

# Early Mobilization and Rehabilitation in the ICU: Moving Back to the Future

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## Introduction

## Historical Background

## Effects of Bed Rest

## ICU-Acquired Weakness

## Safety and Feasibility of Early Mobilization and Rehabilitation

## Evidence for Effect on Patient Outcomes

## Steps to Close the Gap Between Research and Practice

## Practical Experience From the Johns Hopkins Hospital

## Future Directions for the Field

## Conclusions

**Despite the historical precedent of mobilizing critically ill patients, bed rest is common practice in ICUs worldwide, especially for mechanically ventilated patients. ICU-acquired weakness is an increasingly recognized problem, with sequelae that may last for months and years following ICU discharge. The combination of critical illness and bed rest results in substantial muscle wasting during an ICU stay. When initiated shortly after the start of mechanical ventilation, mobilization and rehabilitation can play an important role in decreasing the duration of mechanical ventilation and hospital stay and improving patients' return to functional independence. This review summarizes recent evidence supporting the safety, feasibility, and benefits of early mobilization and rehabilitation of mechanically ventilated patients and presents a brief summary of future directions for this field. Key words: ICU; rehabilitation; early mobilization; bed rest; physical therapy; occupational therapy; mechanical ventilation. [Respir Care 0;0(0):1–•. © 0 Daedalus Enterprises]**

## Introduction

Early mobilization and rehabilitation of mechanically ventilated patients in the ICU is a topic of growing interest. This review will summarize recent evidence on safety,

feasibility and potential benefits of early mobilization and rehabilitation, in addition to highlighting some future directions for this field.

## Historical Background

Reports of mobilizing hospitalized patients have been published since the late 19th century. For instance, in a

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publication from 1899,<sup>1</sup> there was discussion of a “radical change in the after-treatment of celiotomy cases.” This publication recognized that the postoperative period of bed rest could be cut to hours, instead of days or weeks, and result in reduced muscle weakness. Similar findings were published in subsequent years for patients recovering from other types of surgery and for women in the postpartum period.<sup>2-4</sup>

During World War II, these same concepts were employed to help injured soldiers return to the battlefield more quickly.<sup>5,6</sup> An early controlled clinical trial to evaluate the effectiveness of early mobility after major surgery was published in 1944.<sup>7</sup> This trial described early mobility in 100 consecutive subjects compared with another 100 subjects who had usual care. After similar surgeries, the early mobility subjects sat in a chair and walked on the first day after surgery, whereas the other group was confined to bed rest for 10–15 days as part of usual care. The total number of complications, including local surgical, pulmonary, cardiac, vascular, genitourinary and gastrointestinal complications, was 17 versus 46 in the intervention versus control groups, and no major complications (eg, pulmonary emboli or coronary thrombosis) occurred in the early mobility group.<sup>7</sup>

In 1944, a conference on bed rest was held,<sup>6</sup> and major journals were publishing on related topics, such as the “evil sequelae of complete bed rest” and the “abuse of rest in bed”<sup>8,9</sup> around this time. After the subsequent creation of ICUs, there were reports of the benefits of early mobilization in mechanically ventilated patients.<sup>10,11</sup> Thomas Petty, a leader in pulmonary and critical care medicine, highlighted historical practices from the early days of critical care, in contrast to later practice, by saying: “When we first started our unit in 1964, patients who required mechanical ventilation were awake and alert and often sitting in a chair.... But what I see these days are paralyzed, sedated patients, lying without motion, appearing to be dead, except for the monitors that tell me otherwise.”<sup>12</sup> Thus, there is a strong historical basis for early mobilization and rehabilitation of hospitalized patients, including mechanically ventilated patients in the ICU.

