Correction of Error in Respiratory Resistance Measurements Made With the Flow-Interruption Technique During Mechanical Ventilation: Evaluation of the Puritan Bennett 7200 and 840 Ventilators

Louis M Lit MD, Peter Doelken MD, and Paul H Mayo MD

BACKGROUND: Calculation of total inspiratory resistance ($R_{tot}$) for patients on ventilatory support is typically based on measurement of airflow velocity and airway opening pressure during end-inspiratory occlusion by the inspiratory valve in the ventilator. Systematic error is introduced into $R_{tot}$ measurements because the inspiratory valve closes over a period of time (not instantaneously, so gas continues to flow into the circuit while the valve is shutting) and because the circuit tubing is a distensible compartment between the occluding valve and the respiratory system. The $R_{tot}$ measurement error can be minimized with a rapidly-shutting occlusion valve positioned at the airway opening, or, alternatively, by mathematical correction that accounts for the valve-closure period and circuit tubing characteristics. METHODS: In a bench study we measured $R_{tot}$ with the Puritan Bennett 7200 and 840 ventilators (using the inspiratory valves that are built into those ventilators) and compared those measurements to measurements made with a rapidly-shutting valve at the airway opening. We deemed the rapid-occlusion-valve measurements the best available (benchmark) values. We also studied the closure characteristics of the ventilators’ inspiratory occlusion valves and created equations for mathematical correction of $R_{tot}$ values measured with those valves. RESULTS: Compared to the benchmark measurements, the measurements from the Puritan Bennett 7200 averaged 23.2% relative error and 2.6 cm H$_2$O/L/s absolute error. Measurements from the Puritan Bennett 840 averaged 7.3% relative error and 1.0 cm H$_2$O/L/s absolute error. Mathematical correction for the circuit tubing and valve-closure time reduced the average relative and absolute error to 3.0% and 0.4 cm H$_2$O/L/s, respectively, for the Puritan Bennett 7200, and to 4.5% and 0.3 cm H$_2$O/L/s, respectively, for the Puritan Bennett 840. CONCLUSIONS: The Puritan Bennett 840 measures $R_{tot}$ more accurately than the Puritan Bennett 7200. Our equations to mathematically correct $R_{tot}$ measurements made with the PB7200 and PB840 are useful in settings where very accurate $R_{tot}$ measurements are necessary. Key words: ventilator, mechanical ventilation, monitoring, airway resistance, measurement error, respiratory physiology. [Respir Care 2004;49(9):1022–1028. © 2004 Daedalus Enterprises]

Introduction

Respiratory resistance in mechanically ventilated subjects can be calculated from measurements of airflow rate ($V$) and airway opening pressure ($P_{AO}$) during an end-inspiratory occlusion maneuver.$^{1-3}$ Total inspiratory resistance ($R_{tot}$) is defined as:

$$R_{tot} = \frac{(P_{peak} - P_{plat})}{V}$$  \hspace{1cm} (1)

in which $V$ is the flow rate immediately preceding flow-interruption, and $P_{peak}$ and $P_{plat}$ are the peak and plateau pressures of the $P_{AO}$ waveform. In clinical practice, $R_{tot}$ measurements are performed by interrupting inspiration

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Louis M Lit MD was at the time of this study and Paul H Mayo MD is affiliated with the Department of Pulmonary and Critical Care Medicine, Beth Israel Medical Center, New York, New York. Peter Doelken MD is affiliated with the Medical University of South Carolina, Department of Pulmonary and Critical Care Medicine, Charleston, South Carolina.

