Volume-Time Curve: An Alternative for Endotracheal Tube Cuff Management

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BACKGROUND: Despite numerous studies on endotracheal tube cuff pressure (CP) management, the literature has yet to establish a technique capable of adequately filling the cuff with an appropriate volume of air while generating low CP in a less subjective way. The purpose of this prospective study was to evaluate and compare the CP levels and air volume required to fill the endotracheal tubes cuff using 2 different techniques (volume-time curve versus minimal occlusive volume) in the immediate postoperative period after coronary artery bypass grafting. METHODS: A total of 267 subjects were analyzed. After the surgery, the lungs were ventilated using pressure controlled continuous mandatory ventilation, and the same ventilatory parameters were adjusted. Upon arrival in the ICU, the cuff was completely deflated and re-inflated, and at this point the volume of air to fill the cuff was adjusted using one of 2 randomly selected techniques: volume-time curve and minimal occlusive volume. We measured the volume of air injected into the cuff, the CP, and the expired tidal volume of the mechanical ventilation after the application of each technique. RESULTS: The volume-time curve technique demonstrated a significantly lower CP and a lower volume of air injected into the cuff, compared to the minimal occlusive volume technique (P < .001). No significant difference was observed in the expired tidal volume between the 2 techniques (P = .052). However, when the subjects were submitted to the minimal occlusive volume technique, 17% (n = 47) experienced air leakage as observed by the volume-time graph. CONCLUSIONS: The volume-time curve technique was associated with a lower CP and a lower volume of air injected into the cuff, when compared to the minimal occlusive volume technique in the immediate postoperative period after coronary artery bypass grafting. Therefore, the volume-time curve may be a more reliable alternative for endotracheal tube cuff management. Key words: endotracheal tube cuff pressure; air leakage; volume-time curve; minimal occlusive volume technique; cuff pressure management; coronary artery bypass surgery. [Respir Care 2012;57(12):2039-2044. © 2012 Daedalus Enterprises]

Introduction

In patients undergoing mechanical ventilation (MV), the endotracheal tube (ETT) cuff should be kept inflated to

prevent air leakage and the aspiration of oropharyngeal contents. However, if the cuff is overinflated, even slightly, it can exert too much pressure against the trachea and obstruct mucosal blood flow, leading to ischemic complications and damage to the tracheal wall. On the other

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hand, low pressures can predispose to the aspiration of subglottic secretions, resulting in nosocomial pneumonia and poor ventilation secondary to gas leakage. In patients undergoing MV, the suggested ETT cuff pressure (CP) varies from 20 to 30 cm $\rm H_2O^{1,2}$

There is a consensus in the literature that the cuff must be inflated carefully until the air leak stops. Some studies argue that the best way to properly adjust the ETT CP is via the minimal occlusive volume technique, also known as the seal pressure technique. However, with this technique it is not easy to precisely determine when to stop inflating, which means that high or insufficient CP can seal the airway.

Despite numerous studies^{3–10} documenting the importance of the assessment and the maintenance of the CP within an appropriate range, an easy application technique has yet to be described that is capable of calibrating the volume of air to be injected into the cuff in a less subjective form while maintaining a low CP.

The volume-time curve has been previously used to select the appropriate uncuffed ETT diameter in children on MV, due to its capacity to reveal air leakage.¹¹ We hypothesized that the volume-time curve would be able to promote a more adequate volume of air to fill the cuff, when compared to the minimal occlusive volume technique.

The purpose of this prospective study was to evaluate and compare the behavior of the CP levels and air volume required to fill the ETT cuff using the 2 techniques (volume-time curve versus minimal occlusive volume), in the immediate postoperative period after coronary artery bypass grafting (CABG).

Methods

The institutional ethics committee of the Federal University of Sao Paulo approved this study. All subjects were informed and provided written consent prior to their inclusion. A total of 267 subjects hospitalized at the Pirajussara and Sao Paulo Hospitals of the Federal University of Sao Paulo, Sao Paulo, Brazil, who underwent elective CABG from September of 2004 to February of 2009, were prospectively included. Inclusion criteria were age 18 years or older, oral endotracheal intubation, conventional MV, and the absence of respiratory drive. Patients with obesity, chronic respiratory disease, laryngeal disease or anomalies, and a difficult intubation (defined as 2 or more attempts) were excluded from the study.

