

Immediate Effects and Safety of High-Frequency Chest Wall Compression Compared to Airway Clearance Techniques in Non-Hospitalized Infants With Acute Viral Bronchiolitis

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BACKGROUND: No studies have investigated the use and safety of high-frequency chest wall compression (HFCWC) for non-hospitalized infants with acute viral bronchiolitis (AVB). The aim of the present study was to evaluate the immediate effects and safety of HFCWC as compared to airway clearance techniques in children with AVB. **METHODS:** In this randomized clinical trial in non-hospitalized infants (0–12 months old) with mild to moderate AVB, children were randomized into 2 groups: airway clearance techniques (20 min of prolonged slow expiration and provoked cough) or HFCWC (15 min). A single session was performed and children were evaluated at baseline and at 10 min and 20 min after treatments. Outcomes measures were the Wang severity score, S_{pO_2} , sputum wet-weight, and the presence of adverse events. **RESULTS:** A total of 91 infant subjects, mean age 7.9 ± 2.6 months, were included. Significant ($P = .004$) between-group differences were found in the Wang score, which was 0.28 points lower in the airway clearance techniques group. There was a greater increase of infants classified as normal and a greater decrease of those classified as mild according to the Wang score when airway clearance techniques were used compared to the use of HFCWC ($P = .009$). The sputum wet-weight was lower in subjects treated with the airway clearance techniques ($P < .001$). Although S_{pO_2} improved in both groups, no differences were found between them. There was also no difference for adverse events, and the majority of children did not present any adverse events after 20 min. **CONCLUSIONS:** The use of HFCWC induced similar clinical effects as airway clearance techniques and was safe for non-hospitalized infants with AVB. Both techniques reduced respiratory symptoms and acutely improved S_{pO_2} . (ClinicalTrials.gov: NCT03835936.) *Key words:* acute viral bronchiolitis; high-frequency chest wall oscillation; prolonged slow expiration; safety. [Respir Care 2021;66(3):425–433. © 2021 Daedalus Enterprises]

Introduction

Acute viral bronchiolitis (AVB) is the most common respiratory disease in children under 1 y of age, with

respiratory syncytial virus being the main infectious agent, accounting for approximately 80% of cases.¹ AVB is usually a mild to moderate disease, characterized by acute inflammation, edema, increased mucus production, and bronchospasm, as well as symptoms such as cough,

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rhinorrhea, and difficulty of breathing.² It is estimated that approximately one third of children develop the disease during the first year of life, although only 2–3% will require hospitalization.³

The virus produces necrosis and edema of the bronchial epithelium, which causes destruction of ciliated cells; this increases cellular debris and mucus production, which can lead to hyperinflation and atelectasis.^{4,5} Considering that airway clearance techniques allow for the elimination of bronchial secretions, thereby decreasing airway resistance, improving gas exchange, and reducing respiratory load, its use has been long investigated for infants with AVB.⁶ However, there is still conflicting evidence to support its routine use in hospitalized children.^{3,7-9} In addition, very little is known about its effects in non-hospitalized children with AVB.

Techniques based on passive expiration, such as prolonged slow expiration, appear to be more effective than conventional techniques.^{9,10} Preliminary results with prolonged slow expiration, which is a slow, passive, and progressive expiration from functional residual capacity to expiratory reserve volume, suggest that this technique improves clinical symptoms of moderately affected children with AVB.¹¹ High-frequency chest wall compression (HFCWC) consists of an inflatable vest device with tubes connected to a remote air pressure generator.¹² This treatment improves airway secretion clearance in individuals with COPD,^{13,14} neuromuscular diseases,¹⁵⁻¹⁷ and asthma and COPD exacerbation,¹⁸⁻²⁰ and in tracheostomized individuals¹² and patients with acute respiratory failure.²¹ However, to date, there is no study investigating its use for infants with AVB. Evidence from cystic fibrosis studies indicate that the use of HFCWC increases the amount of mucus cleared from the airways.²²⁻²⁵ In addition, one *in vitro* study reported that the oscillated flow may change the physical properties of the mucus.²⁶

