In Vitro Evaluation of Heat and Moisture Exchangers Designed for Spontaneously Breathing Tracheostomized Patients

Claudia Brusasco MD, Francesco Corradi MD PhD, Maria Vargas MD, Margherita Bona MD, Federica Bruno MD, Maria Marsili MD, Francesca Simonassi MD, Gregorio Santori MD, Paolo Severgnini MD, Robert M Kacmarek PhD RRT FAARC, and Paolo Pelosi MD

BACKGROUND: Heat and moisture exchangers (HMEs) are commonly used in chronically tracheostomized spontaneously breathing patients, to condition inhaled air, maintain lower airway function, and minimize the viscosity of secretions. Supplemental oxygen (O_2) can be added to most HMEs designed for spontaneously breathing tracheostomized patients. We tested the efficiency of 7 HMEs designed for spontaneously breathing tracheostomized patients, in a normothermic model, at different minute ventilations (\dot{V}_E) and supplemental O_2 flows. METHODS: HME efficiency was evaluated using an in vitro lung model at 2 \dot{V}_E (5 and 15 L/min) and 4 supplemental O_2 flows (0, 3, 6, and 12 L/min). Wet and dry temperatures of the inspiratory flow were measured, and absolute humidity was calculated. In addition, HME efficiency at 0, 12, and 24 h use was evaluated, as well as resistance to flow at 0 and 24 h. RESULTS: The progressive increase in O₂ flow from 0 to 12 L/min was associated with a reduction in temperature and absolute humidity. Under the same conditions, this effect was greater at lower $\dot{V}_{\rm E}\text{.}$ The HME with the best performance provided an absolute humidity of 26 mg H₂O/L and a temperature of 27.8°C. No significant changes in efficiency or resistance were detected during the 24 h evaluation. CONCLUSIONS: The efficiency of HMEs in terms of temperature and absolute humidity is significantly affected by O2 supplementation and $\dot{V}_{\mathbf{E}^*}$ Key words: tracheostomized patients; absolute humidity; inspiratory air temperature; air conditioning; heat and moisture exchangers. [Respir Care 2013;58(11):1878–1885. © 2013 Daedalus Enterprises]

Introduction

The main functions of the upper airways are warming, humidifying, and filtering the inspired gas. In patients with

Drs Brusasco, Vargas, Bona, Bruno, Marsili, Simonassi, and Pelosi are affiliated with the Dipartimento di Scienze Chirurgiche e Diagnostiche Integrate, Sezione Anestesia e Rianimazione; and Dr Santori is affiliated with the Dipartimento di Scienze Chirurgiche e Diagnostiche Integrate, Università Degli Studi di Genova, Istituto di Ricovero e Cura a Carattere Scientifico, Azienda Ospedaliera Universitaria San Martino, Genova, Italy. Dr Corradi is affiliated with the Dipartimento Cardio-Nefro-Polmonare, Sezione Terapia Intensiva Cardiochirurgica, Azienda Ospedaliero Universitaria di Parma, Italy. Dr Severgnini is affiliated with the Dipartimento Scienza ed Alta Tecnologia, Sezione Ambiente Salute Sicurezza Territorio, Università Degli Studi Dell'Insubria, Varese, Italy. Dr Kacmarek is affiliated with Respiratory Care Services, Massachusetts General Hospital, Boston, Massachusetts. Dr Vargas is also affiliated with the Dipartimento di Anestesia e Terapia Intensiva, Università di Napoli Federico II, Napoli, Italy.

The authors have disclosed no conflicts of interest.

tracheostomies the upper airway is bypassed, thus losing conditioning and filtering function. Breathing non-conditioned air for a prolonged time may damage the mucociliary function, resulting in a decrease in secretion clearance.^{1,2} Moreover, breathing cold and dry air results in heat loss and water loss by evaporation.^{1,2} Several animal and human studies have attempted to determine the "optimal" temperature and absolute humidity of inspired air when the upper respiratory tract is bypassed by an endotracheal tube or a tracheostomy.³⁻⁶ Since 1992 the American Association for Respiratory Care (AARC) clinical practice guidelines⁷ have recommended that inspired gases

Correspondence: Claudia Brusasco MD, Dipartimento di Scienze Chirurgiche e Diagnostiche Integrate, Università Degli Studi di Genova, Largo Rosanna Benzi 8, 16132, Genova, Italy. E-mail: claudia.brusasco@gmail.com.

