

# Dead Space Fraction Changes During PEEP Titration Following Lung Recruitment in Patients With ARDS

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**BACKGROUND:** Elevated dead space fraction (the ratio of dead space to tidal volume [ $V_D/V_T$ ]) is a feature of ARDS. PEEP can partially reverse atelectasis, prevent alveoli recollapse, and improve lung compliance and gas exchange in patients with ARDS. However, whether  $V_D/V_T$  variables have a close relationship with PEEP and collapse alveolar recruitment remains unrecognized. Meanwhile, few clinicians titrate PEEP in consideration of changes in  $V_D/V_T$ . Therefore, we performed the study to evaluate  $V_D/V_T$ , arterial oxygenation, and compliance changes during PEEP titration following lung recruitment in ARDS patients. **METHODS:** Twenty-three ARDS patients ventilated in volume-controlled mode were enrolled in the study. Sustained inflation (40 cm H<sub>2</sub>O, 30 s) was used as a recruitment maneuver, followed by decremental PEEP changes from 20 to 6 cm H<sub>2</sub>O, in steps of 2 cm H<sub>2</sub>O, and then to 0 cm H<sub>2</sub>O.  $V_D/V_T$ , pulmonary mechanics parameters, gas exchange parameters, and hemodynamic parameters were recorded after 20 min at each PEEP step. **RESULTS:** Compared with  $V_D/V_T$  at the PEEP levels of 20 cm H<sub>2</sub>O and 0 cm H<sub>2</sub>O,  $V_D/V_T$  was significantly lower at 12 cm H<sub>2</sub>O ( $P = .02$ ), and compliance of the static respiratory system ( $C_{RS}$ ) was significantly higher at pressure step 12/10 cm H<sub>2</sub>O ( $P < .001$ ). Compared with  $P_{aCO_2}$  at the PEEP level of 20 cm H<sub>2</sub>O,  $P_{aCO_2}$  was significantly lower at 12 cm H<sub>2</sub>O ( $P < .001$ ). Arterial oxygenation values and functional residual capacity were reduced gradually during PEEP, decreasing from 20 cm H<sub>2</sub>O to 0 cm H<sub>2</sub>O. **CONCLUSIONS:** A significant change of  $V_D/V_T$ , compliance and arterial oxygenation could be induced by PEEP titration in subjects with ARDS. Optimal PEEP in these subjects was 12 cm H<sub>2</sub>O, because at this pressure level the highest compliance in conjunction with the lowest  $V_D/V_T$  indicated a maximum amount of effectively expanded alveoli. Monitoring of  $V_D/V_T$  was useful for detecting lung collapse and for establishing open-lung PEEP after a recruitment maneuver. *Key words:* acute respiratory distress syndrome; dead space fraction; PEEP; recruitment maneuver. [Respir Care 2012;57(10):1578–1585. © 2012 Daedalus Enterprises]

## Introduction

ARDS is a major cause of acute respiratory failure, with high morbidity and mortality.<sup>1,2</sup> There is convincing evi-

dence from radiologic and pathologic studies showing that alveolar collapse and diffuse endothelial and epithelial injury are prominent features of ARDS.<sup>3,4</sup> Alveolar collapse leads to an elevated pulmonary shunt and intractable hypoxemia, and, as a consequence, mechanical ventilation is often implemented to restore adequate oxygenation.<sup>5,6</sup>

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It has been evident over the past 2 decades that recruitment maneuvers (RM) and an adequate PEEP could reverse atelectasis, prevent alveoli recollapse, and improve lung mechanics and gas exchange.<sup>7</sup> However, the adequate level of PEEP that avoids alveoli recollapse and at the same time does not overdistend the lung is difficult to determine at bedside.

Dead space fraction (the ratio of dead space volume to tidal volume [ $V_D/V_T$ ]) is useful to research the efficiency

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of ventilation; its variables can reflect alveolar collapse and recruitment. Elevated  $V_D/V_T$  may be explained by lung vascular injury and obstruction, as well as by an increase in ventilation of poorly perfused alveoli secondary to ventilation-perfusion mismatch or overinflation of normal compliant lung units. Studies in patients with ARDS revealed that elevated  $V_D/V_T$  was a feature of ARDS,<sup>8-11</sup> and is independently associated with an increased risk of death. Some publications supported the value of monitoring the relationship between PEEP and  $V_D/V_T$  during positive-pressure ventilation. Early in 1975,<sup>12</sup> Suter et al showed that the “best” PEEP closely correlated with the lowest  $V_D/V_T$  as well as with the highest compliance and oxygen transport in patients with acute lung injury. Tushman et al showed that in an experimental model of patients with respiratory failure who responded to PEEP with an increase in oxygenation also showed a decrease in  $V_D/V_T$ .<sup>13,14</sup> However, some studies<sup>15,16</sup> failed to find similar effect on  $V_D/V_T$  during PEEP titration in this kind of patient. Whether  $V_D/V_T$  variables have a close relationship with PEEP and collapse or alveolar recruitment remains controversial.