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Correspondence: Louis M Lit MD, Division of Pulmonary and Critical Care Medicine (R-47), University of Miami/Jackson Memorial Medical Center, Rosenstiel Medical Science Building Room 7058, 1600 NW 10th Avenue, Miami FL 33136. Email: lou_lit@hotmail.com.
under constant flow conditions, by occlusion of a valve positioned within the ventilator (not at the airway opening). In that arrangement \( R_{\text{tot}} \) is systematically underestimated because the inspiratory-valve closes over time (not instantaneously) and because the circuit tubing is a distensible compartment between the occluding valve and the respiratory system. Specifically, those 2 factors allow the injection of additional volume (\( \Delta V_{\text{valve}} \) and \( \Delta V_{\text{tube}} \)) into the respiratory system, which increases \( P_{\text{plat}} \) (by amount \( \Delta P_{\text{VT}} \) due to the combination of \( \Delta V_{\text{valve}} \) and \( \Delta V_{\text{tube}} \)) and the additional gas volume is injected into the respiratory system from emptying of the distended tubing circuit (\( \Delta V_{\text{tube}} \)), so \( P_{\text{pulm}} \) continues to rise during valve closure and \( P_{\text{plat}} \) is overestimated by amount \( \Delta P_{\text{VT}} \) (due to the combination of \( \Delta V_{\text{valve}} \) and \( \Delta V_{\text{tube}} \)).

\[ \Delta P_{\text{VT}} = (\Delta V_{\text{valve}} + \Delta V_{\text{tube}}) \div C_{RS} \tag{2} \]

in which \( C_{RS} \) is static respiratory system compliance. Correction for \( \Delta V_{\text{tube}} \) requires knowledge of the ventilator tubing compliance, which is easily measured. Correcting for \( \Delta V_{\text{valve}} \) requires mathematical characterization of the occluding valve and is therefore specific to each ventilator model. To date, the only conventional ventilator valve thus described in the literature is that in the Siemens Servo 900C.

Since valve closure characteristics differ among ventilator models, the accuracy of \( R_{\text{tot}} \) measurements performed with the ventilator valve also differ by model. Clinicians and researchers should be aware of the size of error associated with specific ventilator models. We conducted a bench study in which we measured \( R_{\text{tot}} \) with 2 ventilators, a Puritan Bennett 7200 (PB7200, Puritan Bennett, Carlsbad, California) and a Puritan Bennett 840 (PB840), and compared those measurements to measurements made with a rapid-occlusion valve positioned at the airway opening. We also developed a method to measure and mathematically determine \( \Delta V_{\text{valve}} \) for the PB7200 and PB840 and we used that method to obtain mathematically corrected \( R_{\text{tot}} \) values.

**Methods**

**Resistance Measurements**

The bench model for measuring \( R_{\text{tot}} \) consisted of the ventilator connected to a 2-chamber lung model (TTL, Michigan Instruments, Grand Rapids, Michigan) via a standard adult respiratory tubing circuit (MR850, Allegiance, MacGraw Park, Illinois). We studied 4 different respiratory resistances. Resistance was created by placing constricted-orifice resistors (Pneuflow, Michigan Instruments, Grand Rapids, Michigan) into the circuit at the entrance to the lung model. Resistors were used in combination to approximate \( R_{\text{tot}} \) of 5, 10, 15, and 25 cm H\(_2\)O/L/s. Those values were chosen to represent resistances that occur in normal and diseased respiratory systems. The lung model compliance was set constant at 0.023 L/cm H\(_2\)O. The compliance was determined by measuring static respiratory system pressure with a variable-reluctance pressure transducer (MP45 ± 50 cm H\(_2\)O transducer, Validyne Engineering Company, Northridge, California) after injecting 100-mL increments of air with a volumetric syringe. A relatively low compliance was used, because (by Equation 2) larger errors in measurement of resistance are expected with low-compliance systems. The compliance value was chosen to fall within the range encountered clinically, as with severe acute respiratory distress syndrome.

With both the PB7200 and PB840 we performed 2-s end-inspiratory interruption maneuvers with each of the 4 tested resistances, while measuring \( P_{\text{AO}} \) and \( \dot{V} \) at the airway opening. Flow was measured with a thermal mass flow meter (model 4000, TSI, St Paul, Minnesota) with a 4-ms response time, and \( P_{\text{AO}} \) was measured with the pres-
sure transducer. Data were collected in a laptop computer (ThinkPad 380, IBM, White Plains, New York) equipped with a data acquisition card (DAQ Card 1200 and BNC208 board, National Instruments, Austin, Texas) and software (BioBench 1.0, National Instruments, Austin, Texas). Data were recorded at 200 Hz.

