

# The Use of Mechanical Insufflation-Exsufflation in Invasively Ventilated Critically Ill Adults

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## Summary

Mechanical insufflation-exsufflation (MI-E) is traditionally used in the neuromuscular population. There is growing interest of MI-E use in invasively ventilated critically ill adults. We aimed to map current evidence on MI-E use in invasively ventilated critically ill adults. Two authors independently searched electronic databases MEDLINE, Embase, and CINAHL via the Ovid platform; PROSPERO; Cochrane Library; ISI Web of Science; and International Clinical Trials Registry Platform between January 1990–April 2021. Inclusion criteria were (1) adult critically ill invasively ventilated subjects, (2) use of MI-E, (3) study design with original data, and (4) published from 1990 onward. Data were extracted by 2 authors independently using a bespoke extraction form. We used Mixed Methods Appraisal Tool to appraise risk of bias. Theoretical Domains Framework was used to interpret qualitative data. Of 3,090 citations identified, 28 citations were taken forward for data extraction. Main indications for MI-E use during invasive ventilation were presence of secretions and mucus plugging (13/28, 46%). Perceived contraindications related to use of high levels of positive pressure (18/28, 68%). Protocolized MI-E settings with a pressure of  $\pm 40$  cm H<sub>2</sub>O were most commonly used, with detail on timing, flow, and frequency of prescription infrequently reported. Various outcomes were re-intubation rate, wet sputum weight, and pulmonary mechanics. Only 3 studies reported the occurrence of adverse events. From qualitative data, the main barrier to MI-E use in this subject group was lack of knowledge and skills. We concluded that there is little consistency in how MI-E is used and reported, and therefore, recommendations about best practices are not possible.

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*Key words: mechanical insufflation-exsufflation; CoughAssist; ICU; extubation; airway clearance; physiotherapy; weaning. [Respir Care 0;0(0):1–●. © 0 Daedalus Enterprises]*

## Introduction

Cough is an essential defense mechanism in clearing mucus from the airways. In invasively ventilated patients, cough is impaired due to an artificial airway as the vocal cords and glottis remain abducted.<sup>1,2</sup> Sedation further exacerbates sputum retention as it limits the cough reflex, mucociliary clearance, and muscle strength. As a result, sputum retention in patients with an advanced airway is a common problem that may have substantial impact on ability to wean and to be extubated in the longer term.<sup>3</sup>

Airway clearance techniques are used by clinicians to mobilize and clear retained secretions. Endotracheal suctioning is most commonly used to remove secretions from the endotracheal tube (ETT), tracheostomy, and the upper airway.<sup>4</sup> However, limitations to this technique include the inability to clear secretions from the lower airways and potential trauma to the upper airways.<sup>2</sup>

Mechanical insufflation-exsufflation (MI-E) is traditionally used in the neuromuscular population.<sup>5-7</sup> It is conventionally used as a noninvasive device that delivers a positive-pressure breath to optimize tidal volume ( $V_T$ ) and lung recruitment and then quickly alternates to a negative-pressure breath. It is this rapid alternation between positive and negative-pressure breaths that augments gas flows, improves sputum mobilization, and ultimately stimulates a

cough.<sup>6</sup> More recently, there has been growing interest of MI-E use for intubated critically ill adults.<sup>7</sup> Our research group has completed a number of practice surveys in Canada,<sup>8,9</sup> the Netherlands,<sup>10</sup> and the United Kingdom.<sup>11</sup> These surveys illustrate the variable adoption of MI-E both nationally and internationally. Barriers to use cited in these surveys include limited clinician experience and knowledge of MI-E. Additionally, results illustrated MI-E use predominantly in the non-intubated critically ill subject group.<sup>8,9,11</sup> The most frequently cited indication for MI-E use was the optimization of sputum clearance to prevent intubation or re-intubation.<sup>8-11</sup> A Cochrane systematic review concluded that further research is required to establish the feasibility, efficacy, and safety of MI-E in the intubated population given the dearth of efficacy studies.<sup>12</sup>

The aim of this scoping review was to map current and emerging evidence on how MI-E is used in invasively ventilated critically ill adults. We sought specific detail regarding the subject groups and stage of invasive ventilation for which MI-E as well as the practical application including pressures, times, and flows. We also sought to describe the outcomes and measures reported in MI-E studies as well as adverse events. This information will be used to inform research design in future MI-E studies.

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**Methods****Study Design**

This scoping review followed the methods outlined by Arksey and O'Malley and advanced by other authors.<sup>13-15</sup> The scoping review protocol has been previously published.<sup>16</sup> There were no amendments made to the protocol during the conduct of the scoping review.

**Study Identification**

Our search strategy was a modified version of that previously used for the Cochrane systematic review of cough augmentation techniques in the critically ill.<sup>12</sup> Modification required removal of terms used for airway clearance strategies other than MI-E. Furthermore, we did not exclude studies based on study design and did not restrict article selection based on language.<sup>16</sup>

The search criteria were applied between January 1990–April 2021 using electronic databases MEDLINE, Embase, and CINAHL via the Ovid platform. PROSPERO and Cochrane Library were searched for relevant reviews, ISI Web of Science for conference abstracts, and the International Clinical Trials Registry Platform (trialsearch.who.int Accessed April 12, 2022) for unpublished and ongoing trials. The reference lists of relevant studies and reviews were examined to highlight any additional articles for inclusion.