The approach to PEEP titration and the variables that reliably determine an adequate level of PEEP remain unanswered.<sup>17</sup> We consider that downward titration of PEEP following an RM could recruit alveoli, but that higher PEEP levels may increase  $V_D/V_T$  by regional overdistention of well ventilated alveoli. Therefore, the aim of this study was to evaluate the effects of PEEP on  $V_D/V_T$ , compliance, functional residual capacity (FRC), and arterial oxygenation before and after an RM in patients with ARDS.

## Methods

### Patient Selection

Patients with ARDS admitted to the Department of Critical Care Medicine, Zhong-Da Hospital, Southeast University, Nanjing, Jiangsu, China, from June 2009 to January 2010 were enrolled in the study. The study protocol was approved by the local ethics committee, and informed consent was obtained from each subject or surrogate. The inclusion criteria were:  $\geq 18$  years old, mechanical ventilation via an orotracheal tube or tracheostomy, and meeting the American-European Consensus Conference criteria for ARDS<sup>18</sup> ( $P_{aO_2}/F_{IO_2} \leq 200$  mm Hg, bilateral opacities on chest radiograph, and either a pulmonary-artery wedge pressure of  $\leq 18$  mm Hg or absence of clinical evidence of left atrial hypertension). Patients were excluded if they met diagnostic criteria for ARDS for  $\geq 72$  hours, had obstructive or interstitial lung disease, had a history of congestive heart failure with left ventricular ejection fraction  $\leq 40\%$ , had pneumothorax, or were pregnant.

## QUICK LOOK

### Current knowledge

Elevated ratio of dead space to tidal volume ( $V_D/V_T$ ) is common in ARDS and is associated with greater mortality. PEEP and/or lung-recruitment maneuvers can improve  $V_D/V_T$ . It is unknown whether  $V_D/V_T$  can help guide the setting of PEEP and the use of recruitment maneuvers.

### What this paper contributes to our knowledge

In the context of recruitment and PEEP titration, lowered  $V_D/V_T$  was associated with increased functional residual capacity, compliance, and  $P_{aO_2}/F_{IO_2}$ . Measurement of  $V_D/V_T$  might help to assess alveolar recruitment and implement a lung-protective ventilation strategy.

## Anesthesia, Ventilation, and Monitoring

All subjects were supine and ventilated (Engström Carestation, GE Healthcare, Madison, Wisconsin) in a volume-controlled ventilation mode. There was no method of humidification during the study. Ventilation started with  $V_T$  of 6 mL/kg predicted body weight and PEEP of 6 cm H<sub>2</sub>O. The respiratory rate was regulated according to  $P_{aCO_2}$ , which was kept between 36 and 42 mm Hg. The ratio of inspiratory time and expiratory time was 1:2. The  $F_{IO_2}$  was regulated according to peripheral oxygen saturation ( $S_{pO_2}$ ), which was kept above 90%.

Heart rate, invasive systolic, diastolic, and mean arterial blood pressure, central venous pressure, and  $S_{pO_2}$  were measured by a monitor (S5, Datex Ohmeda, Madison, Wisconsin) during the entire study period. From the readings of the ventilator, the variables of PEEP, inspiratory pressure,  $V_T$ , and minute ventilation were recorded.

## Study Design

For an initial period of 30 min, lungs were ventilated in a volume-controlled ventilation mode, with  $V_T$  of 6 mL/kg predicted body weight, respiratory rate of 24 breaths/min, and PEEP of 0 cm H<sub>2</sub>O. Before starting an RM, arterial blood gases, pulmonary mechanics, FRC and hemodynamics were measured. Collapsed alveolar recruitment was applied by RM, performed in sustained inflation (40 cm H<sub>2</sub>O, 30 s). Conditions for appropriate alveolar recruitment were considered as follows:  $P_{aO_2}/F_{IO_2} \geq 400$  mm Hg; otherwise, we performed sustained inflation again until change in  $P_{aO_2}/F_{IO_2}$  was  $< 10\%$  between 2 sustained inflations.<sup>19</sup> After RM, PEEP titration was applied as fol-

