ) at 120 min. There was also no difference between groups in visual analogue scale score for discomfort ( $P = .80$ ), dyspnea ( $P = .87$ ), or thirst ( $P = .87$ ), or thirst ( $P = .40$ ).
J. M.														
* Number of patients. † Mean + SD	panents.													
HFNP = high-flow nasal prong	-flow nas	al prong												
HFFM = high-flow face mask	-flow fac	e mask												
BP = blood pressure	ressure													
HFNC = high-flow nasal cannula	-flow nas	sal cannula												
NIV = noninv	asive me	NIV = noninvasive mechanical ventilation	ition											
RCT = randomized controlled trial	mized con	ntrolled trial												
FBC = fibrobronchoscopy	ronchosc	ydc												
NRS = acute tespitatory tailin	ical ratin	g scale												
SBT = spontaneous breathing trial	neous bre	g scarc sathing trial												
BPAP = bi-lev	vel positi	BPAP = bi-level positive airway pressure	ure											
GCS = Glasgow coma scale	ow coma	scale												
BMI = body mass index	mass inde	X;												
OR = odds ratio	tio													

Table 2. Cochrane Risk of Bias Tool

Study	Sequence Generation	Allocation Concealment	Blinding of Participants and Personnel	Blinding of Outcome Assessment	Incomplete Outcome Data	Selective Reporting	Intention-to-Treat Analysis
Tiruvoipati et al (2010)14	Uncertain	Yes	No	No	Uncertain	Yes	No
Parke et al (2011) <sup>15</sup>	Yes	Yes	Uncertain	Uncertain	Uncertan	Yes	Yes
Simon et al (2014) <sup>9</sup>	Yes	Yes	No	No	Uncertain	Yes	No
Schwabbauer et al (2014) <sup>16</sup>	No	No	No	No	Uncertain	No	Uncertain
Maggiore et al (2014) <sup>17</sup>	Yes	Yes	No	No	Yes	Yes	Yes
Stéphan et al (2015)11	Yes	Yes	No	No	Uncertain	Yes	Yes
Frat et al (2015)12	Yes	Yes	No	No	Yes	Yes	Yes
Corley et al (2015)18	Yes	Yes	No	No	No	Yes	Yes
Lemiale et al (2015)19	Yes	Yes	No	No	No	Yes	Yes

	HFN	1C	NIV	/		Odds Ratio	Odds Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% (	CI M-H, Random, 95% CI	
Frat et al12	40	106	55	110	34.7%	0.61 (0.35-1.04)	-	
Simon et al <sup>9</sup>	1	20	0	20	1.3%	3.15 (0.12-82.16)	-	
Stéphan et al11	87	414	91	416	64.0%	0.95 (0.68-1.32)	-	
Total (95% CI)		540		546	100%	0.83 (0.57-1.20)	•	
Total events	128		146					
Heterogeneity: Tau2 =	0.03; Ch	$ni^2 = 2.5$	55; df = 2	(P = .2)	8); $I^2 = 22\%$			
Test for overall effect:	Z = 1.01	(P = .3)	31)			0.01	0.1 1 10	100
		•					Favors HFNC Favors	NIV

Fig. 2. Invasive mechanical ventilation: high-flow nasal cannula (HFNC) versus noninvasive ventilation (NIV).

	HFN	С	Standa	ard O <sub>2</sub>		Odds Ratio	Odd	s Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Rando	om, 95% CI	
Corley et al16	0	81	1	74	5.4%	0.30 (0.01–7.49) —	•		
Frat et al12	40	106	44	94	43.1%	0.69 (0.39-1.21)	-	•	
Lemiale et al19	4	52	2	48	14.9%	1.92 (0.33-10.97)		+-	
Maggiore et al14	2	53	11	52	17.4%	0.15 (0.03-0.70)	-	e	
Parke et al <sup>17</sup>	3	29	8	27	19.2%	0.27 (0.06–1.17)	-	+	
Total (95% CI)		321		295	100%	0.49 (0.22-1.08)			
Total events	49		66			<u> </u>			
Heterogeneity: Tau <sup>2</sup> =	= 0.29; Ch	$i^2 = 6.3$	37; df = 4	(P = .1)	7); $I^2 = 37\%$	0.01	0.1	1 10	100
Test for overall effect	: Z = 1.77	(P = .0)	08)			Fa	avors HFNC	Favors Standar	$rd O_2$

