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## **Peak expiratory flow during mechanical insufflation-exsufflation: endotracheal tube versus facemask**

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1 **Peak expiratory flow during mechanical insufflation-exsufflation:**  
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3

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30

31 **Abstract**

32 **Background:** Mechanical insufflation-exsufflation (MI-E) applied through the endotracheal tube  
33 (ETT) can effectively eliminate airway secretions in intubated patients. However, the effect of the  
34 interface (ETT vs. facemask) on expiratory airflow generated by MI-E has not been investigated. This  
35 study aimed to investigate the effect of the ETT on peak expiratory flow (PEF), along with other  
36 associated factors that could influence PEF generated by MI-E.

37 **Methods:** Intubated participants received two sessions of MI-E via ETT therapy per day for two  
38 consecutive days. One MI-E session consisted of five sets of either constant (+40/-40 cmH<sub>2</sub>O) or  
39 incremental (+30/-30 to +50/-50 cmH<sub>2</sub>O) pressure applications. Following extubation, MI-E sessions  
40 were repeated using facemask. Expiratory airflow during MI-E therapy was continuously measured  
41 and every PEF during each application was analysed using linear mixed-effect and generalised linear  
42 mixed models.

43 **Results:** A total of 12 participants (nine [75.0%] men; mean [SD] age, 74.0 [10.2] years) completed  
44 all MI-E sessions with both ETT and facemask interfaces. The PEF generated during MI-E treatment  
45 was influenced by the interface (ETT vs. facemask), pressure gradient, and number of session  
46 repetitions. Adjusted mean PEF values for MI-E via ETT and facemask at +40/-40 cmH<sub>2</sub>O were -  
47 2.521 and -3.114 L/s, respectively, and -2.956 and -3.364 L/s at +50/-50 cmH<sub>2</sub>O, respectively. At a  
48 pressure gradient of +40/-40 cmH<sub>2</sub>O, only 172 of 528 MI-E trials via ETT (32.6%) achieved a PEF  
49 faster than -2.7 L/s, whereas 304 of 343 MI-E trials via facemask (88.6%) exceeded PEF < -2.7L/s.

50 **Conclusions:** MI-E via ETT generated slower PEF than via facemask, suggesting that a higher-  
51 pressure protocol should be prescribed for intubated patients. An insufflation-exsufflation pressure up  
52 to +50/-50 cmH<sub>2</sub>O could be considered to produce a PEF faster than 2.7 L/s, and the applications were  
53 safe and feasible for patients under invasive mechanical ventilation.

54 **Keywords:** mechanical insufflation-exsufflation, cough assist, expiratory flow, endotracheal tube,

55 mechanical ventilation, respiratory therapy

56

57

**Introduction**

58 Patients in the ICU receiving mechanical ventilation (MV) often require frequent removal of  
59 airway secretions. Accumulated mucus, without timely removal, aggravates airway obstruction that  
60 can induce hypoxemia, hypoventilation, pulmonary atelectasis, and ventilator-associated pneumonia.<sup>1</sup>  
61 Acute pulmonary infections and impaired mucociliary transport due to prolonged immobility, in  
62 addition to the use of sedatives, worsen the accumulation of large amounts of airway secretions in  
63 critically ill patients.<sup>2</sup>

64 Endotracheal suctioning through the endotracheal tube (ETT) has been commonly applied to  
65 maintain airway hygiene in the ICU. However, only the secretions from the larger proximal airways  
66 can be cleared using endotracheal suctioning, because negative pressure can only be directly applied  
67 within a limited area of the bronchial tree.<sup>2,3</sup> Mechanical insufflation-exsufflation (MI-E) inflates the  
68 lungs using positive pressure and then abruptly shifts to negative pressure across all airways to  
69 simulate the physiologic cough, which facilitates the movement of secretions from the peripheral to  
70 the central airways.<sup>4</sup> Application of MI-E in the ICU could be another strategy to effectively remove  
71 secretions in intubated patients<sup>5-7</sup>; however, there is insufficient evidence regarding the efficiency of  
72 sputum removal using MI-E compared with conventional endotracheal suctioning. Previous studies  
73 have used different outcome measures and have drawn inconsistent conclusions about the  
74 effectiveness of MI-E in the ICU.<sup>8,9</sup> Additionally, the applied insufflation-exsufflation pressures  
75 ranged from +30/-30 cmH<sub>2</sub>O to +50/-50 cmH<sub>2</sub>O with no consensus regarding the optimal pressure  
76 settings.<sup>3, 10-12</sup> These differences in protocol hinder current research on the effectiveness of MI-E  
77 during critical care.