lows: PEEP was decreased from 20 to 6 cm H<sub>2</sub>O in steps of 2 cm H<sub>2</sub>O and then to 0 cm H<sub>2</sub>O. The ventilation mode was volume-controlled, and other settings were the same as above. Each pressure level was maintained for approximately 20 min, except if any of the hemodynamic variables deviated from baseline by > 15% or new arrhythmia emerged. Hemodynamics, pulmonary mechanics, arterial blood gases, and V<sub>D</sub>/V<sub>T</sub> were observed at the end of 20 min. During the study, anesthesia was maintained with fentanyl 50 μg/h and midazolam 2–4 mg/h. Vecuronium bromide induced relaxation by neuromuscular block. The monitoring of neuromuscular block was done with nerve stimulator (train-of-4 monitoring).

**Measurements**

FRC was calculated by an automated procedure available in the ventilator, based on the nitrogen washout method, with an F<sub>IO<sub>2</sub></sub> step change of 0.1, as previously described by Olegård et al.<sup>20</sup> Using sidestream gas analyzing technology, calculation of FRC values was obtained by applying the following equations.

The fractions of inspired and end-tidal nitrogen were calculated from:

$$F_{IN_2} = 1 - F_{IO_2}$$

$$P_{ETN_2} = 1 - P_{ETO_2} - P_{ETCO_2}$$

where F<sub>IN<sub>2</sub></sub> is the fraction of inspired nitrogen, P<sub>ETO<sub>2</sub></sub> is the partial pressure of end-tidal oxygen, and P<sub>ETN<sub>2</sub></sub> is the partial pressure end-tidal nitrogen.

Expired and inspired alveolar V<sub>T</sub> were calculated by energy expenditure measurements for V̇<sub>O<sub>2</sub></sub> and V̇<sub>CO<sub>2</sub></sub>, where

$$\dot{V}_{O_2} = (\dot{V}_{CO_2}/RQ),$$

where RQ is respiratory quotient.

$$V_{T^{alv(E)}} = \dot{V}_{CO_2}/(P_{ETCO_2} \times \text{respiratory rate})$$

$$V_{T^{alv(I)}} = V_{T^{alv(E)}} + (\dot{V}_{O_2} - \dot{V}_{CO_2})/\text{respiratory rate}$$

Nitrogen volumes associated with expiration and inspiration for a single breath were:

$$V_{N_2(E)} = P_{ETN_2} V_{T^{alv(E)}}$$

$$V_{N_2(I)} = F_{IN_2} V_{T^{alv(I)}}$$

The changes during one breath equaled:

$$\Delta V_{N_2} = V_{N_2(E)} - V_{N_2(I)}$$

Before making the step change in F<sub>IO<sub>2</sub></sub>, we measured V̇<sub>O<sub>2</sub></sub>, V̇<sub>CO<sub>2</sub></sub>, and P<sub>ETN<sub>2</sub></sub>. Oxygen uptake (V̇<sub>O<sub>2</sub></sub>) and carbon dioxide production (V̇<sub>CO<sub>2</sub></sub>) were assumed to be constant throughout the measurement. After a step response the FRC was calculated as:

$$FRC = \Delta V_{N_2}/\Delta P_{ETN_2}$$

where the P<sub>ETN<sub>2</sub></sub> was the last recorded value after the step change:

$$FRC = \Sigma \text{breaths} \Delta V_{N_2}/(\text{baseline } P_{ETN_2} - P_{ETN_2})$$

Airway pressure values were measured by the ventilator at the level of the Y piece. At each PEEP level, end-inspiratory holds (inspiration holds) and end-expiratory holds (expiration holds) were performed (each lasting for at least 5 s). Intrinsic PEEP was determined automatically by the ventilator. Static compliance of the respiratory system (C<sub>RS</sub>) was calculated by dividing the expiratory V<sub>T</sub> by the pressure difference between end-inspiratory plateau pressure (P<sub>insp</sub>) and total end-expiratory pressure (P<sub>exp</sub>):

$$C_{RS} = V_T/(P_{insp} - P_{exp})$$

Arterial blood samples were drawn at the end of each protocol step and analyzed for P<sub>aO<sub>2</sub></sub>, P<sub>aCO<sub>2</sub></sub>, S<sub>aO<sub>2</sub></sub>, and pH. These values were measured by the blood-gas analyzer (ABL 700, Radiometer, Copenhagen, Denmark). Samples were processed within 5 min.