**Study Selection and Data Extraction**

Criteria for inclusion of articles were (1) adult population with invasive ventilation via ETT or cuffed tracheostomy in an intensive care setting, (2) use of MI-E, (3) any study design with original data, and (4) published from 1990 onward. Citations were excluded if they included participants < 18 y or if they were editorial pieces, letters to the editor, and bench or animal-based studies.

Screening and data extraction were performed by 2 review authors (ES and WS) independently using a piloted data extraction form. Reviewers were responsible for contacting key authors for clarification of methods or additional data if required. Any disagreements during the review process were recorded and resolved by discussion or referred to a third reviewer (LR) for arbitration. EndNote X9 (Clarivate, Philadelphia, Pennsylvania) was used to manage citations.

**Methodological Quality Assessment**

The Mixed Methods Appraisal Tool<sup>17</sup> was used to provide an assessment of study quality of full-text papers. Quality scores were not used to exclude studies.

Citations of full publications only were scored by assigning quality scores 0–100% (0%, no criteria met; 100%, all criteria met) with 20% assigned per methodological criteria of which there were 5 per study design. Score ratings > 80% were classified as high quality, 80% moderate quality, and < 80% low quality.<sup>17</sup> This process was completed independently by the reviewers (ES and WS) and then compared and discussed to generate consensus on ratings.

**Data Analysis**

Descriptive statistics were used to summarize quantitative data. The Theoretical Domains Framework<sup>18,19</sup> was used to interpret qualitative data relating to barriers and facilitators of MI-E use in invasively ventilated critically ill adults.

**Results**

The initial search generated 3,090 unique citations. The full-text papers of 133 citations were assessed for eligibility. Once inclusion and exclusion criteria were applied, 34 citations representing 28 studies were taken forward for data extraction. One conference abstract was additionally highlighted through direct contact with an author. The search results are presented using a Preferred Reporting Items for Systematic Reviews and Meta-Analyses study flow diagram (Fig. 1).

Most studies (no. = 9) were randomized controlled trials (5 full-text publications,<sup>20-24</sup> 3 trial registrations,<sup>25-27</sup> and one abstract<sup>28</sup>) or descriptive studies (no. = 19) including observational cohort studies (no. = 7),<sup>29-35</sup> surveys (no. = 6),<sup>8,10,11,36-38</sup> and case study/series reports (no. = 5)<sup>39-43</sup> and crossover trials (no. = 2).<sup>25,44</sup> Studies were completed in 13 different countries. The Mixed Methods Appraisal Tool was completed for the 19 full-text publications. Only 5/19 (26%) studies scored 100% (high quality)<sup>8,10,11,23,29</sup> (Table 1 and appendix 1, see related supplementary materials at <http://www.rcjournal.com>).

**Population**

Of the 28 studies, 20 studies provided information on the ICU population in which MI-E was studied (trial registrations no. = 3 and survey data no. = 5 excluded). Studies varied in terms of subject population with dissimilar reasons for intubation/invasive ventilation. The primary reason for intubation was recorded in 17/20 (85%) and was most commonly acute respiratory failure (no. = 12). Multiple underlying causes of acute respiratory failure were stated across studies including postoperative respiratory failure, pneumonia, cardiac arrest, acute spinal cord injury, and neuromuscular disease

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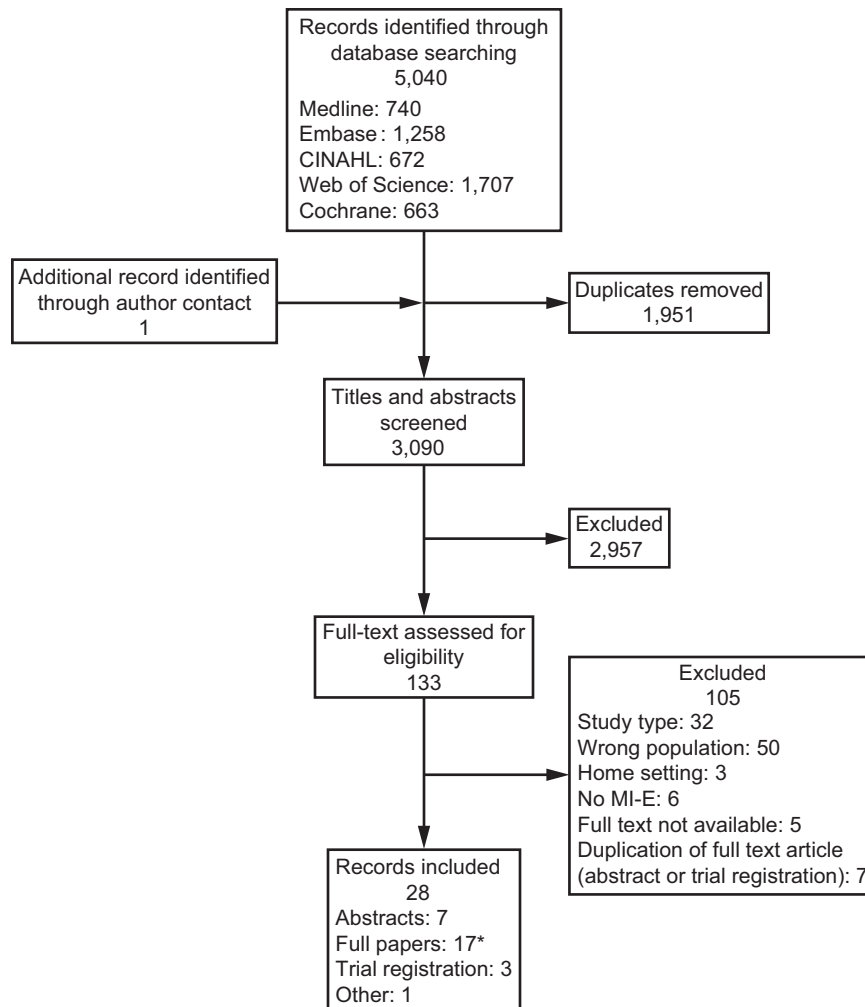