78 The ETT interface can reduce the diameter of airway at the main bronchi and increase the  
79 total airway resistance, which might result in slower expiratory airway flow compared with the  
80 facemask interface.<sup>13</sup> However, the effect of the ETT on expiratory airflow during MI-E therapy has  
81 not yet been examined. In this study, we compared peak expiratory flow (PEF) during MI-E therapy

82 through ETT before extubation, and through facemask after extubation, in the same participants.  
83 Through these comparisons, we investigated the effect of the ETT on PEF, along with other  
84 associated factors that could influence PEF generated by MI-E. The results of this study are expected  
85 to provide evidence upon which to base future protocols for MI-E therapy in intubated patients.

86

## 87 **Methods**

### 88 **Study participants**

89 We recruited subjects receiving MV in the ICUs of a single tertiary centre hospital who  
90 require MI-E therapy owing to large amount of secretions, i.e., patients who needed endotracheal  
91 suctioning via ETT more frequently than every 6 hours. Although, endotracheal suctioning is  
92 necessary whenever clinically indicated to remove secretions, frequent suctioning (>6 times per day)  
93 is known to increase the possibility of adverse events like hypoxemia, haemorrhagic secretions, and  
94 blood pressure or heart rate change.<sup>14</sup> When the patient lacked effective cough capacity and had  
95 abundant secretions, extubation had to be postponed due to a high risk of post-extubation respiratory  
96 failure and reintubation;<sup>1,2</sup> thus, MI-E was applied to remove secretions and maintain proper airway  
97 patency.

98 From June 2019 to July 2020, a total of 457 subjects were consulted for ICU rehabilitation  
99 treatment, and only intubated subjects on any mode of ventilation without planning extubation within  
100 the next 24 hours were recruited for MI-E therapy through ETT (n=242). Subjects deemed too  
101 unstable for MI-E therapy initiation (PEEP >8 cmH<sub>2</sub>O, ratio of P<sub>aO<sub>2</sub></sub> to F<sub>I<sub>O<sub>2</sub></sub></sub> <150 mmHg, RR >35/min,  
102 heart rate (HR) >130 bpm, systolic blood pressure <90 or >160 mmHg, and diastolic blood pressure  
103 <50 or >110 mmHg) (n=64); subjects with contraindications to MI-E such as active communicable  
104 respiratory infections, barotrauma or pneumothorax within 1 month (n=52); and subjects who  
105 declined or were unable to participate (n=78) were excluded from the study (e-Figure 1 of the



106 supplementary material, available at <http://www.rcjournal.com>).<sup>10, 15, 16</sup> A total of 21 subjects were  
107 enrolled as participants in the study to receive MI-E therapy through ETT and facemask. The  
108 Institutional Review Board (IRB) of the Ethical Committee of Seoul National University Hospital  
109 approved and monitored the study in accordance with the Declaration of Helsinki (IRB No. 1907-114-  
110 104).

111

### 112 **MI-E protocol**

113         After obtaining informed consents from the participants or their legal guardians who were  
114 substitute decision-makers, each participant was scheduled for two MI-E sessions via ETT therapy per  
115 day for two consecutive days. The participants were divided into two groups (details are presented in  
116 Figure 1). In group I, the pressure was increased from +30/-30 to +50/-50 cmH<sub>2</sub>O in the first MI-E  
117 session; in group II, a constant pressure of +40/-40 cmH<sub>2</sub>O was used for the entire first session. For  
118 the second session on day one, the pressure settings were reversed for each group in order to evaluate  
119 the effect of different pressure application strategies (constant vs. incremental) on airflow generated  
120 through the ETT in the same participants (Figure 1a). The same pressure settings as those used in the  
121 first session on day one were applied for both sessions on day two to evaluate the effects of the  
122 number of MI-E treatment sessions on airflow (Figure 1b). Since the timing of extubation varied  
123 according to individual medical conditions, not all participants completed four sessions of MI-E with  
124 flow measurements. If the extubation was successfully performed before the initial four successive  
125 MI-E sessions, only completed flow measurements were included in the analysis. Contrarily, the  
126 participants who were not extubated even after the four sessions had continued MI-E treatment via  
127 ETT until extubation using the incremental pressure settings. However, MI-E treatments after the  
128 initial four sessions during the intubation period were neither measured nor included in the analysis.