V<sub>D</sub>/V<sub>T</sub> was measured by volumetric capnography (NICO Cardiopulmonary Management System, Novamatrix, Wallingford, Connecticut), which calculated the partial pressure of mixed-expired CO<sub>2</sub>, then acquired by the Enghoff modification of the Bohr equation as follows:

$$V_D/V_T = (P_{aCO_2} - P_{eCO_2})/P_{aCO_2}$$

where P<sub>eCO<sub>2</sub></sub> is mean expired CO<sub>2</sub>.<sup>21</sup> An arterial blood gas sample was obtained when the P<sub>eCO<sub>2</sub></sub> variability on the NICO monitor was ≤ 1 mm Hg within 5 min; these values were then used to calculate V<sub>D</sub>/V<sub>T</sub>. The NICO monitor had been validated as an accurate measurement of V<sub>D</sub>/V<sub>T</sub> in patients with ARDS. The NICO sensor fits between the Y-piece and the endotracheal tube, and V<sub>D</sub>/V<sub>T</sub> measurement is not affected by circuit compression.

**Clinical Data Collection**

The following data were recorded: age, sex, weight, height, and severity of illness, evaluated with the Acute

Physiology and Chronic Health Evaluation II (APACHE II) during admission to the ICU, and lung injury score on the day of  $V_D/V_T$  measurement. We also recorded the etiology of the ARDS and respiratory parameters, including  $C_{RS}$ , plateau pressure, FRC, and  $V_D/V_T$ .

**Statistical Analysis**

All data were analyzed using statistics software (SPSS 16.0, SPSS, Chicago, Illinois). Measurements and other recorded values were expressed as mean  $\pm$  standard deviation. Variables were analyzed by one-way analysis of variance. Baseline (0 cm H<sub>2</sub>O of PEEP prior to RM) or 20 cm H<sub>2</sub>O of PEEP after RM were taken as reference values. Receiver operating characteristic (ROC) curves were generated for  $V_D/V_T$ , FRC, lung injury score, and APACHE II with prognosis. The areas under the ROC curves were calculated for each parameter. *P* value of  $< .05$  was considered statistically significant.

**Results**

**Baseline Characteristics**

A total of 23 subjects with ARDS were enrolled in the study (7 women and 16 men; mean age  $57 \pm 16$  y). The demographics, etiology of ARDS, and baseline physiologic variables are summarized in Table 1. The mortality at 28 days was 52.2%. The mean  $V_D/V_T$  was higher among subjects who died than among those who survived ( $0.64 \pm 0.08$  versus  $0.53 \pm 0.04$ ). The area under the ROC curve for  $V_D/V_T$  was 0.867 (see Table 1).

**$V_D/V_T$  Change Induced by Different PEEP Levels**

At the pressure level of PEEP 20 cm H<sub>2</sub>O after recruitment,  $V_D/V_T$  increased from  $0.59 \pm 0.09$  to  $0.63 \pm 0.11$ . However, when PEEP decreased from 20 cm H<sub>2</sub>O to 12 cm H<sub>2</sub>O,  $V_D/V_T$  decreased gradually to  $0.54 \pm 0.08$ . While from 12 cm H<sub>2</sub>O to 0 cm H<sub>2</sub>O,  $V_D/V_T$  increased to  $0.61 \pm 0.10$ . Comparing the pressure level of baseline and PEEP 20 cm H<sub>2</sub>O,  $V_D/V_T$  value was significantly lower at the pressure level of PEEP 12 cm H<sub>2</sub>O (*P* = .02) (Fig. 1 and Table 2).

**Change of  $P_{aO_2}/F_{IO_2}$  and FRC During PEEP Decrement**

After RM,  $P_{aO_2}/F_{IO_2}$  and FRC values significantly increased, then decreased gradually while PEEP reduced from 20 cm H<sub>2</sub>O to 0 cm H<sub>2</sub>O. Compared to the baseline,  $P_{aO_2}/F_{IO_2}$  and FRC values were notably higher on all pressure steps, except for the pressure levels of PEEP 8/6/0 cm H<sub>2</sub>O (see Fig. 1 and Table 2).

