

**Calculation of Physiologic Deadspace: Comparison of Ventilator  
Volumetric Capnography to Measurements by Metabolic Analyzer  
and Volumetric CO<sub>2</sub> Monitor.**

**Mark S. Siobal BS RRT FAARC**

**Hannah Ong RRT**

**Josephine Valdes RRT**

**Julin Tang MD**

**Respiratory Care Services**

**San Francisco General Hospital**

**University of California, San Francisco**

**Department of Anesthesia and Perioperative Care**

**Key Words: deadspace fraction, metabolic analyzer, volumetric CO<sub>2</sub> monitor ventilator  
volumetric capnography, volumetric capnogram**

## Abstract

**Background:** Calculation of physiologic deadspace ( $V_D/V_T$ ) using the Enghoff modification of the Bohr equation requires measurement of the partial pressure of mean expired  $\text{CO}_2$  ( $\text{PeCO}_2$ ) by exhaled gas collection and analysis, use of a metabolic analyzer, or use of a volumetric  $\text{CO}_2$  monitor. The Drager XL ventilator is equipped with integrated volumetric  $\text{CO}_2$  monitoring and calculates minute  $\text{CO}_2$  production ( $\text{VCO}_2$ ). We calculated  $\text{PeCO}_2$  and  $V_D/V_T$  from ventilator derived volumetric  $\text{CO}_2$  measurements of  $\text{VCO}_2$  and compared it to metabolic analyzer and volumetric  $\text{CO}_2$  monitor measurements.

**Methods:** A total of 67 measurements in 36 in patients recovering from acute lung injury or acute respiratory distress syndrome were compared. Thirty-one ventilator derived measurements were compared to measurements using 3 different metabolic analyzers and 36 ventilator derived measurements were compared to measurements from a volumetric  $\text{CO}_2$  monitor.

**Results:** There was a strong agreement between ventilator derived measurements and metabolic analyzer or volumetric  $\text{CO}_2$  monitor measurements of  $\text{PeCO}_2$  and  $V_D/V_T$ . Correlation, bias, and precision between ventilator and metabolic analyzer measurements for  $\text{PeCO}_2$  were  $r = 0.97$ ,  $r^2 = 0.93$  ( $p < 0.0001$ ), bias  $-1.04$  and precision  $\pm 1.47$  mm Hg and for  $V_D/V_T$   $r = 0.95$ ,  $r^2 = 0.91$  ( $p < 0.0001$ ), bias  $0.024$  and precision  $\pm 0.034$ . Correlation between ventilator and volumetric  $\text{CO}_2$  monitor for  $\text{PeCO}_2$  were  $r = 0.96$ ,  $r^2 = 0.92$  ( $p < 0.0001$ ), bias  $-0.19$  and precision  $\pm 1.58$  mm Hg and for  $V_D/V_T$   $r = 0.97$ ,  $r^2 = 0.95$  ( $p < 0.0001$ ), bias  $0.006$  and precision  $\pm 0.033$ .

**Conclusion:**  $\text{PeCO}_2$  and therefore  $V_D/V_T$  can be accurately calculated directly from the Drager XL ventilator volumetric capnography measurements without use of a metabolic analyzer or volumetric  $\text{CO}_2$  monitor.

## Introduction

Deadspace ventilation, the portion of a tidal volume that does not contribute to gas exchange, was first described and calculated by the Bohr equation in 1891<sup>1</sup>, and later by the Enghoff modification of the Bohr equation in 1938.<sup>2</sup> Physiologic deadspace fraction ( $V_D/V_T$ ) as defined by Bohr and Enghoff, is the sum of anatomic or airway deadspace ( $VD_{anat}$ ) and alveolar deadspace ( $VD_{alv}$ ) divided by the tidal volume ( $V_t$ ). The definition of pure deadspace is ventilation without perfusion whereby alveolar gases do not contact blood flowing through the pulmonary capillaries. All conducting airways (anatomical and mechanical deadspace), areas of pure shunt (pulmonary capillary perfusion with no ventilation), areas of pure deadspace, and the presence of gas exchange units with any degree of inequality of ventilation in relation to perfusion, can contribute to the calculated deadspace ventilation.

Assessing  $V_D/V_T$  in critically ill patients during mechanical ventilation is important for several reasons.<sup>3</sup> The prognostic value of  $V_D/V_T$  has been linked to mortality risk in acute respiratory distress syndrome (ARDS)<sup>4-7</sup> and to other important clinical indices.  $V_D/V_T$  is known to correlate with the severity of lung injury,<sup>8-12</sup> can be useful as an indicator of lung recruitment versus overdistention in patients with acute lung injury (ALI) and ARDS,<sup>13-17</sup> may be helpful as a predictor of successful extubation in pediatric<sup>18</sup> and adult patients,<sup>19</sup> and may be useful in diagnosing and assessing the severity of pulmonary embolism.<sup>20, 21</sup>

Simplified bedside calculation of  $V_D/V_T$  requires a measurement of the partial pressure of mean expired  $CO_2$  ( $PeCO_2$ ) and use of the Enghoff modification of the Bohr equation.<sup>2</sup> The Enghoff equation differs from the original Bohr equation by the substitution of  $PaCO_2$  for the partial pressure of mixed alveolar  $CO_2$  ( $PACO_2$ ). The Enghoff equation became the standard in clinical practice for calculation of  $V_D/V_T$  because  $PACO_2$  has been difficult to accurately

measure or estimate at the bedside. The traditional technique of measuring  $P_{eCO_2}$  used the Douglas bag method of exhaled gas collection and analysis.<sup>22</sup> Technological advancements allow the use of a metabolic analyzer,<sup>23, 24</sup> and more recently, use of volumetric capnography and a volumetric  $CO_2$  monitor.<sup>25</sup>

The Drager XL ventilator (Drager Medical, Telford, PA) is equipped with integrated  $CO_2$  and volume measurement capabilities (volumetric  $CO_2$ ). We calculated  $P_{eCO_2}$  and  $V_D/V_T$  directly from the Drager XL ventilator volumetric  $CO_2$  measurements of  $V_{CO_2}$  and compared it to metabolic analyzer and volumetric  $CO_2$  monitor measurements of  $P_{eCO_2}$  and  $V_D/V_T$ .

### Methods

A total of 67 measurements were performed in 36 patients who met the American-European Consensus Conference criteria for ALI or ARDS.<sup>26</sup> Measurements were done at varying time periods after ALI or ARDS criteria were met (Table 1). Phase 1 of the study compared 31 ventilator derived measurements in 25 patients to measurements from three different metabolic analyzers, Metascope (Cybermedic, Louisville, CO)  $n = 9$ , Deltatrac (Sensor Medics, Yorba Linda, CA)  $n = 4$ , and Vmax Encore (Viasys, Yorba Linda, CA)  $n = 18$ . Use of the various metabolic analyzers was based on functional availability. All metabolic analyzers used were maintained by annual biomedical engineering preventative maintenance and performance verification. In phase 2 of the study, 36 ventilator derived measurements in 11 patients were compared to the  $NICO_2$  Respiratory Profile Monitor (Philips Healthcare, Andover, MA).

