

Prophylactic Antibiotic Use in COPD and the Potential Anti-Inflammatory Activities of Antibiotics

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Summary

Antibiotics have previously demonstrated anti-inflammatory properties, and they have been linked to therapeutic benefit in several pulmonary conditions that feature inflammation. Previous research suggests that these anti-inflammatory properties may be beneficial in the treatment of COPD. This review assesses the potential benefit of prophylactic, long-term, and low-dose antibiotic therapy in COPD, and whether any effects seen are anti-inflammatory in nature. Randomized, controlled trials comparing antibiotic therapy with placebo in subjects with stable COPD were evaluated. Twelve trials involving 3,784 participants and a range of antibiotics were included: azithromycin (6 studies, 1,972 participants), clarithromycin (1 study, 67 participants), erythromycin (3 studies, 254 participants), roxithromycin (1 study, 191 participants), and moxifloxacin (2 studies, 1,198 participants). *In vitro*, *in vivo*, and *ex vivo* experimental study designs exploring the mechanisms via which antibiotics may act in subjects with stable COPD were evaluated. Azithromycin and erythromycin showed the greatest effect in subjects with COPD, with evidence suggesting improvement in exacerbation-related outcomes and health status, as measured by the St George Respiratory Questionnaire. An increase in antibiotic resistance was reported in 2 studies. The macrolide class of antibiotics exhibited convincing anti-inflammatory properties with relevance to COPD, implicating several pathways as potential mechanisms of action. In conclusion, the therapeutic benefit of macrolide antibiotics in subjects with stable COPD is consistent with anti-inflammatory properties, and macrolides should be considered as a potential therapy in COPD. Safety concerns regarding antibiotic resistance need to be addressed before widespread use in clinical practice. *Key words:* antibiotic; anti-inflammatory; azithromycin; anti-microbial; COPD; erythromycin; fluoroquinolone; immunomodulatory; macrolide. [Respir Care 2018;63(5):609–619. © 2018 Daedalus Enterprises]

Introduction

COPD is defined by the Global Initiative for Chronic Obstructive Lung Disease (GOLD)¹ as “a common, preventable, and treatable disease that is characterized by persistent respiratory symptoms and air-flow limitation that is due to airway and/or alveolar abnormalities usually caused by significant exposure to noxious particles or gases.” COPD should be considered in patients with dyspnea, sputum production, chronic cough, and a history of risk factors for the disease.¹ Spirometry is necessary for clinical confirmation; a post-bronchodilator FEV₁/FVC < 0.70 is used to confirm diagnosis. Disease severity is assessed using post-bronchodilator FEV₁ (GOLD Grades I–IV) and takes into account the modified Medical Research Council dyspnea scale, the COPD assessment test, and exacerbation frequency (grades A–D in increasing severity).

Chronic inflammation is key in the pathogenesis of COPD. Patients in a stable condition (ie, not currently experiencing an exacerbation) have a degree of underlying inflammation that contributes to reduced air flow. Macrophages and neutrophils are a significant cause of pulmonary damage in COPD. Chemo-attractants are released from macrophages and pulmonary epithelial cells, attracting an excess of neutrophils to the area.² Subsequent release of metalloproteinases from these leukocytes causes extracellular matrix degradation³ and release of pro-inflammatory molecules, such as damage-associated molecular patterns.

Treatment for COPD is currently limited, based on lifestyle changes, use of bronchodilators and steroids, and antibiotic treatment for infectious exacerbations. Corticosteroids are of modest benefit in exacerbations, but they do little to reduce chronic inflammation.⁴ Hence, there is high demand for new adjuncts to treatment. Researchers are trying to find an effective treatment to target chronic inflammation, as it is hoped that reducing inflammation will lead to an improved quality of life for patients and possibly reduce exacerbation frequency, thus reducing mortality.

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The authors have disclosed no conflicts of interest.