Fig. 1. PRISMA Flow chart. \*Full paper identified of 2 abstracts after closing date search.

(NMD). Duration of invasive ventilation ranged from a minimum of 24 h to 10 d at the time of recruitment (Table 1).

### Clinical Indications and Contraindications

We identified 10 different indications for use of MI-E. In clinical studies, the most commonly reported indication was presence of secretions and mucus plugging (9/28, 32%) followed by prophylactic airway clearance (7/28, 25%). Contraindications relating to concerns about using high levels of positive pressure (9/28, 32%) were most common. These findings were mirrored in survey reports of health care professionals (Table 2).

### Clinical Studies

All 20 clinical studies reported on one or more elements of MI-E device settings. A range of devices were used; 11

(55%) reported using the E70 device and 2 (10%) the Emerson CoughAssist device. Eleven clinical studies did not specify device used. Twelve (60%) studies reported use via an ETT, 4 (20%) via tracheostomy, and 6 (40%) via a combination of ETT and tracheostomy.

A pressure setting combination of  $\pm 40$  cm H<sub>2</sub>O was most commonly used across reporting studies (10/20, 50%).<sup>21-24,26,28-30,39,44</sup> Time settings were reported in 11/20 (55%) studies.<sup>21-24,29,30,34,39-41,44</sup> Most commonly used time settings were inspiratory time 3 s, expiratory time 2 s, and 1 s pause. A pause duration was reported in 8/20 (40%) studies.<sup>20-24,30,34,44</sup> Five studies (25%) reported use of one insufflation prior to an exsufflation breath (not reported in the remaining studies). Flow profile was specified in only 3 (15%) studies and was set at medium (no. = 2)<sup>20,28</sup> or high (no. = 1).<sup>31</sup> Use of oscillation was reported in 5/20 (25%) studies with 3/5<sup>20,28,33</sup> applying this option. One study applied an oscillation amplitude of 10 and frequency of 20 Hz,<sup>20</sup> whereas only oscillation frequency was reported in

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Table 1. Study Characteristics

Author, Year	Citation Format	Country	N	Population Description	Primary ICU Diagnoses/Reason for Invasive Ventilation	Interface	Outcomes	MMAT (%)
Randomized Controlled Trials								
Goncalves, 2012 <sup>22</sup>	Full paper	Portugal	75	General ICU	Acute hypoxicemic and/or hypercapnic RF from a specific etiology	ETT	Re-intubation, mortality, total ICU LOS, postextubation LOS, NIV failure rates	80
Coutinho, 2018 <sup>21</sup>	Full paper	Brazil	43	Invasive ventilation > 48 h	Traumatic brain injury, postoperative, polytrauma		Secretion clearance, hemodynamics (heart rate, systolic and diastolic blood pressure, $\bar{P}_{aw}$ ), respiratory mechanics ( $V_T$ , invasive ventilation, RR, $C_{RS}$ , $R_{RS}$ ), $S_{pO_2}$	80
Ferreira de Camillis, 2018 <sup>23</sup>	Full paper	Brazil	180	Invasive ventilation > 24 h	Acute RF, decreased level of consciousness, hemodynamic stability, postoperative, cardiac arrest	ETT	Wet aspirated sputum weight, $C_{RS}$ , $R_{RS}$ , work of breathing, adverse ventilator or hemodynamic event	100
Campos, 2019 <sup>20</sup>	Full paper	Brazil	22	Invasive ventilation > 10 d; no VAP	Postoperative RF (retained secretions)	ETT	VAP incidence, invasive ventilation duration, ICU LOS, mortality, bronchoscopy use, antibiotic use, bronchial obstruction	60
Jpn, 2018 <sup>26</sup>	Trial registration	Japan		Invasive ventilation in ICU > 24 h and expected for 48 h			Ventilator days, ICU days, re-intubation, tracheostomy	
NCT04149873, 2019 <sup>27</sup>	Trial registration	Taiwan	240*	Invasive ventilation on pressure support mode	Postoperative	ETT	Re-intubation rate, ICU mortality, postextubation LOS	
Sanchez Garcia, 2019 <sup>28</sup>	Abstract	Spain	120	Critically ill subjects		ETT or TT	Safety, tolerance (pain and agitation scores, sedation/responsiveness score)	80
Martínez-Alejos, 2021 <sup>24</sup>	Full paper	France, Spain	26	Invasive ventilation > 48 h		ETT	Sputum volume, effects on respiratory mechanics, hemodynamics and safety	100
Observational Cohort								
Bach, 2010 <sup>29</sup>	Full paper	USA, Portugal	157	NMD, critical care myopathy	Acute RF due to pneumonia and/or surgery	ETT	Successful extubation, vital capacity, duration on NIV, CPF, pre-intubation NIV experience, total days intubated	100
Soares, 2014 <sup>35</sup>	Abstract	Portugal	27	NMD	NMD with respiratory failure	TT	CPF	
Bach, 2015 <sup>32</sup>	Full paper	USA	98	NMD with previous failed extubations	RF (pneumonia)	ETT	Successful extubation, $S_{pO_2}$ , CPF, vital capacity	80
Farina, 2017 <sup>33</sup>	Abstract	Spain	13			ETT and TT	Sputum clearance, ventilator/lab/respiratory parameters	