$V_D/V_T$  measurements were performed when requested by the ICU team. Arterial blood gas samples for  $PaCO_2$  determination and  $V_D/V_T$  calculation were obtained from arterial catheters. Prior to all measurements, ventilator, metabolic analyzer, and  $NICO_2$  monitor  $CO_2$  and flow sensors were calibrated using manufacturers specifications. Following all ventilator circuit disconnections, approximately 30 minutes was allowed for patient stabilization. Ventilator

measurements were done simultaneously during metabolic analyzer and NICO<sub>2</sub> monitor measurements for comparison. The ventilator circuit was checked for leaks and patients with active pulmonary air leaks were excluded from the study. The study was approved by the Committee on Human Research at the University of California, San Francisco.

### **Ventilator Volumetric CO<sub>2</sub> Measurements**

The Drager XL ventilator (Drager Medical, Telford, PA) mainstream CO<sub>2</sub> sensor was placed between the ventilator circuit and the patient connection. The ventilator expiratory flow sensor positioned at the distal side of the expiratory valve measured exhaled tidal volume (V<sub>t</sub>) and exhaled minute ventilation (VE). Ventilator volumetric CO<sub>2</sub> measurements were initiated and displayed on the ventilator trend data screen. After measured values stabilized and reached a steady state, ventilator trend data for minute CO<sub>2</sub> production (VCO<sub>2</sub>) and VE were averaged over 5 minutes. All measurements were reported at body temperature, pressure, and saturated (BTPS).

The fraction of exhaled CO<sub>2</sub> (FeCO<sub>2</sub>) was calculated manually by dividing the ventilator derived VCO<sub>2</sub> by the VE whereby:

$$(1) \quad \text{FeCO}_2 = \text{VCO}_2 / \text{VE}$$

PeCO<sub>2</sub> was then calculated by multiplying FeCO<sub>2</sub> by the barometric pressure minus water vapor pressure:

$$(2) \quad \text{PeCO}_2 = \text{FeCO}_2 \times (760 - 47)$$

PeCO<sub>2</sub> was then used to calculate V<sub>D</sub>/V<sub>T</sub> by the Enghoff modification of the Bohr equation:

$$(3) \quad \text{V}_D/\text{V}_T = (\text{PaCO}_2 - \text{PeCO}_2) / \text{PaCO}_2$$

The automated ventilator correction for delivered and measured V<sub>t</sub> was used by performing a circuit compliance test at device start up. The automated ventilator correction adjusts the delivered and measured V<sub>t</sub> and therefore the ventilator calculated values for VCO<sub>2</sub>

and VE reflect the adjusted values and eliminated the need for a manual circuit compression volume correction.

### **Metabolic Analyzer Measurements**

The metabolic analyzers were warmed-up for 20-minutes and calibrated per manufacturers recommendations. The fraction of inspired gases were sampled from the ventilator inspiratory limb and the fraction of exhaled gases and volumes were measured by directing expiratory gas flow into the metabolic analyzer (Metascope and Deltatrac) or by placement of the metabolic analyzer flow sensor and expired gas sampling line at the ventilator expired gas outlet (Vmax Encore). After a stable 10-minute measurement period,  $P_{eCO_2}$  averaged over a 5 minute period from the metabolic analyzer was used to calculate  $P_{aCO_2}$  using Equation 2 above.

All metabolic analyzer measurements of  $P_{eCO_2}$  were corrected for circuit compression volume as previously described<sup>23-25, 27-29</sup> whereby the  $P_{eCO_2}$  was multiplied by the ratio of the observed  $V_t$  divided by the observed  $V_t$  minus the calculated compression volume using the following equations:

$$(4) \quad \text{Compression Volume} = (\text{Peak Inspiratory Pressure} - \text{PEEP}) \times \text{Circuit Compliance}$$

$$(5) \quad \text{Corrected } P_{eCO_2} = P_{eCO_2} \times (V_t / [V_t - \text{compression volume}])$$

Ventilator circuit compliance factors of 2.5 and 2.0 mL / cm H<sub>2</sub>O were used pre and post a ventilator circuit configuration change that was implemented during the study period. The circuit compliance factor of 2.5 mL / cm H<sub>2</sub>O was used for the Metascope and Deltatrac, and 2.0 mL / cm H<sub>2</sub>O was used for the Vmax Encore. Circuit compression volume was determined by laboratory testing and confirmed by the ventilator circuit compliance test mentioned above.  $V_t$  was derived by dividing the VE by the respiratory rate measured by the metabolic analyzer. The Drager XL ventilator uses a non bias flow triggering method and therefore additional correction for potential measurement error caused by bias flow was unnecessary.

## NICO<sub>2</sub> Monitor Measurements

The NICO<sub>2</sub> monitor combined CO<sub>2</sub> / flow sensor was allowed to warm-up for 5 minutes until stable measurements for PeCO<sub>2</sub> were obtained. Both the NICO<sub>2</sub> combined sensor and the ventilator mainstream CO<sub>2</sub> sensor were placed between the ventilator circuit and the patient. The position of the NICO<sub>2</sub> sensor and the ventilator CO<sub>2</sub> sensor were placed distal and proximal to each other in random order. In a previous bench study, the distal or proximal position of either sensor did not result in position related bias.<sup>30</sup> PeCO<sub>2</sub> derived from the ventilator measurements was rounded to the nearest whole number for comparison to the NICO<sub>2</sub> monitor display of PeCO<sub>2</sub>.

Since the NICO<sub>2</sub> combine CO<sub>2</sub> / flow sensor measures distal to the ventilator “Y” adapter, the effects of ventilator circuit compression volume and the utilization of a correction factor are unnecessary.

## Statistical Analysis

Ventilator derived measurements of VCO<sub>2</sub>, FeCO<sub>2</sub>, PeCO<sub>2</sub> and V<sub>D</sub>/V<sub>T</sub> were compared to metabolic analyzer measurements. PeCO<sub>2</sub> and V<sub>D</sub>/V<sub>T</sub> derived from ventilator measurements were compared to NICO<sub>2</sub> monitor measurements. The data was compared and analyzed by correlation measured by Pearson product-moment correlation coefficient (r) and coefficient of determination (r<sup>2</sup>). Bias and precision was assessed by Bland-Altman analysis. Statistical analysis was done using commercially-available software (Microsoft Office Excel 2011, version 14.2.2, Redmond, WA). Correlation results were considered to be significant when p < 0.05.

## Results

There was a strong correlation, agreement and accuracy between ventilator derived measurements and metabolic analyzer or volumetric CO<sub>2</sub> monitor measurements of VCO<sub>2</sub>, FeCO<sub>2</sub>, PeCO<sub>2</sub> and V<sub>D</sub>/V<sub>T</sub>.

In phase 1 of the study, correlation between ventilator derived measurements compared to metabolic analyzer measurements for  $V_{CO_2}$  and  $FeCO_2$  were  $r = 0.92$ ,  $r^2 = 0.85$  ( $p < 0.0001$ ) and  $r = 0.95$ ,  $r^2 = 0.91$  ( $p < 0.0001$ ). Bias and precision for  $V_{CO_2}$  and  $FeCO_2$  was  $24 \pm 31$  mL / min and  $0.07 \pm 0.23$  percent respectively. Correlation for  $PeCO_2$  was  $r = 0.97$ ,  $r^2 = 0.93$  ( $p < 0.0001$ ) (Figure 1), and bias and precision were  $-1.04$  and  $\pm 1.47$  mm Hg (Figure 2). Correlation for  $V_D/V_T$  was  $r = 0.95$ ,  $r^2 = 0.91$  ( $p < 0.0001$ ) (Figure 1), and bias and precision was  $0.02 \pm 0.04$  (Figure 3). The p value of two tailed probability Pearson correlation coefficient reached statistical significance for each metabolic analyzer for both  $PeCO_2$  and  $V_D/V_T$  except for one where the sample size was very small (DeltaTrac,  $n = 4$ ,  $V_D/V_T$ ,  $p = 0.09$ ). Similarly, bias and precision remained within clinically acceptable ranges when individual measurements between the three metabolic analyzers were compared to the combined data from all three analyzers (Table 2).