### Effects of Bed Rest

Bed rest can lead to rapid deconditioning and muscle atrophy.<sup>13</sup> Studies of young healthy adults have shown that after 2 weeks of immobilization, there is a 5–9% loss of quadriceps muscle mass and 20–27% decrease in quadriceps muscle strength.<sup>14,15</sup> These effects are often accelerated and more pronounced in older adults, with a 3–6-fold greater rate of muscle loss.<sup>16,17</sup>

In mechanically ventilated patients, skeletal muscle cross-sectional area can decrease by 12.5% over the first week in the ICU.<sup>18</sup> In ventilated patients with multiple-

organ failure, muscle loss is much greater compared with those with only single-organ failure (8.7% vs 1.8% after 3 d, and 15.7% vs 3.0% after 7 d of ICU stay).<sup>18</sup> Muscle biopsies from mechanically ventilated patients show signs of inflammation, necrosis, and replacement of muscle fibers with adipose and connective tissue.<sup>18,19</sup>

Bed rest in the ICU may be an important risk factor for long-term muscle weakness. A prospective study longitudinally following 222 ARDS survivors at 3, 6, 12, and 24 months reported a 3–11% relative decrease in muscle strength for every additional day of bed rest in the ICU, after adjusting for other potential risk factors that may contribute to long-term weakness.<sup>20</sup> Other physical outcome measures, including 6-min walk distance and quality of life scores, remained consistently lower than population norms through the 2-y follow-up period, with worse results in those with versus without muscle weakness.<sup>20</sup>

Therefore, bed rest can be an important risk factor for weakness in ICU patients. Early recognition of this issue is a key step in improving patient outcomes.

### ICU-Acquired Weakness

ICU-acquired weakness is defined as the presence of clinically detectable weakness in ICU patients with no possible etiology other than critical illness.<sup>21</sup> Clinically detectable weakness is generally evaluated via a standardized physical examination of strength, known as manual muscle testing, using the ordinal 6-point Medical Research Council scale (ranging from 0 [no palpable or visible muscle contraction] to 5 [normal strength]). Traditionally, manual muscle testing is performed in 3 muscle groups in each extremity bilaterally, with weakness diagnosed as a total score of <48 of 60.<sup>22,23</sup> This approach to evaluating strength has its limitations, including the need for patients to be awake, cooperative, and capable of actively moving the extremities.<sup>21,24</sup> Although there is large variability in its reported prevalence, more than one third of patients requiring mechanical ventilation for at least 5 d may have ICU-acquired weakness.<sup>25</sup>

Such weakness of the extremities as occurs with ICU-acquired weakness is also associated with respiratory muscle weakness and prolonged weaning from mechanical ventilation.<sup>26-28</sup> Consequently, recognition of ICU-acquired weakness may be important due to patients' increased risk of ventilator-associated pneumonia and recurrent respiratory failure.<sup>29</sup>

A prospective study compared 122 subjects with ICU-acquired weakness in a mixed medical/surgical ICU with propensity-matched controls, finding that ICU-acquired weakness was independently associated with a longer duration of mechanical ventilation (11 d vs 8 d,  $P = .009$ ) and hospital stay (36 d vs 23 d,  $P = .007$ ), greater total costs per patient (\$23,277 vs \$17,834,  $P = .040$ ), and

increased 1-y mortality (30.6% vs 17.2%,  $P = .02$ ). Furthermore, subjects with persistent and severe weakness (ie, Medical Research Council score  $<36$  of 60 at the end of ICU stay) had a higher risk of death over 1-y follow-up (hazard ratio 4.3,  $P < .001$ ).<sup>28</sup>

ICU survivors with ICU-acquired weakness also experience significant long-term impairment in respiratory muscle strength, physical functioning, and quality of life, lasting for months and years after hospital discharge.<sup>20,30-32</sup> As outlined below, early mobilization and rehabilitation of critically ill patients may play an important role in preventing these sequelae.