Considering that the effects and safety of chest physiotherapy has been poorly investigated in non-hospitalized children with AVB and that no studies have evaluated the immediate effects of HFCWC, we sought to evaluate the immediate effects and safety of HFCWC as compared to airway clearance techniques in non-hospitalized infants under 12 months of age with mild to moderate AVB. We hypothesized that HFCWC would present similar effects and safety of previously studied airway clearance techniques and, consequently, could be an alternative for treatment in out-patient settings.

QUICK LOOK

Current knowledge

Acute viral bronchiolitis is a disease with high health costs and morbidity. High-frequency chest wall compression has been used for infants with several chronic respiratory conditions, although its effects and safety for non-hospitalized infants with acute viral bronchiolitis has not been tested.

What this paper contributes to our knowledge

The use of high-frequency chest wall compression was shown to be safe for use in non-hospitalized infants with acute viral bronchiolitis. In addition, it induced similar acute clinical effects when compared to the use of prolonged slow expiration, including a reduction in respiratory symptoms of bronchial obstruction and improvement of S_{pO_2} .

Methods

Design

This randomized controlled clinical trial with 2 parallel groups was performed in an out-patient setting. The study followed the Consolidated Standards of Reporting Trials guidelines.²⁷ All parents or legal guardians signed an informed consent form before recruitment. The study was approved by the University Research Ethics Committee (approval number 31/2018). All procedures were conducted in compliance with the amended Declaration of Helsinki.

Subjects

A convenience sample of 91 children was recruited between March and May 2019. The following inclusion criteria were used: age 2–12 months, time from diagnosis to inclusion in the study < 48 h, AVB classified as mild to moderate with a Wang clinical severity score ≤ 8 , and not having received airway clearance techniques previously since their diagnosis. The exclusion criteria used were: severe AVB with a Wang clinical score > 9; associated cardiac, neurological, or traumatic disease; previous hospitalization for wheezing; and medical diagnosis of recurrent wheezing.

Intervention

The children were randomized into 2 groups: airway clearance techniques (ie, prolonged slow expiration and provoked cough) and HFCWC (SmartVest, Electromed, New Prague, Minnesota). In both groups, infants began the

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study after 2 h of fasting and without exceeding 2 h since their last bronchodilator inhalation. An independent investigator allocated subjects to the airway clearance techniques or HFCWC group in a concealed manner, using sealed opaque envelopes. In each envelope, the number of assignment to either of the 2 groups was included; these numbers were generated by simple random sequences using R 5.3.1. (R Foundation for Statistical Computing, Vienna, Austria). In both groups, all children received 1 inhalation with 4 mL hypertonic saline (NaCl 3%), nebulized at a flow of 8 L/min over 10 min (Phillips, Murrysville, Pennsylvania).

The protocol for airway clearance techniques consisted of a standard 20-min session using the prolonged slow expiration technique.²⁸ After its application, coughing was manually triggered by applying a tracheal pressure at the end of the inspiratory phase. Regarding the HFCWC group, the SmartVest system consisting of an inflatable HFCWC connected by a tube to a remote air pressure generator was used. The HFCWC was used for 15 min at a frequency of 12 Hz and at a pressure setting of 2–4 cm H₂O, according to the subject's comfort. Each subject was fitted with an appropriately sized, full-torso, inflatable, disposable HFCWC connected to the air pulse. All techniques used in both groups were performed by experienced and trained physiotherapists.

Outcome Measurements

A medical evaluator, blinded to the group allocation, was responsible for all evaluations in both groups, including the classification of infants according to the initial (baseline) clinical severity score (Wang),²⁹ as well as measuring the S_{pO₂} using a pulse oximeter (Radical Touchscreen, Masimo, Irvine, California), heart rate, and breathing frequency. These measurements were performed at baseline and at 10 min and 20 min after each treatment was applied.