In phase 2 of the study, correlation, bias, and precision between ventilator and volumetric  $CO_2$  monitor measurements for  $PeCO_2$  were  $r = 0.96$ ,  $r^2 = 0.92$  ( $p < 0.0001$ ) (Figure 4), bias  $-0.19$  and precision  $\pm 1.58$  mm Hg (Figure 5), and for  $V_D/V_T$   $r = 0.97$ ,  $r^2 = 0.95$  ( $p < 0.0001$ ) (Figure 4), bias  $0.01$  and precision  $\pm 0.03$  (Figure 6).

### Discussion

The results of this study confirm that  $PeCO_2$  and therefore  $V_D/V_T$  using the Enghoff equation can be accurately calculated directly from the Drager XL ventilator volumetric capnography measurements without use of a metabolic analyzer or volumetric  $CO_2$  monitor. In a recent study, use of volumetric capnography calculations of  $V_D/V_T$  from the Drager XL ventilator were shown to be a predictor of extubation success.<sup>19</sup> However, to our knowledge the results of our study are the first to validate the accuracy of the Drager XL measurements of  $V_D/V_T$  against previously accepted methods.



These findings have several important implications. This simplified approach to  $V_D/V_T$  measurement will improve availability, allow early and repeated measurements, and will increase the utilization of  $V_D/V_T$  for prognostic, diagnostic, and disease severity monitoring in the critical care setting.  $V_D/V_T$  has been shown to be predictive of the mortality risk in patients with ARDS in both the early and intermediate phases of the disease progression in single center or small cohort studies.<sup>4-8</sup> Patients with  $V_D/V_T \geq 0.57$  were found to have higher mortality with a 45 percent increase in the odds of dying for every 0.05 increase in deadspace fraction.<sup>5</sup>  $V_D/V_T$  is also known to be a marker of the severity of lung injury.<sup>9-13</sup> Serial monitoring of  $V_D/V_T$  over the duration and course of ALI/ARDS can be useful as a means to assess the need and effects of supportive therapeutic strategies and interventions.<sup>12, 13</sup>  $V_D/V_T$  measurements in patients with ALI/ARDS have been found to be useful for titrating positive end expiratory pressure and optimizing cardio-pulmonary function,<sup>14-16</sup> and may be useful as a tool to monitor lung recruitment versus overdistension.<sup>17</sup> Assessment of  $V_D/V_T$  may also be used to predict successful extubation in pediatric<sup>18</sup> and adult patients.<sup>19</sup>  $V_D/V_T \leq 0.50$  and  $\geq 0.65$  in infants and children were found to be predictive of extubation success or failure<sup>18</sup> whereas in adult patients the  $V_D/V_T$  cut off value which offered the best sensitivity and specificity of predicting extubation failure was 0.58.<sup>19</sup> The use of  $V_D/V_T$  measurements in addition to other clinical assessments and diagnostics test has also been used in diagnosing and assessing the severity of pulmonary embolism.<sup>20,21</sup> The culmination of the broad clinical value of  $V_D/V_T$  assessments in the critical care setting support the integration of this measurement into routine clinical practice.

Additionally, use of a separate stand alone device for  $V_D/V_T$  measurements with the associated acquisition and supply costs, and staff utilization time may become unnecessary. Elimination of a metabolic analyzer or volumetric  $CO_2$  monitor simplifies the determination of  $V_D/V_T$ . Use of ventilator derived volumetric  $CO_2$  makes the potential to evaluate physiologic

deadspace fraction more accessible for use in clinical practice as ventilator manufacturers incorporate volumetric CO<sub>2</sub> monitoring capabilities into newer ventilator platforms.<sup>31</sup> The calculations performed for his study were done manually using ventilator derived measurements but could easily be incorporated as an automated feature by ventilator software modification. Methods for estimating  $V_D/V_T$  by predictive equations have been described using the arterial to end tidal CO<sub>2</sub> gradient<sup>32, 33</sup> and estimation of VCO<sub>2</sub>.<sup>34</sup> The increasing availability of volumetric capnography make the use of predictive equations unnecessary.

The results of this study are consistent with prior data which confirm the accuracy of different methods of calculating  $V_D/V_T$  (Table 3). Similar correlation and accuracy of the exhaled gas collection method using a Douglas bag to the metabolic analyzer,<sup>23, 24</sup> metabolic analyzer to the volumetric CO<sub>2</sub> monitor,<sup>25</sup> and ventilator based volumetric capnography to both metabolic analyzer and volumetric CO<sub>2</sub> monitor are now demonstrated.

Limitations of this study include a relatively small sample size in each study phase and the use of three different metabolic analyzers in phase 1. Also the ventilator circuit configuration and therefore the circuit compression volume were changed during the study. Despite these factors, the resiliency of the ventilator derived data in relation to the correlation, bias, and precision between measurements remained consistent. Although number of individual measurements between the three metabolic analyzers used varied markedly, the agreement of correlation, bias, and precision remained consistent between individual metabolic analyzers when compared to the combined data from all three analyzers (Table 2). Additionally, the change in ventilator circuit configuration and compression volume did not significantly alter the correlation and agreement of the measurements (Table 2).

$V_D/V_T$  calculated by the original Bohr equation has been recognized as “true deadspace” or the balance between effective and ineffective ventilation. The Bohr deadspace equation relies

on the calculation or estimation of  $\text{PACO}_2$  from mixed alveolar gas.  $\text{PACO}_2$  is affected by the dilution of  $\text{CO}_2$  from the alveolar side of the alveolar-capillary membrane before the effects of shunt and venous admixture on  $\text{PaCO}_2$ . Bohr deadspace is affected by areas of high ventilation to perfusion matching such as alveolar overdistension by excessive PEEP and or  $V_t$ , pulmonary vascular occlusion, and pulmonary hypoperfusion secondary to hypovolemia.<sup>35</sup> The Enghoff equation on the other hand relies on the  $\text{PaCO}_2$  of arterial blood and is thus an index of “true deadspace” plus the effects elevated  $\text{PaCO}_2$  from global gas exchange inefficiency and shunt (Figure 7). Elevated  $\text{PaCO}_2$  can result from all causes of low ventilation to perfusion matching and shunt such as atelectasis, pneumonia, COPD, and asthma. Furthermore,  $\text{PaCO}_2$  can rise when an increase in metabolic rate and  $\text{CO}_2$  production are not accompanied by an increase in  $\text{CO}_2$  excretion. Changes in  $\text{PaCO}_2$  are determined by the relationship between  $\text{VCO}_2$  and minute alveolar ventilation ( $V_A$ ) whereby  $\text{PaCO}_2 = \text{VCO}_2 / V_A$ . If  $\text{VCO}_2$  increases without a proportional rise in  $V_A$ ,  $\text{CO}_2$  production exceeds  $\text{CO}_2$  excretion and  $\text{PaCO}_2$  increases. Therefore the Enghoff deadspace equation can overestimate  $V_D/V_T$  in the presence of shunt and regions of low ventilation to perfusion ratios (Figure 8).

