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# **Clinical implementation of automated O<sup>2</sup> titration in a tertiary care hospital**

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# 1 **Clinical implementation of automated O2 titration in a tertiary care hospital**

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Conflict of interest: MS, YL, FL, and FM are shareholders of Oxynov, the maker of Free $O_2$ . FL is co-founder of Oxynov.

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#### Abstract

*Background*: When treating acute respiratory failure, both hypoxemia and hyperoxemia should be avoided. SpO<sub>2</sub> should be monitored closely and  $O_2$  flows adjusted accordingly. Achieving this goal might be easier with automated  $O_2$  titration compared to manual titration of fixed-flow  $O_2$ . We evaluated the feasibility of using an automated  $O<sub>2</sub>$  titration device in subjects treated for acute hypoxemic respiratory failure in a tertiary care hospital.

*Methods*: Healthcare workers received education and training about oxygen therapy and were familiarized with an automated  $O_2$  titration device (Free $O_2$ , Oxynov, Quebec City, Canada). A 42 coordinator was available from 8 am to 5 pm during week days to provide technical assistance. The ability of the device to maintain  $SpO<sub>2</sub>$  within the prescribed therapeutic window was recorded. Basic clinical information was recorded.

46 *Results*: Subjects were enrolled from November 2020 to August 2022. We trained 508 healthcare workers on use of automated  $O_2$  titration which was finally used on 872 occasions in 763 subjects, distributed on the respiratory, COVID-19 and thoracic surgery wards and the emergency room. 49 Clinical information could be retrieved for 609 (80%) subjects who were on the system for a median 50 of 3 days (interquartile range: 2 to 6 days) representing 2567 subject-days of clinical experience with the device. In the  $82$  (14%) subjects for whom this information was available, the system maintained  $SpO<sub>2</sub>$  within the prescribed targets 89% of the time. Ninety-six subjects experienced 53 clinical deterioration as defined by the need to be transferred to the intensive care unit and/or requirement of high nasal flow oxygen but none of these events were judged to be related to the  $O_2$ device.

*Conclusions*: Automated O<sub>2</sub> titration could be successfully implemented in hospitalized subjects with hypoxemic respiratory failure from various causes. This experience should foster further improvement of the device and recommendations for an optimized utilization.

Word count: 300 words

61 **Key words**: respiratory failure, oxygen supplementation, automated oxygen titration, hypoxemia, hyperoxemia, oxygen saturation.

#### 63 **Introduction**

65 Oxygen supplementation is ubiquitous in hospitalized subjects with hypoxemic respiratory failure. 66 Traditionally, the main concern of clinicians was to alleviate hypoxemia with little concern for  $\epsilon$ <sup>7</sup> hyperoxemia, except in the neonatal population<sup>1</sup> and in chronic obstructive pulmonary disease 68 (COPD) where the avoidance of hyperoxia to protect against worsening of respiratory acidosis was <sup>9</sup> recommended<sup>2</sup>. However, the appreciation that hyperoxemia may also be harmful in other  $\bullet$  conditions such as sepsis, myocardial infarction, and following emergency surgery<sup>3</sup> has led to recommendations that  $SpO<sub>2</sub>$  should be maintained within therapeutic zones that vary according to the cause of respiratory failure. For example, the British Thoracic Society has proposed SpO<sub>2</sub> targets 73 of 94 to 98% for most acutely ill subjects or 88 to 92% in subjects at risk of hypercapnic respiratory **14** failure<sup>4</sup>. Although guidelines for oxygen therapy are not uniform<sup>4-8</sup>, their implications are that  $SpO<sub>2</sub>$ should be monitored closely and  $O_2$  flows adjusted repeatedly to maintain subjects within a relatively narrow therapeutic window. Achieving this goal with manual  $O_2$  titration is labor-<sup>7</sup> intensive, and often not feasible in the context of routine clinical care<sup>9</sup>. As such, it is common that subjects are found outside the desired range of  $SpO<sub>2</sub>$ , both in the hypoxemic and hyperoxemic  $\blacksquare$  ranges<sup>10-12</sup>.

Automated  $O_2$  titration with devices that are based on closed-loop algorithms has been developed with the objective of maintaining  $SpO<sub>2</sub>$  by providing continuous adjustment of  $O<sub>2</sub>$  flows in the context of fluctuating oxygen requirements<sup>13,14</sup>. These devices have been shown to increase the proportion of time spent in the desired  $SpO<sub>2</sub>$  range in various clinical situations, including  $\mathbb{R}^3$  hospitalized subjects with acute COPD exacerbation<sup>11,14</sup>, subjects in the emergency department<sup>12</sup>, following surgery<sup>15,16</sup>, and in COVID-19 related hypoxemic respiratory failure<sup>17</sup>. Automated  $O_2$ titration has also been shown to be effective in situations where rapid adjustments of  $O_2$  flows is required, such as during exercise<sup>18-20</sup>. It may also accelerate weaning from oxygen and hospital discharge<sup>11,12,21</sup>, with potential reduction in hospitalization costs<sup>22</sup>. Automated  $O_2$  titration has been implemented with high flow nasal cannula (HFNC) showing efficacy of the system to maintain  $SpO<sub>2</sub>$  within the desired target zone during walking exercise in patients with COPD and in the context of hypoxemic respiratory failure<sup>23,24</sup>. By reducing the requirement for direct contacts between hospital workers and subjects as the  $O<sub>2</sub>$  flows are automatically adjusted, the risk of