Supplementary material related to this paper is available at <http://www.rcjournal.com>.

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DOI: 10.4187/respcare.05943

Antibiotics have been recognized to have anti-inflammatory effects beyond their antimicrobial activity. An early report of these immunomodulatory properties was a case in which erythromycin, a macrolide, had a therapeutic effect in diffuse panbronchiolitis.⁵ A follow-up study showed marked improvement in survival rates for diffuse panbronchiolitis after low-dose erythromycin therapy was introduced: the 5-y survival for subjects, which was 72% between 1980 and 1984, improved to > 90% after 1984.⁶ The possibility that other antibiotics may have similar effects in other pulmonary conditions, including COPD, has since been explored.

Current research suggests that certain antibiotics have the potential to reduce exacerbations in COPD patients who are at an increased exacerbation risk, with a 2013 review by Herath and Poole⁷ citing 7 studies using a range of macrolides (azithromycin, clarithromycin, erythromycin) and a fluoroquinolone (moxifloxacin); it was not possible to determine whether the antibiotics had a primarily antimicrobial or anti-inflammatory effect.

This review has 2 primary goals. First, we look at the question of whether the use of antibiotics in patients with stable COPD reduces exacerbation frequency and improves patient health status, acting as a qualitative update to the review of Herath and Poole in 2013.⁷ Second, we examine whether any effect seen can be attributed to the anti-inflammatory properties of antibiotics. We then discuss the ramifications of results on future research and clinical practice. The literature search strategies used for this review are detailed in the supplementary material (see the supplementary materials at <http://www.rcjournal.com>).

Do Antibiotics Have a Positive, Therapeutic Effect in Patients With Stable COPD?

A total of 12 randomized control trials, involving 3,692 subjects with COPD, have been included (Table 1). The results are discussed according to antibiotic. Of the 6 different antibiotics studied, 4 were macrolides: azithromycin,^{8–13} clarithromycin,¹⁴ erythromycin,^{15–17} and roxithromycin.¹⁸ One tetracycline, doxycycline,¹⁰ was used. One fluoroquinolone, moxifloxacin,^{10,19} was used. Only 1 study looked at a combination treatment (roxithromycin and doxycycline).¹⁸ Three of the 12 studies used pulsed antibiotic therapy.^{10,12,19} Sethi et al¹⁹ and Brill et al¹⁰ used pulsed moxifloxacin, whereas Mygind et al¹² used pulsed azithromycin. The remaining 9 studies used continuous therapy. A brief description of the studies used can be found in Supplementary Table 1.

Azithromycin

Azithromycin is a 15-membered macrolide studied in 6 of the 12 trials (Table 1). Five of the trials used continuous

PROPHYLACTIC ANTIBIOTICS IN COPD

Table 1. Summary of Exacerbation-Related Outcomes Antibiotics Trials in COPD

| Antibiotic | Total Studies Included | Positive Outcome | Inconclusive Outcome |
|----------------|------------------------|--|---|
| Azithromycin | 6 | 3 studies ^{8,12,13} showed a significant improvement in exacerbation-related outcomes | 3 studies ⁹⁻¹¹ showed no significant improvement in exacerbation-related outcomes, although 2 studies showed improvement that did not reach significance |
| Erythromycin | 3 | 3 studies ¹⁵⁻¹⁷ showed a significant improvement in exacerbation-related outcomes | NA |
| Clarithromycin | 1 | NA | 1 study ¹⁴ showed no significant improvement in exacerbation-related outcomes |
| Roxithromycin | 1 | NA | 1 study ¹⁸ showed no significant improvement in exacerbation-related outcomes |
| Doxycycline | 2 | NA | 2 studies ^{10,18} showed no significant improvement in exacerbation-related outcomes; Brill et al ¹⁰ showed a non-significant worsening exacerbation-related outcomes Note: Shafuddin et al ¹⁸ used roxithromycin + doxycycline |
| Moxifloxacin | 2 | NA | 2 studies ^{10,19} showed no significant improvement in exacerbation-related outcomes, although Sethi et al ¹⁹ showed a non-significant improvement |

NA = not applicable

azithromycin therapy, and one used pulsed therapy. Four continuous trials used a dose of 250 mg, either daily or 3 times weekly. The other continuous trial used 500 mg 3 times weekly. The pulsed trial used 500 mg for 3 d/month.