Use of volumetric capnography to determine  $\text{PACO}_2$  for Bohr deadspace calculation has been demonstrated<sup>39</sup> and validated in an animal model of lung injury.<sup>40</sup>  $\text{PACO}_2$  measured at the mid point of phase III of the expired volume capnogram was compared to the  $\text{PACO}_2$  mathematically derived using the multiple inert gas elimination technique (MIGET). There was a close linear correlation between the two methods for calculating  $\text{PACO}_2$  ( $r = 0.99$ ,  $p < 0.001$ ) and Bohr deadspace ( $r = 0.96$ ,  $p < 0.001$ ). The mean  $\text{PACO}_2$  and Bohr deadspace from volumetric capnography was similar to the calculation obtained by MIGET with a mean bias of  $-0.10$  mmHg, 95% Confidence Interval  $-2.18$  to  $1.98$  mmHg and  $10$  mL, 95% Confidence Interval  $-44$  to  $64$  mL respectively. Given these findings, it has been suggested that simultaneous

assessment of Bohr and Enghoff deadspace using volumetric capnography may provide useful complimentary information in regards to recognizing the effects of shunt and ventilation to perfusion inequality versus “true deadspace” or wasted ventilation in critically ill patients with elevated  $V_D/V_T$ .<sup>35</sup> Furthermore, using volumetric capnography to determine Bohr deadspace could be monitored continuously and would not require periodic arterial blood sampling to measure  $PaCO_2$ .<sup>35</sup>

### Conclusion

This study confirms that  $PeCO_2$  and  $V_D/V_T$  using the Enghoff equation can be accurately calculated directly from the Drager XL ventilator volumetric capnography measurements without use of a metabolic analyzer or volumetric  $CO_2$  monitor.

Future study of the use and development of ventilator based techniques for volumetric capnography measurements of  $V_D/V_T$  should continue to confirm and validate measurement correlation and accuracy. Investigation of the meaningful use of continuous Bohr  $V_D/V_T$  monitoring and simultaneous measurement of Bohr and Enghoff  $V_D/V_T$  using volumetric capnography should also be pursued.

### References

1. Bohr C. Uber die Lungeatmung. Skand Arch Physiol 1891;2:236–238
2. Enghoff H. Volumen inefficax: bemerkungen zur frage des schadlichen raumes. Upsala Lakareforen Forh 1938;44:191–218.
3. Kallet RH, Siobal MS. Measuring Dead-space: Does it really matter? or, what are we waiting for? Respir Care 2010; 55(3):350-352.
4. Raurich JM, Vilar M, Colomar A, Ibanez J, Ayestaran I, Perez- Barcena J, Llompert-Pou JA. Prognostic value of the pulmonary dead-space fraction during the early and

- intermediate phases of acute respiratory distress syndrome. *Respir Care* 2010;55(3):282-287.
5. Nuckton TJ, Alonso JA, Kallet RH, Daniel BM, Pittet JF, Eisner MD, Matthay MA. Pulmonary dead-space fraction as a risk factor for death in the acute respiratory distress syndrome. *N Engl J Med* 2002;346(17):1281-1286.
  6. Kallet RH, Alonso JA, Pittet JF, Matthay MA. Prognostic value of the pulmonary dead-space fraction during the first 6 days of acute respiratory distress syndrome. *Respir Care* 2004;49(9):1008-1014.
  7. Cepkova M, Kapur V, Ren X, Quinn T, Zhuo H, Foster E, Liu KD, Matthay MA. Pulmonary dead-space fraction and pulmonary artery systolic pressure as early predictors of clinical outcome in acute lung injury. *Chest* 2007;132(3):836-842.
  8. Lucangelo U, Bernable F, Vatua S, Degrassi G, Villagra A, Fernandez R, et al. Prognostic value of different deadspace indices in mechanically ventilated patients with acute lung injury and ARDS. *Chest* 2008;133(1):62-71.
  9. Lamy M, Fallat RJ, Koeniger E, Dietrich HP, Ratliff JL, Eberhart RC, et al. Pathologic features and mechanisms of hypoxemia in adult respiratory distress syndrome. *Am Rev Respir Dis* 1976;114(2):267-284.
  10. Ralph DD, Robertson HT, Weaver LJ, Hlastala MP, Carrico CJ, Hudson LD. Distribution of ventilation and perfusion during positive end expiratory pressure in the adult respiratory distress syndrome. *Am Rev Respir Dis* 1985;131(1):54-60.
  11. Gattinoni L, Bombino M, Pelosi P, Lissoni A, Pesenti A, Fumagalli R, Tagliabue M. Lung structure and function in different stages of severe adult respiratory distress syndrome. *JAMA* 1994;271(22):1772-1779.

12. Bein T, Reber A, Stjernstrom H, Metz C, Taeger K, Hedenstierna G. Ventilation-perfusion ratio in patients with acute respiratory insufficiency. *Anaesthesist* 1996;45(4):337-342.
13. Nunes S, Valta P, Takala J. Changes in respiratory mechanics and gas exchange during the acute respiratory distress syndrome. *Acta Anaesthesiol Scand* 2006;50(1):80-91.
14. Suter PM, Fairley B, Isenberg MD. Optimum end-expiratory airway pressure in patients with acute pulmonary failure. *N Engl J Med* 1975;292(6):284-289.
15. Beydon L, Uttman L, Rawal R, Jonson B. Effects of positive end-expiratory pressure on deadspace and its partitions in acute lung injury. *Intensive Care Med* 2002;28(9):1239-1245.
16. Maisch S, Reissmann H, Fuellekrug B, Weismann D, Rutkowski T, Tusman G, Bohm SH. Compliance and deadspace fraction indicate an optimal level of positive end-expiratory pressure after recruitment in anesthetized patients. *Anesth Analg* 2008;106(1):175-181.
17. Tusman G, Suarez-Sipmann F, Bohm SH, Pech T, Reissmann H, Meschino G, et al. Monitoring deadspace during recruitment and PEEP titration in an experimental model. *Intensive Care Med* 2006;32(11):1863-1871.
18. Hubble CL, Gentile MA, Tripp DS, Craig DM, Meliones JN, Cheifetz IM. Deadspace to tidal volume ratio predicts successful extubation in infants and children. *Crit Care Med* 2000;28(6):2034-2040.
19. González-Castro A, Suárez-Lopez V, Gómez-Marcos V, González-Fernandez C, Iglesias-Posadilla D, Burón-Mediavilla J, Rodríguez-Borregan JC, Miñambres E, Llorca J. Utility of the deadspace fraction ( $V_d/V_t$ ) as a predictor of extubation success. *Med Intensiva*. 2011;35(9):529-38.