transmission of contagious disease is reduced<sup>25</sup>. This is an important consideration given the scarcity 95 of hospital workers, overloaded health care systems, and high risk of hospital transmission of  $\mu$  pathogens<sup>25,26</sup>, among healthcare workers during the recent pandemic<sup>27</sup>.

> The cumulative experience with automated  $O_2$  titration has been obtained in the research context, data also needs to be obtained in the clinical setting. Recognizing that automated  $O_2$  titration has the potential of facilitating the implementation of clinical guidelines regarding the use of oxygen, hypoxemic respiratory failure in a tertiary care hospital.

#### **Methods**

The constrained of the feasible streated the feasible streated the feasible consistent and a show metallity of using this technology in the clinical streng absentuated of particular the feasibility of using this technology In 2019, a multidisciplinary committee advised hospital administrators on the possibility of implementing automated  $O_2$  titration within the context of clinical care. In 2020, 30 automated  $O_2$ titration devices were acquired by the hospital to treat subjects in the emergency room or the hospital wards with various forms of hypoxemic respiratory failure, including COPD exacerbation, exacerbation of interstitial lung disease, viral (COVID-19) or bacterial pneumonia and heart failure. This was done in the context of a prospective observational technological evaluation that took place 114 between September 2020 and August 2022 at the *Institut universitaire de cardiologie et de*  115 *pneumologie de Québec*, a 330-bed tertiary-care, university-affiliated hospital with specialization in cardiology and respiratory medicine. When possible, clinical information, including etiology of respiratory failure, duration of  $O_2$  therapy and length of hospital length of stay were noted by the 118 coordinator of the project. Prospectively collecting clinical data on participants was exempted from 119 ethics committee review by the institution because this was considered to be part of routine clinical care. The permission to use the clinical data anonymously for a scientific report was granted by the medical director of the hospital with waiver of consent from the institutional ethics review board considering that this evaluation was done in the context of clinical care. The manufacturer had no role in data collection, interpretation and presentation.

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The automated  $O_2$  titration device used in this technological evaluation (Free $O_2$ , Oxynov, Quebec City, Canada)<sup>13</sup> relies on continuous  $SpO<sub>2</sub>$  recording to feed a closed-loop algorithm which allows The device is computed in the main SpO<sub>2</sub> within a target window prescribed by the clinical Neurion Medical, The device is computed by the simulation of the closed loop mode being the primary operating<br>mode, whereby 0<sub>2</sub>1 The device is coupled with a finger sensor linked to an embedded pulse oximeter (OEM III Module, Nonin Medical). It has three operating modes, the closed-loop mode being the primary operating mode, whereby  $O_2$  flow is changed based on measurement of SpO<sub>2</sub>. In the closed-loop mode,  $O_2$ flow is automatically titrated based on the difference between the real-time  $SpO<sub>2</sub>$  and the target value using a proprietary proportional integral algorithm with a  $O_2$  flow command adjustment rate of once per second to achieve or maintain a pre-set  $SpO<sub>2</sub>$  level.  $O<sub>2</sub>$  flow is limited to 0.1 to 20.0 LPM. O<sub>2</sub> flow may increase or decrease in order to maintain a stable  $SpO<sub>2</sub>$  value. The constant-flow mode is comparable to a standard  $O_2$  regulator with the device only providing fixed  $O_2$  flow as set by the attending physician, between 0.1-20.0 LPM. In the acquisition mode, the device only monitors the oximeter readings (SpO<sub>2</sub>, respiratory rate and heart rate) without any oxygen being provided. Data is visible on the front screen and captured in the device memory from which it can 139 be retrieved as long as the corresponding subject has been appropriately identified in the system 140 (name, date of birth) when initiating therapy. However, this was not mandatory to initiate treatment with  $O_2$  titration device and this step was often overlooked by healthcare workers.

