# A case report of a pneumothorax caused by aggressive use of an incentive spirometer in a patient with emphysema

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#### Abstract

A 68 year old man presented to the Emergency Department with a small pneumothorax following aggressive use of an incentive spirometer. The patient had a baseline chest radiograph consistent with emphysema. He was initially treated with oxygen in the Emergency Department with resolution of his symptoms. The pneumothorax resolved spontaneously over a period of three days. The development of the pneumothorax was likely due to the patient's repeated forceful inspiratory maneuvers in the setting of emphysema and lung hyperinflation. Inspiratory resistive breathing can cause large negative swings in intrathoracic pressure which may result in mechanical stress of lung tissue. This is the first report of a secondary pneumothorax associated with use of an incentive spirometer. Patients with bullous emphysema should be counseled to avoid frequent high intensity maneuvers with an incentive spirometer if the potential benefits of the procedure are marginal.

#### Introduction

Incentive spirometers are widely used in the post-operative setting to prevent pulmonary complications such as atelectasis, pneumonia, and respiratory failure. Incentive spirometry is designed to mimic natural sighing or yawning by prompting the user to take long, slow, deep breaths (1-4). The maneuver decreases pleural pressure, increases transpulmonary pressure, and increases inspiratory volumes promoting lung expansion. Incentive spirometry is widely accepted as a safe procedure and has been studied specifically in patients who have undergone both upper and lower abdominal surgery, as well as thoracic surgery. Additionally, incentive spirometry is recommended in patients with COPD if there is concern for post-operative atelectasis (4). The clinical efficacy of incentive spirometry, however,

remains controversial (1, 4). To our knowledge, there have been no previous reports describing an association between aggressive use of an incentive spirometer and development of a pneumothorax.

### **Case Summary**

A 68 year old man presented to the Emergency Department 10 days after being discharged from our medical center after undergoing an uncomplicated right total hip arthroplasty. His chief complaint was pleuritic chest pain localized to the left hemi-thorax that had begun abruptly. He also complained of the contemporaneous onset of shortness of breath and sweating. In the Emergency Department, his vital signs were normal and his arterial oxygen saturation measured by finger pulse oximetry while he was breathing ambient air was 100%. A chest radiograph demonstrated a left-sided pneumothorax (Figure).

The patient had a 50 pack-year history of cigarette smoking. Frontal and lateral chest radiographs obtained two years prior to presentation demonstrated downward displacement and flattening of the diaphragm, an increase in the retrosternal clear space, an increased antero-posterior diameter of the thorax, and attenuation of the pulmonary vasculature in the upper lung zones consistent with hyperinflation attributable to emphysema. The remainder of his past medical and surgical history was notable for hyperlipidemia, Barrett's esophagitis, depression, insomnia, post traumatic stress disorder, benign prostatic hyperplasia, and benign familial neutropenia. He had undergone no surgeries other than the hip replacement. His medications included multivitamins, omeprazole, tamsulosin, and aspirin. He endorsed no drug allergies and had no pertinent occupational exposures. He quit smoking 20 years prior to his presentation. His parents both died of unknown malignancies in their early 60s and the patient has two sisters who are alive and without medical problems.

On further questioning, the patient described very aggressive and frequent use of his incentive spirometer (Voldyne 5000, Teleflex Medical, Research Triangle Park, NC), which he had been instructed to continue to use at the time of his hospital discharge. He was discharged 36 hours post-operatively and reported that his first use of incentive spirometry was the morning that he left our institution. He could not recall the instructions that he received from the respiratory therapist, but stated that he did not begin using the incentive spirometer regularly until the day after his discharge. He performed repeated inspiratory maneuvers, approximately 4-5 times per hour, every hour. He characterized his inspiratory maneuvers as "very determined" and attempted to "double" the recommended 2500 mL on the spirometer. At our institution, respiratory therapists instruct patients about the indications and goals of incentive spirometry and how to use the device; however, the routine adopted by the patient was not the recommendation that he received from the respiratory therapist. He stated that his forceful approach to spirometry stemmed from his desire to "get well as quickly as possible" and that he felt exercising his lungs repeatedly, and vigorously would expedite his recovery. The onset of his chest pain occurred 10 days post-discharge while resting on his couch between uses of his incentive spirometer. Since leaving our institution, he had not engaged in other activities that could rapidly change intrathoracic pressure (e.g. heavy lifting, constipation). In the Emergency Department, the patient was administered supplemental oxygen via non-rebreather facemask with a fraction of inspired oxygen that was 1.0 for 4 hours. Oxygen was administered in an effort to promote resolution of the pneumothorax. He was discharged to home and his pneumothorax resolved over a period of 2 days.

#### Discussion

This is the first report of a secondary pneumothorax associated with use of an incentive spirometer. The pneumothorax was likely due to the patient's forceful, repeated inspiratory maneuvers

causing negative intrathoracic pressure deflections in the setting of underlying emphysema and lung hyperinflation. Inspiratory resistive breathing with an incentive spirometer is intended to cause strenuous contractions of the inspiratory muscles, producing large negative swings in intrathoracic pressures similar to Müller's maneuver. The maneuver decreases pleural pressure, increases transpulmonary pressure, and results in lung expansion. This may cause stress of lung tissue, acute lung injury, and inflammation (5). A possible sequela of an abrupt increase in transpulmonary pressure is barotrauma, including pneumothorax (6).