Table 1. Baseline Demographics, Clinical Characteristics, and Physiologic Variables of the 23 Study Subjects

Age, y	57 $\pm$ 16
Male, no. (%)	16 (70)
Weight, kg	63 $\pm$ 18
Height, cm	168 $\pm$ 16
APACHE II score	20.0 $\pm$ 8.6
Lung injury score*	2.4 $\pm$ 0.5
Primary etiology of ALI/ARDS, no. (%)	
Pneumonia	15 (65.2)
Lung trauma	3 (13.0)
Aspiration	2 (8.7)
Pancreatitis	1 (4.4)
Other	2 (8.7)
Tidal volume, mL/kg predicted body weight	6.0 $\pm$ 0.8
Respiratory rate, breaths/min	23 + 3.2
Minute volume, L	9.9 + 2.1
$P_{aO_2}/F_{IO_2}$ , mm Hg	132 $\pm$ 38
$P_{aCO_2}$ , mm Hg	38.2 $\pm$ 4.6
pH	7.36 $\pm$ 0.18
Respiratory system compliance, mL/cm H <sub>2</sub> O†	35 $\pm$ 5
Plateau pressure, mL/cm H <sub>2</sub> O	18 $\pm$ 2
FRC, mL	1,644 $\pm$ 409
Duration of mechanical ventilation, d	8.2 $\pm$ 3.1
ICU stay, d	11.6 $\pm$ 4.5
Mortality at 28 d, %	52.2
$V_D/V_T$ of deceased subjects at baseline, %	0.64 $\pm$ 0.08
$V_D/V_T$ of surviving subjects at baseline, %	0.53 $\pm$ 0.04

$\pm$  Values are mean  $\pm$  SD.  
 \* Scores range from 0 to 4, with higher scores indicating more severe lung injury.  
 † Calculated as the tidal volume divided by end-inspiratory plateau pressure minus PEEP.  
 APACHE = acute physiology and chronic health evaluation.  
 ALI = acute lung injury  
 FRC = functional residual capacity  
 $V_D/V_T$  = ratio of dead space to tidal volume

**$C_{RS}$  Change During PEEP Decrement**

Compared to the baseline and PEEP 20 cm H<sub>2</sub>O after RM,  $C_{RS}$  values were significantly higher on the pressure levels of PEEP 12/10 cm H<sub>2</sub>O. Intrinsic PEEP remained below 2 cm H<sub>2</sub>O in all subjects (see Fig. 1 and Table 2).

**Hemodynamic Change Induced by Different PEEP Levels**

Arterial blood pressure, heart rate, and central venous pressure did not change significantly during the test periods (Table 3).

**Relationship Between  $V_D/V_T$  and Prognosis**

The areas under the ROC curves were as follows:  $0.87 \pm 0.05$  for  $V_D/V_T$ ,  $0.83 \pm 0.03$  for FRC,  $0.75 \pm 0.06$