DOI: 10.4187/respcare.02405

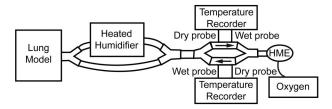


Fig. 1. Test setup. HME = heat and moisture exchanger.

be warmed to 30°C and humidified to 30-33 mg H₂O/L. Heat and moisture exchangers (HMEs) conserve a portion of the heat and humidity from the exhaled gas, conditioning the subsequently inspired gas.8-10 The use of HMEs in chronically tracheostomized spontaneously breathing patients can reduce retained secretions and improve quality of life.11,12 HMEs can also provide supplemental oxygen (O_2) flow through a direct connection to an O_2 delivery system. However, a dry and cold gas flow directly on the HME's membrane might reduce the amount of water and heat retained and transferred by the HME. In addition, a loss of HME efficiency during mechanical ventilation has been reported at high minute ventilation (\dot{V}_E).^{13,14} Our hypothesis was that additional O_2 flow and different \dot{V}_E will affect the efficiency of HMEs designed for tracheostomized spontaneously breathing patients.

The aims of this study were to evaluate the effects of $\rm O_2$ flow at 3, 6, and 12 L/min and $\dot{\rm V}_{\rm E}$ of 5 and 15 L/min on the performance (temperature and absolute humidity) of 7 commercially available HMEs, and to test their efficiency change over a 24 h period.

Methods

Experimental Protocol and Hygrometry

The experimental lung model consisted of a piston pump that was connected to one end of a breathing circuit, to simulate a spontaneously breathing patient (Fig. 1). The expiratory gas flow was heated and humidified (DAR HC 2000 HWH, Mallinckrodt/Covidien, Mansfield, Massachusetts) to mimic normothermic conditions (34°C).^{13,15} The HME was connected to the opposite end of the circuit and to O₂ flow. A breathing circuit with 4 unidirectional valves to separate inspiratory and expiratory flows was inserted between the HME and the lung model. Two temperature probes, one dry and one wet (coated with cotton soaked with sterile water), were placed at both the inspiratory and expiratory sides of the circuit. The dry probe measured the actual gas temperature, while the wet one measured the temperature as lowered by evaporation. Since the wet probe measured a temperature proportional to gas dryness, the absolute humidity of the inspired and expired gases can be calculated from the temperature difference between the

QUICK LOOK

Current knowledge

Heat and moisture exchangers (HMEs) are commonly used in chronically tracheostomized spontaneously breathing patients. Supplemental oxygen (O_2) can affect the HME's performance.

What this paper contributes to our knowledge

The efficiency of HMEs used for spontaneously breathing patients with tracheostomy was decreased by increased O_2 flow and increased minute ventilation. O_2 flow of > 3 L/min was associated with important decreases in HME performance.

probes, with a formula previously reported. 16,17 Temperatures were measured electronically, displayed on a screen and printed on a chart recorder (436004 uR 1000, Yokogawa, Tokyo, Japan). This psychrometric method is commonly used by clinicians and researchers interested in valuation of humidity. 15,16 The system was considered stabilized after 1 h of ventilation without HME. The expiratory gas was maintained saturated at a temperature of 34°C. Once the lung model was stabilized, the HMEs were tested in a random order. Temperature and humidity output of the lung model were checked before each measurement.

Evaluation of Effects of O_2 Flow and \dot{V}_E

Each HME was tested at 2 different $\dot{V}_{\rm E}$ (5 and 15 L/min, tidal volume 500 mL, and breathing frequencies of 10 and 30 breaths/min) and 4 O_2 flows (0, 3, 6, and 12 L/min). For each combination of $\dot{V}_{\rm E}$ and O_2 flow, 15 min after stabilization, 3 consecutive temperature measurements were taken and averaged. Room temperature and relative humidity were measured before each experiment and maintained constant throughout the experiment. Each pair of probes was calibrated by measuring room temperature, and the differences were always < 0.3°C. This value was used to correct all the measurements. All HMEs were tested on 4 different study days (a different HME was used each day) for assessment of reproducibility.