The implementation of automated  $O_2$  titration in clinical care of the hospital followed a multistep process to acclimate hospital workers to its use. A multidisciplinary implementation committee 145 composed of nurses, respiratory therapists, physicians, a physiotherapist and a patient representative 146 oversaw the implementation of the devices and made recommendations about use (**Table 1**). A 147 coordinator (PAB), helped by a senior nursing consultant (GPR) and one physician (FL), trained 148 hospital workers (nurses, respiratory therapists, physicians) in various aspects of oxygen therapy and about the use of automated  $O_2$  titration. Training sessions were planned to be done in-person but due to infection control measures in the COVID-19 pandemic, they were delivered remotely. The teaching material remained available for subsequent consultation if needed. The main topics covered were:  $i$ ) update on oxygen therapy, including the importance of avoiding hypoxemia and hyperoxemia, *ii*) prescribing oxygen with a lower and upper  $SpO<sub>2</sub>$  boundaries in specific patient population and revision of the current guidelines and *iii*) practical use of automated  $O_2$  titration, including monitoring of subjects with the device, accurate patient selection, accurate  $SpO<sub>2</sub>$  target,

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and potential issues associated with improper use of the device. A key learning objective was the detection of clinical deterioration with automated  $O_2$  titration. With fixed  $O_2$  flow, clinical during disease instability. This is due to the intrinsic ability of automated  $O<sub>2</sub>$  titration to maintain  $SpO<sub>2</sub>$  within the target zone as long as the maximum  $O<sub>2</sub>$  flow allowed by the device is not surpassed. With automated  $O_2$  titration, clinical deteriorations are rather detected when requirements for  $O_2$ flow increase to maintain the target  $SpO<sub>2</sub>$ , which is a major change of practice for healthcare workers.

The coordinator oversaw the use of automated  $O_2$  titration from 8 am to 5 pm during week days to address any concerns or technical questions with its use. He served as clinical expert to support healthcare workers selecting appropriate candidates for automatic  $O_2$  titration and assist with the initiation and weaning of the device. He was also in contact with the biomedical engineering 169 department of the hospital to help with any troubleshooting of the device. In addition, four advanced practice nurses were available to accompany the hospital workers in the early weeks of the project when familiarity with the system had to be developed.

A clinical protocol was developed by advanced practice nurses and respiratory therapists to address practical issues with the use of automated  $O_2$  titration (**Table 2**). This document covered the following topics: *i*) contraindications to automated  $O_2$  titration, *ii*) initiation of the device, *iii*) setting of the clinical parameters of the device according to the clinical situation, *) how to mobilize* subjects on the device, v) clinical surveillance for nurses and respiratory therapists of subjects on automated  $O_2$  titration and *vi*) weaning from automated  $O_2$  titration.

deteriorations are detected when SpO<sub>2</sub>, worstn. With automated O<sub>2</sub> titration, SpO<sub>2</sub>, example, the continued to the continue of the matter of the continue of the continue of the continue of the matter of the continue of Subjects admitted to the emergency room, respiratory and thoracic surgery wards, or COVID-19 181 unit with a diagnosis of hypoxemic respiratory failure were potentially eligible to be treated with automated  $O_2$  titration when a device was available. Patients with one or more of the following characteristics were not considered for automated  $O_2$  titration: *i*) requirement for > 8 L/min of  $O_2$  to obtain a SpO<sub>2</sub> of  $\geq$  92% on the respiratory ward or emergency room or  $>$  6 L/min of O<sub>2</sub> to obtain a  $SpO<sub>2</sub>$  of  $\geq$  90% on the COVID-19 unit, *ii*) requirement for non-invasive ventilation, high-flow nasal cannula, imminent endotracheal intubation, or cardiac arrest; *iii*) impossibility of measuring  $SpO<sub>2</sub>$ 

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187 (poor peripheral perfusion, Raynaud, scleroderma), *iv)* agitation and/or absence of collaboration. We did not collect information about patients who were not considered for automated  $O_2$  titration.

The proposed  $SpO<sub>2</sub>$  targets were 88 to 90% for COPD and 90 to 92% for other causes of hypoxemia but the final decision belonged to the physician. These  $SpO<sub>2</sub>$  targets are lower than typically 192 recommended4-8; they were selected based on our findings that the integral oximeter that was used with the automated  $O_2$  titration device (OEM III Module, Nonin Medical) systematically 194 underestimates  $\text{SaO}_{2^{28}}$  and with the objective of avoiding hypoxemia and hyperoxemia. Weaning from automated O<sub>2</sub> titration was proposed when O<sub>2</sub> flow was  $\leq$  1 L/min. O<sub>2</sub> flow, SpO<sub>2</sub>, and breathing frequency alarms were set at 8 L/min, 85%, and 40 breaths/min, respectively. The use of nasal cannula was recommended when  $O_2$  flows were  $\leq 5L/min$ ; oxygen masks (OxyMask or simple  $O_2$  mask) were used when  $O_2$  flows  $\geq$  5L/min or if more comfortable.

> Healthcare workers had the opportunity to answer anonymously a short survey about their experience with automated  $O_2$  titration. The survey was available for one day, covering the three working shifts. The following questions were asked:  $i$ ) would you consider using an automated  $O_2$ 203 titration device for your subjects on oxygen, rarely, occasionally, often?, *ii)* did you receive sufficient technical support for the use of automated  $O_2$  titration, yes or no? and *iii*) on a 0 to 10 scale, 0 being completely useless and 10 being the most useful, how do you rate the clinical utility of automated  $O_2$  titration?.