20. Kline JA, Kubin AK, Patel MM, Easton EJ, Seupal RA. Alveolar deadspace as a predictor of severity of pulmonary embolism. *Acad Emerg Med* 2000;7(6):611-617.
21. Burki NK. The deadspace to tidal volume ratio in the diagnosis of pulmonary embolism. *Am Rev Respir Dis* 1986;133(4):679-685.
22. Hedley-Whyte J, Pontoppidan H, Morris MJ. The response of patients with respiratory failure and cardiopulmonary disease to different levels of constant volume ventilation. *J Clin Invest* 1966;45(10): 1543–1554.
23. MacKinnon JC, Houston PL, McGuire GP. Validation of the Deltatrac metabolic cart for measurement of dead-space-to-tidal-volume ratio. *Respir Care* 1997;42(8):761–764.
24. Lum L, Saville A, Venkataraman ST. Accuracy of physiologic dead-space measurement in intubated pediatric patients using a metabolic monitor: comparison with the Douglas bag technique. *Crit Care Med* 1998;26(4):760–764.
25. Kallet RH, Daniel BM, Garcia O, Matthay MA. Accuracy of physiologic deadspace measurements in patients with acute respiratory distress syndrome using volumetric capnography: comparison with the metabolic monitor method. *Respir Care* 2005;50(4):462-467.
26. Bernard GR, Artigas A, Brigham KL, Carlet J, Falke K, Hudson L, et al. Report of the American-European consensus conference on acute respiratory distress syndrome: definitions mechanisms, relevant outcomes, and clinical trials coordination. *Am J Respir Crit Care* 1994;9(1):72–81.
27. Crossman PF, Bushnell LS, Hedley-Whyte J. Dead-space during artificial ventilation: gas compression and mechanical dead-space. *J Appl Physiol* 1970;28(1):94–97.
28. Smith ER. Measurement of physiological deadspace during mechanical ventilation. *Respir Care* 1977;22(12):1341–1342.

29. Forbat AF, Her C. Correction for gas compression in mechanical ventilators. *Anesth Analg* 1980;59(7):488–493.
30. Siobal M, Tang J, Ong H, Toy W. Comparison of mean expired CO<sub>2</sub> measurements calculated using the Draeger XL ventilator volumetric capnography versus the Respironics NICO<sub>2</sub> monitor (abstract). *Respir Care* 2008;54(11):1560.
31. Siobal MS, Bandian L. Comparison of mean expired CO<sub>2</sub> measurements using the eVent 7i ventilator volumetric capnography vs the Respironics NICO<sub>2</sub> monitor (abstract). *Respir Care* 2012;57(11) In Press.
32. Frankenfield DC, Alam S, Bekteshi E, Vender RL. Predicting deadspace ventilation in critically ill patients using clinically available data. *Crit Care Med*. 2010;38(1):288-91.
33. Hardman JG, Aitkenhead AR. Estimating alveolar deadspace from the arterial to end-tidal CO<sub>2</sub> gradient: a modeling analysis. *Anesth Analg*. 2003;97(6):1846-1851.
34. Siddiki H, Kojicic M, Li G, Yilmaz M, Thompson TB, Hubmayr RD, Gajic O. Bedside quantification of dead-space fraction using routine clinical data in patients with acute lung injury: secondary analysis of two prospective trials. *Crit Care* 2010, 14:R141.
35. Tusman G, Sipmann FS, Bohm SH. Rationale of deadspace measurement by volumetric capnography. *Anesth Analg*. 2012;114(4):866-874.
36. Riley RL, Cournand A. Ideal alveolar air and the analysis of ventilation-perfusion relationships in the lungs. *J Appl Physiol* 1949;1(12)825–847
37. Riley RL, Cournand A. Analysis of factors affecting partial pressures of oxygen and carbon dioxide in gas and blood of the lungs: theory. *J Appl Physiol* 1951;4(2):77–101
38. Hedenstierna G, Sandhagen B. Assessing dead space: a meaningful variable? *Minerva Anesthesiol* 2006;72(6):521–528



39. Tusman G, Scandurra A, Böhm SH, Suarez-Sipmann F, Clara F. Model fitting of volumetric capnograms improves calculations of airway dead space and slope of phase III. *J Clin Monit Comput.* 2009;23(4):197-206.
40. Tusman G, Suarez Sipmann F, Borges JB, Hedenstierna G, Bohm SH. Validation of Bohr deadspace measured by volumetric capnography. *Intensive Care Med* 2011;37(5):870–874.
41. Fowler WS. Lung function studies. II. The respiratory dead space. *Am J Physiol.* 1948 154(3):405-416.

### Figure Legends

#### Figure 1

Correlation of  $P_eCO_2$  and  $V_D/V_T$  between the Drager XL ventilator and three different metabolic analyzers plotted against the line of identity.  $P_eCO_2$ ,  $r = 0.97$ ,  $r^2 = 0.93$  ( $p < 0.0001$ ),  $V_D/V_T$ ,  $r = 0.95$ ,  $r^2 = 0.91$  ( $p < 0.0001$ ).

#### Figure 2

Bland – Altman plot comparing  $P_eCO_2$  calculated by measurements from the Drager XL ventilator and three different metabolic analyzers. Bias and precision =  $-1.04 \pm 1.47$  mm Hg, 95 percent confidence interval limits of agreement  $-3.91$  to  $1.84$  mm Hg.

#### Figure 3

Bland – Altman plot comparing  $V_D/V_T$  calculated by measurements from the Drager XL ventilator and three different metabolic analyzers. Bias and precision =  $0.024 \pm 0.034$ , 95 percent confidence interval limits of agreement  $-0.05$  to  $0.09$ .

## Figure 4

Correlation of  $P_e\text{CO}_2$  and  $V_D/V_T$  between the Drager XL ventilator and the NICO2 volumetric  $\text{CO}_2$  monitor plotted against the line of identity.  $P_e\text{CO}_2$ ,  $r = 0.96$ ,  $r^2 = 0.92$  ( $p < 0.0001$ ),  $V_D/V_T$ ,  $0.97$ ,  $r^2 = 0.95$  ( $p < 0.0001$ ).

## Figure 5

Bland – Altman plot comparing  $P_e\text{CO}_2$  calculated by measurements from the Drager XL ventilator and the NICO2 volumetric  $\text{CO}_2$  monitor. Bias and precision =  $-0.19 \pm 1.58$  mm Hg, 95 percent confidence interval limits of agreement  $-3.30$  to  $2.91$  mm Hg.

## Figure 6

Bland – Altman plot comparing  $V_D/V_T$  calculated by measurements from the Drager XL ventilator and the NICO2 volumetric  $\text{CO}_2$  monitor.. Bias and precision =  $0.024 \pm 0.034$ , 95 percent confidence interval limits of agreement  $0.006$  to  $0.033$ .

## Figure 7

The three compartment lung model described by Riley<sup>36,37</sup> represents gas exchange in the lung in regards to the matching of alveolar ventilation ( $V_A$ ) and perfusion ( $Q_T$ ), shunt ( $Q_S$ ), and deadspace ( $VD$ ). Ideal Compartment represents areas of perfect  $V_A$  to  $Q_T$  matching. Pure Shunt Compartment represents areas of perfusion without ventilation. Pure Deadspace Compartment represents areas of ventilation with no perfusion. The sum of the regions of alveolar deadspace ( $VD_{alv}$ ) and anatomic deadspace ( $VD_{anat}$ ) equal the physiologic deadspace ( $VD_{phys}$ ). Deadspace fraction is equal to tidal volume ( $V_T$ ) divided by  $VD_{phys}$ . The partial pressure of arterial and venous carbon dioxide ( $Pa\text{CO}_2$ ,  $Pv\text{CO}_2$ ), The relationship between  $Pa\text{CO}_2$  to minute  $\text{CO}_2$  production ( $V\text{CO}_2$ ) and  $V_A$ , the partial pressure of mixed alveolar carbon dioxide ( $PACO_2$ ), the

partial pressure of end tidal carbon dioxide (PETCO<sub>2</sub>), and the partial pressure of mean expired carbon dioxide (PECO<sub>2</sub>) in relation to the model and the volumetric capnogram are also shown. Modified from references 35 and 38.