129         After extubation, MI-E via facemask with the same pressure protocols as those used on day  
130 one was employed to investigate the effect of the interface (ETT vs. facemask) on airflow (Figure 1c).



131 One cough cycle comprised 3 s of insufflation, 2 s of exsufflation, and 2 s of pause, with a  
132 total of five consecutive coughs (repetitions) within one set. All participants received a total of five  
133 sets per treatment session with a rest period of <2 min between each set. Longer insufflation followed  
134 by shorter exsufflation and 'low' inhale flow setting were chosen based on previous results from the  
135 lung-model analysis to simulate the physiology of a cough.<sup>17-19</sup> Further detailed information about the  
136 MI-E treatment protocol can be found in the supplementary material (available at  
137 <http://www.rcjournal.com>)

138

### 139 **Measurements**

140 The Cough Assist E70™ (Phillips-Respironics, USA) was serially connected to a flowmeter  
141 (Citrex™ H4 Gas Flow Analyser, IMT Analytics AG, Switzerland), a single-use antibacterial filter,  
142 and either the ETT or facemask interface (Figure 2). The flowmeter was calibrated and validated  
143 annually by IMT Analytics, wherein the confirmed maximal uncertainty error was  $\leq 0.75\%$  for all  
144 measurements. Insufflation-exsufflation airflow was measured every 0.001 s during the entire  
145 treatment session. The primary outcome was PEF (in L/s): the lowest value of airflow measured  
146 during exsufflation since the value was measured and analysed with negative signs (See e-Figure 2 of  
147 the supplementary material available at <http://www.rcjournal.com>).

148 The secondary outcomes were the feasibility and safety of MI-E therapy when using either  
149 ETT or facemask interface with pressures ranging from +30/-30 to +50/-50 cmH<sub>2</sub>O. These outcomes  
150 were evaluated using the percentage of session completion and the number of adverse events that  
151 occurred during the application of MI-E therapy. Adverse events were defined as systolic blood  
152 pressure increase of >20%, mean arterial pressure decrease of >15% from baseline, HR  $\geq 140$  bpm or  
153 increased by >20% from baseline, SpO<sub>2</sub> <85% even after oxygen administered for a maximum of 2  
154 min, RR increase of >50% from baseline and/or >35/min. Participants were also asked to report any  
155 discomfort (e.g., dyspnoea, dizziness, nausea, worsening of gastro-oesophageal reflux, chest or

156 abdominal discomfort) during or after MI-E therapy,<sup>4, 15</sup> and to report their satisfaction with the  
157 treatment using a 5-point Likert scale: from 1 = 'very dissatisfied' to 5 = 'very satisfied' if they were  
158 able to respond to the questions (Richmond Agitation-Sedation Scale<sup>20</sup> score between -1 and +1).  
159 Simple chest radiographs were evaluated daily until the day after the completion of the MI-E therapy  
160 sessions to confirm that no complications, such as pneumothorax or pneumomediastinum, had  
161 occurred.<sup>16</sup>

162 Information on demographic characteristics (age, sex, body mass index), reasons for ICU  
163 admission and admitted ICU type, severity of the current illness measured by the Acute Physiology  
164 and Chronic Health Evaluation score,<sup>21</sup> ETT diameter, and MV duration was obtained from medical  
165 records.

166

#### 167 **Statistical analysis**

168 As repeated measurements from the same participant were correlated with each other, a  
169 linear mixed-effect model (LMM) was employed with PEF as the dependent variable.<sup>22</sup> The model  
170 included applied pressure, number of MI-E treatment sessions, number of coughs (repetitions) within  
171 each set, assigned group (group I or II), interface (ETT vs. facemask), and any relevant interactions  
172 between a given pressure gradient and interface or number of sessions on PEF. The variabilities  
173 between participants, such as the absolute value of the baseline expiratory airflow, were included as  
174 random effects. Additionally, a generalised linear mixed model (GLM) of binary logistic regression  
175 was applied to evaluate the variables related to sufficient exsufflation flow through MI-E treatment,  
176 defined as PEF < -2.7 L/s. The cut-off value of -2.7 L/s for PEF was chosen based on the study from  
177 JR Bach<sup>23</sup>, which suggests that peak cough flow of 160 L/min (2.7 L/s), whether assisted or not, is the  
178 minimum expiratory airflow required to adequately clear secretions in subjects with artificial  
179 airways.<sup>13, 23</sup> Other clinical and demographic characteristics were analysed using descriptive statistics.  
180 Statistical analysis was performed using SPSS version 25 (SPSS, Inc., Chicago, IL), and a *p*-value

181 <0.05 was considered statistically significant.