Evaluation After 24 Hours of Use

Each HME was studied for 24 consecutive hours with a \dot{V}_E of 10 L/min. A \dot{V}_E of 10 L/min was chosen, because it was midway between the 2 \dot{V}_E tested short-term, and represents a typical \dot{V}_E in critically ill patients. Temperature

Table 1. Characteristics of the Heat and Moisture Exchangers as Described by the Manufacturers

	HCH-6V	НСН-6F	Hydro-Trach T	Edith Trach	Tracheolife II	Tracheal HME 9500/01	HME-D6
Moisture output at V_T of 0.5 L, mg H_2O/L	25.5	20.5	26	24	27.1	27.4	30.8
Weight, g	5	2.9	8	6	8.5	4.5	5
V _T range, mL	50-1,000	50-1,000	> 50	60-1,000	ND	> 25	50-1,000
Dead space, mL	12	9.5	19	16	16	8	12
Pressure drop at 60 L/min at 0 h, cm H ₂ O	0.25	ND	1.3	0.02	2.2	0.76	0.16

HME = heat and moisture exchanger

V_T = tidal volume

ND = no data available

Table 2. Descriptive Statistics of Temperature for the Tested Heat and Moisture Exchangers

		Temperature, °C					
	Mean ± SD	Median	Minimum	Maximum	95% CI	Coefficient of Variation	
HCH-6V	25.81 ± 0.73	25.90	24.4	27.5	25.54-26.07	0.028	
HCH-6F	24.55 ± 0.75	24.40	22.8	26.0	24.28-24.82	0.030	
Hydro-Trach T	26.24 ± 1.01	26.40	24.1	28.0	25.88-26.60	0.038	
Edith Trach	25.83 ± 0.99	26.05	24.0	27.7	25.47-26.12	0.038	
Tracheolife II	27.81 ± 1.01	28.05	25.6	29.2	27.44-28.17	0.036	
Tracheal HME 9500/01S	26.08 ± 0.91	26.05	24.5	27.5	25.75-26.40	0.035	
HME-D6	24.30 ± 0.67	24.35	22.7	25.3	24.06-24.54	0.027	

measurements were recorded at 0, 6, 12, and 24 h; resistance and weight of the HME were recorded at 0 and 24 h. Flow resistance was estimated from the pressure drop across the HME at 60 L/min flow. HME weight was measured by a precision balance, and the absolute change for each HME was determined.

The following commercially available HMEs were tested: HCH-6V (Mediflux, Croissy Beaubourg, France), HCH-6F (Mediflux, Croissy Beaubourg, France), Hydro-Trach T (Intersurgical, Woingham, Berkshire, United Kingdom), Edith Trach (GE Healthcare, Madison, Wisconsin), Tracheolife II (Mallinckrodt/Covidien, Mansfield, Massachusetts), Tracheal HME 9500/01S (Air Safety Limited, Lancashire, United Kingdom), and HME-D6 (DEAS, Castel Bolognese, Italy). Their main characteristics, according to the manufacturers, are described in Table 1.

Statistical Analysis

Descriptive statistics are expressed as mean ± SD, median, minimum/maximum, 95% CI, and/or percentages. The coefficient of variation was calculated for the temperature and absolute humidity measurements. Normal distribution was evaluated with the Shapiro-Wilk normality test.

Pearson correlation was performed to determine the degree of correlation between continuous variables. The values were compared as means of repeated measures by 2-way analysis of variance with the Tukey honest significant difference post hoc test. Homogeneity of variance was evaluated with the Fligner-Killeen test. Statistical significance was assumed by a 2-sided P value < .05. Statistical analysis was performed with statistics software (R 2.15.2, R Foundation, Vienna, Austria).

Results

Effects of O_2 Flow and \dot{V}_E

Mean and median data for temperature and absolute humidity with each HME are presented, respectively, in Table 2 and Table 3. In all HMEs the progressive increase in O_2 flow from 0 to 12 L/min was associated with a reduction in the temperature (P < .001) and absolute humidity (P < .001). Under the same conditions, this effect was greater at lower \dot{V}_E (5 vs 15 L/min) (P < .001) (Fig. 2). Comparing the average performance of all HMEs across all experimental settings, the minimum performance was a

Table 3. Descriptive Statistics of Absolute Humidity for the Tested Heat and Moisture Exchangers

	Absolute Humidity, mg H ₂ O/L						
	Mean	Median	Minimum	Maximum	95% CI	Coefficient of Variation	
HCH-6V	23.15 ± 1.62	23.45	19.8	25.6	22.56-23.73	0.070	
HCH-6F	20.68 ± 1.55	20.90	17.5	22.9	20.12-21.24	0.075	
Hydro-Trach T	21.36 ± 2.30	21.80	16.8	25.1	20.52-22.19	0.11	
Edith Trach	20.89 ± 1.67	21.05	16.0	22.9	20.28-21.49	0.08	
Tracheolife II	25.98 ± 2.45	27.20	19.9	28.6	25.09-26.86	0.09	
Tracheal HME 9500/01S	21.91 ± 2.37	22.30	16.4	25.3	21.06-22.76	0.11	
HME-D6	16.86 ± 1.57	16.90	14.0	19.8	16.29-17.42	0.09	