### Safety and Feasibility of Early Mobilization and Rehabilitation

Given the growing literature on ICU-acquired weakness and the harms of bed rest, early mobilization and rehabilitation of critically ill patients is regaining attention. Despite the potential concerns about mobilizing mechanically ventilated patients, many studies have repeatedly demonstrated its safety and feasibility, with very low rates of potential safety events. In a German national point prevalence study of 775 mechanically ventilated subjects, the frequency of potential safety events was no higher with out-of-bed versus in-bed activity.<sup>33</sup> With mobilization of mechanically ventilated patients, the most frequent potential events are physiological changes that are usually transient and resolve after rest, without any intervention.<sup>34-36</sup>

Recently, a panel of experts agreed that endotracheal intubation should not be a contraindication to active in-bed or out-of-bed mobilization or rehabilitation in the ICU setting.<sup>37</sup> This report provided relevant safety guidelines, categorized by each body system (eg, respiratory, cardiovascular, and neurological systems), for mobilizing critically ill patients. Figure 1 demonstrates an example of the panel's recommendations regarding respiratory safety criteria.<sup>37</sup>

Feasibility of early mobility for mechanically ventilated patients is well recognized. A study of 103 mechanically ventilated subjects admitted over a 6-month period assessed safety and feasibility of early progressive mobility, including sitting on the edge of the bed, sitting in a chair, and ambulating. Of 1,449 mobility sessions, 41% involved intubated subjects, with 249 events in which intubated subjects ambulated, and  $<1\%$  occurrence of potential safety events.<sup>36</sup> Figure 2 is a photograph of a patient ambulating while receiving mechanical ventilation via an endotracheal tube, with assistance from respiratory and physical therapists.

A bundle has been proposed that combines early mobility with awakening/breathing coordination (ie, spontaneous awakening trials with cessation of any infusion of sedative agents, combined with spontaneous breathing trials) and delirium monitoring/management (the ABCDE

Respiratory considerations	In-bed exercises	Out-of-bed exercises
<b>Intubation</b>		
Endotracheal tube	●	●
Tracheostomy tube	●	●
<b>Respiratory parameters</b>		
$F_{IO_2}$		
$\leq 0.6$	●	●
$> 0.6$	▲	▲
Percutaneous oxygen saturation		
$\geq 90\%$	●	●
$< 90\%$	▲	●
Breathing frequency		
$\leq 30$ breaths/min	●	●
$> 30$ breaths/min	▲	▲
<b>Ventilation</b>		
Mode HFOV	▲	●
PEEP		
$\leq 10$ cm H <sub>2</sub> O	●	●
$> 10$ cm H <sub>2</sub> O	▲	▲
Patient-ventilator asynchrony	▲	▲
<b>Rescue therapies</b>		
Nitric oxide	▲	▲
Prostacyclin	▲	▲
Prone positioning	●	●

Fig. 1. Respiratory safety considerations. Green: Low risk of an adverse event. Proceed as usual according to each ICU's protocols and procedures. Yellow: Potential risk and consequences of an adverse event are higher than green but may be outweighed by the potential benefits of mobilization. The precautions or contraindications should be clarified before any mobilization episode. If mobilized, consideration should be given to doing so gradually and cautiously. Red: Significant potential risk or consequences of an adverse event. Active mobilization should not occur unless specifically authorized by the treating intensive care provider in consultation with senior physical therapy and nursing staff. From Reference 37.

bundle).<sup>38</sup> Implementing the bundle in a pre-post study of 296 subjects (187 mechanically ventilated) showed that subjects in the post- versus pre-implementation period had more ventilator-free days (median of 24 d vs 21 d,  $P = .04$ ). After adjusting for age, severity of illness, comorbidity, and mechanical ventilation status, subjects in the post-



Fig. 2. A patient ambulating while receiving mechanical ventilation via endotracheal tube, with the assistance of a respiratory therapist (front) and a physical therapist (behind). With patient and staff permission.

versus pre- group were more likely to mobilize out of bed at least once during an ICU stay (odds ratio 2.11,  $P = .003$ ) and less likely to experience delirium at any time in their ICU stay (odds ratio 0.55,  $P = .03$ ).<sup>39</sup> Hence, a coordinated multidisciplinary approach can be effective in overcoming the barriers of deep sedation and delirium, allowing patients to benefit from early mobilization.