182

183

## Results

### 184 Demographics of participants

185 Of the 21 participants recruited, two (9.5%) were excluded because they could not cooperate  
186 with the insufflation-exsufflation cycle of MI-E therapy. That is, they could not coordinate their  
187 inspiration with 3 s of insufflation, and they coughed too early during this phase, such that no air  
188 remained to effectively cough out during the exsufflation phase.

189 The remaining 19 participants completed the MI-E therapy via ETT. However, three  
190 participants (two from group I and one from group II) discontinued additional MI-E treatment via  
191 facemask because they did not have substantial secretions after extubation anymore. Four participants  
192 (two from group I and two from group II) could not receive MI-E therapy via facemask because their  
193 treatment plan for critical care was changed from extubation to tracheostomy owing to a high risk of  
194 post-extubation respiratory failure. A total of 12 participants (six from each group) completed all the  
195 MI-E sessions with both ETT and facemask interfaces (See e-Figure 1 of the supplementary material  
196 available at <http://www.rcjournal.com>). The internal diameter of the ETT ranged from 6.5 to 8.0 mm.  
197 The participants' characteristics are presented in Table 1.

198

### 199 PEF differences according to interfaces: ETT vs. facemask

200 Adjusted mean PEF was calculated assuming that the covariables other than the pressure gradient  
201 (i.e., number of treatment sessions, assigned group for pressure setting protocol, and number of  
202 coughs within a set) were fixed to the average values. For each pressure gradient, PEF via ETT was  
203 always slower than that generated via facemask (Table 2). Figure 3 shows that the PEF generated

204 during MI-E became faster as a higher pressure gradient was applied whether via ETT or facemask. A  
205 comparison of the PEF according to the type of interface used, within the same participants, at each  
206 applied pressure is also provided in e-Figure 3 (supplementary materials available at  
207 <http://www.rcjournal.com>). When a pressure gradient of +40/-40 cmH<sub>2</sub>O was applied, only 172 of 528  
208 MI-E trials via ETT (32.6%) achieved a PEF faster than the -2.7 L/s cut-off value, whereas 304 of 343  
209 MI-E trials via facemask (88.6%) exceeded the PEF cut-off value. Even at +50/-50 cmH<sub>2</sub>O of  
210 pressure gradient, 66 of 85 MI-E via ETT trials (77.6%) reached a PEF <-2.7 L/s, whereas 55 of 60  
211 MI-E via facemask trials (91.7%) reached the cut-off value.

212

### 213 **Feasibility and safety of MI-E application through ETT**

214 No adverse events with respect to haemodynamic instability were reported during or after the  
215 application of MI-E at all pressure stages to +50/-50 cmH<sub>2</sub>O through both interfaces. Neither  
216 pneumothorax nor pneumomediastinum was reported from daily evaluation of simple chest  
217 radiographs during and after the MI-E treatment period. None of the participants rejected the  
218 completion of the incremental pressure protocols via both ETT and facemask. Among the eight  
219 participants who were able to answer the questions, no treatment-related discomfort was reported;  
220 however, one participant reported nausea after MI-E through the ETT, which resolved within 5 min.  
221 Those eight participants provided their responses for the Likert scale of satisfaction; average scores of  
222 3.6 and 3.9 were reported for MI-E via ETT and facemask, respectively. When asked which interface  
223 they found more comfortable, four participants preferred ETT, three preferred facemask, and one  
224 considered both interfaces to be similarly comfortable.

225

### 226 **Determinants of PEF during MI-E use**

227 The LMM analysis demonstrated that the interface (ETT vs. facemask), pressure, and number of

228 treatment sessions were factors associated with PEF (Table 3). Compared with PEF generated at +30/-  
229 30 cmH<sub>2</sub>O, the increasing pressure gradient generated faster PEF (negative number of effect estimates  
230 for PEF difference represents faster velocity). Compared to facemask, MI-E through ETT resulted in  
231 slower PEF (positive number of effect estimates for PEF difference represents slower velocity).  
232 Furthermore, the interaction between interface type and pressure was also correlated with PEF (Table  
233 3). Therefore, the absolute amount of increase in the PEF owing to the increase in the pressure  
234 gradient differed depending on the interface. However, the assigned pressure setting protocol (Group I  
235 or II) was not associated with the velocity of the PEF. In the analysis of the GLM, the factors related  
236 to whether PEF exceeded the cut-off value of -2.7 L/s were number of treatment sessions, interface  
237 (ETT vs. facemask), and pressure—the same factors reported from the LMM analysis (Table 4).