(Continued)

## MI-E IN INVASIVELY VENTILATED ADULTS

Table 1. Continued

Author, Year	Citation Format	Country	N	Population Description	Primary ICU Diagnoses/ Reason for Invasive Ventilation	Interface	Outcomes	MMAT (%)
Sánchez García, 2018 <sup>31</sup>	Full paper	Spain	13	Invasive ventilation subjects	Peritonitis, severe pancreatitis, nosocomial pneumonia, RF, coma, severe community acquired pneumonia, bronchospasm, cardiac arrest	ETT and TT	Ventilator modes and parameters, arterial blood gas, hemodynamic parameters, adverse events, secretion clearance, device tolerance	80
Kikuchi, 2019 <sup>30</sup>	Full paper	Japan	10	NMD hospitalized with routine MI-E > 1 y	Acute RF	TT	CPF	80
Kuroiwa, 2021 <sup>34</sup>	Full paper	India	30	Invasive ventilation subjects	RF-medical, postoperative, trauma	ETT and TT	VAP incidence, invasive ventilation duration, LOS ICU, mortality, number of VAP/invasive ventilation duration, bronchoscopy frequency, bronc- hospody/invasive ventilation duration, an- tibiotic use, antibiotic/invasive ventilation duration, bronchial obstructions	80
Crossover Study ISRCT- N25106564, 2013 <sup>25</sup>	Trial registration	France		Invasive ventilation < 7 d and expected for > 48 h	Acute RF	ETT	Secretion drainage procedures 24 h and secretion volume, VAP incidence, extubation failure, hospital and ICU LOS, ICU and hospital mortality	80
Sancho, 2003 <sup>44</sup>	Full paper	Spain	6	ALS	Respiratory tract infections	TT	S <sub>po<sub>2</sub></sub> , peak inspiratory pressure, $\bar{P}_{aw}$ , work of breathing, wet sputum weight and volume, patient preference for comfort and effectiveness	80
Case Study/Series Report Bialais, 2010 <sup>39</sup>	Full paper	Belgium	1	Postoperative	RF-atelectasis	ETT	Atelectasis resolution	20
Khan, 2015 <sup>42</sup>	Abstract	USA	5	ALS	Emergency intubation due to respiratory failure	ETT	Extubation success, interventions used, respiratory muscle strength, bulbar function, cough strength, ICU LOS, hospital LOS, survival, discharge location	80
Tan, 2017 <sup>40</sup>	Full paper	Malaysia	2	Acute SCI	Postoperative prolonged weaning and prolonged weaning post cervical SCI	ETT and TT	CPF	80
Vokes, 2019 <sup>41</sup>	Abstract	United Kingdom	1	Previously fit and well	Aspiration pneumonia	ETT	Secretion clearance, F <sub>IO<sub>2</sub></sub> , arterial blood gas	(Continued)

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Table 1. Continued

Author, Year	Citation Format	Country	N	Population Description	Primary ICU Diagnoses/ Reason for Invasive Ventilation	Interface	Outcomes	MMAT (%)
Guarnieri, 2020 <sup>43</sup>	Abstract	Italy	23	Cervical SCI	RF	ETT and TT	Extubation failure	
Surveys								
Schmitt, 2007 <sup>36</sup>	Full paper	USA	86	SCI			Device use, patient satisfaction	60
Prevost, 2015 <sup>37</sup>	Full paper	Canada	114	Respiratory therapists	NMD, SCI		Device use	80
Rose, 2016 <sup>8</sup>	Full paper	Canada	157	ICU clinicians			Device use	100
Garstang, 2000 <sup>38</sup>	Full paper	USA	18	Traumatic SCI	RF	TT	Patient's experience/preference (pain, preference, fatigue)	60
Stilma, 2019 <sup>10</sup>	Full paper	Netherlands	78	ICU professional with expertise in airway care			Device use	100
Swingwood, 2019 <sup>11</sup>	Full paper	United Kingdom	166	ICU physiotherapists			Device use	100

cyti = 30.

\*Sample size mentioned in trial registration.