### Evidence for Effect on Patient Outcomes

Early rehabilitation of mechanically ventilated patients may have both short- and long-term benefits. A non-randomized, controlled trial assigned 280 mechanically ventilated subjects to either receive usual care or a mobility protocol that included 4 levels of activities, ranging from passive range of motion to active transfer to a chair. The protocol was conducted by a dedicated mobility team (critical care nurse, nursing assistant, and physical therapist) 7 d/week, starting within 48 h of mechanical ventilation. After adjusting for body mass index, Acute Physiology and Chronic Health Evaluation II (APACHE II) score, and vasopressors, subjects in the intervention group got out of bed much earlier (5.0 vs 11.3 d,  $P < .001$ ) and had a shorter stay in the ICU (5.5 d vs 6.9 d,  $P = .02$ ) and hospital (11.2 d vs 14.5 d,  $P = .006$ ).<sup>40</sup> A subsequent follow-up study showed that lack of early mobility was independently associated with a higher odds of death or readmission within 1 y of hospitalization (odds ratio = 1.77, 95% CI = 1.04–3.01,  $P = .036$ ).<sup>41</sup>

In a randomized controlled trial conducted in 2 university hospital ICUs, 104 mechanically ventilated subjects were randomized to either receive usual care or early physical therapy and occupational therapy interventions. Sub-

jects randomized to early physical therapy and occupational therapy interventions were more likely to return to independent physical functioning at hospital discharge (59% vs 35%,  $P = .02$ ), have shorter duration of mechanical ventilation (3.4 d vs 6.1 d,  $P = .02$ ), and have fewer days with delirium in the ICU (2 d vs 4 d,  $P = .03$ ). A key reason for these benefits was the early start to rehabilitation interventions. Specifically, the intervention versus control group started physical therapy and occupational therapy interventions at 1.5 d versus 7.4 d ( $P < .001$ ) after intubation and had a much greater daily median duration of physical therapy/occupational therapy interventions while mechanically ventilated (19 min/d vs 0 min/d,  $P < .001$ ).<sup>42</sup>

On the other hand, a single-center randomized controlled trial randomized 150 subjects in the ICU for  $\geq 5$  d to usual care (ie, physical therapy available 7 d/week) versus an intensive exercise regimen in the ICU, ward, and outpatient clinic. This trial demonstrated no significant difference in patient outcomes over 12 months of follow-up.<sup>25</sup> Another multi-center randomized controlled trial randomized 120 mechanically ventilated subjects to up to 28 d of physical therapy in the ICU and on the ward at 3 d/week (control group, with actual average duration per session of 22 min) versus 7 d/week (intervention group, with actual average duration per session of 39 min). The physical therapy intervention started at a median (interquartile range) of 8 (6–11) d after intubation. There was no significant difference in physical function at 1-, 3-, and 6-month follow-up, with the primary outcome only measurable in one third of patients at 1-month follow-up.<sup>43</sup> In contrast to the trials with positive results, described above, both of these negative trials started the rehabilitation intervention relatively late after initiation of mechanical ventilation and had control groups with physical therapy delivered at a much higher intensity compared with control groups in the positive trials and compared with usual practice.<sup>35,44</sup> More research, with larger sample sizes, should evaluate the optimal timing and dose of rehabilitation in the ICU. However, based on current evidence, initiation of rehabilitation early after intubation appears to improve patient outcomes compared with the usual practice in most ICUs of little or no rehabilitation during mechanical ventilation.

### Steps to Close the Gap Between Research and Practice

Despite the well-known detrimental effects of bed rest and research supporting early initiation of rehabilitation, mobilizing ICU patients in routine clinical practice remains uncommon, especially for mechanically ventilated patients. In a recent point prevalence study of therapist-delivered mobility in 770 subjects from 33 ICUs within