P < .001 30 Temperature (°C) 28 26 24 O₂ 3 L/min O₂ 6 L/min O₂ 12 L/min O₂ 0 L/min O₂ 3 L/min O₂ 0 L/min O₂ 6 L/min O₂ 12 L/min f 10 f 10 f 10 f 10 f 30 f 30 f 30 f 30 P < .001 30 Humidity (mg H₂O/L) Absolute 15

Fig. 2. Temperature and absolute humidity versus O_2 flow and breathing frequency (f). The horizontal lines within the data bars represent the medians. The tops and bottoms of the data bars represent the interquartile ranges. The whisker bars represent the minimum and maximum values.

f 10

 O_2 6 L/min $\mathrm{~O}_2$ 12 L/min O_2 0 L/min $\mathrm{~O}_2$ 3 L/min

f 30

f 30

temperature of 24.6°C and an absolute humidity of 18.2 mg/L at \dot{V}_E 5 L/min and O_2 flow 12 L/min, while the best performance was a temperature of 26.6°C and an absolute humidity of 23.4 mg/L at \dot{V}_E 15 L/min and O_2 flow 0 L/min.

O₂ 0 L/min f 10

O₂ 3 L/min

f 10

f 10

Effects of Different HMEs on Temperature and Absolute Humidity

f 30

All the HMEs showed a variable degree of O₂ flow-dependence, with increasing differences between measured

O₂ 6 L/min O₂ 12 L/min

f 30

Table 4. Temperature Comparisons

	Temperature Difference °C	95% CI	P
HCH-6F vs HCH-6V	-1.2594	-1.9131 to -0.6057	< .001
Hydro-Trach T vs HCH-6V	0.4344	-0.2193 to 1.0881	.43
Edith Trach vs HCH-6V	0.0250	-0.6287 to 0.6787	> .99
Tracheolife II vs HCH-6V	2.0000	1.3463 to 2.6537	< .001
Tracheal HME vs HCH-6V	0.2719	-0.3818 to 0.9256	.88
HME-D6 vs HCH-6V	-1.5031	-2.1568 to -0.8494	< .001
Hydro-Trach T vs HCH-6F	1.6938	1.0401 to 2.3474	< .001
Edith Trach vs HCH-6F	1.2844	0.6307 to 1.9381	< .001
Tracheolife II vs HCH-6F	3.2594	2.6057 to 3.9131	< .001
Tracheal HME vs HCH-6F	1.5312	0.8776 to 2.1849	< .001
HME-D6 vs HCH-6F	-0.2438	-0.8974 to 0.4099	.93
Edith Trach vs Hydro- Trach T	-0.4094	-1.0631 to 0.2443	.51
Tracheolife II vs Hydro- Trach T	1.5656	0.9119 to 2.2193	< .001
Tracheal HME vs Hydro- Trach T	-0.1625	-0.8162 to 0.4912	.99
HME-D6 vs Hydro- Trach T	-1.9375	-2.5912 to -1.2838	< .001
Tracheolife II vs Edith Trach	1.9750	1.3213 to 2.6287	< .001
Tracheal HME vs Edith Trach	0.2469	-0.4068 to 0.9006	.92
HME-D6 vs Edith Trach	-1.5281	-2.1818 to -0.8744	< .001
Tracheal HME vs Tracheolife II	-1.7281	-2.3818 to -1.0744	< .001
HME-D6 vs Tracheolife II	-3.5031	-4.1568 to -2.8494	< .001
HME-D6 vs Tracheal HME	-1.7750	-2.4287 to -1.1213	< .001

See Table 2 for row values for each heat and moisture exchanger (HME).

Fligner-Killeen test of homogeneity of variances: P = .12.

Multiple comparisons of means: Tukey contrasts

and expected performance in terms of temperature and absolute humidity as $\rm O_2$ flow increased and $\rm \dot{V}_E$ decreased (P < .001). The overall performance of all HMEs tested is presented in Table 4 and 5 and Figure 3. The Tracheolife II showed the best performance: absolute humidity 26 mg $\rm H_2O/L$ and temperature 27.8°C.