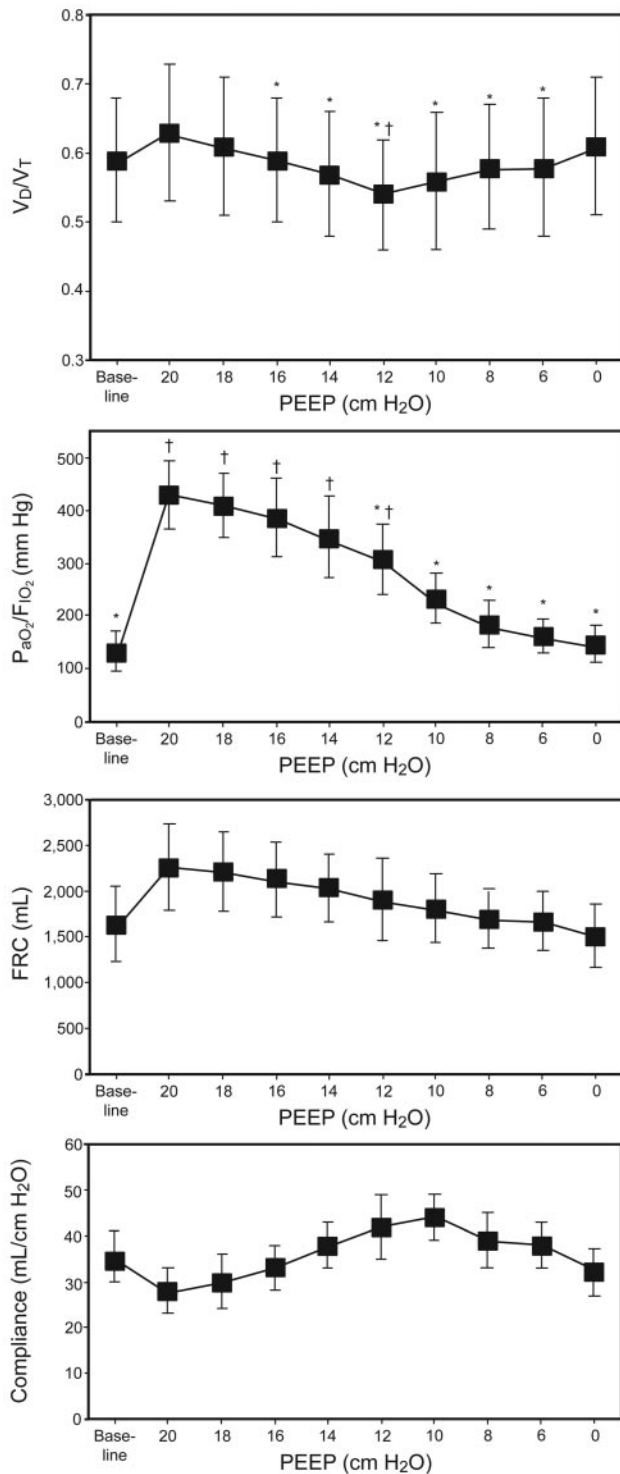


Fig. 1. Changes of dead space fraction (the ratio of dead space to tidal volume [ $V_D/V_T$ ]),  $P_{aO_2}/F_{IO_2}$ , functional residual capacity (FRC), and static respiratory system compliance (mean  $\pm$  SD) during decremental PEEP after recruitment maneuver ( $n = 23$ ). \*  $P < .05$  was considered significant, comparing the PEEP level of 20 cm H<sub>2</sub>O, †  $P < .05$  was considered significant, comparing the baseline.

for lung injury score, and  $0.78 \pm 0.05$  for APACHE II. The area for  $V_D/V_T$  was significantly greater than the area for lung injury score ( $P = .005$ ) and APACHE II ( $P = .006$ ). The threshold  $V_D/V_T$  value of 0.57 allowed discrimination between deceased and surviving subjects with a sensitivity of 83% and a specificity of 82%. The threshold FRC value of 1,605 mL allowed discrimination between deceased and surviving subjects with a sensitivity of 91% and a specificity of 75%. The threshold APACHE II value of 17.5 allowed discrimination between deceased and surviving subjects with a sensitivity of 83% and a specificity of 73% (Fig. 2).

## Discussion

In this clinical study, a decremental PEEP procedure was performed after an RM. We observed that PEEP caused a significant change of  $V_D/V_T$  as well as FRC,  $C_{RS}$ , and  $P_{aO_2}/F_{IO_2}$ .  $V_D/V_T$  also showed a high sensitivity and specificity for predicting prognosis. Our results suggest that  $V_D/V_T$ , in the context of recruitment and a PEEP titration procedure, might become a clinically useful bedside tool for assessing collapsed alveolar opening and implementing an open-lung protective ventilation strategy in patients with ARDS.

Various studies in patients with ARDS reported that increased  $V_D/V_T$  is one of the hallmarks of early ARDS, and an elevated  $V_D/V_T$  is independently associated with an increased risk of death. Our study showed an increased physiologic  $V_D/V_T$  in the early phases of ARDS, which is consistent with results from previous studies.<sup>7-9</sup> Elevated  $V_D/V_T$  in the early phase of ARDS probably reflects alterations in the distribution of pulmonary blood flow and may be explained by several mechanisms. Pulmonary vascular injury leads to vasoconstriction and vascular obstruction. Intrapulmonary shunt perturbs carbon dioxide exchange by increasing alveolar dead space,<sup>22-24</sup> particularly in the presence of low cardiac output, reduced hemoglobin levels and metabolic acidosis. An increase in ventilation of poorly perfused alveoli secondary to ventilation-perfusion mismatch or overinflation of normal compliant lung units, resulting in maldistribution of pulmonary blood flow, are plausible explanations for an elevated  $V_D/V_T$ .

RM combines with PEEP and low  $V_T$  to recruit collapsed alveoli, prevent recollapse of alveoli, and avoid regional and global stress and strain on the lung parenchyma. A study by Maisch et al<sup>25</sup> analyzed the effects of PEEP and recruitment on  $V_D/V_T$ , FRC,  $C_{RS}$ , and  $P_{aO_2}$  in anesthetized patients with healthy lungs. The effects of PEEP in conjunction with RM were evaluated in ARDS patients. The results showed that different PEEP levels after RM caused significant changes in  $V_D/V_T$  as well as in  $P_{aO_2}/F_{IO_2}$ , FRC, and  $C_{RS}$  in ARDS patients. Our data are in agreement with those of Gattinoni et al,<sup>26</sup> which indi-