MMAT = mixed methods appraisal tool

RF = respiratory failure

ETT = endotracheal tube

LOS = length of stay

NIV = noninvasive ventilation

$P_{aw}$  = mean airway pressure

$V_T$  = tidal volume

RR = risk ratio

$C_{Ks}$  = lung compliance

$R_{es}$  = airway resistance

VAP = ventilator-acquired pneumonia

TT = tracheostomy tube

NMD = neuromuscular disease

CPF = cough peak flow

MI-E = mechanical insufflation-exsufflation

ALS = amyotrophic lateral sclerosis

SCI = spinal cord injury

## MI-E IN INVASIVELY VENTILATED ADULTS

Table 2. Reported Indications and Contraindications Mechanical Insufflation-Exsufflation

Outcomes	Clinical Studies no. (%)	Survey Studies in Health Care Professionals no. (%)
<b>Indications</b>		
Secretions and mucus plugging	9 (32)	4 (13)
Prophylactic airway clearance	6 (21)	
Reduced cough peak flow or insufficient cough	4 (14)	2 (7)
Neuromuscular disease or spinal cord injury		13 (4)
Previous domiciliary use		7 (2)
Weaning failure	4 (14)	2 (7)
Atelectasis	3 (11)	2 (7)
Respiratory failure	2 (7)	2 (7)
ICU acquired weakness	-	1 (3)
Need for endotracheal suctioning	3 (11)	
<b>Contraindications</b>		
Contraindications to increased positive pressure <sup>†</sup>	9 (32)	9 (30)
Recent surgery (pulmonary/thoracic/abdominal/neuro)	3 (11)	4 (13)
Mechanical ventilation settings $F_{IO_2} > 0.60$ or PEEP $> 10$ mm Hg or Ppeak $> 40$ mm Hg	2 (7)	1 (3)
(Severe) bronchospasm, COPD, or asthma	1 (7)	
Hemodynamic instability	1 (7)	1 (3)
Active tuberculosis	1 (7)	
Increased intracranial pressures ( $> 25$ mm Hg)		2 (7)
Severe COPD or asthma		2 (7)
Impaired consciousness (inability to respond to direct simple commands)		1 (3)
Trauma (facial, cranial, rib fractures)		1 (3)
Other <sup>‡</sup>	6 (21)	1 (3)

no. = 28\*

\*Multiple indications/contraindications per study.

<sup>†</sup>These included pneumothorax, hemothorax, hemoptysis, emphysema, subcutaneous emphysema, pulmonary bullae, barotrauma.

<sup>‡</sup>Other: palliative care, hemofiltration via jugular catheter, pregnancy, strict dorsal position, contractures, nausea and vomiting.

Ppeak = peak pressure

the remaining 2 studies as high<sup>33</sup> or 16 Hz. Treatment regimens varied across studies, with MI-E cycles being repeated up to every 20 min,<sup>29</sup> hourly,<sup>32</sup> 1–2 times per day,<sup>34</sup> 3 times a day,<sup>22</sup> 4 times a day,<sup>43</sup> and most commonly up to once per day.<sup>20,21,23,24,30,31,33,39,44</sup> Five studies (25%) reported the inclusion of other treatment adjuncts along-side MI-E including side positioning,<sup>43</sup> manual assisted cough,<sup>34</sup> and suction.<sup>24,41,44</sup> Table 3 provides an overview of described settings of MI-E use in invasively ventilated critically ill participants.

Seven (25%) studies described the individual applying MI-E. This was most commonly physiotherapists or respiratory therapists,<sup>22,23,30,34,41</sup> followed by ICU nurses,<sup>22,29</sup> caregivers/family,<sup>29,32</sup> and ICU physicians.<sup>22</sup>

## Outcomes and Measures

Of the 28 studies, 23 were appropriate to extract outcomes and measures; the remaining 5 were survey-based studies reporting on organization of care.

We identified 21 different outcomes measured in included studies (Table 4). Only 7 studies (7/23, 30%) clearly specified a primary outcome; these included aspirated/wet sputum weight,<sup>23,24</sup> re-intubation rate,<sup>22</sup> suction frequency,<sup>25</sup> number of ventilator/ICU days,<sup>26</sup> incidence of ventilator-associated pneumonia (VAP),<sup>34</sup> and mortality rate in 1 year.<sup>27</sup>

Five (5/23, 22%) studies reported on one outcome only. These included cough peak flow (no. = 3),<sup>30,35,40</sup> re-intubation rate (no. = 1),<sup>43</sup> and atelectasis resolution (no. = 1).<sup>39</sup> Pulmonary mechanics was the most frequently reported outcome overall (no. = 9).<sup>21,23,24,29,31-33,42,44</sup> These measurements encompassed measures of  $V_T$ , minute ventilation, airway resistance, lung compliance, and vital capacity. Eight studies (8/23, 35%) reported on extubation failure/success;<sup>22,25-27,29,32,42,43</sup> 7 studies (7/23, 30%) reported on secretion clearance or wet sputum weight.<sup>21,23-25,31,33,44</sup> Methods of outcome measurement varied across studies. Secretion clearance was primarily measured by aspirated sputum or sputum weight, most commonly at 5 min post-



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Table 3. Detailed Overview of Mechanical Insufflation-Exsufflation Settings Across Studies