> We report data to support the feasibility of using an automated  $O_2$  titration device in clinical practice 209 including number of patients for each hospitalization site (respiratory ward, COVID-19 unit, emergency room, thoracic surgery), etiology of respiratory failure, duration on automated  $O<sub>2</sub>$ titration, length of hospital length of stay,  $SpO<sub>2</sub>$  targets, and  $SpO<sub>2</sub>$  data. Clinical deterioration defined as the need for high flow nasal oxygen and/or transfer to the intensive care unit was recorded. Whether this was associated to the use of automated  $O<sub>2</sub>$ -titration or to progression of the underlying 214 disease was documented from the medical chart or by discussing with the attending physician. We 215 did not pre-specify the number of participants; this was determined by the duration of the project and by the availability of  $O_2$  titration devices. Some subjects were treated twice during the same hospitalization; when this happened, we only report the first use.

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219 Categorical variables were presented as absolute or relative frequencies and were analyzed using 221 the Fisher's exact test. Continuous variables were corrected as mean = shadded deviation (SD) or exact test. Continuous variables was to perform a one-way NVOVA. The normality assumption was verified with the Shapiro W as median with associated  $25<sup>th</sup>$  and  $75<sup>th</sup>$  interquartile range (IQR) according to the variable distribution. Between-group comparison for continuous variables was to perform a one-way ANOVA. The normality assumption was verified with the Shapiro-Wilk tests using residuals from the statistical model. The Brown and Forsythe's variation of Levene's test statistic was used to verify the homogeneity of variances. Length of hospital stay was log-transformed to fulfill the normality and variance assumptions. We used the Wilcoxon rank-sum test to compare groups when the normality and variance assumptions were rejected. Statistical significance was present with a twotailed *p* value < 0.05. Analyses were performed using SAS version 9.4 (SAS Institute Inc, Cary,  $NC$ , U.S.A.).

#### **230 Results**

The automated  $O<sub>2</sub>$  titration system began to be used within the respiratory ward in November 2020, 233 on the COVID-19 unit in January 2021, at the emergency room on April 2021, and finally on the 234 thoracic surgery ward on March 2022, with the last patient enrolled on August 24th, 2022 (**Figure**  1). 508 healthcare workers were trained to the use of automated  $O_2$  titration, including 399 nurses and respiratory therapists, 16 physiotherapists, 28 nurse assistants, 27 physicians (14 emergency 237 physicians and 13 pulmonologists) and 38 medical residents.

The flow of patients is presented in **Figure 2**.  $O_2$  therapy was administered with the automated  $O_2$ 240 titration device on 872 occasions in 763 subjects distributed on the respiratory, COVID-19 and 241 thoracic surgery wards and in the emergency room (**Figure 3**). Of this number, we could retrieve clinical information on 609 subjects who were on the system for a median of 3 days (IQR 2 to 6 days), representing 2567 patient-days of clinical experience with the device. The characteristics of these subjects are presented in **table 3**. Mean age of subjects was  $72 \pm 12$  years, median hospital length of stay was 9 days (IQR: 6 to 15 days). Automated  $O_2$  titration was started at a median of 0 day (IQR: 0 to 1) after hospitalization, most subjects being previously treated with fixed-flow  $O_2$  at 2 L/min (IQR: 1 to 3 L/min). 302/609 subjects (49.6%) were weaned from oxygen while being on automated  $O_2$  titration, the remaining ones had a few hours of fixed-flow  $O_2$  at low flows before  $O_2$ therapy was stopped. Due to disease worsening or instability,  $42$  subjects (7.0%) had to be 250 transferred to the intensive care unit and 81 subjects (13.3%) had to be treated with high nasal-flow 251 oxygen, including 54 who received this therapy outside the intensive care unit. Thus, a total of 96 subjects (15.8%) reached the definition of clinical worsening. Under those circumstances, automated  $O_2$  titration was stopped according to the clinical care protocol. Attending physicians attributed these events to deterioration of the underlying condition and not to the use of the automated  $O_2$  titration device. With earlier versions of the software, the system was occasionally unstable and would stop automatically adjusting  $O_2$  flows for unclear reasons. When this occurred, the system automatically reverted to the constant-flow mode, delivering a  $O_2$  flow based on the analysis of the last 15 minutes of treatment. This functionality, which is also activated when the  $SpO<sub>2</sub>$  signal is interrupted or of poor quality, was created for safety purposes. These situations were immediately reported to the manufacturer and software updated version 1.2.6 which was found to be reliable and working as expected.