Fig. 3. Invasive mechanical ventilation: high-flow nasal cannula (HFNC) versus standard O2 therapy.

	HFN	1C	NIV	/		Odds Ratio	Od	lds Ratio		
Study or Subgroup	<b>Events</b>	Total	<b>Events</b>	Total	Weight	M-H, Random, 95%	CI M-H, Rand	dom, 95% C	1	
Frat et al12	12	106	27	110	47.8%	0.39 (0.19–0.82)	_			
Stéphan et al11	28	414	23	416	52.2%	1.24 (0.70-2.19)		-		
Total (95% CI)		520		526	100%	0.72 (0.23-2.21)				
Total events	40		50							
Heterogeneity: Tau2 =	0.55; Ch	$ni^2 = 5.8$	32; df = 1	(P = .0)	2); $I^2 = 83\%$	2 24	0'4	<del> </del>	10	100
Test for overall effect:	Z = 0.58	(P = .5)	56)		,	0.01	0.1	1 _	10	100
			,				Favors HFNC	Favo	rs NIV	

Fig. 4. ICU mortality: high-flow nasal cannula (HFNC) versus noninvasive ventilation (NIV).

 $(101 \pm 34 \text{ mm Hg vs } 129 \pm 38 \text{ mm Hg}, P < .01)^{16}$  and in terms of  $P_{aO_2}/F_{IO_2}$  1 h and 6–12 h post-intervention in surgical subjects.<sup>11</sup> HFNC was associated with an increased  $P_{aO_2}/F_{IO_2}$  ratio compared with an air-entrainment mask in 2 studies:  $101 \pm 34 \text{ mm Hg versus}$ 

 $85 \pm 21$  mm Hg (P < .001)<sup>16</sup> (30 min post-intervention) and at 24–48 h post-intervention but not in the first 24-h period in another study.<sup>17</sup> In obese post-cardiac surgery subjects following extubation, there was no difference between HFNC and standard oxygen therapy in

	HFN	1C	Sta	andard	$O_2$	Odds Ratio	Odds Ratio
Study or Subgroup	<b>Events</b>	Total	<b>Events</b>	Total	Weight	M-H, Random, 95% C	CI M-H, Random, 95% CI
Frat et al12	12	106	18	94	69.2%	0.54 (0.24-1.19)	
Maggiore et al14	6	53	5	52	30.8%	1.20 (0.34–4.20)	
Total (95% CI)		159		146	100%	0.69 (0.33-1.42)	•
Total events	18		23				
Heterogeneity: Tau <sup>2</sup> =				(P = .2)	9); I <sup>2</sup> = 112%	0	0.01 0.1 1 10 100
Test for overall effect:	Z = 1.01	(P =	31)				Favors HFNC Favors Standard O <sub>2</sub>

Fig. 5. ICU mortality: high-flow nasal cannula (HFNC) versus standard O<sub>2</sub> therapy.

 $P_{aO_2}/F_{IO_2}$  in the first 24 h.<sup>18</sup> There was no evidence of publication bias in the 4 meta-analyses performed, without asymmetry in the top or in the bottom of the funnel plot, based on visual inspection.