Author, Year	Mode	Insufflation Pressure (cm H <sub>2</sub> O)	Exsufflation Pressure (cm H <sub>2</sub> O)	Insufflation Time	Exsufflation Time	Pause	Flow Profile	Insufflation Repeat	Treatment Regimen
Randomized Controlled Trials									
Goncalves, 2012 <sup>22</sup>		40	40	3	2	3		1	8 cycles* per session, 3 sessions per d; 1 d while intubated, 2 d postextubation
Coutinho, 2018 <sup>21</sup>	Auto-timed	40	40	3	3	0		1	5 repetitions of 4 cycles
Ferreira de Camillis, 2018 <sup>23</sup>		40	40	2	3	2			3 repetitions of 10 cycles
Campos, 2019 <sup>20</sup>		30	15	2	2	0.5	Medium		30 s on, 30 s off until 5 min
Jpm, 2018 <sup>26</sup>		40	40						10 cycles
Sanchez Garcia, 2019 <sup>28</sup>		50	50						
Martínez-Alejos, 2021 <sup>24</sup>	Automatic	40	40	3	2	1	Medium		4 repetitions of 5 cycles, with 1 min rest between repetitions
Observational Cohort									
Bach, 2010 <sup>29</sup>	Manual	40	40						Up to every 20 min to maintain or return pulse oxygen saturation to > 95% in ambient air
Soares, 2014 <sup>35</sup>		30–70	30–70						
Bach, 2015 <sup>32</sup>	Manual	60–70	60–70						Hourly while awake
Farina, 2017 <sup>33</sup>		50	45	3	4				2 cycles per session
Sánchez García, 2018 <sup>31</sup>	Patient triggered	50	45	3	4		High	1	2 repetitions of 10–12 cycles
Kikuchi, 2019 <sup>30</sup>	Automatic	40	40	1.5	1.5	2		0	2 repetitions per cycle
Kuroiwa, 2021 <sup>34</sup>		15–40	15–40	2–3	2–3	2			2 repetitions of 5–10 cycles
Crossover									
ISRCTN25106564, 2013 <sup>25</sup>		15–40 (started low and gradually increased, through auscultation and changes in S <sub>PO<sub>2</sub></sub> )							Daily intervention until day 14 or extubation 5 cycles

(Continued)

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Table 3. Continued

Author, Year	Mode	Insufflation Pressure (cm H <sub>2</sub> O)	Exsufflation Pressure (cm H <sub>2</sub> O)	Insufflation Time	Exsufflation Time	Pause	Flow Profile	Insufflation Repeat	Treatment Regimen
Sancho, 2003 <sup>44</sup>		40	40	2	3	1			
Case Study/Series Report									
Bialais, 2010 <sup>39</sup>	Manual	40	40						10 repetitions of 5 cycles
Tan, 2017 <sup>40</sup>		25 building up to 40 in increments of 50	26 building up to 40 in increments of 40						6–10 cycles with 20–60 s rest between each cycle
Vokes, 2019 <sup>41</sup>			45						
Guarnieri, 2020 <sup>43</sup>		40							4 times a d

\*Cycle refers to an insufflation breath rapidly followed by an exsufflation breath.

Table 4. Outcomes Measured\*

Outcomes	Frequency
Physiologic Variables	
Pulmonary mechanics	9 (39)
Extubation failure/success	8 (35)
Secretion clearance/wet sputum weight	7 (30)
Cough peak flow	5 (22)
Pain/agitation score	5 (22)
Adverse event	5 (22)
Device use	3 (13)
Ventilator-acquired pneumonia incidence	3 (13)
Patient preference	3 (13)
S <sub>pO<sub>2</sub></sub>	2 (9)
Bronchoscopy use	2 (9)
Antibiotic use	2 (9)
Frequency of bronchial obstructions	2 (9)
Hemodynamic parameters	2 (9)
Work of breathing	2 (9)
Atelectasis resolution	1 (5)
Clinical Outcome	
Mechanical ventilation duration	4 (17)
Noninvasive ventilation failure rate	3 (13)
ICU stay	7 (30)
Mortality	5 (22)
Discharge location	1 (4)

Data are shown as no. (%).

\*Multiple outcomes reported per study at times.

study intervention.<sup>23,44</sup> When needed, 10 mL NaCl was used to rinse the suction catheter, and that weight was extracted from the result.<sup>23</sup> Alternatively, secretion clearance was measured by frequency of endotracheal suctioning over a 24-h period.<sup>25</sup> VAP incidence was measured throughout the period of intubation, with the frequency of assessment being unclear.<sup>20,25,34</sup> The definition of VAP provided was “pneumonia in a patient who was on invasive ventilation for > 48 h.”<sup>34</sup> Re-intubation rate or extubation failure was used as an outcome measure in 8 (8/23, 35%) studies and defined in 3/8 studies. Definitions of extubation failure varied across studies including 48 h following extubation,<sup>22</sup> not needing a tracheostomy during hospitalization or at any time during follow-up,<sup>32</sup> and discharge without re-intubation.<sup>29</sup>

Time points for measuring pulmonary mechanics were 5 min before and after the intervention and 1 h after the intervention. Cough peak flow was measured during and after intubation, mostly using the MI-E device.<sup>30,35,40</sup>