Lung function indicators, including FVC and FEV₁, were evaluated at bedside on the day before the operation, using a portable spirometer (Spirobank G, MIR, Rome, Italy) to exclude chronic respiratory disease, according to the standards of the American Thoracic Society.¹²

QUICK LOOK

Current knowledge

During mechanical ventilation the tracheal tube cuff pressure is recommended to be between 20 and 30 cm H₂O. Excess pressure is associated with tracheal injury, and insufficient pressure is associated with aspiration. The optimal method for cuff management remains unclear.

What this paper contributes to our knowledge

The volume-time curve technique for managing cuff pressure was associated with a lower cuff pressure and a lower volume of air injected into the cuff, compared to the minimal occlusive volume technique. The volume-time curve may be a more reliable alternative for endotracheal tube cuff management.

Anesthesia and Operative Technique

Anesthesia was induced in a routine fashion with etomidate and midazolam and maintained with sufentanil and isoflurane. Standard ETTs were used with a high residual volume, a low-pressure cuff, and an inner diameter of 7.5 mm for female subjects and 8.0 mm for male subjects. Subjects were ventilated to maintain normocapnia with an $F_{\rm IO_3}$ between 0.50 and 0.60, without PEEP.

The operation was performed through a midline sternotomy and using the left internal thoracic artery, complemented with additional saphenous vein grafts. In all cases where the pleural cavity was incidentally opened, a pleural drain was inserted. In all subjects, a mediastinal tubular drain was also left in the subxyphoid region.

Postoperative Management

After surgery the subjects were transferred to the ICU and ventilated using pressure controlled continuous mandatory ventilation at 14 breaths/min, with an inspiratory time of 1.2 seconds, a PEEP of 5 cm $\rm H_2O$, an inspiratory pressure to promote a tidal volume of 8 mL/kg of predicted body weight, and an $\rm F_{\rm IO_2}$ adjusted to maintain the arterial oxygen saturation above 90%. Thirty minutes after ICU arrival and MV initiation, 2 different techniques of cuff insufflation were performed in all subjects (with an interval of 20 min), in random order, as generated by a computer software, and the randomization assignments were concealed using opaque, sealed, numbered envelopes until the time of technique application, which proceeded as follows:

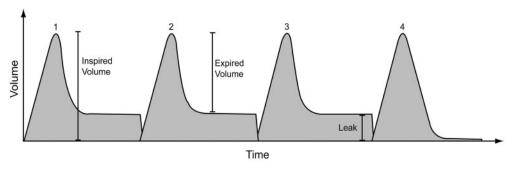


Fig. 1. Volume-time curve. In the first curve, note the inspired volume in the ascendant branch. In the second curve, the descendant branch does not reach the baseline, and the expired volume is smaller than the inspired volume. In the third curve, an air leak can be observed, as represented by a flattening of the curve. The fourth curve represents a normal curve without air leak; note that the inspired and expired volumes are equal, and the descendant branch of the curve returns to the baseline.

- Volume-Time Curve Technique. After complete cuff deflation, a gradual re-inflation was promoted with a 20 mL syringe, while the examiner analyzed the volume-time curve to properly adjust the necessary air volume to be injected into the cuff. The volume-time curve analysis was performed using a specific device for the evaluation of respiratory mechanics (Ventcare 9505 VSF, Takaoka, Sao Paulo, Brazil) and utilizing a pressure transducer interposed between the mechanical ventilator circuit and the ETT. The volume-time curve is able to demonstrate the presence of air leaks. Air leaks result in a decreased expired tidal volume (V_T) relative to the inspiratory volume. In the presence of air leakage, the descending branch of the volume-time curve does not reach zero, instead demonstrating a plateau that is then abruptly interrupted by the initiation of the next inspiration (Fig. 1). The time of the flattening of the expiratory branch of the curve was used to determine the air leakage. Inflation was performed until the descending branch of the volumetime curve returned to zero, reversing the flattening observed earlier. The volume of air injected into the cuff to reverse the flattening, the expired V_T of the mechanical ventilator, and the CP were each recorded.
- Minimal Occlusive Volume Technique (Seal Pressure). First, a 20 mL syringe was attached to the pilot balloon, and the cuff was completely deflated. The cuff was then progressively inflated by injecting air until the leak disappeared. During inflation, the examiner kept his ear canal at a distance of 10 cm from the mouth of the subject to ensure the absence of an air leak. The volume of air injected into the cuff, the expired V_T of the mechanical ventilator, and the CP required to seal the airway were assessed in that order. After the completion of the minimal occlusive volume technique, the examiner verified on the volume-time curve the presence or absence of any air leakage.