The pressure transducer was calibrated with a water manometer. We leak-tested the system by observing the PAO waveform during a 7–8-s end-inspiratory pause maneuver at a plateau pressure of approximately 20 cm H2O. Ventilator settings were maintained constant; we used continuous mandatory ventilation mode with the PB7200 and we used assist control mode (equivalent to continuous mandatory ventilation mode) with the PB840. Inspiratory flow was 60 L/min, with a square-wave setting, tidal volume was 0.5 L, fraction of inspired oxygen was 0.21, respiratory rate was 4 breaths/min, and positive end-expiratory pressure was zero.

To collect benchmark resistance measurements we performed end-inspiratory-occlusion tests with a rapidly shutting, helium-driven, pneumatic, sliding occlusion valve (series 4220, Hans Rudolph, Kansas City, Missouri) that had a closure time of 7 ms (Figure 2), placed at the airway opening, with both the PB840 and the PB7200. During those measurements we used the same ventilator settings, but flow was interrupted by the rapid-occlusion valve rather than by the inspiratory valve within the ventilator. To ensure that inflation time was precisely the same as with the ventilator’s valve, the rapid-occlusion valve was controlled by a digital storage oscilloscope (Classic 6000, Gould Instruments, Valley View, Ohio) that tracked the time since onset of flow through the flow meter. Bench testing with the oscilloscope indicated that inflation times were within 15 ms of one another. We deemed the Rtot values measured with the rapid-occlusion valve the best available (benchmark) Rtot values, because the valve-closure time of 7 ms and positioning the valve at the airway opening minimizes the contributions of ΔVvalve and ΔVtube.

Differences from the benchmark Rtot values were considered to be error on the part of the ventilators’ inspiratory valves.

**Mathematical Characterization of Valves**

To develop an equation to describe ΔVvalve as a function of V, we measured flow during valve closure, with the flow meter placed at the ventilator inspiratory port. A square-wave flow pattern was used and V was varied between 40 and 100 L/min, in increments of 5 L/min. The V data were collected at 500 Hz, via the laptop computer’s serial port and communication software (HyperTerminal 6.3, Hilgraeve, Monroe, Michigan) and then, using statistical software (SigmaPlot 5.0, SPSS, Chicago, Illinois), computationally integrated for the valve-closure period to quantify ΔVvalve. We also performed linear regression analysis with that software, to derive an equation relating V at the initiation of valve closure to ΔVvalve.

**Mathematical Correction of Rtot**

In addition to characterizing ΔVvalve, mathematically correcting Rtot (by Equation 2) also requires characterizing ΔVtube, which is related to the tubing compliance (Ctube) and the pressure gradient driving redistribution:

\[ ΔV_{tube} = C_{tube} \times (P_{peak} - P_{plat}) \]  

(3)
To measure \( C_{\text{tube}} \) we occluded all the openings of the tubing and used a volumetric syringe equipped with a 1-way valve to inject 10-mL volumes of air into the tubing while measuring intratube pressure. We calculated \( \Delta V_{\text{valve}} \) and \( \Delta V_{\text{tube}} \) for both the PB7200 and the PB840 and determined the mathematically corrected \( R_{\text{tot}} \) values.

**Statistical Analysis**

Each \( R_{\text{tot}} \) measurement was performed 3 times. We used the 2-tailed Student’s \( t \) test to compare the benchmark \( R_{\text{tot}} \) values to the corrected and uncorrected \( R_{\text{tot}} \) measurements, to assess for absolute error, relative error, and significant differences. Difference were considered statistically significant when \( p < 0.05 \).

**Results**

**Resistance Measurements**

Table 1 shows the benchmark, uncorrected, and corrected \( R_{\text{tot}} \) values, and the \( p \) values for the differences. The benchmark values ranged from 4.1 to 25.9 cm H\(_2\)O/L/s. The benchmark values were slightly different between the PB7200 and the PB840, because they were set up at different times. The largest difference was 1.3 cm H\(_2\)O/L/s.

Figures 3 and 4 show the relative and absolute errors for the \( R_{\text{tot}} \) values from Table 1. With the PB7200 the uncorrected relative \( R_{\text{tot}} \) error averaged 23.2% (range 15.9–34.1%) and the absolute error averaged 2.6 cm H\(_2\)O/L/s (range 1.4–3.9 cm H\(_2\)O/L/s). Absolute error increased with increasing resistance, whereas relative error was greatest with the lowest resistance. The differences between the benchmark values and uncorrected PB7200 values were statistically significant in all cases.