Emphysema is characterized by the destruction of lung parenchyma leading to loss of elastic recoil, alveolar septa, and radial airway traction. This results in airway collapse, expiratory airflow obstruction, lung hyperinflation, and gas trapping. Airspaces may enlarge and bullae may develop.

Blebs, bullae, and emphysema have been reported as potential risk factors for the development of pneumothorax in certain clinical settings (7-9). Barotrauma, including pneumothorax, pneumomediastinum, and pneumorachis attributable to repeated forceful inhalations, physiologically similar to a Müller maneuver, have been described as complications of marijuana smoking and other inhalational drug use (10-14). In our case, the aggressive use of incentive spirometry coupled with underlying emphysema and hyperinflation were likely explanatory factors in the development of barotrauma and the pneumothorax.

Incentive spirometery is widely used to prevent the development of post-operative atelectasis, promote the recruitment of collapsed alveoli, and reduce the risk of post-operative pulmonary morbidity. The intervention is generally presumed to be safe and useful, and it is recommended broadly in the post-operative period for patients at risk of developing atelectasis (Table), including patients with baseline COPD (4). There is insufficient data to recommend a specific frequency for the

use of incentive spirometry. Current guidelines suggest a range from 10 maneuvers, 5 times a day, to 10 breaths every hour while a wake (4).

While incentive spirometry is generally considered safe, there is little evidence supporting its benefit and its routine use post-operatively is discouraged (4). This report of pneumothorax following aggressive use of incentive spirometry in a patient with emphysema and hyperinflated lungs illustrates potential harm with injudicious use of incentive spirometry. General measures of respiratory care, such as deep breathing exercises, adequate analgesia and early ambulation appear to be effective without the addition of incentive spirometry (4). These general practices would have been sufficient in this patient. If, however, patients with emphysema are managed with an incentive spirometer, caution is advised. This report suggests that the frequency and forcefulness of incentive spirometry maneuvers prescribed to patients should be guided by the presence of underlying emphysema or lung bullae, as well as by the underlying risk for atelectasis and pneumonia. Additionally this report underscores the importance of counseling a patient to use an incentive spirometer as directed and to avoid extreme practices.

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# **Table: Indications for Incentive Spirometry**

# **Indications for Incentive Spirometry**

- 1. Screening patients who are at risk for post-operative complications by obtaining baseline flow or volume
- 2. As a part of respiratory therapy sessions that also includes deep breathing, early ambulation, and optimal analgesia to reduce the incidence of post-operative pulmonary complications
- 3. Pulmonary atelectasis or in conditions that predispose to pulmonary atelectasis such as:

Thoracic Surgery (e.g. coronary artery
bypass grafting)
Upper-abdominal
Lower-abdominal surgery
Prolonged bed rest
Patients with COPD
Inadequate pain control
Abdominal or chest wall binders
Restrictive lung defects (e.g. low
inspiratory capacity, neuromuscular
disease, spinal cord injury)

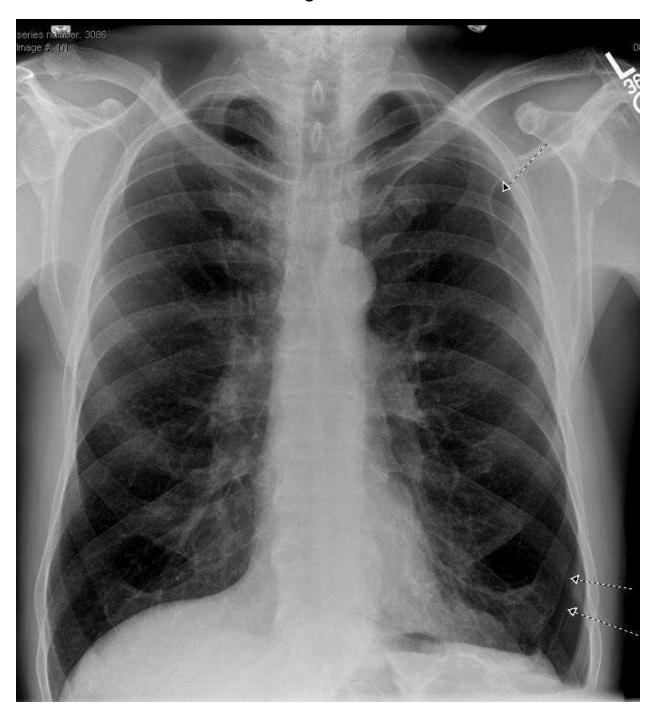
4. Acute chest syndrome in patients with sickle cell disease

Adapted from Restrepo RD, Wettstein R, Wittnebel L, Tracy M. Incentive Spirometry: 2011 Respir Care 2011;56(10):1600-1604.

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Frontal chest radiograph demonstrates a left side pneumothorax and hyperinflation.

# Figure



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