The primary end point was the Wang clinical severity score, which is a quantitative accumulative scale designed to evaluate clinical severity in infants with AVB. The scoring system is composed of 4 items: breathing frequency, wheezing, chest retraction, and general condition. Each item is scored as mild (1–3), moderate (4–8), or severe (> 8). The maximum score is 12, and 0 is the minimum score, indicating normality; thus, a higher score indicates a worse condition.²⁹ The different variables used within the Wang score have a good level of inter-observer concordance among doctors, nurses, and physiotherapists (kappa = 0.72, 95% CI 0.66–0.78),³⁰ as well as an excellent level of intra-observer agreement (intraclass correlation coefficient = 0.99) with moderate validity (r = 0.44),³¹ its use is recommended in research to assess the severity of AVB in infants. The secondary outcome was the wet-weight of the sputum expectorated during the treatment session. Total

secretions expectorated during the intervention were collected, wet-weighted on digital scales (Model BA 2105, Sartorius Fine, Göttingen, Germany) and quantified by an unblinded assessor. Adverse events, including the presence of petechiae, tachycardia, and vomiting, were monitored during both treatments and recorded at baseline and at 10 min and 20 min.

Sample Size

Due to the absence of similar previous studies, the final Wang score of the first 20 children included in the study was used to calculate the required sample size. The estimation indicated that 45 children per group were necessary, accepting a risk of a type-1 error of $\alpha = 0.05$ and a power of 90%.

Statistical Analysis

Statistical analysis was performed using R 5.3.1. The level of significance was set at $P < .05$. The Shapiro-Wilk test was used to determine the non-normal distribution of quantitative variables. Qualitative variables are described as absolute values and relative frequencies, and quantitative variables are described as medians and interquartile ranges or means and standard deviations. Quantitative outcome variables were analyzed using a robust mixed analysis of variance (ANOVA) with trimmed means, with one factor within subjects (repeated measurements) and one factor between subjects (groups). The Mann-Whitney *U* test with Bonferroni correction was applied as a post hoc test and to analyze the final mucus volume. Qualitative outcome variables were analyzed with the Cochran-Mantel-Haenszel test using the Pearson chi-square with Bonferroni correction as a post hoc test. The effect size for the quantitative variables was defined with the partial η^2 as 0.01–0.06 (small), 0.06–0.14 (moderate), and > 0.14 (large) and with the *r* statistic for final mucus volume defined as 0.1–0.4 (small), 0.4–0.6 (moderate), and > 0.6 (large). For the categorical variables, effect sizes were determined using Cramer *V*, where 0.1–0.3, 0.3–0.5, and > 0.5 indicated small, moderate, and large effect sizes, respectively.

Results

In total, 785 children were assessed for selection, and 694 were excluded. The reasons for exclusion and the study flow chart are presented in Figure 1. The final sample consisted of 91 children randomized to airway clearance techniques ($n = 44$) and HFCWC ($n = 47$). The baseline characteristics of the subjects are shown in Table 1. The mean age of the infants was 7.9 ± 2.6 months. No significant baseline differences between the 2 groups were found.