The study by Albert et al⁸ was the largest and most detailed, including 1,142 participants with GOLD stage II or worse. A group of 570 subjects was allocated to a treatment plan of 250 mg azithromycin daily for 12 months, and this group was compared to 572 controls. The primary outcome was the time to the first exacerbation, and results showed a significant improvement for the azithromycin treatment group, 266 d compared to 174 d in the placebo group ($P < .001$). Health status was also recorded using the St George Respiratory Questionnaire, with a lower score equating to better quality of life. The subjects treated with azithromycin had a significantly greater mean change in St George Respiratory Questionnaire score than the placebo group, -2.8 ± 12.1 units compared to -0.6 ± 11.4 units, respectively ($P = .006$). It is important to note that while the azithromycin group did not see a change > -4 units, which is the minimally clinically important difference, there were significantly more subjects in the treatment group with a decrease of at least 4 units (43%) than in the placebo group (36%, $P = .03$).

The study by Mygind et al¹² was presented at the 2010 European Respiratory Society Congress. The study included 575 subjects with COPD, who were treated with 500 mg azithromycin 3 d/month for 36 months. The full data have not been published, but a significant reduction in duration of exacerbation compared to placebo was reported.

There was no change in lung function, quality of life, or frequency of exacerbations.

Uzun et al¹³ recruited 92 participants with GOLD-confirmed COPD, treating 47 of them with 500 mg 3 times weekly for 12 months and comparing them with 45 control subjects. The study aim was to investigate the rate of exacerbations in the first year of treatment. There was a lower exacerbation rate for the treatment group compared to the placebo group (1.94 vs 3.22, respectively), and the risk ratio showed a 42% decrease in exacerbations for the azithromycin group compared to control ($P = .001$). A significant increase in median time to first exacerbation was also seen: 59 d in the placebo group compared to 130 d in the treatment group ($P = .001$). In addition, exacerbation treatment required significantly fewer additional antibiotics in the azithromycin group than in the placebo group. An improvement in health status as measured with the St George Respiratory Questionnaire was reported at 3 months in favor of azithromycin ($P = .043$), although this improvement did not remain for the full 12 months.

The final 3 studies used similar treatment patterns. Berkhof et al⁹ recruited 84 subjects with the primary aim of investigating cough-specific health status using the Leicester Cough Questionnaire.²⁰ A group of 42 subjects was allocated to a treatment plan of 250 mg azithromycin 3 times weekly for 12 weeks and compared to 42 controls. Berkhof et al⁹ showed significant improvements in health status for the azithromycin group using both the St George Respiratory Questionnaire and the Short Form 36. The St

George Respiratory Questionnaire score difference between the 2 groups was -7.5 ± 2.5 in favor of the treatment group, which is clinically important ($P = .004$). Similarly, the Short Form 36 showed improvements in several domains in favor of treatment, including a difference of 8.3 ± 3.4 in “general health” between azithromycin and placebo ($P = .02$). Further analysis showed that subjects with a lower baseline Leicester Cough Questionnaire score showed a greater improvement in both St George Respiratory Questionnaire and Short Form 36. This is an important finding and may indicate use of the Leicester Cough Questionnaire to identify COPD patients who are most likely to benefit from additional azithromycin therapy. Berkhof et al⁹ did not power their study to investigate exacerbation frequency, and there was not a significant difference between the groups in number of exacerbations. However, the data did show a non-significant decreased number of exacerbations.