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 $SpO<sub>2</sub>$  targets prescribed by clinicians were generally in agreement with those proposed by the 264 implementation committee (**table 4**). Based on the analysis of 387 subjects for whom the information could be retrieved from the device memory, the  $SpO<sub>2</sub>$  target was 88% to 90% in 306 (79%) of the cases while SpO<sub>2</sub> target  $\geq$  93% was used in 8 (2.1%) of subjects. The ability of automated  $O_2$  titration to maintain SpO<sub>2</sub> within the desired range could be assessed in 82 subjects for whom detailed  $SpO<sub>2</sub>$  data could be retrieved from the device memory, 38 from the respiratory ward, 9 from the COVID-19 unit and 35 from the emergency room. Baseline characteristics of these 82 subjects were similar to those of the 527 subjects for whom the oxygenation data was not available (**table 3**). As can be seen in **table 5**, subjects were maintained within the SpO<sub>2</sub> target zone for 89% of the time. Hypoxemia (SpO<sub>2</sub> < 85%) occurred in < 5% of recording time while hyperoxemia (SpO<sub>2</sub>  $\geq$  98%) was found < 1% of recording time.

Thirty-six of 508 healthcare workers (7.1%) who used the automated  $O_2$  titration device filled the survey about its utilization. Twenty-two of 36 (61%) healthcare workers would often consider using automated O<sub>2</sub> titration for subjects on O<sub>2</sub> therapy, 10/36 (28%) would do so occasionally, while 4/36 278 (11%) reported that they would rarely use it. Twenty-eight of 36 respondents (78%) considered that 279 the technical support was sufficient and felt comfortable with the use of the device. On a 0 to 10 scale,  $2, 1, 5, 10, 5$  and 13 health-care workers gave a utility score of  $5, 6, 7, 8, 9$  and 10, respectively with a mean utility rating score for automated  $O_2$  titration of 8.5  $\pm$  1.5.

#### **Discussion**

We report our clinical experiments with the feasibility of using an automated O<sub>2</sub> titration device in the feasibility of using an automated O<sub>2</sub> titration community D<sub>2</sub>, when the clinical studies of using the community subjects with acute respiratory failure requiring  $O_2$  therapy as a part of their routine clinical care. The cumulative data during this evaluation extends previous clinical trials in showing that the ability of automated  $O_2$  titration to maintain SpO<sub>2</sub> within the desired range in various diseases<sup>10-12,14-17</sup> may also apply to "real life" clinical situations. Clinical worsening, defined as the requirement for high flow nasal oxygen and/or transfer to the intensive care unit in 96 subjects (15.8%) was attributed to progression of the underlying disease. Although not a unanimous choice amongst 36 healthcare workers who responded to a short survey, the automated  $O_2$  titration device was felt useful and a positive experience was reported by the majority of users. This evaluation of the use of automated  $O<sub>2</sub>$  titration in routine clinical care, provided several learning opportunities that helped to address some frequently overlooked practical issues related to  $i$ ) oxygenation measurements with pulse oximetry and definition of optimal oxygenation with corresponding  $SpO<sub>2</sub>$  targets, *ii*) organizational factors including healthcare workers training and supervision with the use of automated  $O_2$  titration,  $iii)$  optimal utilization of automated  $O_2$  titration systems,  $iv)$  limitations in the accuracy of SpO<sub>2</sub> readings with currently available oximeters.

This technological evaluation provided an opportunity for nurses, physicians, respiratory therapists, and researchers from our institution to reflect and discuss various aspects of  $O_2$  therapy. The use of an automated  $O_2$  titration device, which forces clinicians to consider SpO<sub>2</sub> targets adapted to the clinical situation, may be helpful in implementing the recommendations that  $SpO<sub>2</sub>$  should be maintained in pre-specified therapeutic windows, avoiding both hypoxemia and hyperoxemia. Despite clear recommendations about the benefits of prescribing oxygen according to target ranges, 309 clinical implementation of this approach is challenging and required numerous trainings, discussions and feedback from clinicians. For example, in a recent audit of  $O_2$  therapy conducted in  $311$  New Zealand, SpO<sub>2</sub> targets could be found in only 60% of hospitalized patients<sup>29</sup>.

> $SpO<sub>2</sub>$  monitoring with the an automated  $O<sub>2</sub>$  titration device made us even more aware of the fluctuations in  $O_2$  needs in subjects with acute respiratory failure, especially in subjects with 315 COVID-19, something that is not typically addressed with only intermittent (and often infrequent)

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 $SpO<sub>2</sub>$  measurements. Automated  $O<sub>2</sub>$  titration in response to  $SpO<sub>2</sub>$  fluctuations was more effective than manual  $O_2$  titration to maintain subjects in the desirable  $SpO_2$  range as previously reported in 3<sup>1</sup> emergency departement<sup>12</sup>, following thoracic or abdominal surgery<sup>15</sup>, acute COPD exacerbation<sup>11,14</sup>, exercise in subjects with chronic lung diseases<sup>19</sup>, and in the pediatric population<sup>30</sup>. Typically, SpO<sub>2</sub> is maintained in the therapeutic zone 80% of the time with automated  $O_2$  titration compared to 40 to 55% of the time with manual  $O_2$  titration<sup>10-12,14-17</sup>. Considering the importance of avoiding both hyperoxemia and hypoxemia<sup>31</sup>, the goal of maintaining subjects within a safe  $SpO<sub>2</sub>$  target zone is an argument in favor of automated  $O_2$  adjustment when caring for subjects with hypoxemic respiratory failure.