238

239

### Discussion

240 This study reveals that the PEF generated during MI-E treatment was influenced by the interface,  
241 pressure gradient, and number of treatment sessions. An ETT increases airway resistance since it is a  
242 long, narrow tube; therefore, the PEF through the ETT becomes slower in critically ill patients under  
243 MV care. This may hamper the efficiency of sputum removal via artificial airway; therefore, when  
244 applying MI-E through the ETT interface, a higher-pressure gradient of up to +50/-50 cmH<sub>2</sub>O could  
245 be recommended to obtain a PEF equivalent to that when using the facemask interface.

246 Although several studies still selected a pressure of +40/-40 cmH<sub>2</sub>O for MI-E through ETT,<sup>3, 24, 25</sup>  
247 more recent studies have reported the feasibility and safety of MI-E use via ETT with pressures up to  
248 +50/-50 cmH<sub>2</sub>O.<sup>10, 11</sup> Additionally, our study reported that a pressure of +50/-50 cmH<sub>2</sub>O was more  
249 beneficial in generating faster PEF and was safe and feasible for intubated participants. These results  
250 are in line with previous bench studies with a lung model, which recommended pressure higher than  
251 +40/-40 or +50/-50 cmH<sub>2</sub>O in subjects with artificial airways or higher airway resistance.<sup>13, 26</sup>

252 Ventilator-induced lung injury (VILI) occurs when high lung volumes cause alveolar stretch  
253 injury and subsequent biologic and systemic reactions.<sup>27</sup> Since, the plateau pressure is considered to  
254 be a variable which reflects the risk of lung overdistension,<sup>28</sup> either a low tidal volume or low plateau  
255 pressure is conventionally preferred to prevent VILI. On the other hand, there has been little evidence  
256 about inducing VILI from intermittent short durations of high peak inspiratory pressure, such as in  
257 MI-E treatment. Meanwhile, many studies that applied MI-E using an insufflation pressure of 50  
258 cmH<sub>2</sub>O reported improved lung conditions immediately after the treatment.<sup>10,29</sup> In terms of  
259 exsufflation, -50 cmH<sub>2</sub>O is less negative pressure than that physiologically produced by a cough or  
260 negative pressure delivered through endotracheal suctioning (recommended as 95 to 200 cmH<sub>2</sub>O).<sup>30</sup>  
261 Although the Cough Assist E70™ can produce negative pressures of up to -70 cmH<sub>2</sub>O, only pressures  
262 within -50 cmH<sub>2</sub>O were used in this study following previously reported protocols<sup>3, 8-12</sup> for  
263 participants admitted to the ICU. As shown in Table 2, when applying MI-E via ETT, even when  
264 using a pressure of +50/-50 cmH<sub>2</sub>O, the PEF was still slower than when using a pressure of +40/-40  
265 cmH<sub>2</sub>O via facemask. For effective elimination of airway secretions, a negative pressure below -50  
266 cmH<sub>2</sub>O might be required. However, safety issues, such as atelectasis, when applying further negative  
267 pressure via ETT in subjects receiving MV, especially with the PEEP setting, remain to be  
268 investigated.

269 By analyzing the physiology of a cough, a PEF range of 160–180 L/min has been proposed as the  
270 cut-off value to achieve effective secretion elimination.<sup>15, 23, 31-33</sup> Therefore, a PEF of 2.7 L/s was  
271 regarded as the goal of minimum PEF generation during MI-E therapy in this study (Table 4).  
272 Irrespective of such absolute values of PEF or applied pressure, the expiratory flow bias,<sup>19</sup> i.e., the  
273 difference in the absolute value of airflow regardless of the in-exsufflation direction, has been  
274 suggested to be better correlated with the actual mucus displacement in a bench study simulating a  
275 patient with an artificial airway on MV.<sup>19</sup> In this study, the flow bias was larger with the ETT  
276 compared to the facemask (see e-Table 1 of the supplementary material available at  
277 <http://www.rcjournal.com>). If the flow bias rather than the PEF is regarded as the sole index of



278 effective sputum removal, then it is possible to interpret that the MI-E treatment through an ETT  
279 could be performed with lower pressure than a facemask. However, in this case as well, the pressure  
280 setting of +50/-50 cmH<sub>2</sub>O is still preferable with the ETT as the expiratory flow bias was steadily  
281 increasing up to +50/-50 cmH<sub>2</sub>O; meanwhile, +40/-50 cmH<sub>2</sub>O might be enough for the facemask  
282 since the flow bias decreased when the insufflation pressure was increased from +40/-50 cmH<sub>2</sub>O to  
283 +50/-50 cmH<sub>2</sub>O. Additionally, these results suggest that unlike the protocols utilized in the previous  
284 studies,<sup>9</sup> it might be more appropriate to secure the insufflation volume<sup>34</sup> by increasing the  
285 insufflation time rather than the insufflation pressure because increasing the pressure increases the  
286 insufflation flow (flow =  $\Delta$ pressure/resistance) and thus decreases the flow bias.