Effects of 24 Hours of Use on Performance, Air Flow Resistance, and Weight

No significant drop in absolute humidity was detected over the 24 h evaluation of any HME (P = .99). No changes in flow resistance or pressure drop were observed between baseline and 24 h for any HME, except for Tracheolife II, which showed a pressure drop at 60 L/min, from 0.2 cm $\rm H_2O$ to 0.8 cm $\rm H_2O$, which was not statistically significant or clinically important. The increases in weight at 24 h were 1.46 g for HCH-6V, 0.39 g for HCH-6F, 0.22 g for Hydro-Trach T, 2.05 g for Edith Trach,

Table 5. Absolute Humidity Comparisons

	Humidity Difference mg H ₂ O/L	95% CI	P
HCH-6F vs HCH-6V	-2.4719	-3.9385 to -1.0053	< .001
Hydro-Trach T vs HCH-6V	-1.7937	-3.2604 to -0.3271	.006
Edith Trach vs HCH-6V	-2.2625	-3.7291 to -0.7959	< .001
Tracheolife II vs HCH-6V	2.8281	1.3615 to 4.2947	< .001
Tracheal HME vs HCH-6V	-1.2406	-2.7072 to 0.2260	.16
HME-D6 vs HCH-6V	-6.2906	-7.7572 to -4.8240	< .001
Hydro-Trach T vs HCH-6F	0.6781	-0.7885 to 2.1447	.81
Edith Trach vs HCH-6F	0.2094	-1.2572 to 1.6760	> .99
Tracheolife II vs HCH-6F	5.3000	3.8334 to 6.7666	< .001
Tracheal HME vs HCH-6F	1.2312	-0.2354 to 2.6979	.17
HME-D6 vs HCH-6F	-3.8188	-5.2754 to -2.3521	< .001
Edith Trach vs Hydro- Trach T	-0.4688	-1.9354 to 0.9979	.96
Tracheolife II vs Hydro- Trach T	4.6219	3.1553 to 6.0885	< .001
Tracheal HME vs Hydro- Trach T	0.5531	-0.9135 to 2.0197	.92
HME-D6 vs Hydro- Trach T	-4.4969	-5.9635 to -3.0303	< .001
Tracheolife II vs Edith Trach	5.0906	3.6240 to 6.5572	< .001
Tracheal HME vs Edith Trach	1.0219	-0.4447 to 2.4885	.37
HME-D6 vs Edith Trach	-4.0281	-5.4947 to -2.5615	< .001
Tracheal HME vs Tracheolife II	-4.0688	-5.5354 to -2.6021	< .001
HME-D6 vs Tracheolife II	-9.1188	-10.5854 to -7.6521	< .001
HME-D6 vs Tracheal HME	-5.0500	-6.5166 to -3.5834	< .001

See Table 3 for row values for each heat and moisture exchanger (HME)

Fligner-Killeen test of homogeneity of variances: P = .18.

Multiple comparisons of means: Tukey contrasts

0.47 g for Tracheolife II, 0.37 g for Tracheal HME 9500/01S, and 0.47 g for HME-D6, without any significant correlation with flow resistance at 60 L/min ($r^2 = 0.33$, P = .46).

Between the 4 study days, room temperature was $24^{\circ}\text{C} \pm 0.5$, whereas relative humidity was $13\% \pm 11$. The dynamics of daily room temperature and relative humidity did not significantly affect the results (P = .58).

Discussion

The main results of the present study are:

- The addition of O₂ to an HME inversely affected HME efficiency.
- The efficiency of all the HMEs was better at higher \dot{V}_E .
- The Tracheolife II was best able to maintain temperature and absolute humidity of inspired gases.

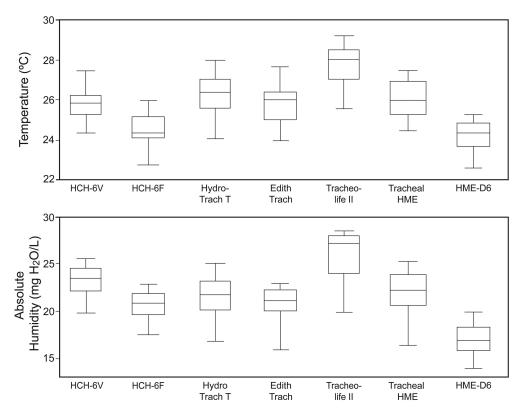


Fig. 3. Median temperature and absolute humidity with the tested heat and moisture exchangers (HMEs). The horizontal lines within the data bars represent the medians. The tops and bottoms of the data bars represent the interquartile ranges. The whisker bars represent the minimum and maximum values.