**318**<br> **318 a variety of climical sinusons such as critical sinusons and surgery", acts COPJ exacted into the consideration of considerations in a subjects with chromic lung diseases", and in the pediatric population". Typ** Our goal was to test the clinical implementation of an automated  $O_2$  titration device and not to make recommendations about  $O_2$  targets in specific conditions and our experience should interpreted in this context. The suggested  $SpO<sub>2</sub>$  targets from the implementation committee (88 to 90% in subjects with COPD, 90 to 92% in other situations) were lower than typically recommended, particularly in subjects other than COPD, where targets ranging between 90 to 98% have been recently proposed<sup>4-8</sup>. The SpO<sub>2</sub> targets that were used took into consideration a study from our center which provided evidence that  $SpO<sub>2</sub>$  readings from the built-in Nonin oximeter, systematically underestimate  $SaO<sub>2</sub>$ and are lower than those of other oximeters<sup>28</sup>. In this study, it was found that  $SpO<sub>2</sub>$  readings were on average, 3% to 4% lower with the Nonin oximeter than with the Philips, Nellcor or Masimo oximeters<sup>28</sup>. One advantage of the Nonin oximeter is that a  $SpO<sub>2</sub>$  target of 90% allowed to detect all the hypoxemic episodes while other tested pulse oximeters only detected 11 to 37% of these occurrences<sup>28</sup>. We were therefore confident that proposing  $SpO<sub>2</sub>$  targets of 88% in COPD and 90% 339 in other diseases with the system that was used in this technological evaluation would protect against 340 hypoxemia and hyperoxemia while avoiding the risk of worsening hypercapnia in COPD and 341 ensuring safety of subjects28. Although no blood gas data is available in the present report, a recent study from our group support this practice by showing the a  $SpO<sub>2</sub>$  target of 90% with the Nonin oximeter is appropriate to protect against and hypoxemia and hyperoxemia<sup>32</sup>. Another consideration is that using higher targets could delay weaning of  $O<sub>2</sub>$  and therefore unduly prolong hospital stay, a situation that is more likely to occur when using an oximeter that systematically underestimates  $SpO<sub>2</sub>$ . Some clinicians showed some reluctance with our proposal for  $SpO<sub>2</sub>$  targets early in the

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technological evaluation, but as clinical experience was gained and with appropriate teaching, most of them became comfortable with their use as shown in table 3. The proposed  $SpO<sub>2</sub>$  targets were applied primarily in people with light skin pigmentation who constitute the vast majority of the 350 population treated in our hospital, an important consideration given the possibility to overestimate  $SaO<sub>2</sub>$  with pulse oximetry in people with dark skin pigmentation<sup>33</sup>.

In theory, automated  $O_2$  titration, which allows for multiple adjustments of  $O_2$  flows, should be safer than relying on the current practice where it is challenging for health-care workers to precisely titrate  $355$  O<sub>2</sub> flows<sup>9</sup>. However, we acknowledge that confirmation of this theory would require a formal 356 randomized clinical trial. In patients with hypercapnic respiratory failure, the avoidance of hyperoxemia, which was infrequently observed (table 4), should reduce the risk of worsening 358 respiratory acidosis. Ninety-six subjects, representing 15.8% of the study population required high nasal-flow oxygen and/or transfer to the intensive care unit. According to attending physicians, this was due to deterioration of the underlying condition and not to the use of the automated  $O_2$  titration device.

For automated  $O_2$  titration to be used safely, the premise that  $SpO_2$  is an accurate surrogate of  $SaO_2$ should be fulfilled and, unfortunately, this is not always the case<sup>28,34,35</sup>. Indeed, oximetry readings should be viewed as approximating  $SaO<sub>2</sub>$  rather than considered as an accurate vital sign. Although imprecision of oximetry readings was reported years ago $34,35$  this finding was largely unheeded by the medical community, with potential clinical consequences. For example, using an oximeter that systematically underestimates SaO<sub>2</sub> may lead to unduly high  $O_2$  flows if the SpO<sub>2</sub> target is not adjusted accordingly<sup>32</sup>. In people with dark skin pigmentation, overestimation of SaO<sub>2</sub> by pulse oximetry may lead to the occurrence of undetected hypoxemia and the risk of undertreatment based on certain SpO<sub>2</sub> thresholds<sup>33</sup>. Low perfusion and motion artifact may also compromise reliable SpO<sub>2</sub> **372** readings and thus any valid estimation of  $SpO<sub>2</sub>^{35}$ . These limitations are not specific to automated  $O<sub>2</sub>$ titration devices as they applied to any situations where  $O_2$  therapy is governed by SpO<sub>2</sub> readings. What remains to be seen is to which extent imprecision of oximetry readings influences clinical 375 outcomes but increased hospital mortality has been associated with the presence of undetected hypoxemia<sup>36</sup>. While we are awaiting for better oximeter accuracy, the understanding of current  $\frac{1}{2}$  limitations of pulsed oximetry should help to provide safer medical care<sup>37</sup>.