#### Figure 8

Graphical representation of physiologic deadspace fraction determined by volumetric capnography using the approaches of Bohr and Enghoff which shows how use of the Enghoff equation can over estimate alveolar deadspace (VD<sub>alv</sub>) (shaded areas) by substitution the partial pressure of arterial carbon dioxide (PaCO<sub>2</sub>) for partial pressure of mixed alveolar carbon dioxide (PACO<sub>2</sub>) determined by identifying the mid point of phase III of the expired volumetric capnogram.<sup>39, 40</sup> Airway or anatomical dead space (VD<sub>aw</sub>) determined by the Fowler method identified at the mid point of phase II of the expired volumetric capnogram<sup>41</sup>, the partial pressure of end tidal carbon dioxide (PETCO<sub>2</sub>), and the partial pressure of mean expired carbon dioxide (PECO<sub>2</sub>) in relation to the volumetric capnogram are also shown. Modified from reference 35.

**Table 1**

|                                       |               |
|---------------------------------------|---------------|
| <b>Female / Male</b>                  | 8 / 28        |
| <b>Age (mean +/- STDV)</b>            | 49.4 +/- 14.5 |
| <b>ALI / ARDS Day (mean +/- STDV)</b> | 7.9 +/- 3.8   |
| <b>ALI / ARDS Etiology</b>            |               |
| Pneumonia                             | 8             |
| Trauma                                | 17            |
| Burns                                 | 3             |
| Sepsis                                | 5             |
| Pancreatitis                          | 3             |

Patient characteristics. ALI = acute lung injury. ARDS = acute respiratory distress syndrome. ALI / ARDS Day = number of days after ARDS protocol initiated and study data collected.

**Table 2**

|                               |                                    | <b>Correlation</b> | <b>R squared</b> | <b>p value</b> | <b>Bias</b> | <b>Precision</b> |
|-------------------------------|------------------------------------|--------------------|------------------|----------------|-------------|------------------|
| <b>Combined Data (n = 31)</b> | <b>V<sub>D</sub>/V<sub>T</sub></b> | 0.95               | 0.91             | <0.0001        | 0.02        | 0.04             |
|                               | <b>PECO<sub>2</sub></b>            | 0.97               | 0.93             | <0.0001        | -1.04       | 1.47             |
| <b>Metascope (n = 9)</b>      | <b>V<sub>D</sub>/V<sub>T</sub></b> | 0.98               | 0.96             | <0.0001        | 0.002       | 0.02             |
|                               | <b>PECO<sub>2</sub></b>            | 0.98               | 0.97             | <0.0001        | -1.39       | 0.91             |
| <b>DeltaTrac (n = 4)</b>      | <b>V<sub>D</sub>/V<sub>T</sub></b> | 0.91               | 0.82             | 0.09           | 0.01        | 0.03             |
|                               | <b>PECO<sub>2</sub></b>            | 0.96               | 0.93             | 0.04           | -0.84       | 1.69             |
| <b>Vmax (n = 18)</b>          | <b>V<sub>D</sub>/V<sub>T</sub></b> | 0.97               | 0.94             | <0.0001        | 0.04        | 0.04             |
|                               | <b>PECO<sub>2</sub></b>            | 0.98               | 0.95             | <0.0001        | -1.50       | 1.49             |

Correlation, bias, and precision between individual metabolic analyzers compared to the combined data from all three analyzers.

**Table 3**

|                                           | <b>Correlation</b> | <b>Bias</b> | <b>Precision</b> | <b>Reference</b>        |
|-------------------------------------------|--------------------|-------------|------------------|-------------------------|
| <b>Metabolic Analyzer vs Drager XL</b>    | 0.95               | 0.024       | 0.035            | -                       |
| <b>NICO Monitor vs Drager XL</b>          | 0.97               | 0.006       | 0.033            | -                       |
| <b>Douglas Bag vs Metabolic Analyzer</b>  | 0.92               | 0.004       | 0.034            | Mackinnon <sup>23</sup> |
| <b>Douglas Bag vs Metabolic Analyzer</b>  | 0.99               | -0.017      | 0.014            | Lum <sup>24</sup>       |
| <b>Metabolic Analyzer vs NICO Monitor</b> | 0.94               | 0.02        | 0.05             | Kallet <sup>25</sup>    |

Correlation, bias, and precision from four studies that compared different methods for calculating  $V_D/V_T$  using the Enghoff equation.