HIGH-FREQUENCY CHEST WALL COMPRESSION IN BRONCHIOLITIS

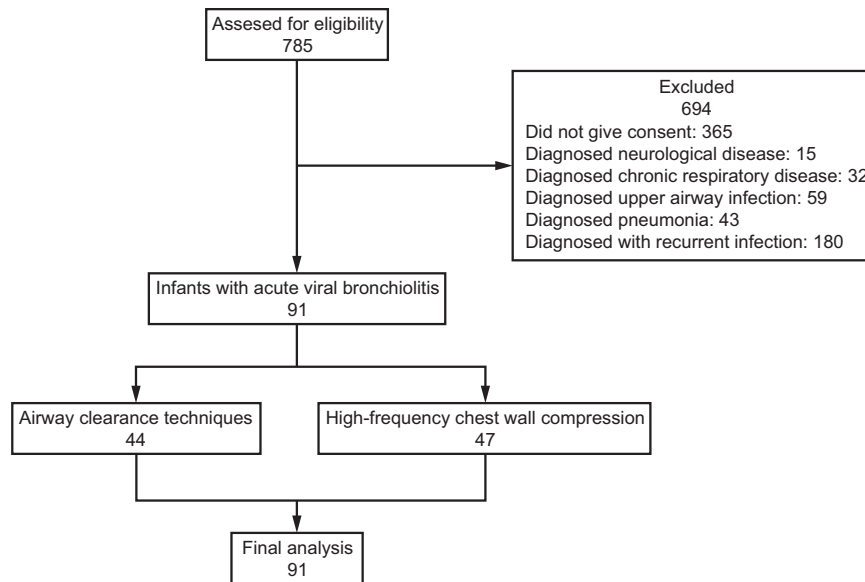


Fig. 1. Flow chart.

Table 1. Baseline Subject Characteristics

	Airway Clearance Techniques (n = 44)	HFCWC (n = 47)	P
Age, months	7.53 ± 2.68	8.18 ± 2.43	.21
Female	17 (38.6)	15 (31.9)	.65
Weight, kg	8.08 ± 1.53	8.21 ± 1.21	.43
Height, cm	68.90 ± 7.79	69.70 ± 6.56	.79
S _{pO₂} , %	95.30 ± 2.31	95.43 ± 2.04	.77
Frequency, breaths/min	48.59 ± 8.01	45.53 ± 6.57	.05
Use of medications			
No	11 (25.0)	8 (17.0)	.49
Bronchodilator (salbutamol)	3 (6.8)	2 (4.3)	.94
Presence of skin atopy	3 (6.8)	6 (12.8)	.55
Gestational age			
Pre-term	5 (11.4)	7 (14.9)	.85
Term	39 (88.6)	40 (85.1)	
Gastroesophageal reflux	7 (15.9)	7 (14.9)	> .99

Data are expressed as mean ± SD or n (%). HFCWC = high-frequency chest wall compression.

The mixed ANOVA test showed significant differences in the group × time interaction of the Wang score ($F(2,46.811) = 6.09, P = .004$), with a difference between groups of 0.149 (95% CI 0.042–0.383) points lower in the airway clearance techniques compared to the HFCWC group (0.14 ± 0.46 vs 0.28 ± 0.54 , respectively), although with a small effect size ($\eta^2 = 0.007$, 95% CI 0–0.07). There were also significant differences in the group × time interaction for breathing frequency ($F(2,46.938) = 6.584, P = .003$), with a difference of

0.277 breaths/minute (95% CI 0.468–1.34) when comparing both groups (27.89 ± 1.93 vs 28.11 ± 2.40) and a small effect size ($\eta^2 = 0.007$, 95% CI 0.003–0.11), and for heart rate ($F(2,46.571) = 5.943, P = .005$), which was lower in the airway clearance techniques group than in the HFCWC group (111.00 ± 13.02 vs 116.60 ± 20.03) with a difference of 5.87 beats/min (95% CI 0.723–13.2) and a small effect size ($\eta^2 = 0.006$, 95% CI 0.012–0.11). No significant differences ($P = .32$) between groups were found for S_{pO₂} (Fig. 2).

The Mann-Whitney U test also showed a significant between-group difference in the final mucus volume ($Z = -3.884, P < .001$), which was lower in the airway clearance techniques group than in the HFCWC group (median [interquartile range]: 19 [10–24.5] mL vs 30 [20–50] mL), with a between-group difference of 16.8 mL (95% CI 7.52–26.24) and a moderate effect size ($r = 0.407$, 95% CI 0.239–0.572) (Fig. 3). The Cochran-Mantel-Haenszel test revealed significant associations between the levels of the Wang score across groups and times of measurements ($X^2(2) = 9.534, P = .009$), with a higher reduction in the number of infants with a mild level in the airway clearance techniques compared to the HFCWC group (54.5% to 9.1% vs 61.7% to 23.4%) and a higher increase in infants with a normal level (0% to 90.9% vs 0% to 76.6%), presenting a medium effect size (Cramer V = 0.193, 95% CI 0–1) (Fig. 4).