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When this project was conceived in 2019, we did not foresee the use of an automated  $O_2$  titration was useful in this circumstance because of the ability to continuously adjust  $O_2$  flows without direct 382 contact between subjects, nurses and respiratory therapists. This offered added safety for the subjects and for hospital workers, likely reducing the risk of transmission of contagious disease<sup>27</sup>. Another advantage of automated  $O_2$  adjustment was the reduction in the use of personal protective equipment which was a major issue early in the pandemic due to scarcity. Our experience with automated oxygen titration is consistent with a that of Danish investigators who reported a similarly 387 positive experience in 20 hospitalized COVID-19 subjects with mild to moderate hypoxemic  $s^2$  respiratory failure<sup>17</sup>.

380 device in subjects hospitalized with severe COVID-19 parametain. It turned out that such a system of the hospitalized words the subjects and for hospitalized with scheme station and the subjects and for hospital words. Implementing a new technology in clinical practice is challenging. Changing medical practice is a slow process and there are multiple barriers to the adoption rate of healthcare solutions<sup>38,39</sup>. The importance of education and continued support and feedback to the medical team cannot be overemphasized. We also observed some clinical situations during which the behavior of the system was difficult to understand by the clinical team. On some occasions, subjects with COPD required relatively high  $O_2$ -flows (4 to 6 L/min) to maintain target SpO<sub>2</sub>. These situations were uncomfortable for clinicians who are generally trained to avoid high  $O<sub>2</sub>$  flows in COPD without appreciating that it is hyperoxemia and not high  $O_2$  flows per se that are responsible for worsening hypercapnia. In this context, the use of automated  $O<sub>2</sub>$  titration may offer additional protection to subjects because as long as  $SpO<sub>2</sub>$  is maintained within a safe therapeutic window, hyperoxemia and worsening hypercapnia should not occur.

Some clinical situations were difficult to comprehend because of the time lag between oxygenation status (SpO<sub>2</sub>) and incremental (or decremental) adjustments in  $O_2$  flows. Once such situation is illustrated in **Figure 4**. A recovering subject resumed mild physical activities during which  $O<sub>2</sub>$ desaturation was observed. This provoked a dip in  $SpO<sub>2</sub>$  to which the automated  $O<sub>2</sub>$  titration device responded by increasing  $O_2$  flow. Upon return to rest,  $SpO_2$  remained higher than the target value for some time while  $O_2$  flows progressively returned to lower values. For example, at 1000 sec,  $O_2$ flow of 4 L/min could appear unexpected because the  $SpO<sub>2</sub>$  was above the target. In this situation,

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419 435 instantaneous reading of the physiological parameters displayed on the automated  $O<sub>2</sub>$  titration device showing  $O_2$  flows that were higher than expected from the Sp $O_2$  value could have misleadingly led to the conclusion that the device was not operating as intended while, this was simply a reflection of a time-lag between the  $SpO<sub>2</sub>$  correction and the  $O<sub>2</sub>$  flow response. Inspecting  $SpO<sub>2</sub>$  and  $O<sub>2</sub>$  flow trend report from the device is crucial to understand the nature of the situation. Another example that requires some experience with the device is when the required  $O_2$  flow is low (around or less than  $1$  L/min) and the patient is almost ready to be weaned from oxygen. Small fluctuations in SpO<sub>2</sub> related to physical activities could induce transient increase in  $O_2$  flow which may prevent or slow  $O_2$  discontinuation. This situation may generally be resolved by simply stopping oxygen and monitoring the patient to ensure that  $SpO<sub>2</sub>$  remains adequate.

There are limitations to the present report that should be considered in interpreting the findings and their generalizability. The most obvious is that data are available only for a fraction of patients. There was a shortage of resources to collect detailed information in a systematic fashion as we are accustomed to in clinical research. For example, detailed  $SpO<sub>2</sub>$  data could only be retrieved for 13% of subjects; due to the fact that many were not appropriately identified in the device making it impossible to match recorded oxygenation data with the corresponding subjects. Although the availability of detailed  $SpO<sub>2</sub>$  data in only a small portion of subjects raises questions about the external validity of the findings, we were reassured that the proportion of time spent within the  $SpO<sub>2</sub>$ targets was similar to that previously observed in other clinical circumstances<sup>10-12,14-17</sup>. Only a small number of nurses and respiratory therapists responded to the survey about the utilization of automated  $O_2$  titration. Therefore, its interpretation should be done cautiously. For example, it is possible that only those who had a positive experience with the device took the time to answer the survey. Lastly, the decision to use or not the automated  $O<sub>2</sub>$  titration system was made by the clinical team and we do not have data to estimate the proportion of hospitalized patients requiring oxygen therapy treated with the device.