With the PB840 the relative \( R_{\text{tot}} \) error averaged 7.3% (range 6.5–8.1%) and the absolute error averaged 1.0 cm H\(_2\)O/L/s (range 0.3–2.1 cm H\(_2\)O/L/s). Absolute error also increased with increasing resistance (see Figs. 3 and 4). In contrast to the PB7200, with the PB840 the relative error was not greatest with the small resistances, but instead remained within a narrow range for all \( R_{\text{tot}} \) values. Differences between the benchmark and the uncorrected PB840 resistances were statistically significant except in the case of the lowest resistance (\( p = 0.095 \)).

**Mathematical Characterization of Valves**

To determine \( \Delta V_{\text{valve}} \) we made 26 \( V \) measurements at the inspiratory port of the PB7200 and PB840 during valve closure. The ventilators used were not the same units we used for the \( R_{\text{tot}} \) measurements. Over the \( V \) range used, \( \Delta V_{\text{valve}} \) averaged 32.8 mL for the PB7200 and 12.4 mL for the PB840. In both cases the \( \Delta V_{\text{valve}} \) values were linearly distributed, as a function of \( V \), as would be predicted for a solenoid-driven proportional valve. By linear regression:

\[
\Delta V_{\text{valve,7200}} = 0.031s \times V - 0.0025L \quad (4)
\]

and

\[
\Delta V_{\text{valve,840}} = 0.0102s \times V - 0.00086L \quad (5)
\]

**Mathematical Correction of \( R_{\text{tot}} \)**

After mathematical correction with equations 2 through 5 the average relative error of \( R_{\text{tot}} \) measurements from the PB7200 was reduced to 3.0% (range 1.1–5.2%) (see Fig. 3) and the absolute error was reduced to an average of 0.4 cm H\(_2\)O/L/s. In the model with the smallest \( R_{\text{tot}} \) the mathematically corrected value was higher than the benchmark value, by 0.1 cm H\(_2\)O/L/s, whereas the other corrected values were still lower than the benchmark. After mathe-

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**Table 1.** Total Inspiratory Resistance

<table>
<thead>
<tr>
<th></th>
<th>Benchmark ( R_{\text{tot}} ) (cm H(_2)O/L/s)</th>
<th>Uncorrected ( R_{\text{tot}} ) (cm H(_2)O/L/s)</th>
<th>( p ) for Benchmark vs Uncorrected</th>
<th>Corrected ( R_{\text{tot}} ) (cm H(_2)O/L/s)</th>
<th>( p ) for Benchmark vs Corrected</th>
</tr>
</thead>
<tbody>
<tr>
<td>Puritan Bennett 7200</td>
<td>4.1 ± 0.26</td>
<td>2.7 ± 0.11</td>
<td>0.019</td>
<td>4.2 ± 0.11</td>
<td>0.62</td>
</tr>
<tr>
<td></td>
<td>9.5 ± 0.13</td>
<td>7.5 ± 0.21</td>
<td>0.00062</td>
<td>9.4 ± 0.22</td>
<td>0.26</td>
</tr>
<tr>
<td></td>
<td>13.4 ± 0.22</td>
<td>10.5 ± 0.27</td>
<td>0.0096</td>
<td>12.7 ± 0.29</td>
<td>0.16</td>
</tr>
<tr>
<td></td>
<td>24.6 ± 0.29</td>
<td>20.7 ± 0.17</td>
<td>0.0031</td>
<td>23.8 ± 0.19</td>
<td>0.068</td>
</tr>
<tr>
<td>Puritan Bennett 840</td>
<td>4.6 ± 0.05</td>
<td>4.3 ± 0.17</td>
<td>0.095</td>
<td>5.1 ± 0.18</td>
<td>0.042</td>
</tr>
<tr>
<td></td>
<td>10.1 ± 0.20</td>
<td>9.4 ± 0.09</td>
<td>0.037</td>
<td>10.6 ± 0.092</td>
<td>0.083</td>
</tr>
<tr>
<td></td>
<td>14.5 ± 0.13</td>
<td>13.4 ± 0.2</td>
<td>0.017</td>
<td>14.8 ± 0.22</td>
<td>0.12</td>
</tr>
<tr>
<td></td>
<td>25.9 ± 0.083</td>
<td>23.8 ± 0.16</td>
<td>0.0017</td>
<td>26.0 ± 0.18</td>
<td>0.49</td>
</tr>
</tbody>
</table>