To the best of our knowledge this is the first study evaluating the effects of the addition of O_2 , various \dot{V}_E , and 24 h use on the efficiency of HMEs for tracheostomized spontaneously breathing patients. It is important to stress that none of the HMEs tested met the AARC clinical practice guideline standards for humidification: 30-33 mg/L and 30° C.⁷

Effect of O_2 Flow and \dot{V}_E

The absolute humidity and temperature of inspired gases were significantly, inversely affected by the addition of O_2 flow. The drop in efficiency from 0 to 12 L/min of O_2 flow was higher for absolute humidity than for temperature, and these results were consistent for all HMEs. We found that Tracheolife II maintained an acceptable performance up to 6 L/min O_2 flow, then demonstrated a fall at 12 L/min, while the other HMEs presented a more gradual reduction in efficiency as O_2 flow increased.

Most HMEs commercially available have the capability of adding supplemental O_2 flow to increase F_{IO_2} . However, to our knowledge there is no information in the manufacturers' literature discussing the effect of adding O_2 on the efficiency of the HME. The decrease in efficiency of HMEs

at increasing O_2 flow should be considered by physicians trying to optimize the clinical condition of their patients. The interdependence of HME performance and supplemental O_2 flow should be expected, since HMEs are characterized by a hygroscopic membrane that retains water and heat from the exhaled air and then returns it to inspired air. The addition of supplemental O_2 dries and lowers the temperature of the hygroscopic material, thus negatively affecting HME performance. The method of O_2 delivery may be one of the reasons for the different behavior of each HME to increasing O_2 flows, since some HMEs allow O_2 to travel to the patient without traversing the hygroscopic membrane, while others direct the added O_2 flow through the hygroscopic membrane.

In general, considering all HMEs tested at the 2 different $\dot{V}_{\rm E}$ conditions, they provided better temperature and humidity output at higher $\dot{V}_{\rm E}$. Previous studies, reported contradictory results on the effects of $\dot{V}_{\rm E}$ on HME performance during mechanical ventilation. In fact, Unal et al 14 found better performance at lower $\dot{V}_{\rm E}$, while Pelosi et al 15 demonstrated better performance at higher $\dot{V}_{\rm E}$, and Chiumello et al 13 found the best performance at 10 L/min, with a decrease in performance both at higher and lower $\dot{V}_{\rm E}$. However, contrary to our study, those authors did not

test HMEs designed for tracheostomized patients during spontaneous breathing. Our results can be explained by the fact that at lower \dot{V}_E the hygroscopic membrane receives less conditioned exhaled air per minute, allowing more time to cool down, thus losing more water molecules and being less efficient in the subsequent inspiration. Moreover, the HMEs were tested at 2 different \dot{V}_E (5 vs 15 L/min) by modifying only the respiratory frequency (10 vs 30 breaths/min). The differences in efficiency may be a direct result of the fact that with a higher respiratory frequency there is less time for the hygroscopic membrane to cool down. This finding may be minimized by increasing tidal volume to increase \dot{V}_E instead of rate.

Effect of Different HMEs on Temperature and Absolute Humidity

The present study has shown significant differences in efficiency among the 7 HMEs evaluated. Comparing the temperature output and absolute humidity of the HMEs at 15 L/min \dot{V}_E and 0 L/min O_2 flow, the best performance was by Tracheolife II, with 28.4 mg H₂O/L and 29.2°C, respectively, while the worst performance was by HME-D6, with 18.4 mg H₂O/L and 25.3°C, respectively. The optimal level of inspired air conditioning in tracheostomized patients is still debatable. To the best of our knowledge there are no specific guidelines on the levels of absolute humidity and temperature in spontaneously breathing tracheostomized patients. Some studies on tracheostomized dogs have defined the optimal range of humidity to be 100% saturation at 25–30°C (ie, absolute humidity 23.1– 30.5 mg H₂O/L).^{18,19} In addition, excessive heating and humidification are recognized as harmful to the airway mucosa.²⁰⁻²² In normal conditions the temperature range of expired gases is 28-32°C, with an absolute humidity of 27–33 mg H₂O/L, and thus an inspired-gas temperature range of 29-33°C and an absolute humidity of 28-35 mg H₂O/L should be adequate.²³ These guidelines⁷ might also apply to tracheostomized patients, even if the portion of the artificial airway above the carina is shorter than with an endotracheal tube. We found that only the Tracheolife II reached the levels recommended in all conditions except for low \dot{V}_E with 6 or 12 L/min O_2 flow and high $\dot{V}_{\rm E}$ with 12 L/min. The structure of Tracheolife II is very different from that of the other HMEs. Tracheolife II does not contain a spongy material like the others, but instead has an embossed and pleated membrane, which allows substantially more hygroscopic surface and consequently a greater entrapment of water.