The CP was measured using a manometer graduated in cm H₂O (VBM Medizintechnik, Sulz am Neckar, Ger-

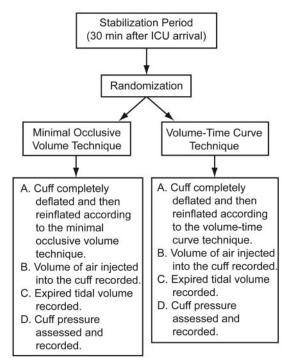


Fig. 2. Study design.

many) that was connected to the inflating channel of the pilot balloon always after expired V_T evaluation. The study design is shown in Figure 2. Throughout the entire study period, the absence of a respiratory drive was required, and subjects were maintained in the supine position. The head of the bed was kept at an elevation of 30° , and the subject's head and neck were maintained midline with no flexion, extension, or rotation.

Statistical Analysis

Data are expressed as mean \pm SD. The differences in the air volume needed to fill the cuff and the CP between the 2 techniques were expressed as percentages. The air

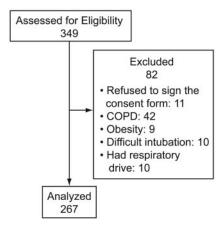


Fig. 3. Participant flow.

Table 1. Perioperative Clinical and Demographic Subject Characteristics (n = 267)

Age, y	65.48 ± 8.54	
Male/female, no.	183/84	
Body mass index, kg/m ²	24.84 ± 2.22	
FVC, L	3.54 ± 0.76	
FVC, % predicted	96.93 ± 11.42	
FEV ₁ , L	2.78 ± 0.73	
FEV ₁ , % predicted	97.22 ± 10.81	
Operation time, min	305.72 ± 11.79	
\pm Values are mean \pm SD.		

volume injected into the cuff, the CP, and the expired V_T values were each compared between the 2 techniques, using the unpaired Student t test. Statistical analyses were performed with statistics software (Prism 3.0, (GraphPad Software, San Diego, California). A value of P < .05 was considered statistically significant.

Results

During the study period, 349 patients fulfilled eligibility criteria. From that sample, 267 were actually analyzed (Fig. 3). The preoperative and intraoperative subject characteristics are summarized in Table 1.

The volume-time curve technique demonstrated significantly lower CPs and volume of air injected into the cuff, compared to the minimal occlusive volume technique (P < .001) (Table 2). No significant difference was observed between the volume-time curve and the minimal occlusive volume technique with respect to the expired V_T (491.17 \pm 49.55 mL vs 482.88 \pm 49.05 mL, respectively, P = .052). However, when the subjects were submitted to the minimal occlusive volume technique, 17% (n = 47) experienced air leakage, as observed by the volume-time

Table 2. Absolute Values and Percent Differences in the Air Volume Used to Fill the Cuff and the Cuff Pressure Between the Volume-Time Curve and the Minimal Occlusive Volume Techniques

	Volume- Time Curve Technique (mean ± SD)	Minimal Occlusive Volume Technique (mean ± SD)	P
Air volume to fill the cuff, mL	4.21 ± 0.38	4.59 ± 0.45	< .001
Percent difference		9.35 ± 9.52	
Cuff pressure, cm H ₂ O	32.76 ± 3.91	36.78 ± 4.75	< .001
Percent difference		12.42 ± 10.61	

graph. In this subgroup the air volume injected into the cuff was $8\pm2.69\%$ lower in the minimal occlusive volume group, relative to the volume-time group. The CP was also $7\pm2.40\%$ less in the minimal occlusive volume group.

Discussion

The volume-time curve technique was associated with a smaller volume of air injected into the cuff and a lower CP, compared to the minimal occlusive volume technique in this group of subjects in the immediate postoperative period after CABG.

To our knowledge, this study is the first to propose the use of graphical monitoring of the volume-time curve as an alternative to promoting ETT cuff inflation. A previous report demonstrated the volume-time curve as a useful tool to show air leakage around the ETT. However, that report only described the ability of the volume-time curve to assist in selecting the diameter of an ETT without a cuff in pediatric patients undergoing MV.¹¹ Therefore, a novel aspect of our study was the proposal to use the volume-time graph as an alternative way of promoting adequate cuff inflation.