Regarding the presence of adverse effects, the Cochran-Mantel-Haenszel test showed no significant differences between groups ($X^2(3) = 4.565, P = .207$). In the majority of infants (airway clearance techniques group: 95.5%; HFCWC group: 83.0%), no adverse events were present after 20 min. Taken together, the results indicate that adverse

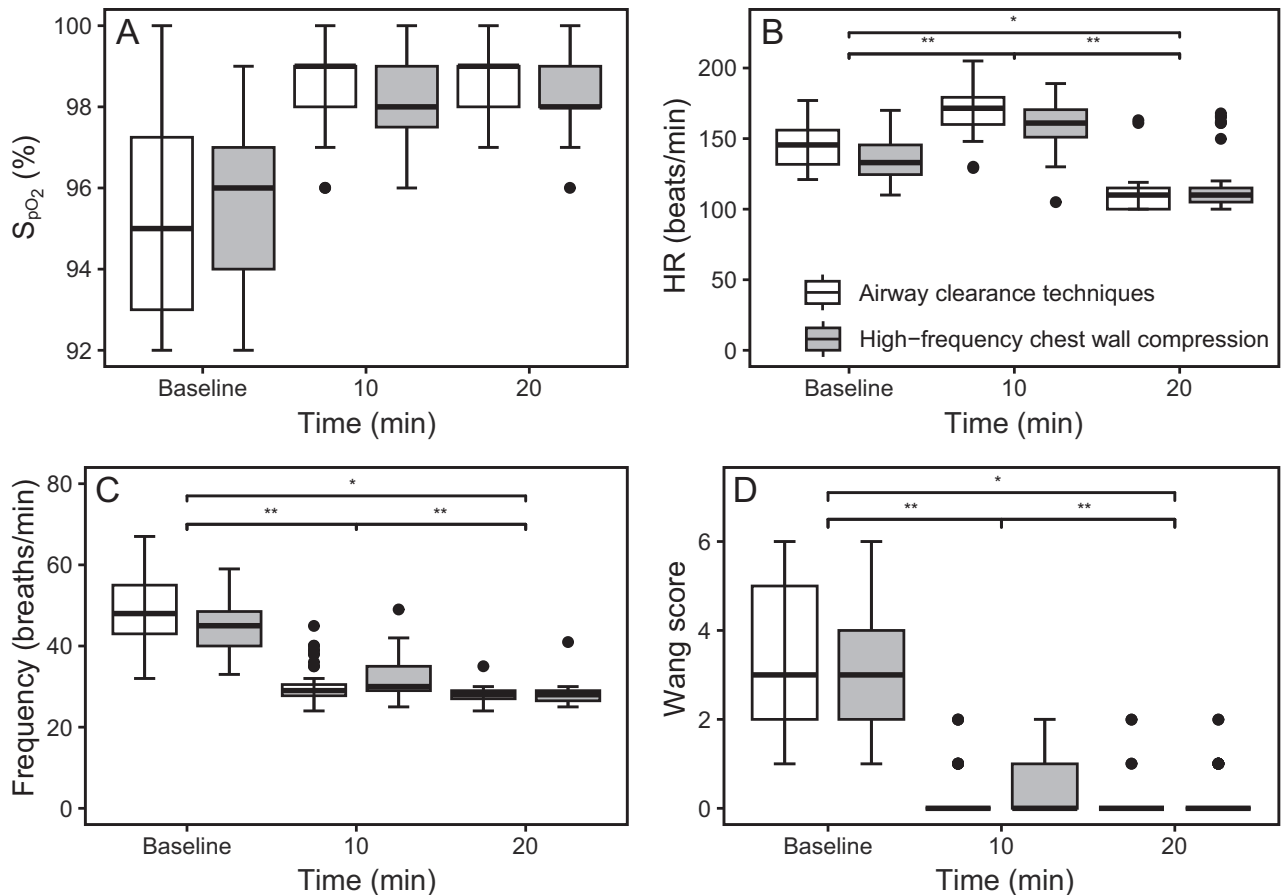


Fig. 2. Immediate effects of high-frequency chest wall compression and airway clearance techniques on (A) S_{pO_2} , (B) heart rate, (C) breathing frequency, and (D) Wang score. Measurements were performed at baseline and at 10 min and 20 min after treatment. *Significant differences with $P < .01$ (robust ANOVA). **Significant differences with $P < .001$ (post hoc tests).

events were uncommon and transitory for both groups (Table 2).

Discussion

This randomized controlled trial assessed the immediate effects and safety of HFCWC compared to prolonged slow expiration in non-hospitalized children with mild to moderate AVB. To our knowledge, this is the first clinical trial performed in an out-patient setting to evaluate the short-term benefits and safety of HFCWC in children with AVB. The results have shown that the use of HFCWC produced similar immediate effects compared to prolonged slow expiration, and no clinically relevant adverse events were observed.

Wheezing and coughing are common symptoms of bronchial obstruction in children,³² and the Wang score is a validated practical clinical tool to evaluate it.²⁹ In our study, 65.9% of subjects showed normal Wang score levels immediately after the treatment session, and no infant presented with moderate levels. Although there was an increase in the