We found that the performance of HMEs designed for tracheostomized patients during spontaneous breathing was poorer than that reported for HMEs for mechanically ventilated patients under similar experimental conditions. ^{13,15,24} HMEs for spontaneously breathing patients

are inserted into an open breathing circuit, drawing air from the room, whereas HMEs for mechanically ventilated patients are used in a closed ventilatory circuit, so the heat and moisture are kept within the system. Furthermore, HMEs for spontaneously breathing patients, except for Tracheolife II, are hollow in the middle, and the membrane is displaced to the periphery, allowing the collection of secretions and minimizing the increase of airway resistance, while all HMEs for mechanically ventilated patients have the membrane throughout the device, promoting efficiency but increasing resistance.

Effects of 24 Hours of Use on Performance, Air Flow Resistance, and Weight

Absolute humidity and temperature output were not affected during the 24 h study period. Several investigations of HMEs for mechanical ventilation demonstrated that changing HMEs after 48 h²⁵⁻²⁷ or even 96 h²⁸ did not influence efficiency nor the incidence of nosocomial pneumonia. HMEs for spontaneously breathing patients have not been tested for longer than 24 h use, and are marketed with directions to replace them every 24 h. They do not have an antibacterial filter and are hollow in the middle, avoiding an increase of airway resistance. Our in vitro data suggest that HMEs could be used for longer periods, but the safety of this procedure should be demonstrated in a large clinical trial.

The efficiency of the HMEs evaluated was independent of room temperature and relative humidity, at least within the conditions during the present study. Room temperatures were similar throughout the 4 study days (24 \pm 0.5°C); however, room relative humidity was quite different, depending on outside temperature (13 \pm 11%). Room dryness may play an important role in absolute humidity output at different $\dot{V}_{\rm E}$.

Our study has some limitations that need to be addressed. First, the model we used only partially reproduced clinical conditions. Thus, our results cannot be directly extrapolated to the clinical scenario. However, since all the devices were evaluated under the same conditions, the comparative efficiency of the devices is accurate. Second, all of the HMEs commercially available worldwide were not evaluated. Thus, other devices may demonstrate different performances. Third, the performance at different O_2 flows and \dot{V}_E was not evaluated during the entire 24-hour period. Fourth, \dot{V}_E variations were only obtained by changing breathing frequency. Differences in performance between lower and higher \dot{V}_E may be a direct result of altering breathing frequency.

Conclusions

The performance of different commercially available HMEs used in tracheostomized patients during spontane-

ous breathing is significantly affected by $\rm O_2$ flow and $\rm \dot{V}_E$. The minimal $\rm O_2$ flow required according to the patient's clinical condition should always be administered. Especially if a tracheostomized patient needs $\rm O_2$ flows higher than 3 L/min, the clinician should be aware of the negative effect $\rm O_2$ flow has on HME performance. Most importantly, the performance differences among the evaluated devices should be considered when making the choice of HME in tracheostomized spontaneous breathing patients. Finally, none of the HMEs tested met the AARC clinical practice guideline standards for humidification: 30–33 mg/L and 30°C.7

REFERENCES

- Scheenstra RJ, Muller SH, Hilgers FJ. Endotracheal temperature and humidity in laryngectomized patients in a warm and dry environment and the effect of a heat and moisture exchanger. Head Neck 2011; 33(9):1285-1293.
- Thomachot L, Viviand X, Arnaud S, Vialet R, Albanese J, Martin C. Preservation of humidity and heat of respiratory gases in spontaneously breathing, tracheostomized patients. Acta Anaesthesiol Scand 1998;42(7):841-844.
- Primiano FP Jr, Saidel GM, Montague FW Jr, Kruse KL, Green CG, Horowitz JG. Water vapour and temperature dynamics in the upper airways of normal and CF subjects. Eur Respir J 1988;1(5):407-414.
- Tsu ME, Babb AL, Sugiyama EM, Hlastala MP. Dynamics of soluble gas exchange in the airways: II. Effects of breathing conditions. Respir Physiol 1991;83(3):261-276.
- Tabka Z, Ben Jebria A, Guenard H. Effect of breathing dry warm air on respiratory water loss at rest and during exercise. Respir Physiol 1987;67(2):115-125.
- Primiano FP Jr, Montague FW Jr, Saidel GM. Measurement system for respiratory water vapor and temperature dynamics. J Appl Physiol 1984;56(6):1679-1685.
- American Association for Respiratory Care; Restrepo RD, Walsh BK. AARC Clinical Practice Guideline. Humidification during invasive and noninvasive mechanical ventilation: 2012. Respir Care 2012;57(5):782-788.
- Wilkes AR. Heat and moisture exchangers. Structure and function. Respir Care Clin N Am 1998;4(2):261-279.
- Wilkes AR. Heat and moisture exchangers and breathing system filters: their use in anaesthesia and intensive care. Part 1 - history, principles and efficiency. Anaesthesia 2011;66(1):31-39.
- Wilkes AR. Heat and moisture exchangers and breathing system filters: their use in anaesthesia and intensive care. Part 2 - practical use, including problems, and their use with paediatric patients. Anaesthesia 2011;66(1):40-51.
- Lorenz KJ, Maier H. [Pulmonary rehabilitation after total laryngectomy using a heat and moisture exchanger (HME)]. Laryngo-rhinootologie 2009;88(8):513-522.
- Hilgers FJ, Aaronson NK, Ackerstaff AH, Schouwenburg PF, van Zandwikj N. The influence of a heat and moisture exchanger (HME)