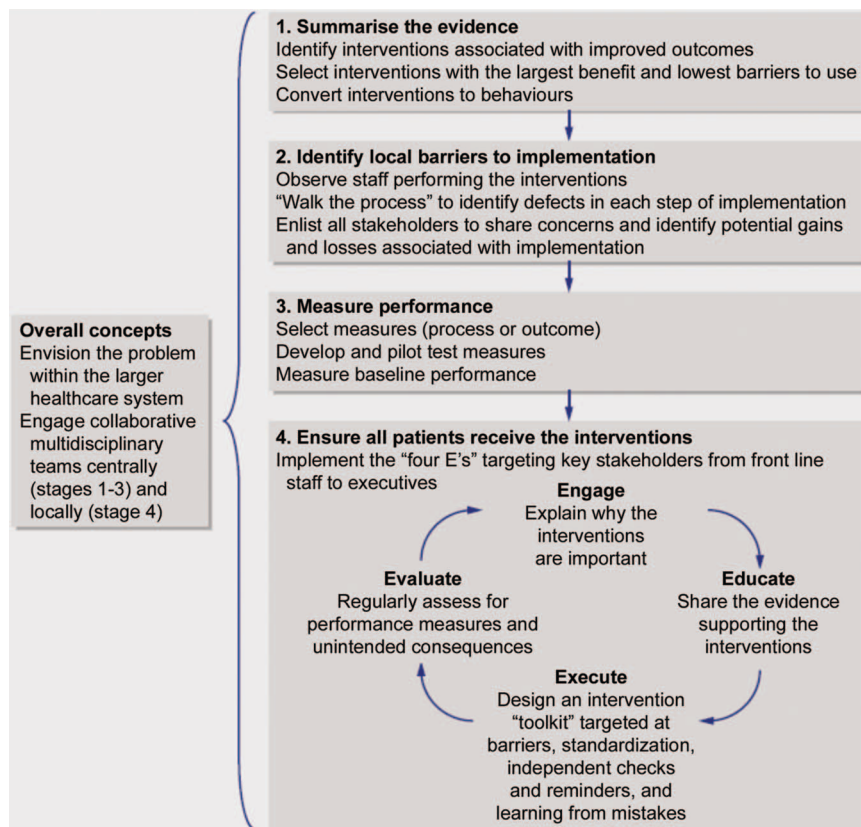


Fig. 3. Summary of a quality improvement model for translating research evidence into routine clinical practice to improve patient outcomes. From Reference 49, with permission.

the United States ARDS Network, sitting at the edge of bed or greater activity occurred in only 16% of mechanically ventilated subjects.<sup>44</sup> Likewise, 2 national single-day point prevalence studies in Germany and Australia/New Zealand have been completed. The German study reported that, among 775 mechanically ventilated subjects, only 24% were at least sitting on the edge of the bed,<sup>33</sup> whereas the Australia/New Zealand study reported that none of the 222 mechanically ventilated subjects sat out of bed or walked.<sup>35</sup>

A common barrier to mobilizing critically ill patients is inadequate staffing of physical and occupational therapists in ICUs. In the United States, physical therapists are infrequently available in ICUs, with a median (interquartile range) of 6.3 (4–10) physical therapists/100 ICU beds.<sup>45</sup> Only 34% of ICUs report having a dedicated physical therapy/occupational therapy team, and 30% have a written protocol for early mobilization.<sup>46</sup>

However, even with adequate staffing, mechanically ventilated patients may still be infrequently mobilized. For instance, in a prospective study of 192 mechanically ventilated subjects in Australia/New Zealand, where physical therapists also deliver respiratory therapy and there is a median of 1 physical therapist/9 ICU beds, 64% of sub-

jects did not receive early mobilization, and 45% of all rehabilitation sessions were conducted with the subject in bed.<sup>47</sup>

Another Australian study of 106 ICU subjects reported that perceived barriers to mobilization (eg, femoral lines, timing of procedures, and sedation) were potentially avoidable in 47% of patient days where mobilization did not occur.<sup>48</sup>