### Adverse Events

Adverse events were addressed in 13/20 (65%) studies. For reporting purposes, we grouped adverse events into 3

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Table 5. Reporting of Adverse Events

First Author, Year	Summary of Planned Adverse Events Data Collection			Summary of Adverse Events Reporting
	Respiratory	Hemodynamic	Other	
<b>Clinical Studies</b>				
Sancho et al, 2003 <sup>44</sup>				No adverse effects
Soares et al, 2014 <sup>35</sup>				No side effects in relation to high MI-E pressures
Khan et al, 2015 <sup>42</sup>	Re-intubation and pneumothorax			Re-intubation 2/5 subjects Pneumothorax 1/5 subjects
Farina et al, 2017 <sup>33</sup>	Barotrauma, desaturation, atelectasis, hemoptysis	Hemodynamic complications		None detected after MI-E
Coutinho et al, 2018 <sup>21</sup>		HR and $\bar{P}_{aw}$		No significant changes
Ferreira de Camillis et al, 2018 <sup>23</sup>	↓ Oxygen saturation by 3%	Occurrence of systolic blood pressure < 90 mm Hg		None observed
Sanchez-Garcia et al, 2018 <sup>31</sup>	Barotrauma (pneumothorax) or atelectasis, desaturation, hemoptysis, other airway complications		Tolerance (need for additional sedatives or analgesic medication)	No adverse events observed, well tolerated
Sanchez-Garcia et al, 2019 <sup>28</sup>				No adverse events observed
Vokes et al, 2019 <sup>41</sup>				Safe and feasible, no adverse effects
Guarnieri et al, 2020 <sup>43</sup>				No adverse events observed
Martínez-Alejos et al, 2021 <sup>24</sup>	Pneumothorax, $S_{aO_2}$ , consistently ↓ < 85% or > 10% from baseline	HR, systolic blood pressure or diastolic blood pressure ↑ or ↓ > 20% from baseline		10 episodes of brief desaturations or hemodynamic variations were documented during expiratory rib cage compressions + MI-E
<b>Surveys</b>				
Prevost et al, 2010 <sup>37</sup>				Complications (not defined) rare in neuromuscular disease subjects; in other patient groups unknown
Rose et al, 2016 <sup>8</sup>	Mucus plugging requiring tracheostomy, pneumothorax, hemoptysis	Bradycardia/asystole, hypotension, arrhythmias	Chest pain	Mucus plugging requiring tracheostomy (10/43, 23%) Pneumothorax (4/43, 9%) Hemoptysis (3/43, 7%) Bradycardia/asystole (8/43, 19%) Hypotension (7/42, 16%) Arrhythmias (6/43, 14%) Chest pain (8/43, 19%)

\*Remaining articles did not explicitly report on adverse events. Adverse events (to include definitions when provided): (13/28, 46%).\*

MI-E = mechanical insufflation-exsufflation

HR = heart rate

$\bar{P}_{aw}$  = mean airway pressure

$S_{aO_2}$  = arterial oxygen saturation

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Table 6. Reported Barriers and Facilitators to Mechanical Insufflation-Exsufflation Use

Theoretical Domains Framework Domain	Description
Knowledge and skills	A perceived lack of skills (skills) and knowledge (knowledge) was generally seen as a barrier to use, with the suggestion that clinicians may be more skilled using the device via a tracheostomy interface in comparison to an ETT. <sup>8,11</sup>
Beliefs about consequences	Expected or potential outcomes (beliefs about consequences) were focused on positive clinical experiences. <sup>8,11,36</sup>
Intention	A positive intent to practice (intention). <sup>11</sup>
Environmental context and resources	A lack of resources, funding, and senior culture (environmental context) impacting implementation. <sup>8,11,36</sup>
Social influences	Team culture and senior support (social influences) influencing implementation and illustrating the potential impact colleagues. <sup>8,11</sup>

ETT = endotracheal tube

commonly occurring categories, namely respiratory, hemodynamic, and other (Table 5).

Of the 13 studies, 10 studies reported no occurrence of adverse events in relation to MI-E. Three studies did report on the occurrence of adverse events.<sup>8,24,42</sup> Documented adverse events included oxygen desaturation (< 85%),<sup>24</sup> hemodynamic variation (increase or decrease of heart rate or blood pressure > 15–20% from baseline),<sup>8,24</sup> re-intubation,<sup>42</sup> pneumothorax,<sup>8,42</sup> mucus plugging,<sup>8</sup> hemoptysis,<sup>8</sup> and chest pain.<sup>8</sup>

### Barriers and Facilitators to MI-E Use

We found no qualitative studies to include in the scoping review; however, 3 survey studies reported qualitative data from open-ended questions.<sup>8,11,36</sup> Themes illustrating barriers and facilitators to MI-E use were grouped under 6 of the 14 Theoretical Domains Framework domains: knowledge, skills, beliefs about consequences, intention, environmental context and resources, and social influences (Table 6). Barriers to MI-E use in the critically ill included the impact of team culture, a lack of clinical experience, and the need for additional resources and training with the device. Conversely, data illustrated positive intention to use the device with this subject group, with positive experiences described to date.