# DEAD SPACE FRACTION CHANGES DURING PEEP TITRATION FOLLOWING LUNG RECRUITMENT

Table 2. Dead Space Fraction,  $P_{aCO_2}$ ,  $P_{aO_2}/F_{IO_2}$ , Functional Residual Capacity, Respiratory System Compliance, and Airway Resistance Change During Decremental PEEP After Recruitment Maneuver

PEEP, cm H <sub>2</sub> O	$V_D/V_T$	$P_{aO_2}/F_{IO_2}$ (mm Hg)	$P_{aCO_2}$ (mm Hg)	FRC (mL)	Respiratory System Compliance (mL/cm H <sub>2</sub> O)	Airway Resistance (cm H <sub>2</sub> O/4 s)
Baseline	0.59 ± 0.09	132 ± 38*	38.2 ± 4.6*	1,644 ± 409*	35 ± 5	14 ± 7
20	0.63 ± 0.11	430 ± 66*	55.3 ± 3.8*	2,267 ± 473*	28 ± 5	12 ± 4
18	0.61 ± 0.11	411 ± 62*	52.7 ± 3.6*	2,212 ± 439*	30 ± 6	13 ± 1
16	0.59 ± 0.09*	388 ± 74*	48.3 ± 4.1†	2,129 ± 406*	33 ± 5	12 ± 3
14	0.57 ± 0.09*	350 ± 77*	43.6 ± 3.9†	2,034 ± 368*	38 ± 5*	12 ± 2
12	0.54 ± 0.08†	308 ± 67†	39.8 ± 3.5*	1,907 ± 342†	42 ± 7†	11 ± 3
10	0.56 ± 0.10	234 ± 46*	42.5 ± 4.0*	1,814 ± 372†	44 ± 5†	12 ± 3
8	0.58 ± 0.09*	185 ± 45*	45.8 ± 3.2†	1,701 ± 324*	38 ± 6*	13 ± 3
6	0.58 ± 0.10*	162 ± 31*	46.6 ± 3.5†	1,667 ± 326*	38 ± 5*	12 ± 3
0	0.61 ± 0.10	148 ± 35*	49.7 ± 3.8*	1,508 ± 342*	32 ± 5	13 ± 2
<i>P</i>	.02	< .001	< .001	< .001	< .001	.42

Values are mean ± SD.

\* *P* < .05 comparing the pressure level of PEEP 20 cm H<sub>2</sub>O.

† *P* < .05 compared to baseline.

$V_D/V_T$  = ratio of dead space to tidal volume

FRC = functional residual capacity

Table 3. Hemodynamic Change During Decremental PEEP After Recruitment Maneuver

PEEP, cm H <sub>2</sub> O	Heart Rate (beats/min)	Mean Arterial Pressure (mm Hg)	Central Venous Pressure (mm Hg)
Baseline	100 ± 15	88 ± 10	9.7 ± 2.6
20	102 ± 15	87 ± 6	10.7 ± 2.4
18	102 ± 16	87 ± 5	10.3 ± 2.3
16	101 ± 16	89 ± 7	10.3 ± 2.3
14	101 ± 14	88 ± 5	10.0 ± 2.5
12	101 ± 14	88 ± 5	11.0 ± 2.9
10	103 ± 10	88 ± 5	10.0 ± 2.7
8	100 ± 14	88 ± 6	9.2 ± 2.5
6	97 ± 14	88 ± 8	8.5 ± 2.5
0	100 ± 17	89 ± 9	9.1 ± 2.3
<i>P</i>	.98	.97	.98

Values are mean ± SD.

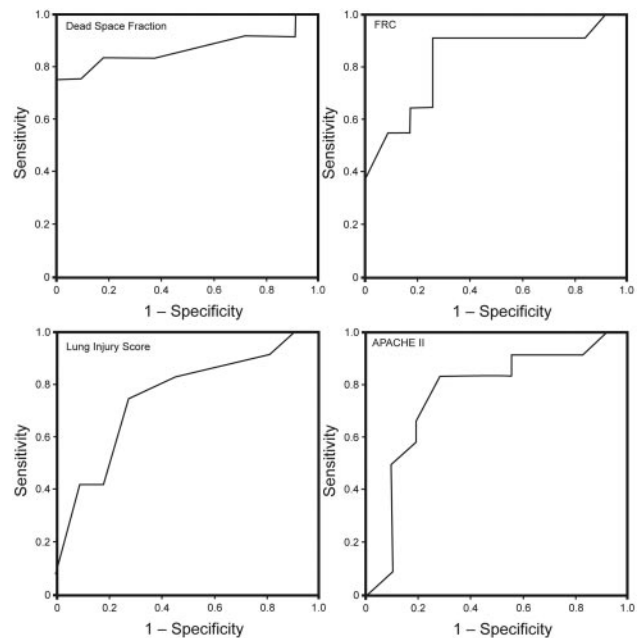


Fig. 2. The area under the receiver operating characteristic curve for dead space fraction, functional residual capacity (FRC), lung injury score, and Acute Physiology and Chronic Health Evaluation (APACHE II) score.