#### 436 **Conclusion**

We found that automated  $O_2$  titration could be implemented safely in the context of routine clinical care in subjects with hypoxemic respiratory failure of various etiology. Based on this clinical experience, and on pilot data suggesting the possibility to reduce hospital length of stay with

automated  $O_2$  titration<sup>11</sup>, we encourage the conduct of randomized clinical trials testing the impact of automated  $O_2$  titration on hospital stay compared to the standard care of manual  $O_2$  flow in subjects with acute hypoxemia.

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# **Acknowledgments**

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# **Legends for figures**

**Figure 1.** Chronology of the implementation of the automated  $O_2$  titration device.

Figure 2. Flow of patients.

**Figure 3.** Distribution of subjects on the automated  $O_2$  titration device.

**Figure 4.** Relationship between  $SpO<sub>2</sub>$  and  $O<sub>2</sub>$  flow in one subject who was treated with the automated O<sub>2</sub> titration device while transitioning from walking in the corridor to bed rest. Target  $SpO<sub>2</sub>$  was set at 90%. During walking, a sudden fall in  $SpO<sub>2</sub>$  down to 80% provoked a rapid rise in  $O_2$  flow aiming to return SpO<sub>2</sub> in the target zone. An overshoot in SpO<sub>2</sub> up to 95% was noted. Returning to bed resulted in reduced  $O_2$  needs as seen by a progressive decline in  $O_2$  flow down to 0 L/min over a 10-min period while  $SpO<sub>2</sub>$  remained at the target value of 90%.

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#### 575 **Quick look**

#### **Current knowledge**

It is now recommended that  $SpO<sub>2</sub>$  be closely monitored to avoid hypoxemia and hyperoxia when treating subjects with acute respiratory failure. Maintaining  $SpO<sub>2</sub>$  within a relatively narrow therapeutic window may be challenging with manual  $O<sub>2</sub>$  titration, the standard of care in oxygen therapy. Automated  $O_2$  titration systems are currently being developed to reach this objective but their use is mostly limited to research settings.

#### 584 **What this paper contributes to our knowledge**

This technological evaluation indicated that automated  $O<sub>2</sub>$  titration may be implemented safely in the clinical care of subjects treated for hypoxemic respiratory failure in a tertiary care hospital. We also found that that the ability of automated  $O_2$  titration to maintain SpO<sub>2</sub> within the desired range in various diseases may also apply to "real life" clinical situations.

# 3 **Table 1. Composition and role of members of the multidisciplinary team**



1 2

# 3 **Table 2. Clinical care protocol for the installation of automated O2 titration**





# 4 **Table 3. Subjects' characteristics**

Data are mean  $\pm$  SD or median [25<sup>th</sup> and 75<sup>th</sup> interquartile range].

6 \*Clinical deterioration: requirement for high nasal flow oxygen and/or transfer to the intensive care unit.

6



# 3 **Table 4. Prescribed SpO2 targets in 387 subjects on the respiratory ward, COVID-19 unit and**  emergency room

Abbreviation:  $SpO<sub>2</sub>$ ;  $O<sub>2</sub>$  pulsed saturation. Data represents the number of subjects (%).

$\cdots$			
	Respiratory ward	COVID-19 unit	Emergency room
	$(n = 38)$	$(n = 9)$	$(n = 35)$
Recording time			
(hours)	27.5 [10.1, 78.8]		
		29.1 [20.9, 49.2]	5.4 [3.4, 10.3]
% recording time			
with $SpO2$ signal			
$(\%)$	$89.3 \pm 8.7$	$95.9 \pm 2.4$	$92.3 \pm 9.9$
Mean $O_2$ flow			
(L/min)	$2.4 \pm 1.7$	$2.0 \pm 0.6$	$2.3 \pm 2.2$
Mean $SpO2(\%)$	$89.2 \pm 1.2$	$90.0 \pm 0.9$	$89.8 \pm 1.8$
$%$ Time in the SpO <sub>2</sub>			
target zone	$88.7 \pm 1.0$	$89.5 \pm 0.9$	$89.4 \pm 1.7$
$%$ Time with SpO <sub>2</sub>			
below 85%	$4.0 \pm 3.3$	$1.2 \pm 0.8$	$3.2 \pm 5.1$
$%$ Time with SpO <sub>2</sub>			
$\geq 98\%$	$0.5 \pm 1.2$	$0.1 \pm 0.1$	$1.2 \pm 2.6$
$%$ Time with SpO <sub>2</sub>			
below 3 to $5\%$ of			
$SpO2$ target	$4.7 \pm 3.2$	$3.2 \pm 1.8$	$5.5 \pm 6.7$
$%$ Time with SpO <sub>2</sub>			
above $3$ to $5\%$ of			
$SpO2$ target	$11.5 \pm 8.3$	$9.3 \pm 6.2$	$7.9 \pm 8.1$

2 **Table 5. SpO2 data in 82 admissions on the respiratory ward, COVID-19 unit**  3 **and emergency room**

Data are median [25<sup>th</sup> and 75<sup>th</sup> interquartile range] or mean  $\pm$  SD. Abbreviation:  $SpO<sub>2</sub>$ ;  $O<sub>2</sub>$  pulsed saturation.

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