### Discussion

In this scoping review, we mapped current and emerging evidence on MI-E use in invasively ventilated critically ill adults. We included 25 completed studies and 3 trial registrations published between January 1990–April 2021. Findings show that MI-E is predominantly used in ICU patients who have difficulties in weaning and sputum clearance. Studies predominantly investigated MI-E use in subjects with NMD and acute spinal cord injuries that does not reflect the

heterogeneous nature of invasively ventilated critically ill adults. Perceived contraindications to MI-E use in the acutely intubated population related to the use of increased positive pressure. There was variation in MI-E device setup and the amount of details reported across studies. Only 3 studies reported on occurrence of adverse events. Qualitative data pertaining to subject and clinician experience of using MI-E in this subject group were lacking.

During invasive ventilation, positive-pressure breaths are delivered followed by a passive expiration. In contrast, MI-E delivers both positive- (insufflation) and negative- (exsufflation) pressure breaths. Therefore, it is noteworthy that we found the use of positive pressure to be a perceived contraindication, whereas negative pressure was not considered a contraindication or precaution for use of MI-E in invasively ventilated critically ill adults. In these patients, lung recruitment and de-recruitment are important considerations.<sup>45,46</sup> Barotrauma and volutrauma associated with large  $V_T$ s are well documented, and low-volume lung-protective ventilation is standard of care, particularly for patients with acute lung injury.<sup>45</sup> However, de-recruitment of lung units can have an equally adverse impact on oxygenation and effective ventilation while attenuating lung injury.<sup>46</sup> To date, no studies have examined the extent of de-recruitment or possible adverse events in relation to a negative-pressure exsufflation breath using MI-E.

Our review data indicate that MI-E is mainly studied with insufflation and exsufflation pressures of 40 cm H<sub>2</sub>O. The use of asymmetrical pressure settings and customization of pressure settings to endotracheal size have not yet been studied in invasively ventilated critically ill adults. Previous studies in an NMD non-ICU population<sup>47</sup> illustrate that asymmetrical (ie, pressure settings to enhance the expiratory flow +30: –40 cm H<sub>2</sub>O) may enhance expiratory flow. One bench study examining the impact of an artificial airway on MI-E flows<sup>48</sup> found higher pressures were required to overcome resistance to flow, particularly

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in narrower ETT sizes. Detail of flows, use of oscillations, and timings were reported infrequently, which makes extrapolation of device setup into a clinical setting challenging. It is difficult to know whether these omissions are simply a lack of reporting detail or whether the full potential of MI-E settings was not used; this has been commented and queried previously.<sup>47</sup> It should be acknowledged that advanced settings such as oscillations have not been available to clinicians for the duration of the data collection period; this may, therefore, have impacted on reporting of this feature. Data are needed to optimize the physiological impact of MI-E in invasively ventilated critically ill patients and to provide evidence-based guidance for our practice of care, training, and education.

We found multiple outcomes reported across studies including re-intubation rates, wet sputum weight, and respiratory parameters. The appropriateness of wet sputum weight as a primary outcome for examining the efficacy of MI-E is questionable.<sup>11,49</sup> Although sputum clearance is important to quantify in invasively ventilated critically ill patients, a linear relationship does not exist between sputum quantity and disease severity.<sup>3</sup> Consistency in the selection of outcome measures across MI-E studies would allow for meta-analyses, thus strengthening the overall evidence base. Development of a core outcome measure set, as recommended by the COMET Initiative (<https://www.comet-initiative.org>, Accessed September 2021), that specifically focuses on airway clearance in the invasively ventilated critically ill adult population is warranted.

Only 3 studies reporting occurrence of an adverse event including pneumothoraces, hemodynamic instability, and oxygen desaturation. Changes in hemodynamic parameters during MI-E were transient and did not require trial protocol cessation. Case reports of pneumothoraces have previously been described in an adult NMD non-ICU population<sup>50,51</sup> following MI-E, although no causal relationship could be confirmed due to the use of MI-E.<sup>50-53</sup>

A common barrier to MI-E use was a perceived lack of skills and knowledge, suggesting an important opportunity for training and education. A European survey among ICU nurses showed that the knowledge related to respiration/ventilation was scored relatively low, although that would not be expected within this field of care.<sup>54</sup> With MI-E being part of respiratory care, further qualitative inquiry to explore barriers and facilitators in greater detail could provide useful data to inform the optimal clinical implementation of research findings.

### Strength and Limitations

Strengths of our scoping review are the use of systematic and transparent prespecified protocol, a search strategy with no methodological or language restrictions, appraisal of risk of bias using the Mixed Methods

Appraisal Tool, and use of a theoretical framework to explore barriers and facilitators. We acknowledge that bench studies were excluded that may have provided additional data on MI-E settings in order to inform future research protocols.

### Summary

This scoping review of MI-E use in invasively ventilated critically ill adults reports data on 28 studies. We conclude that there is little consistency in how MI-E is used and reported. This limits the strength of the overall body of evidence and the ability, therefore, to make recommendations about best practices. More studies are required, including more transparent reporting of device settings for the invasively ventilated critically ill patient. Additionally, we recommend development of a core outcome measure set for airway clearance in this population to promote consistency in outcome reporting in future intervention trials important to patients, clinicians, and researchers.

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