Simpson et al¹¹ recruited only 30 participants, and that study was powered to detect changes in the neutrophil chemokine CXCL8. A group of 15 subjects was allocated to a treatment plan of 250 mg azithromycin daily for 12 weeks and compared to 15 controls. The mean rate of severe exacerbation was calculated and showed a non-significant decreased exacerbations in the treatment group: 0.33 exacerbations per person per 26 weeks compared to 0.93 in the placebo group ($P = .062$).

Brill et al¹⁰ recruited 99 participants, splitting them over 3 different treatment groups, including a group treated with 250 mg azithromycin 3 times weekly for 13 weeks. A group of 25 subjects was allocated to the treatment plan and compared to 24 controls. This study was not sufficiently powered to draw conclusions on changes in bacterial load. No significant improvement was seen in exacerbation rate or health status.

Three of the 6 studies found no significant adverse effects,^{9,11,13} and one did not report data.¹² Both Brill et al¹⁰ and Albert et al⁸ reported significant adverse effects due to the azithromycin therapy. Albert et al⁸ reported a small but significant increase in hearing loss in the azithromycin group (25% vs 20%, $P = .04$). It is not clear whether this reversed when treatment was ended. It was also found that, although patients in the azithromycin group had decreased colonization with select respiratory pathogens, they also had an increase in colonization with macrolide-resistant organisms. Brill et al¹⁰ reported similar findings and showed a significant increase in antibiotic resistance with azithromycin treatment.

Together, these 6 studies show promising evidence that azithromycin as additional therapy in patients with stable COPD may reduce the rate of exacerbations and improve health status. Further large-scale trials that are sufficiently powered to investigate exacerbation rates are required, as are trials that compare pulsed versus continuous usage. If

treatment is recommended, patients need to be monitored for the development of macrolide-resistant colonies.

Erythromycin

Erythromycin is a 14-membered macrolide studied in 3 of the 12 trials (Table 1). All 3 of these trials were included in a 2013 Cochrane Review,⁷ and no more recent trials fitting the criteria were found in the literature search. A study published in 2001 recruited 109 subjects to examine whether daily erythromycin (200–400 mg) for 12 months would reduce the frequency of exacerbations and common colds.¹⁷ A group of 55 subjects was allocated to the treatment group and compared to 54 controls. A limitation of this study was the lack of blinding, which had potential to influence results. The researchers showed a significant decrease in the number of exacerbations in the 12-month study period in the erythromycin group compared to placebo (14 vs. 64, respectively, $P < .001$). An increased relative risk of experiencing one or more exacerbations was calculated for the control group to be 4.71 ($P = .007$).

A later trial recruited 109 participants to investigate the number of moderate and severe exacerbations.¹⁶ A group of 53 subjects was allocated to a treatment plan of 250 mg erythromycin twice daily for 12 months and compared to 56 controls. Three significant changes were seen: a decrease in exacerbation frequency was seen in the treatment arm; there was an increase in the median time to the first exacerbation in favor of the treatment group (271 d compared to 89 d, $P = .02$); and there was a decrease in the duration of exacerbation for those on erythromycin therapy, with the average exacerbation lasting 13 d in the placebo arm versus 9 d in the treatment arm ($P = .036$).

He et al¹⁵ recruited 36 participants to investigate health status and the number of moderate and severe exacerbations. A group of 18 subjects was allocated to a treatment plan of 125 mg erythromycin 3 times daily for 6 months and compared to 18 controls. As seen in the previous study, the median time to first exacerbation was increased in the treatment group compared to placebo, 155 d and 86 d, respectively ($P = .032$). Subjects in the erythromycin group also had a lower mean number of exacerbations over 6 months: 0.61 compared to 1.11 in the control group. ($P = .042$). No significant improvements were seen in health status.