Figure 1

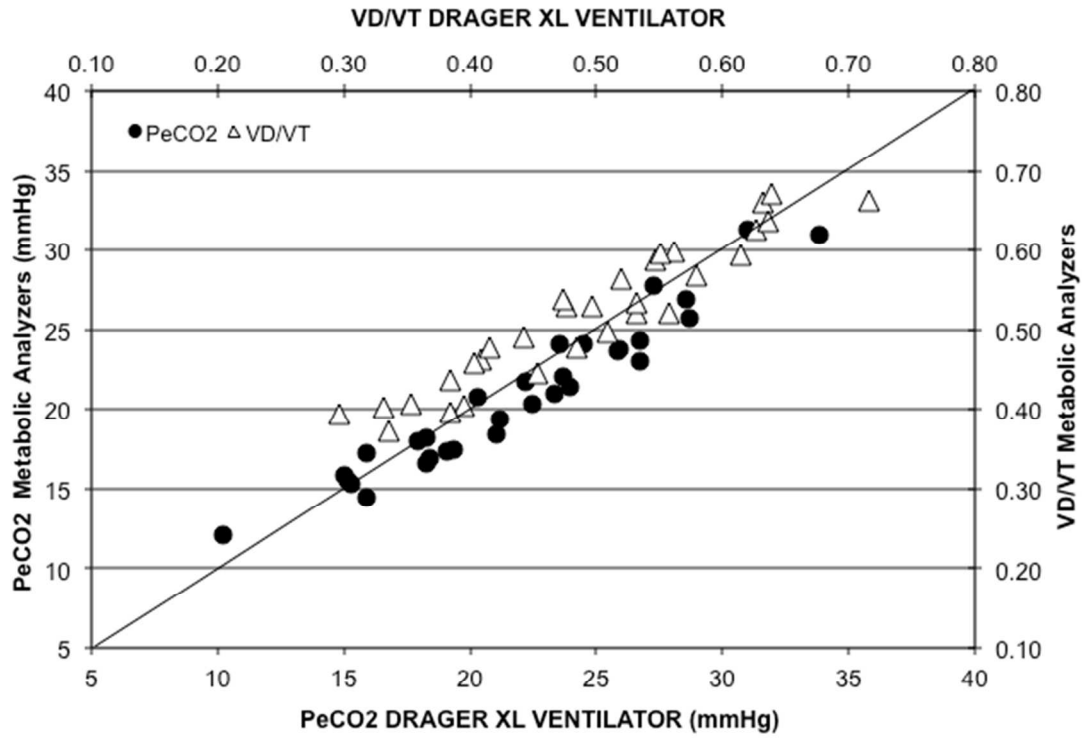


Figure 2

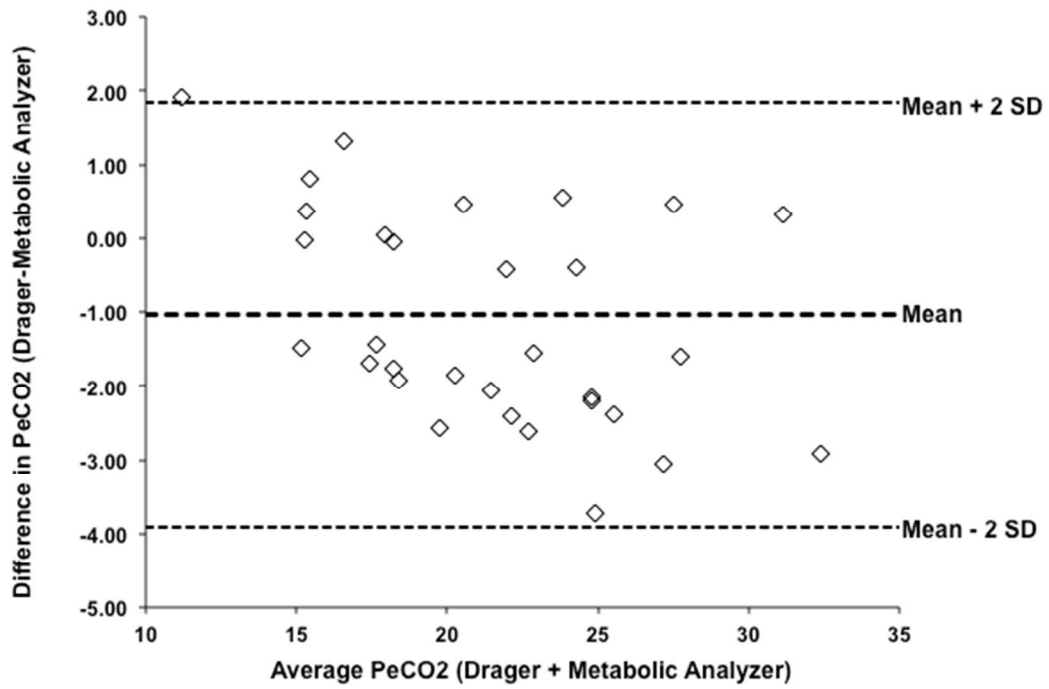


Figure 3

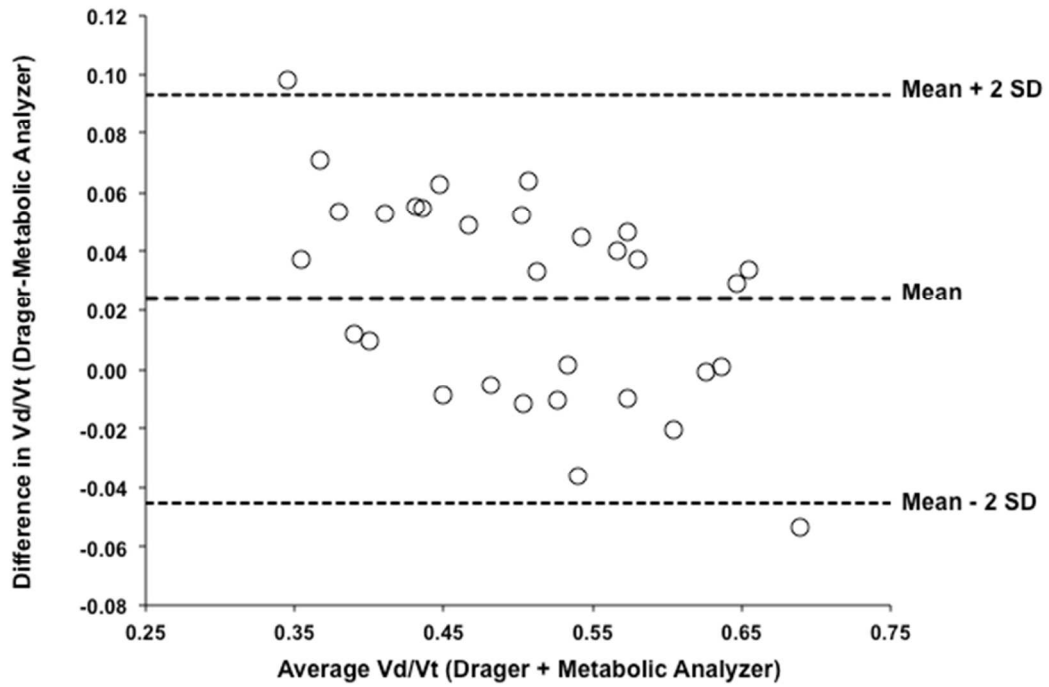




Figure 4