- on the respiratory symptoms after total laryngectomy. Clin Otolaryngol Allied Sci1991;16(2):152-156.
- Chiumello D, Pelosi P, Park G, Candiani A, Bottino N, Storelli E, et al. In vitro and in vivo evaluation of a new active heat moisture exchanger. Crit Care 2004;8(5):R281-R288.
- Unal N, Kanhai JK, Buijk SL, Pompe JC, Holland WP, Gultuna I, et al. A novel method of evaluation of three heat-moisture exchangers in six different ventilator settings. Intensive Care Med 1998;24(2): 138-146.
- Pelosi P, Severgnini P, Selmo G, Corradini M, Chiaranda M, Novario R, et al. In vitro evaluation of an active heat-and-moisture exchanger: the Hygrovent Gold. Respir Care 2010;55(4):460-466.
- 16. Thiery G, Boyer A, Pigne E, Salah A, De Lassence A, Dreyfuss D, et al. Heat and moisture exchangers in mechanically ventilated intensive care unit patients: a plea for an independent assessment of their performance. Crit Care Med 2003;31(3):699-704.
- Martin C, Thomachot L, Quinio B, Viviand X, Albanese J. Comparing two heat and moisture exchangers with one vaporizing humidifier in patients with minute ventilation greater than 10 L/min. Chest 1995;107(5):1411-1415.
- Tsuda T, Noguchi H, Takumi Y, Aochi O. Optimum humidification of air administered to a tracheostomy in dogs. Scanning electron microscopy and surfactant studies. Br J Anaesth 1977;49(10):965-977.
- Noguchi H, Takumi Y, Aochi O. A study of humidification in tracheostomized dogs. Br J Anaesth 1973;45(8):844-848.
- Williams R, Rankin N, Smith T, Galler D, Seakins P. Relationship between the humidity and temperature of inspired gas and the function of the airway mucosa. Crit Care Med 1996;24(11):1920-1929.
- Williams RB. The effects of excessive humidity. Respir Care Clin N Am 1998;4(2):215-228.
- Cinnella G, Giardina C, Fischetti A, Lecce G, Fiore MG, Serio G, et al. Airways humidification during mechanical ventilation. Effects on tracheobronchial ciliated cells morphology. Minerva Anestesiol 2005; 71(10):585-593.
- Selvaraj N. Artificial humidification for the mechanically ventilated patient. Nurs Stand 2010;25(8):41-46.
- Pelosi P, Chiumello D, Severgnini P, De Grandis CE, Landi L, Chierichetti LM, et al. Performance of heated wire humidifiers: an in vitro study. J Crit Care 2007;22(3):258-264.
- Djedaini K, Billiard M, Mier L, Le Bourdelles G, Brun P, Markowicz P, et al. Changing heat and moisture exchangers every 48 hours rather than 24 hours does not affect their efficacy and the incidence of nosocomial pneumonia. Am J Respir Crit Care Med 1995;152(5 Pt 1):1562-1569.
- Thomachot L, Vialet R, Viguier JM, Sidier B, Roulier P, Martin C. Efficacy of heat and moisture exchangers after changing every 48 hours rather than 24 hours. Crit Care Med 1998;26(3):477-481.
- Boisson C, Viviand X, Arnaud S, Thomachot L, Miliani Y, Martin C. Changing a hydrophobic heat and moisture exchanger after 48 hours rather than 24 hours: a clinical and microbiological evaluation. Intensive Care Med 1999;25(11):1237-1243.
- Thomachot L, Boisson C, Arnaud S, Michelet P, Cambon S, Martin C. Changing heat and moisture exchangers after 96 hours rather than after 24 hours: a clinical and microbiological evaluation. Crit Care Med 2000;28(3):714-720.