Values are mean ± SD

\( R_{\text{tot}} \) = total inspiratory resistance

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**Correction of Error in Respiratory Resistance Measurements**
Fig. 3. Benchmark total inspiratory resistance ($R_{tot}$) values versus relative error in $R_{tot}$ measurements (before and after mathematical correction) made with the Puritan Bennett 7200 ventilator (PB7200) and the Puritan Bennett 840 ventilator (PB840).

Fig. 4. Benchmark total inspiratory resistance ($R_{tot}$) values versus absolute error in $R_{tot}$ measurements (before and after mathematical correction) made with the Puritan Bennett 7200 ventilator (PB7200) and the Puritan Bennett 840 ventilator (PB840).
Mathematical correction also reduced the average $R_{tot}$-measurement error with the PB840 (see Figs. 3 and 4). The average relative error was 4.6% and the average absolute error was 0.3 cm H$_2$O/L/s. In all cases with the PB840 the corrected $R_{tot}$ values were higher than the benchmark measurements. In the model with the lowest $R_{tot}$ the mathematical correction actually increased the error, from 6.5% lower than benchmark to 10.9% higher than benchmark. However, the absolute error remained small; it was 0.3 cm H$_2$O/L/s before correction and 0.5 cm H$_2$O/L/s after correction. The difference from benchmark after correction was statistically significant ($p = 0.042$). The other differences were not significant.

**Discussion**

Our objectives were:

1. To determine and compare the respiratory resistance measurement errors of the PB7200 and PB840 ventilators
2. To derive mathematical characterizations of the PB840 and PB7200 ventilators’ inspiratory valves to determine a mathematical correction for $R_{tot}$ measurements
3. To use a bench model to determine the validity of the mathematical correction

With our model we found an average $R_{tot}$-measurement error of 23.2% with the PB7200. This aspect of the PB7200 had not been studied previously, but that magnitude of relative error is consistent with the report by Sly et al, who found an error range of 10.4–26.2% with a Siemens Servo 900C ventilator. That study, however, was performed with a bench model of a pediatric respiratory system, with ventilation parameters substantially different than the adult ventilation parameters we used in the present study.

Our model found considerable relative errors, but the corresponding absolute values might not be clinically important. For instance, the 34.1% error measured with a resistance of 4.1 cm H$_2$O/L/s represents an absolute error of only 1.4 cm H$_2$O/L/s. That magnitude of error may not be clinically important, but it could be problematic in research settings.

$R_{tot}$ measurements from the PB840, which is a newer-generation ventilator, were considerably more accurate. In our low-compliance model, which represents a worst-case scenario, the relative error was never greater than 8.1% and the absolute error was $\leq 2.1$ cm H$_2$O/L/s. Thus, $\Delta V$ in valve was less with the PB840 than with the PB7200, and $R_{tot}$ measurements made with the PB840 are more accurate than those with the PB7200.

Bates et al showed with a computational model that measurements made with a valve that shuts in 12 ms may still give $R_{tot}$ values that are as much as 7% lower than benchmark. Benchmark measurements made with a rapid-occlusion valve may underestimate the measured error by a similar degree. However, Bates and Milic-Emili stated that a valve that closes in $\leq 10$ ms is sufficient for accurate measurements. Valves with that closure speed are the fastest available to physiologists and those valves are the benchmark for occlusion technique. Our intention was to compare $R_{tot}$ measurements made with the ventilators’ inspiratory valves and measurements that would be obtained in a standard physiology laboratory with the occlusion technique, and so the benchmark is appropriate for this study.