#### Discussion

This systematic review and meta-analysis suggested that there was no difference in mortality or the need for invasive mechanical ventilation when HFNC is compared with NIV; the same conclusions can be reached when compared with standard oxygen therapy. These outcomes were compared in a highly heterogeneous population, in clinical, 12,15,19 surgical, 11,17,18 and periprocedure subjects,9 in acute respiratory failure or in a postextubation context.17,18 It is important to highlight that the main exclusion criteria in most studies were COPD and hypercapnia, conditions in which NIV is a wellestablished indication that has an impact on mortality rate.21 In other settings, when NIV use is open to debate, as in postextubation patients,21 HFNC should be a useful alternative that has been associated in many studies with increased patient comfort and reductions in dyspnea scores.14,16,17 This outcome, however, due to heterogeneous measurement between studies and different forms of "patient comfort" characterization, an issue with several assessment tools without a validated approach in critically ill patients,<sup>22</sup> limits our ability to reach a definitive conclusion about this point. Assessing dyspnea by a patient report instrument, such as the modified Borg scale, can be an important proposal for future studies to evaluate this outcome, and new studies will be necessary to determine whether this outcome will be an important factor in choosing an oxygen therapy interface, because mask intolerance and discomfort still represent a major cause of NIV failure.23

Another important study limitation is that different measurements were used for oxygenation improvement in the studies, which prohibits a definitive measurement of the magnitude of the intervention. This remains an open debate, despite apparent superior results associated with HFNC use when compared with standard oxygen therapy

and inferior results when compared with NIV as presented in this paper. These results should be interpreted with great caution because quite different clinical scenarios existed when HFNC was tested; different times of administration of therapy and, consequently, different times for outcome evaluation were used. The analyzed studies included outcome measurements as early as 2 h and as late as 2 calendar days.<sup>12</sup>

Inspired gases in HFNC are warmed and humidified, improving comfort and possibly reducing airway inflammation,  $^{24}$  leading to improved drainage of respiratory secretions.  $^{17}$  Additionally, the high flows match the high spontaneous inspiratory flows generated by patients with dyspnea, reducing entrainment of room air and permitting delivery of more reliable  $F_{\rm IO_2}$ .  $^{25}$  A reduction in tachypnea also should occur by flushing out anatomical dead space in the upper airway by high oxygen flux.  $^{26}$ 

Despite several physiological advantages of HFNC, such as constant  $F_{IO_2}$  during peak inspiratory flow, improvements in oxygenation, washout of the nasopharyngeal dead space, reducing the work of breathing, <sup>19</sup> generation of flow-dependent PEEP, and an increase in end-expiratory lung volume, <sup>27</sup> its use is not free of limitations, such as those that have been established in postextubation postoperative cardiac surgery patients with body mass index  $\geq$ 30 kg/m², in whom HFNC did not improve atelectasis, when a low level of PEEP (no more than 3–4 cm  $H_2O$ ) provided by HFNC should not be sufficient.<sup>2,18</sup> It should be noted that prolonged HFNC use ( $\geq$ 48 h) is associated with sequential failure and delayed intubation and may increase ICU mortality.<sup>28</sup>

Acute respiratory failure is not a unique physiopathologic model, and HFNC is not appropriate in all cases. In a patient with hypoxemia alone, oxygen therapy is often sufficient to correct the condition. In contrast, although HFNC may normalize oxygen saturation, it may not be sufficient to correct the underlying disturbance when there is a ventilation-to-perfusion ratio mismatch or in the context of alveolar hypoventilation, when a reduction in the work of breathing is necessary with PEEP and inspiratory pressure support.

New perspectives for HFNC trials are open, and more studies will be needed to determine whether the early ap-

plication of HFNC avoids ICU admission in patients presenting to the emergency department with acute respiratory failure<sup>3</sup> and in severe acute respiratory infection, situations in which HFNC therapy appears to be an effective modality for early treatment in patients who were unable to maintain adequate pulse oximetry with conventional oxygen therapy.<sup>29</sup> In acute heart failure, important results in a pilot study<sup>30</sup> identified a promising research agenda, especially concerning the degree of discomfort and intolerance associated with NIV that could be related to treatment failure.