Successfully closing this gap between research and clinical practice requires the use of structured multistep quality improvement efforts. One such quality improvement approach is the Translating Research into Practice model.<sup>49</sup> Within the Translating Research into Practice model, it is critical that a multidisciplinary team be engaged to evaluate the research-to-practice gap within the larger health-care setting. The model consists of 4 steps (Fig. 3): (1) summarizing the evidence to understand the highest-yield intervention(s) that will address the health-care problem (eg, early mobility/rehabilitation to address physical impairments in critically ill patients); (2) identifying local barriers to the implementation of these interventions; (3) creating metrics or performance measures to evaluate progress with overcoming barriers and implementing the intervention; and (4) ensuring that all patients receive the in-

intervention by using the “4 Es” framework, which involves an iterative process of engaging stakeholders and then educating them before moving onward to executing the intervention and continuously evaluating it using the progress measures from Step 2.<sup>49,50</sup> An example of executing this model took place in the form of a quality improvement project at the Johns Hopkins Hospital, as described in the next section.

### **Practical Experience From the Johns Hopkins Hospital**

In the Johns Hopkins Hospital medical ICU, a multidisciplinary quality improvement project targeting early rehabilitation was planned over an 8-month period and then executed over 4 months.<sup>51</sup> The quality improvement project, conducted using the Translating Research into Practice model, focused on all medical ICU patients, with detailed data collection and evaluation completed for patients requiring  $\geq 4$  d of mechanical ventilation, without any preexisting cognitive or neuromuscular problems. Among the steps included in executing the quality improvement project were modifying the default activity level in the medical ICU admission order set from “bed rest” to “activity as tolerated,” changing sedation practice from continuous infusions to “as needed” boluses, providing guidelines for both physical therapy and occupational therapy consultations, and implementing safety screening guidelines for rehabilitation in ICU patients.

Compared with the 3-month period immediately preceding the quality improvement project, there was a significant decrease in the use of sedative medications, with a significant increase in the proportion of days in which patients were alert (66% vs 29%,  $P < .001$ ) and not delirious (53% vs 21%,  $P = .003$ ). In addition, there was a significant decrease in the proportion of ICU days in which eligible patients failed to receive rehabilitation therapy (7% vs 41%,  $P = .004$ ). Among 294 physical therapy and occupational therapy treatments given, there were only 4 (1.4%) potential safety events that were minor in nature. Compared with the same 4-month period in the preceding year, the quality improvement period had a 30% decrease in the average medical ICU stay ( $P = .02$ ), with a 20% increase in the number of medical ICU admissions.<sup>51</sup>

Following the success of this quality improvement project, Johns Hopkins Hospital funded an early rehabilitation program that increased the full-time rehabilitation staff dedicated to the medical ICU. In addition, a new sedation protocol was created, and standardized delirium assessments by nurses became routine practice.<sup>50,52</sup> A prospective cohort study evaluating sustainability of the quality improvement project compared data on consecutive ARDS subjects admitted in the 3 y preceding the quality improvement project with ARDS subjects admitted over a 3-y

period starting 2 y after completion of the quality improvement project. This comparison, extending out to 5 y after completion of the quality improvement project, demonstrated that subjects in the post-quality improvement period had a shorter time to initiation of physical therapy (adjusted hazard ratio = 8.4, 95% CI 5.0–14.1,  $P < .001$ ) that was significant for each of the 5 y during the post-quality improvement period. In addition, in the post-quality improvement versus pre-quality improvement period, there was a significant increase in the proportion of subjects ever receiving physical therapy (68% vs 16%,  $P < .001$ ) and achieving a higher daily activity level during physical therapy treatments (eg, sitting at the edge of the bed, standing, or ambulating: 41% vs 4%,  $P < .001$ ).<sup>53</sup>

Another follow-up study from the Johns Hopkins medical ICU evaluated the safety of physical therapy interventions during 1,110 consecutive medical ICU admissions (60% of which received mechanical ventilation) over a period of 53 months following completion of the quality improvement project. Of 5,267 physical therapy sessions, only 34 (0.6%) had any potential safety event (all prospectively screened using standardized criteria). These events were mostly transient physiologic changes (eg, changes in mean arterial pressure and oxygen saturation) that resolved with rest. Less than 8 per 10,000 physical therapy sessions had an event that required any additional therapy, with no event requiring increased length of stay.<sup>34</sup>