287 The result of a faster PEF with a facemask than an ETT in our study might be related not only  
288 with the applied interface, but also with the different time-points when the MI-E was applied.  
289 Difference in the participants' sedation levels and cooperation, lesser secretions, and decreased airway  
290 resistance, which could change within the study period, might have influenced the generated PEF. In  
291 this study, however, the MI-E therapy sessions could only be provided first through ETT and next  
292 through facemask after extubation; a reversed order was not possible in clinical settings.

293 Another limitation of this study is the lack of information regarding the amount of airway  
294 secretions eliminated and the clinical benefits such as changes in SpO<sub>2</sub> levels or success of MV  
295 weaning after MI-E application, which should be considered in future studies. In addition, MI-E  
296 application strategies other than pressure gradients were not included in this study. For example, the  
297 insufflation time affects the in-exsufflation volumes which might affect the PEF or expiratory flow  
298 bias, and eventually the efficiency of sputum removal.<sup>34, 35</sup> However, in this study, the insufflation  
299 time was fixed at 3 s. Lastly, limited sample size may have influenced the significance of interfaces  
300 on generated PEF; however, the post-hoc power analysis indicated statistically enough power for this  
301 study based on a large number of repetitive measurements within the same participants. A sample size  
302 of 12, with a total of 1,500 measurements, was found to achieve 100%, 95%, and 92% power for the



303 main effect of the interface, the main effect of the pressure, and their interaction effect, respectively.  
304 The minimum detectable difference was assumed to be 0.17 L/s (10 L/min)<sup>35, 36</sup>, and a subject  
305 variance and a residual variance were assumed to be 0.08 and 0.05, respectively based on our study  
306 data.

307 MI-E through a tracheostomy tube was not evaluated; although, four out of the initially enrolled  
308 21 subjects (19%) underwent tracheostomy after extubation. As MI-E can be successfully applied  
309 through the tracheostomy tube as well as the ETT,<sup>6,23,24</sup> future researches should also include the  
310 tracheotomised population to expand the use of MI-E in critical care.

311

### 312 **Conclusions**

313 The use of MI-E via ETT generated slower PEF than did the use of MI-E via facemask,  
314 suggesting that a higher-pressure protocol should be considered for intubated patients. An  
315 insufflation-exsufflation pressure of +50/-50 cmH<sub>2</sub>O was necessary to produce a high PEF faster than  
316 2.7 L/s and the applications were safe and feasible. The factors related to PEF generation by MI-E  
317 were pressure gradient, interface, and number of session repetitions.

318

319

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- 416

417 **Figure 1.**

418 Flowchart of the study to evaluate correlating factors with generated peak expiratory flow (PEF) from  
419 mechanical insufflation-exsufflation (MI-E)

420 (a) comparison of PEF based on the applied pressure, (b) comparison of PEF based on the increasing  
421 number of treatment sessions, and (c) comparison of PEF based on the interface (endotracheal tube vs.  
422 facemask).

423

424 **Figure 2.**

425 Measurement of airflow during mechanical insufflation-exsufflation using a flowmeter.

426

427 **Figure 3.**

428 Peak expiratory flow (PEF) during mechanical insufflation-exsufflation treatment according to pressure  
429 gradient and interface.

430 \*Numbers represent mean (standard deviation).

431



432 **Quick Look**

433

434 **Current Knowledge**

435 Critically ill patients receiving mechanical ventilation prefer mechanical insufflation-exsufflation (MI-  
436 E) than endotracheal suctioning. The MI-E improves airway hygiene through artificial airway as well  
437 as through facemask. However, wide variations in the settings have been prescribed for MI-E via  
438 endotracheal tube without suggesting which pressure is sufficient to reach a peak expiratory flow of  
439  $>2.7$  L/s, which has been regarded as an efficient cough generated by MI-E.