cated the same recruitment effect: after the recruitment, the lung's capacity for CO<sub>2</sub> elimination is increased. This, in turn, corresponds with a decrement in  $P_{aCO_2}$ . However, higher PEEP could increase  $V_D/V_T$  by regional overdistention of well ventilated alveoli (and therefore increase in zone I conditions in the lung)<sup>27</sup> or by reduction in cardiac output.<sup>28,29</sup>

As a result of lung collapse, refractory hypoxemia is a predominant clinical feature, caused mainly by the intrapulmonary shunt and a reduced lung volume. Accordingly, determination of the alveolar recruitment is performed by

analyzing  $P_{aO_2}/F_{IO_2}$ . However, arterial oxygenation depends on the hemodynamic and metabolic state, which is non-specific for judging recruitment effect, and insensitive to the overdistention of alveoli. This fact was confirmed in our study. As shown in Figure 1, the highest  $P_{aO_2}/F_{IO_2}$  was reached at PEEP 20 cm H<sub>2</sub>O after recruitment; however,

$C_{RS}$  reduced and  $V_D/V_T$  increased, which indicated over-distended lungs. Another disadvantage of using  $P_{aO_2}/F_{IO_2}$  to determine the recruitment effects is the trouble and expense of drawing and analyzing a series of arterial blood samples in a timely manner.

FRC results revealed a similar effect to  $P_{aO_2}/F_{IO_2}$ . FRC was higher after the recruitment. However, FRC seems to be insensitive for detecting lung overdistention. A gain in absolute lung volume after the recruitment could not result from a pure distention of aerated alveoli or airways, but should be the result of an increase in functional alveolar units. Thus, this is proof for the intended "opening effect" of an alveolar recruitment.

As a result of alveolar collapse, decreased  $C_{RS}$  is one of the physiopathological hallmarks of ARDS. Data from various studies supported  $C_{RS}$  as a marker of alveolar recruitment. However, as an easier measurement,  $C_{RS}$  has some limitations. Rothen et al showed that the changes over time of static  $C_{RS}$  and the amount of atelectasis estimated by computed tomography were not in parallel.<sup>30</sup> On the other hand, the point of maximum  $C_{RS}$  indicated the PEEP level at which lung collapse started to occur, as confirmed by the appearance of atelectasis on computed tomography scan.<sup>31</sup> In our study, PEEP corresponding to the maximum after RM was found to be 10 cm  $H_2O$ , which was lower than the PEEP corresponding to the minimal  $V_D/V_T$ .

In our study, the alveolar recruitment strategy was performed by a sustained inflation, in order to minimize the side effects of RM and shorten the study period in the clinical setting. Blood gas values were determined at the end of sustained inflation, in order to reach a complete recruitment in all subjects. Conditions for complete recruitment were as follows:  $P_{aO_2}/F_{IO_2} \geq 400$  mm Hg or change in  $P_{aO_2}/F_{IO_2} < 10\%$  after sustained inflation.

There are limitations to our study. In the context of recruitment and PEEP, collapsed alveolar opening could be assessed only by indirect computed tomography methods, not direct measurements.<sup>32</sup> Study periods were long because both FRC and blood gas values were determined at the end of each protocol step. Further limitations of this study were lack of data of oxygen transport, and a small number of subjects.

### Conclusions

In conclusion, in the context of recruitment and a PEEP titration procedure, a reduction of the  $V_D/V_T$  and increase in FRC,  $C_{RS}$ , and  $P_{aO_2}/F_{IO_2}$  could be induced in patients with ARDS; optimal PEEP in these subjects was 12 cm  $H_2O$ , because at this pressure level the highest  $C_{RS}$  in conjunction with the lowest  $V_D/V_T$  indicated a maximum amount of effectively expanded alveoli. The measurement of  $V_D/V_T$

might become a clinically useful bedside tool for assessing alveolar recruitment and implementing an open-lung protective ventilation strategy.

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