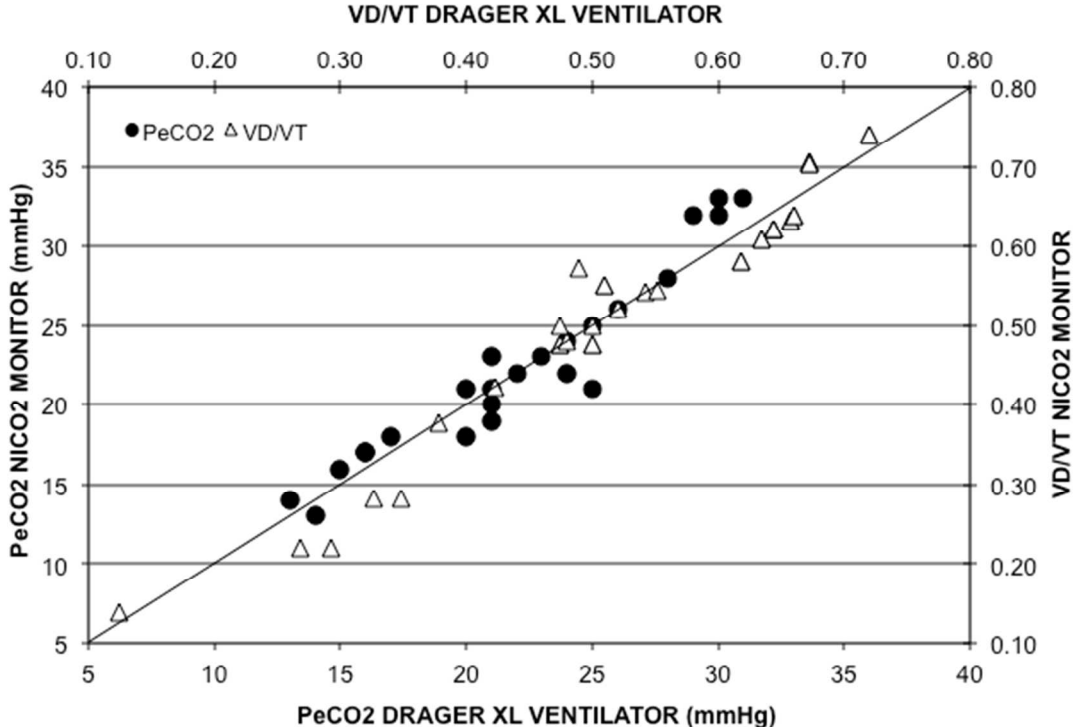


Figure 5

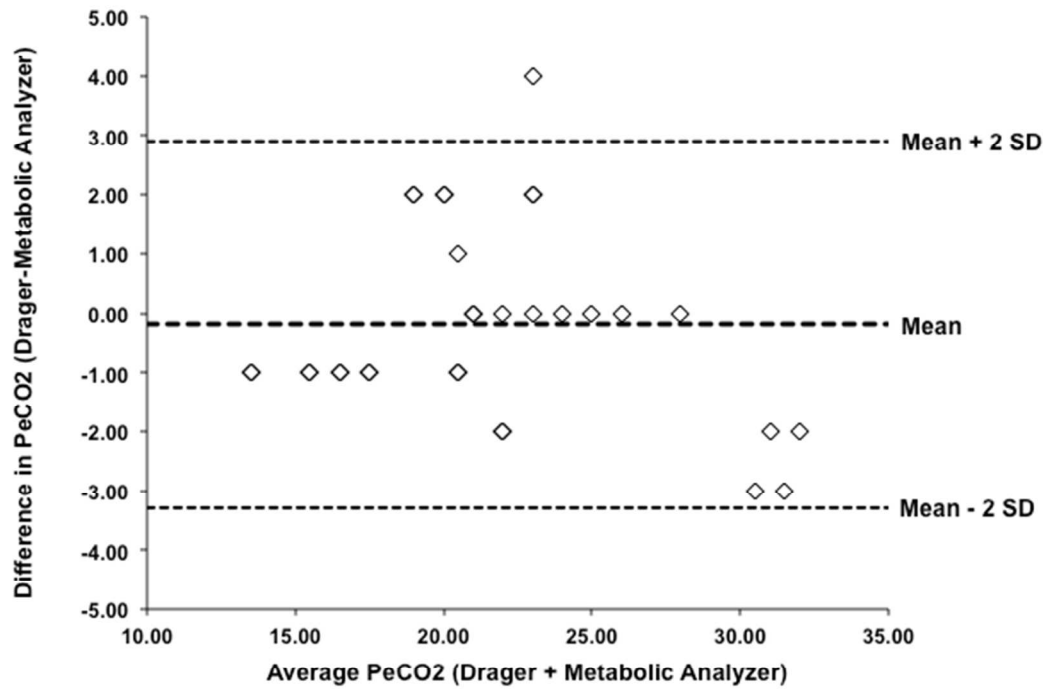


Figure 6

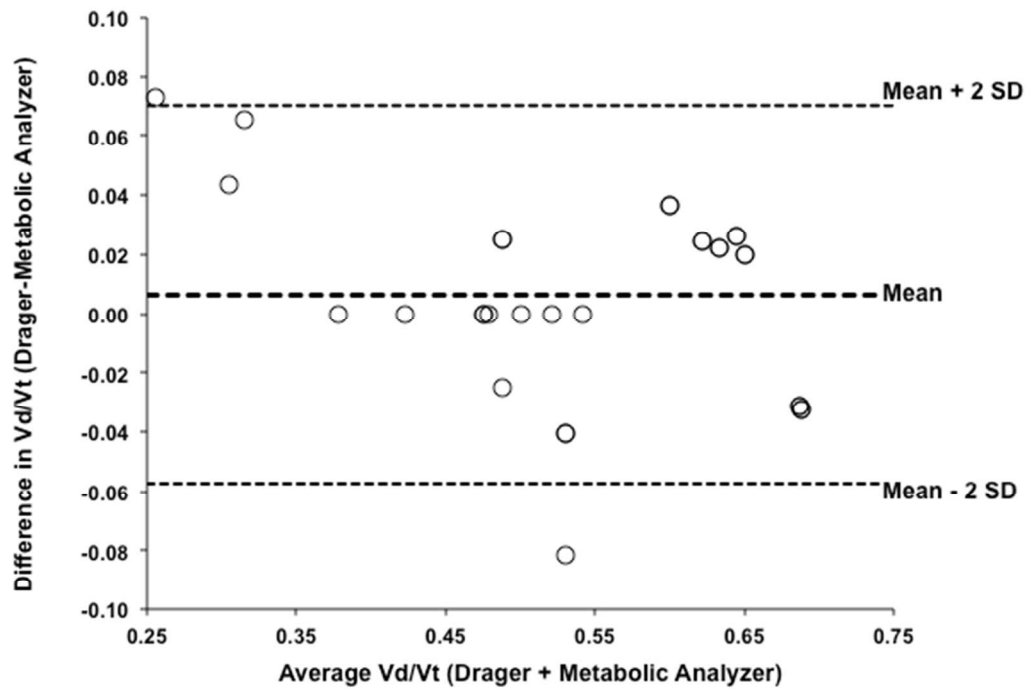


Figure 7

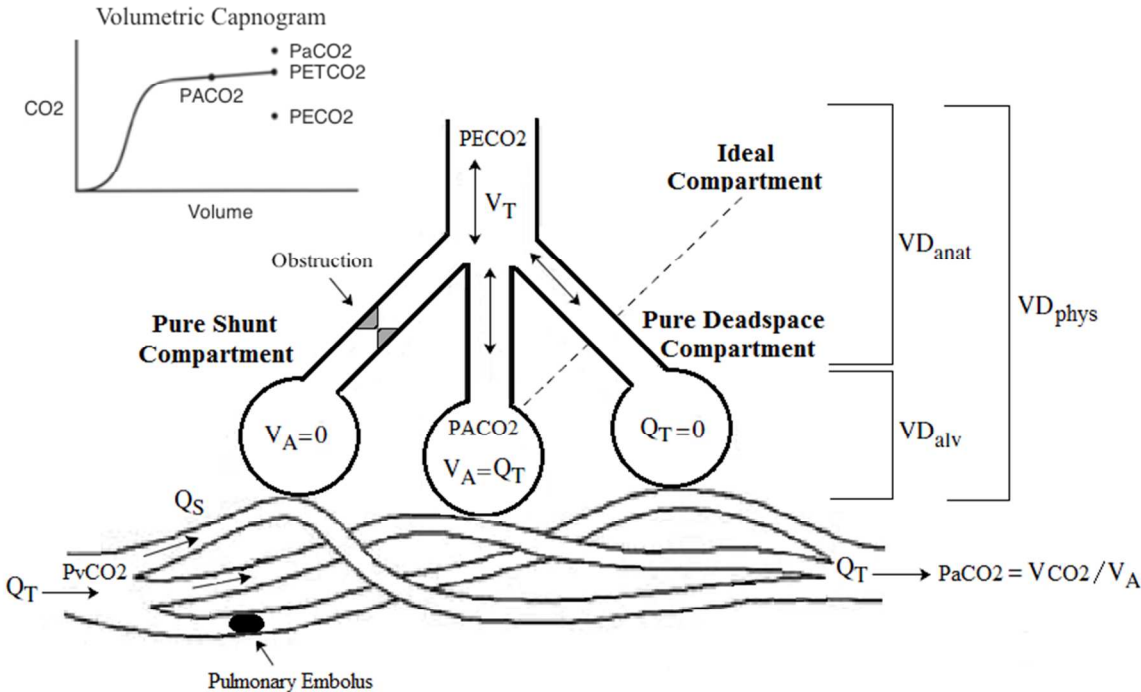


Figure 8