440

441 **What this paper contributes to our knowledge**

442 The use of MI-E via endotracheal tube is safe and feasible with patients under invasive ventilation in  
443 the ICU. Generated peak expiratory flow is significantly slower through endotracheal tube than through  
444 facemask, and a higher pressure protocol should be considered for intubated patients. An in-exsufflation  
445 pressure of  $+50/-50$  cmH<sub>2</sub>O is necessary to reach peak expiratory flow of  $-2.7$  L/s for efficient cough  
446 through endotracheal tube using MI-E.

**Table 1. Characteristics of the participants.**

Characteristics	Study Population (n=12)
Age (years)	74.0 ±10.2
Gender	
Male	9 (75.0%)
Female	3 (25.0%)
BMI	21.1 ± 3.16
APACHE II (at ICU admission)	19.5 ± 9.35
ICU type	
Medical	3 (25.0%)
Cardiovascular	7 (58.3%)
Surgical	2 (16.7%)
Main cause for ICU admission	
ARDS	5 (41.7%)
After thoracic surgery	7 (58.3%)
P/F ratio (mmHg)	286.14 ± 76.73
PEEP (cmH <sub>2</sub> O)	5.42 ± 1.24
ETT size (mm); internal diameter	
6.5	1 (8.3%)
7.0	4 (33.3%)
7.5	6 (50.0%)
8.0	1 (8.3%)
Intubation period (day)	6.83 ± 3.69

Numbers are presented as mean± standard deviation or number(percentage)

\*BMI: body mass index, APACHE: Acute Physiology and Chronic Health Evaluation, P/F ratio: ratio of P<sub>aO2</sub> to F<sub>iO2</sub>, ETT: endotracheal tube.

**Table 2. Comparison of peak expiratory flow (PEF) during mechanical insufflation-exsufflation according to the interfaces: endotracheal tube vs. facemask.**

Pressure (cmH <sub>2</sub> O)	PEF via endotracheal tube (L/s)	PEF via facemask (L/s)
+30/-30	-2.181 [-2.372, -1.991]	-2.661 [-2.850, -2.472]
+30/-40	-2.369 [-2.559, -2.179]	-2.995 [-3.184, -2.807]
+40/-40	-2.521 [-2.700, -2.341]	-3.114 [-3.293, -2.935]
+40/-50	-2.731 [-2.921, -2.541]	-3.326 [-3.515, -3.137]
+50/-50	-2.956 [-3.146, -2.766]	-3.364 [-3.552, -3.175]

Numbers are adjusted mean PEF [95% confidence interval].

Adjusted mean PEF were calculated using other covariables (number of treatment session, assigned group for pressure setting protocol, and number of coughs with a set) assumed to be fixed as constant average values.

**Table 3. Linear mixed-effect model analysis for peak expiratory flow (PEF).**

Predictor	<i>p</i> -value	Effect estimates for PEF difference (L/s) [95% Confidence Interval]
Pressure setting protocol (Group I vs. II)	0.542	
Number of treatment session	<0.001*	
Repetitions within set	0.057	
Pressure	<0.001*	+30/-30 cmH <sub>2</sub> O (reference)
		+30/-40 cmH <sub>2</sub> O -0.365 [-0.578 ~ -0.153] <sup>†</sup>
		+40/-40 cmH <sub>2</sub> O -0.500 [-0.666 ~ -0.334]
		+40/-50 cmH <sub>2</sub> O -0.715 [-0.928 ~ -0.502]
		+50/-50 cmH <sub>2</sub> O -0.852 [-1.065 ~ -0.639]
Interface	<0.001*	Facemask (reference)
		Endotracheal tube +0.480 [0.358 – 0.602] <sup>‡</sup>
Interaction		
Interface *Pressure	0.023*	
Number of treatment session *Pressure	<0.001*	

\**p*-value < 0.05.

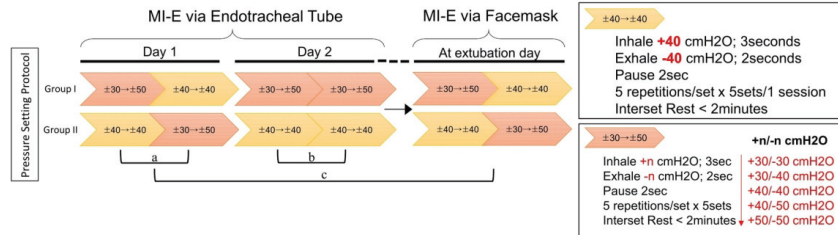
† Negative number of effect estimate for PEF difference represents faster velocity.