#### **Conclusions**

In critical illness acute respiratory failure or in subjects at risk for it, HFNC did not demonstrate inferior results compared with conventional oxygen therapy or NIV in terms of ICU mortality and invasive mechanical ventilation rate. The data on oxygenation improvement suggest that HFNC could be superior to standard oxygen therapy but inferior to NIV, but with current knowledge, this is still an open question. Patient comfort and reduction in dyspnea scores will require further investigation because these are concerns in the consideration of HFNC as a promising therapy.

## REFERENCES

- Nishimura M. High-flow nasal cannula oxygen therapy in adults. J Intensive Care 2015;3(1):15.
- Futier E, Jaber S. High-flow nasal cannula following extubation: is more oxygen flow useful after surgery? Intensive Care Med 2015; 41(7):1310-1313.
- Gotera C, Díaz Lobato S, Pinto T, Winck JC. Clinical evidence on high flow oxygen therapy and active humidification in adults. Rev Port Pneumol 2013;19(5):217-227.
- Mayfield S, Jauncey-Cooke J, Hough JL, Schibler A, Gibbons K, Bogossian F. High-flow nasal cannula therapy for respiratory support in children. Cochrane Database Syst Rev 2014;(3):CD009850.
- Dani C, Pratesi S, Migliori C, Bertini G. High flow nasal cannula therapy as respiratory support in the preterm infant. Pediatr Pulmonol 2009;44(7):629-634.
- Sztrymf B, Messika J, Bertrand F, Hurel D, Leon R, Dreyfuss D, Ricard JD. Beneficial effects of humidified high flow nasal oxygen in critical care patients: a prospective pilot study. Intensive Care Med 2011;37(11):1780-1786.
- Cuquemelle E, Pham T, Papon JF, Louis B, Danin PE, Brochard L. Heated and humidified high-flow oxygen therapy reduces discomfort during hypoxemic respiratory failure. Respir Care 2012;57(10):1571-1577.
- Messika J, Ben Ahmed K, Gaudry S, Miguel-Montanes R, Rafat C, Sztrymf B, et al. Use of high-flow nasal cannula oxygen therapy in subjects with ARDS: a 1-year observational study. Respir Care 2015; 60(2):162-169.
- Simon M, Braune S, Frings D, Wiontzek AK, Klose H, Kluge S. High-flow nasal cannula oxygen versus non-invasive ventilation in patients with acute hypoxaemic respiratory failure undergoing flexible bronchoscopy: a prospective randomised trial. Crit Care 2014; 18(6):712.