None of the above studies reported significant adverse effects. These 3 studies provide promising evidence for the use of erythromycin at a continuous low dose to reduce the frequency and duration of exacerbations. The evidence supports usage in patients with frequent exacerbations, but, as with azithromycin, patients should be monitored for macrolide-resistant bacteria.

Clarithromycin

Like erythromycin, clarithromycin is a 14-membered macrolide. The use of clarithromycin in subjects with stable COPD was investigated by Banerjee et al,¹⁴ who recruited 67 subjects for a 3-month trial (Table 1). A group of 31 subjects was allocated to a treatment plan of 500 mg clarithromycin daily for 3 months and compared to 36 controls. Primary outcome was the health status score, which showed no significant improvement for the treatment group compared to the placebo group. No significant improvement was recorded in shuttle walk distance, spirometry, or C-reactive protein levels. The 2 groups showed no significant difference in exacerbation rate or development of multi-resistant colonies, however the study was not powered for these outcomes. The evidence is inconclusive, and there is no evidence to support clarithromycin usage in this patient group. Further research is necessary to make conclusions.

Roxithromycin

Roxithromycin is another 14-membered macrolide antibiotic. Shafuddin et al¹⁸ administered roxithromycin to 97 subjects at 300 mg daily for 12 weeks, followed by a 48-week observation period (Table 1). The results were compared to 94 control subjects. No significant difference in exacerbation rate was seen between the roxithromycin or placebo groups in the 12-week treatment period or the 48-week observation period. Based on the results of this study, prophylactic roxithromycin use cannot be justified in patients with stable COPD, though further research may be warranted.

Doxycycline

Two recent studies examine the tetracycline antibiotic, doxycycline (Table 1). Brill et al¹⁰ recruited 99 subjects in total, splitting them over 3 different treatment groups including one with 100 mg doxycycline daily for 13 weeks. Twenty-five subjects were allocated to the treatment plan and were compared to 24 controls. The study was insufficiently powered to detect a difference in bacterial load, this reduced the likelihood of recording significant outcomes. No significant differences between the doxycycline group and the placebo group were seen in any of the measured end points, apart from bacterial resistance, which increased by a factor of 3.74 ($P = .01$). There was an unexpected non-significant increased exacerbations in the doxycycline group, with an increased adjusted relative risk of 2.07 (0.99–4.35) ($P = .05$).

Shafuddin et al¹⁸ recruited 292 subjects, allocating 101 to receive a combination treatment of 300 mg roxithromycin + 100 mg doxycycline daily for 12 weeks and 94

subjects to act as a control. This study yielded no significant improvement in exacerbation frequency in either the 12-week treatment period or the 48-week observation period.

Neither study reported significant adverse events. The currently available data show no evidence of an effect in the use of prophylactic doxycycline treatment in COPD to prevent exacerbations. Further research is warranted.

Moxifloxacin

Moxifloxacin is a fluoroquinolone antibiotic; its effect in subjects with stable COPD has been examined in 2 recent studies (Table 1). A pulsed treatment plan was used in both trials of the drug. In the first trial, Sethi et al¹⁹ involved 1,149 subjects: 573 subjects were allocated to a treatment plan of 400 mg moxifloxacin daily for 5 d every 8 weeks, for a total of 6 courses; 584 subjects acted as controls. The study gave both per-protocol and intention-to-treat data; only the intention-to-treat data are considered here. The primary outcome measure was the frequency of exacerbations, and on the basis of this definition, there was a non-significant decreased exacerbations in the moxifloxacin group (ie, a reduction of 19%, $P = .059$). There was also a non-significant a longer time until the first exacerbation for the treatment group ($P = .062$). Both treatment and control groups recorded an improvement in health status as measured by the St George Respiratory Questionnaire, although this improvement was not significant. The symptom domain of the St George Respiratory Questionnaire did show a significant improvement in the number of subjects with a decrease of at least 4 points (the minimum clinically important difference) in favor of moxifloxacin ($P = .01$). With relevance to bacteriology, the mean minimum inhibitory concentration for several relevant bacteria changed very little throughout the study for both the treatment and control groups, indicating no development of bacterial resistance. There was a significant level of drug-related adverse events in the moxifloxacin group, with a large proportion of gastrointestinal disorders.