Several studies have argued that the main function of the ETT cuff is to seal the airway. $^{6-10}$ The CP generated by cuff inflation should be sufficient to prevent air leakage around the cuff, to ensure the correct tidal volume delivery, 13 to prevent the aspiration of subglottic secretions, and to prevent injury to the tracheal wall. 14,15 Precise CP values are not yet known, but suggested CP values vary from 20 to 30 cm $\rm H_2O.^1$

Depending on anatomical variations of the trachea, these pressures may not be high enough to ensure an adequate seal of the airway. Metha and Myat¹⁶ suggested that, due to variations in the diameter and shape of the human trachea, a higher or lower pressure to seal the airway might be required, not necessarily equalizing to the tracheal wall pressure.^{17,18} In addition, even fixed pressures within a

range considered as safe can predispose to the development of tracheal mucosal injuries, most likely due to the relation between tracheal diameter and tube size.³

In our study, independent of the technique used (minimal occlusive volume or volume-time curve), we found values of CP higher than 30 cm H₂O. We hypothesize that this finding occurred due to anatomical variations of the trachea. Although the volume-time curve resulted in a CP of approximately 32.76 cm H₂O, this value was lower than the CP achieved when the minimal occlusive volume technique was applied. This fact confers to the volume-time curve a clinical advantage in relation to the minimal occlusive volume technique. According to Seegobin and colleagues, ¹⁹ the higher the CP, the greater the reduction in blood flow and tracheal perfusion and the greater the risk of tracheal ischemia occurring; the total obstruction of tracheal blood flow occurs with pressures of approximately 50 cm H₂O.

Evidence suggests that, when using the minimal occlusive volume technique, it is difficult to precisely determine when to stop inflating the cuff, which can result in higher or lower pressures to promote an adequate airway seal.^{6,7} The present study supports the aforementioned findings^{6,7}; we observed that the use of the minimal occlusive volume technique was associated with high and insufficient CP, resulting in air escape.

The air volume injected into the cuff was 9% higher with the minimal occlusive volume technique, relative to the volume-time curve. This difference translated into a significant increase of 12% in the CP in the minimal occlusive volume group. In this study the smaller air volume injected into the cuff was most likely responsible for the smaller CP found when the volume-time curve was applied.

In our study no significant changes were observed in the expired V_T after cuff inflation with either technique. This fact suggests that both techniques may effectively promote the adequate sealing of the airway. However, when the subjects were submitted to the minimal occlusive volume technique, 17% demonstrated air leakage, as observed by the volume-time graph. This fact may have possibly contributed to the absence of a significant difference in the expired V_T values between the groups. Based on our findings, we conclude that the minimal occlusive volume technique may be associated with an insufficient sealing of the airway in some cases and cuff hyperinflation in other cases, which may result in increased risks of air leakage and tracheal wall damage, respectively.

One of our study questions was to determine the best way to identify the exact moment when the air leak stops with the volume-time curve versus the minimal occlusive volume technique. Despite its widespread use and consideration as the most appropriate technique, the minimal occlusive volume technique can still be considered subjective, as it depends on the training, skills, and keen sense of the operator. In contrast, during the entire study period the volume-time curve was shown to be a reliable and easily applicable technique. Our study demonstrated the clinical importance of monitoring cuff inflation using the volume-time curve, due to the capacity of this technique to identify in real time the exact air volume needed to fill the cuff to prevent air leakage, simply by observing the curve during cuff inflation. This fact can explain the lower CP employed in the volume-time curve group. Given that most mechanical ventilators in use today have graphic monitoring, the volume-time curve technique could be easily applied in clinical practice.

Evidence suggests that even experienced professionals were unable to inflate the ETT cuff to generate the least possible CP. Methods such as the palpation of the pilot balloon and the disappearance of an audible air leak are inaccurate ways of assessing an adequate volume to inflate the ETT cuff, and most of the time these methods result in a higher CP than needed to seal the airway.²⁰ We believe that the use of standardized instruments such as graphic monitoring to inflate the ETT cuff may help to improve the safety of ETT cuff management, decreasing the possibility of injury resulting from ETT cuff over- or underinflation.

Conclusions

The volume-time curve technique was associated with a lower CP and a reduced volume of air injected into the cuff when compared to the minimal occlusive volume technique in the immediate postoperative period after CABG. Therefore, the volume-time curve may be a more reliable alternative for ETT cuff management.

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