A qualitative study conducted via independent semi-structured interviews with 20 medical ICU staff reported that the Johns Hopkins quality improvement project resulted in an important shift in ICU culture, causing early mobility to be perceived as “common sense.” In addition, 95% of staff reported improved job satisfaction. Interviewees agreed that the components necessary for success of the quality improvement project included a supportive culture, the presence of a multidisciplinary team with good communication, a leader who could advocate for rehabilitation, and adequate resources (personnel, equipment, and funding).<sup>54</sup> This quality improvement project serves as an important example of the steps needed to bridge the gap between research and practice, resulting in improved patient outcomes.

### **Future Directions for the Field**

A number of technologies are being evaluated to assist with rehabilitation and mobilization of critically ill patients. A few such technologies will be mentioned here as part of future directions for the field.

Neuromuscular electrical stimulation is a rehabilitation modality that has been used extensively in physical medicine and rehabilitation practice. It delivers low-voltage electrical impulses through electrodes placed on the skin overlying target muscles, causing passive contraction. It is

used in both in-patient and out-patient settings for the treatment of muscle weakness in patients with chronic disease, such as advanced COPD and congestive heart failure,<sup>55,56</sup> and treatment of healthy athletes after sports-related injuries.<sup>57</sup> In the critically ill population, there has been increasing interest in neuromuscular electrical stimulation for the prevention and treatment of ICU-acquired weakness, with several systematic reviews summarizing the evolving evidence.<sup>58-61</sup> This promising therapy requires continued research before adoption into routine clinical care in the ICU setting.

Cycle ergometry uses a bedside device on which a supine patient can perform passive, active-assisted, or active in-bed cycling. Passive cycling may limit muscle protein catabolism in unconscious patients.<sup>62</sup> In addition, this intervention does not appear to cause any clinically adverse hemodynamic or respiratory changes, even when applied early (within 72 h of starting mechanical ventilation).<sup>63</sup> In a randomized controlled trial of 90 critically ill subjects with respiratory failure, cycle ergometry significantly increased quadriceps muscle strength, 6-min walking distance, and quality of life scores.<sup>64</sup> A prospective study has demonstrated the safety and feasibility of cycle ergometry, as part of routine clinical practice, in the Johns Hopkins Hospital medical ICU. Over an 18-month period, 181 subjects (80% mechanically ventilated) received a total of 541 cycling sessions with trained physical therapists, with only one safety event reported (0.2% rate).<sup>65</sup>

Functional electrical stimulation uses neuromuscular electrical stimulation to stimulate multiple groups of muscles concurrently in a functional way that mimics voluntary contraction. Functional electrical stimulation can be conducted with cycle ergometry to achieve functional electrical stimulation-assisted cycling. Results from a small pilot study indicate that functional electrical stimulation-assisted cycling is safe and feasible, with potential benefit in enhancing functional recovery and reducing incidence of delirium.<sup>66</sup> An ongoing multi-center randomized controlled trial (ClinicalTrials.gov identifier: NCT02214823) is being conducted at 4 sites in Australia and the United States to evaluate its effect on short- and long-term physical and cognitive outcomes in mechanically ventilated subjects.<sup>67</sup> Other innovative therapies used in mechanically ventilated patients include hydrotherapy (mobilization in a swimming pool to overcome gravitational forces)<sup>68</sup> and interactive video games.<sup>69</sup>

## Conclusions

In modern day critical care, bed rest is common practice, especially for mechanically ventilated patients, with increasingly recognized short- and long-term negative sequelae. Early mobilization and rehabilitation is safe and

feasible, with some evidence of improved patient outcomes, including decreased mechanical ventilation duration and improved physical functioning. Structured quality improvement projects are crucial for closing the large gap between these research findings and routine clinical practice, in order to expedite the post-ICU recovery of mechanically ventilated patients. Involving a multidisciplinary team, with a recognized leader, can be effective in changing ICU culture and practice to effectively deliver early mobilization and rehabilitation.

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