proportion of infants presenting a normal Wang score 10 min after treatment in both groups, infants in the airway clearance techniques group presented a higher proportion compared to the HFCWC group (77.3% vs 53.2%). There was also a greater decrease in children classified with a mild Wang score in the airway clearance techniques group compared to the HFCWC group. Inflammation, edema, and necrosis of the epithelial cells are characteristics of AVB, resulting in bronchial hypersecretion with airway obstruction.^{33,34} The drainage of secretions is supposed to be the main mechanism of action of airway clearance techniques maneuvers, considering that these techniques may not affect edema or bronchospasm. In addition, the deflation obtained by slow and deep expiration enables more clearance of distal air pathways, which constitute the central part of the bronchopulmonary obstruction.²⁸ Thus, both effects induced by airway clearance techniques may help decrease bronchial obstruction resulting from AVB. Our results indicate that both prolonged slow expiration and HFCWC acutely promoted these effects and reduced airway obstruction, as indicated by the Wang score.

HIGH-FREQUENCY CHEST WALL COMPRESSION IN BRONCHIOLITIS

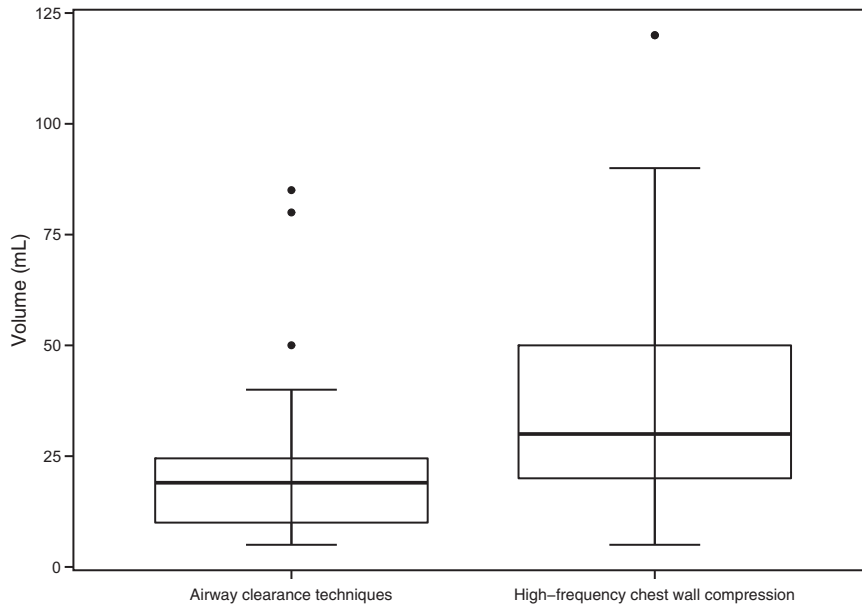


Fig. 3. Effects of high-frequency chest wall compression and airway clearance techniques on the sputum wet-weight. Collection was performed during the treatment period.