- Miguel-Montanes R, Hajage D, Messika J, Bertrand F, Gaudry S, Rafat C, et al. Use of high-flow nasal cannula oxygen therapy to prevent desaturation during tracheal intubation of intensive care patients with mild-to-moderate hypoxemia. Crit Care Med 2015;43(3): 574-583.
- Stéphan F, Barrucand B, Petit P, Rézaiguia-Delclaux S, Médard A, Delannoy B, et al. High-flow nasal oxygen vs noninvasive positive airway pressure in hypoxemic patients after cardiothoracic surgery. JAMA 2015;313(23):2331-2339.
- Frat JP, Thille AW, Mercat A, Girault C, Ragot S, Perbet S, et al. High-flow oxygen through nasal cannula in acute hypoxemic respiratory failure for the fLORALI Study Group and the REVA Network. N Engl J Med 2015;372(23):2185-2196.
- Liberati A, Altman DG, Tetzlaff J, Mulrow C, Gøtzsche PC, Ioannidis JPA, et al. The PRISMA statement for reporting systematic reviews and meta-analyses of studies that evaluate health care interventions: explanation and elaboration. J Clin Epidemiol 2009;62(10): e1–e34.
- Tiruvoipati R, Lewis D, Haji K, Botha J. High-flow nasal oxygen vs high-flow face mask: a randomized crossover trial in extubated patients. J Crit Care 2010;25(3):463-468.
- Parke RL, McGuinness SP, Eccleston ML. A preliminary randomized controlled trial to assess effectiveness of nasal high-flow oxygen in intensive care patients. Respir Care 2011;56(3):265-270.
- 16. Schwabbauer N, Berg B, Blumenstock G, Haap M, Hetzel J, Riessen R. Nasal high-flow oxygen therapy in patients with hypoxic respiratory failure: effect on functional and subjective respiratory parameters compared to conventional oxygen therapy and non-invasive ventilation (NIV). BMC Anesthesiol. 2014;14:66.
- 17. Maggiore SM, Idone FA, Vaschetto R, Festa R, Cataldo A, Antonicelli F, et al. Nasal high-flow vs Venturi mask oxygen therapy after extubation: effects on oxygenation, comfort and clinical outcome. Am J Respir Crit Care Med 2014;190(3):282-288.
- 18. Corley A, Bull T, Spooner AJ, Barnett AG, Fraser JF. Direct extubation onto high-flow nasal cannulae post-cardiac surgery versus standard treatment in patients with a BMI ≥30: a randomised controlled trial. Intensive Care Med 2015;41(5):887-894.
- Lemiale V, Mokart D, Mayaux J, Lambert J, Rabbat A, Demoule A, et al. The effects of a 2-h trial of high-flow oxygen by nasal cannula versus Venturi mask in immunocompromised patients with hypoxemic acute respiratory failure: a multicenter randomized trial. Crit Care 2015;19:380.
- Parke R, McGuinness S, Eccleston M. Nasal high-flow therapy delivers low level positive airway pressure. Br J Anaesth 2009;103(6): 886-890
- Cabrini L, Landoni G, Oriani A, Plumari VP, Nobile L, Greco M, et al. Noninvasive ventilation and survival in acute care settings. Crit Care Med 2015;43(4):880-888.
- Chanques G, Nelson J, Puntillo K. Five patient symptoms that you should evaluate every day. Intensive Care Med 2015;41(7):1347-1350.
- Huang Z, Chen YS, Yang ZL, Liu JY. Dexmedetomidine versus midazolam for the sedation of patients with non-invasive ventilation failure. Intern Med 2012;51(17):2299-2305.
- Roca O, Riera J, Torres F, Masclans JR. High-flow oxygen therapy in acute respiratory failure. Respir Care 2010;55(4):408-413.
- Spoletini G, Alotaibi M, Blasi F, Hill NS. Heated humidified highflow nasal oxygen in adults: mechanisms of action and clinical implications. Chest 2015;148(1):253-261.
- Kumar H, Spence CJT, Tawhai MH. Modeling the pharyngeal pressure during adult nasal high flow therapy. Respir Physiol Neurobiol 2015;219:51-57.

- Corley A, Caruana LR, Barnett AG, Tronstad O, Fraser JF. Oxygen delivery through high-flow nasal cannulae increase end-expiratory lung volume and reduce respiratory rate in post-cardiac surgical patients. Br J Anaesth 2011;107(6):998-1004.
- Kang BJ, Koh Y, Lim CM, Huh JW, Baek S, Han M, et al. Failure of high-flow nasal cannula therapy may delay intubation and increase mortality. Intensive Care Med 2015;41(4):623-632.
- Rello J, Pérez M, Roca O, Poulakou G, Souto J, Laborda C, et al. High-flow nasal therapy in adults with severe acute respiratory infection. J Crit Care 2012;27(5):434-439.
- 30. Roca O, Pérez-Terán P, Masclans JR, Pérez L, Galve E, Evangelista A, Rello J. Patients with New York Heart Association class III heart failure may benefit with high flow nasal cannula supportive therapy: high flow nasal cannula in heart failure. J Crit Care 2013;28(5):741-746.