Others have described and used mathematical characterization of valve closure for correcting respiratory resistance measurements, but those corrections have not been directly validated. A theoretical analysis predicted that mathematical correction would not be feasible, based on the large magnitude of relative error. But our bench model findings support that mathematical correction of $R_{tot}$ measurements can be useful. Our corrected $R_{tot}$ values were within 1 cm H$_2$O/L/s of the benchmark in all cases. All the uncorrected $R_{tot}$ values were lower than the benchmark values. Some of the corrected values were higher than benchmark, but the degree of error was still reduced in all cases except one. We do not believe that the overestimation of the benchmark in some instances or the case where the mathematical correction increased the error indicates a fault with the method. The post-correction overestimation was small (always $\leq 0.5$ cm H$_2$O/L/s), and this may reflect the small underestimation of the true $R_{tot}$ that is inherent to the benchmark, as noted above. Similarly, in the case where the correction increased the error, the magnitude of error was small and the slight underestimation of the true $R_{tot}$ by the benchmark may again obscure the result. By way of example, in this case the benchmark resistance measurement was 4.6 cm H$_2$O/L/s and the error was 0.3 cm H$_2$O/L/s (underestimated) before correction and 0.5 cm H$_2$O/L/s (overestimation) after correction. If the benchmark underestimated the true resistance by 2% (4.7 cm H$_2$O/L/s instead of 4.6 cm H$_2$O/L/s), which is possible, then the correction method would have decreased the error instead of increasing it.

The differences in mechanics between our bench model and animal or human subjects could affect the validity of a mathematical $R_{tot}$ correction. $R_{tot}$ is actually a combination of 2 resistances: $R_{min}$ (the immediate decrease in $P_{AO}$ from $P_{peak}$ to $P_e$) and $R_{dif}$ (the slower, small-amplitude drop from $P_1$ to $P_{plate}$ that follows cessation of flow). $R_{min}$ represents airway resistance, whereas $R_{dif}$ is due to gas redistribution in the lung and viscoelastic properties of the respiratory system. $R_{dif}$ is not often measured directly in clinical situations, because the measurement is technically difficult: a curvilinear backward extrapolation of the $P_{AO}$ waveform to the time of valve closure must be performed. More commonly, $R_{tot}$ is...
measured and often used as an inference of airway resistance, for diagnosis or assessing treatment efficacy (eg, following suctioning, β agonists, or steroids). In certain disease states, such as obstructive lung disease or acute lung injury, \( R_{\text{diff}} \) may be a substantial component of \( R_{\text{tot}} \) and may be responsible for observed \( R_{\text{tot}} \) changes.\(^{20–22}\)

Our bench model is limited in that it does not include physiologic properties that contribute to \( R_{\text{diff}} \). In principle, injecting \( \Delta V_{\text{valve}} \) and \( \Delta V_{\text{tube}} \) should offset \( P_1 \) and \( P_{\text{plat}} \) by the same amount, and thus the mathematical correction should accurately determine both \( R_{\text{tot}} \) and \( R_{\min} \). Based on that principle, mathematical corrections similar to ours have been applied to \( R_{\min} \) and \( R_{\text{tot}} \) in human and animal models.\(^{5,17–19}\)

However, further investigation is needed to determine the applicability of measured and corrected \( R_{\text{tot}} \) measurements in animal and human subjects, particularly diseased subjects.

We chose to measure \( \Delta V_{\text{valve}} \) in different individual ventilator units than those we used to measure \( R_{\text{tot}} \) in the bench model because of the reliable performance characteristics of the microprocessor-controlled servoid valves used in Puritan Bennett ventilators. In fact, \( \Delta V_{\text{valve}} \) values from several different PB7200 units produced identical plots. We did not present those data here because they are unnecessary to the objectives of this report.

Conclusions

We found \( R_{\text{tot}} \)-measurement errors with the PB7200 and PB840. The error was less with the PB840. The absolute error with either ventilator seems unlikely to influence clinical decision making but may be important in research settings. The error due to valve-closure characteristics is predictable, and our mathematical descriptions of \( \Delta V_{\text{valve}} \) allow correction of \( R_{\text{tot}} \) values. Our equations corrected \( R_{\text{tot}} \) measurements so that they were not significantly different than the benchmark values. In settings where very accurate \( R_{\text{tot}} \) measurements are necessary, using a rapid-occlusion valve at the airway opening is the benchmark method, but mathematical correction of measurements made with a ventilator is an alternative that requires less sophisticated equipment. These methods can easily be adapted to study other ventilator models.

REFERENCES