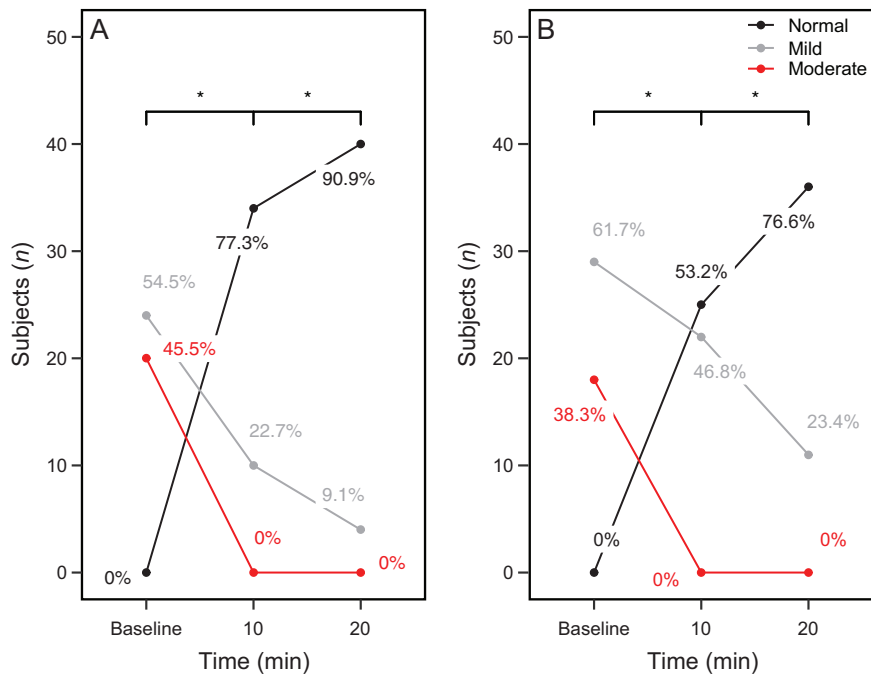


Fig. 4. Immediate effects of (A) airway clearance techniques and (B) high-frequency chest wall compression on the percent of infants classified in each level of the Wang score. Measurements were performed at baseline and at 10 min and 20 min after treatment. *Significant differences with $P < .01$ (Cochran-Mantel-Haenszel test).

The volume of the expectorated phlegm correlates with the level of obstruction, and one of the main objectives of airway clearance techniques is to mobilize and clear secretions in the airways to improve pulmonary ventilation and decrease the work of breathing. Prolonged slow expiration and HFCWC are both effective in removing secretions

quickly from the respiratory tract. However, there are no previous studies measuring the amount of sputum (wet or dry weight) after an intervention in infants with AVB.⁶ In our study, there was a significant between-group difference in the final wet sputum volume ($Z = 2.262$, $P < .001$), which was lower in the airway clearance techniques group

HIGH-FREQUENCY CHEST WALL COMPRESSION IN BRONCHIOLITIS

Table 2. Adverse Events at Baseline and at 10 Min and 20 Min After Treatment

	Airway Clearance Techniques			High-Frequency Chest Wall Compression			<i>P</i>	Cramer V (95% CI)
	Baseline	10 Min	20 Min	Baseline	10 Min	20 Min		
None	0 (0)	12 (27.3)	42 (95.5)	0 (0)	21 (44.7)	39 (83.0)	.21	0.21 (0–1)
Petechiae	0 (0)	0 (0)	0 (0)	0 (0)	1 (2.1)	1 (2.1)		
Tachycardia	0 (0)	32 (72.7)	2 (4.5)	0 (0)	24 (51.1)	6 (12.8)		
Vomiting	0 (0)	0 (0)	0 (0)	0 (0)	1 (2.1)	1 (2.1)		