‡ Positive number of effect estimate for PEF difference represents slower velocity.

**Table 4. Generalized linear mixed model analysis for predicting whether peak expiratory flow reaches 2.7L/s.**

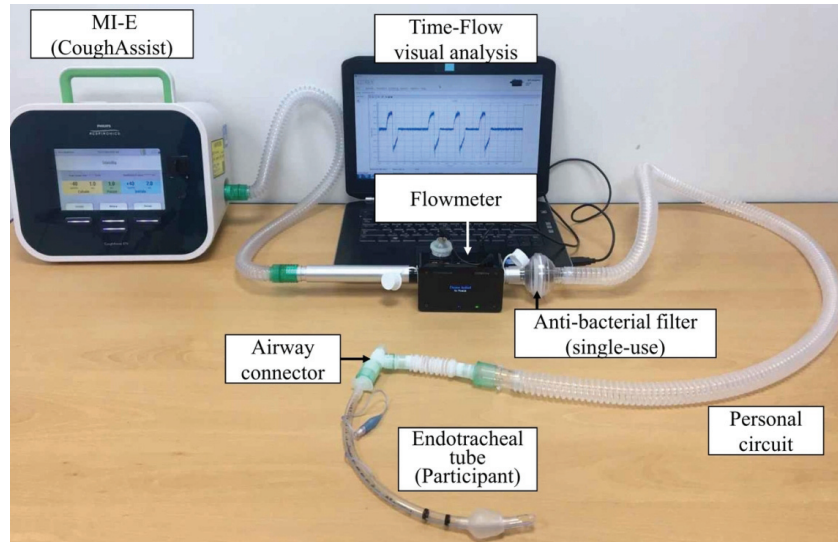
Predictor	<i>p</i> -value	odds ratio [95% Confidence Interval]
Pressure setting protocol (Group I vs. II)	0.718	
Number of treatment sessions	<0.001*	
Repetitions within set	0.306	
Pressure	<0.001*	+30/-30 cmH <sub>2</sub> O (reference)
		+30/-40 cmH <sub>2</sub> O 5.856 [1.883 – 18.21]
		+40/-40 cmH <sub>2</sub> O 58.43 [22.73 – 150.2]
		+40/-50 cmH <sub>2</sub> O 187.0 [54.27 – 644.4]
		+50/-50 cmH <sub>2</sub> O 862.9 [235.4 – 3,162]
Interface	<0.001*	Facemask (reference)
		Endotracheal tube 0.006 [0.003 – 0.014]

\**p*-value < 0.05.



Flowchart of the study to evaluate correlating factors with generated peak expiratory flow (PEF) from mechanical insufflation-exsufflation (MI-E)  
 (a) comparison of PEF based on the applied pressure, (b) comparison of PEF based on the increasing number of treatment sessions, and (c) comparison of PEF based on the interface (endotracheal tube vs. facemask).

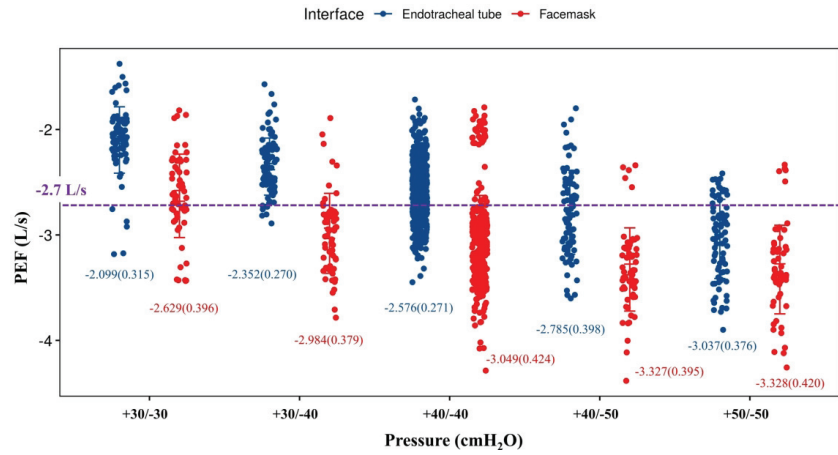
147x43mm (300 x 300 DPI)



Measurement of airflow during mechanical insufflation-exsufflation using a flowmeter.

110x71mm (300 x 300 DPI)





Peak expiratory flow (PEF) during mechanical insufflation-exsufflation treatment according to pressure gradient and interface.

\*Numbers represent mean (standard deviation).

99x53mm (600 x 600 DPI)