Data expressed as *n* (%). Significance level set at *P* < .05.

compared to the HFCWC group (median [interquartile range]: 19 [10–24.5] vs 30 [20–50]). This study was powered to detect a difference of 11 g of expectorated sputum between groups during a single treatment session, although other studies have been based on a difference of 3–3.5 g, which is generally accepted as a clinically important difference.^{35,36} On the other hand, there are authors who believe that wet sputum data may not be clinically relevant because wet sputum is often mixed with salivary secretions³⁷ and may therefore overestimate the amount of real bronchial secretions, leading to measurement error. However, previous work has found wet weight to be proportional to dry weight sputum.^{35,38} Emerging noninvasive methods of measuring airway clearance may be more sensitive indicators in the future (eg, the lung clearance index and electrical impedance tomography).³⁹ Although it was not possible to identify the precise mechanisms accounting for the sputum weight differences between groups, it is possible that the number and frequency of provoked coughs may play a role, as this was not standardized. On the other hand, it seems important to apply techniques as close to real-life clinical use as possible.

Some authors have reported “uncomfortable” effects for children during respiratory physiotherapy, including resistance or crying during therapy, thus diminishing the effectiveness or the expected effects.^{40,41} In our study, we did not observe clinically relevant presence of crying, increased episodes of reflux, or reduced S_{pO_2} . S_{pO_2} is a quantitatively objective and reliable variable that helps physiotherapists monitor safety, as children who present with a $S_{pO_2} \leq 92\%$ should receive oxygen. Keating et al²¹ published the first case report on the use of HFCWC for secretion clearance in a severely weak child with type 1 spinal muscular atrophy. As in our study, the use of HFCWC was considered safe and there were no desaturations during sessions with HFCWC or with conventional post-HFCWC physiotherapy. More recent research³⁹ recommends an individual tuning method to identify optimal treatment frequencies. The effectiveness of HFCWC is directly related to oscillatory flow in the airways⁴²; thus, an effective chest wall pulse pressure must be generated for HFCWC to be effective. Infants’ comfort is highly correlated with the pulse pressure

of the chest wall; in our study, no infants in the HFCWC group had to be excluded due to adverse outcomes such as pain, discomfort, or difficulty tolerating the therapy, thus indicating its safety within the described parameters. Yuan et al⁴³ also reported that HFCWC is safe and tolerable in pediatric subjects with childhood cerebral palsy and neuromuscular diseases. In general, our study had only few and transitory adverse events that were present in both airway clearance techniques and HFCWC groups.

This study has some limitations. First, only the immediate effects were evaluated, which does not allow us to extrapolate results for continuing daily therapy use, although it is possible that increasing the number of interventions would only improve the results by reducing respiratory symptoms. Second, the study has no control group (salbutamol and hypertonic saline only) to compare to airway clearance techniques and HFCWC groups. Although it was not possible to separate the effects of hypertonic saline and salbutamol, the use of airway clearance techniques and HFCWC combined with bronchodilator and hypertonic saline are more representative of current clinical use in an out-patient setting.

Conclusions

The results of this study indicate that the use of HFCWC induced clinical effects similar to those observed with airway clearance techniques and was safe for non-hospitalized infants with mild to moderate AVB. Both techniques significantly reduced some respiratory symptoms of bronchial obstruction and acutely improved S_{pO_2} . These findings should be further explored in future randomized controlled trials using daily HFCWC for non-hospitalized children with AVB.

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HIGH-FREQUENCY CHEST WALL COMPRESSION IN BRONCHIOLITIS

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