In the second trial, Brill et al¹⁰ involved 99 subjects, of whom 25 were allocated to receive treatment with 400 mg moxifloxacin daily for 5 d every 4 weeks, for a total of 13 weeks; 24 subjects were allocated to a control group. This trial found no significant change in the exacerbation frequency of the moxifloxacin group; however, as mentioned earlier for the azithromycin subgroup, this study was not adequately powered for this outcome. One significant result was an increase in bacterial resistance to treatment, with a factor increase of 4.82 in minimum inhibitory concentration ($P = .01$).

These 2 studies are too small to draw reliable conclusions, and additional high-powered studies are necessary

to determine whether pulsed moxifloxacin treatment can be of use in patients with stable COPD for exacerbation prevention.

If Antibiotics Do Have a Positive, Therapeutic Effect in Patients With COPD, Is This Potentially Anti-Inflammatory in Nature?

Finding a way to effectively manage the chronic inflammation in COPD may be key in reducing mortality and slowing disease progression. Each subsequent GOLD stage has been shown to be associated with more severe thickening of the airway wall and increased polymorphonuclear neutrophil and macrophage infiltration.²¹ Corticosteroids are often used to target general inflammation, but they have only a modest effect on airway inflammation in COPD. This is thought to be due to reduced histone deacetylase (HDAC) activity in COPD patients.²² Macrolides and other classes of antibiotics have therefore attracted attention as potential therapies in COPD due to the large body of evidence indicating that they display immunomodulatory effects.²³⁻²⁵ The research discussed in the previous section provides strong support for the use of certain antibiotics as long-term therapy in stable COPD, particularly azithromycin and erythromycin, although moxifloxacin showed potential benefit as well. A major risk of long-term antibiotic use, however, is development of antibiotic resistance. Two of the studies listed above showed an increase in bacterial resistance due to treatment.^{8,10} If research can confirm that the therapeutic effect of antibiotics in patients with stable COPD is primarily anti-inflammatory in nature rather than antimicrobial, then non-antibiotic derivatives could be suitable alternatives (eg, EM900, EM703).^{26,27}

This part of the review focuses on 18 studies that reported the effects of antibiotics on the number of inflammatory cells (neutrophils and macrophages), inflammatory cytokine levels (interleukin 1-beta [IL-1 β], IL-6, IL-8, IL-10, tumor necrosis factor alpha [TNF- α], granulocyte-macrophage colony-stimulating factor, CXC chemokines), or other inflammatory markers (eg, C-reactive protein). Of the 18 studies, only 4 used antibiotics that are not macrolides: Zimmerman et al²⁸ and Schild et al²⁹ used moxifloxacin; Siva et al³⁰ and Zimmerman et al²⁸ used levofloxacin; Prins used doxycycline³¹. The remaining studies, including Zimmerman et al,²⁸ used macrolide antibiotics. This includes azithromycin,^{11,28,32-37} clarithromycin,^{35,38} erythromycin,^{15,16,35,39,40} roxithromycin,³⁵ solithromycin,⁴¹ and the non-antibiotic azithromycin derivative CSY0073.⁴² A brief description of the studies used can be found in Supplementary Table 2.

Effects on Inflammatory Cells

As described earlier, neutrophils and macrophages have an important role in the initial immune response to tissue in-

sult; in COPD, this may include reaction to the bioactive lipopolysaccharide found in cigarette smoke.⁴³ Reactive oxygen species are released from airway inflammatory cells and can lead to activation of transcription factors such as nuclear factor kappa B (NF- κ B) as well as mitogen-associated protein kinases.⁴⁴ Constant release of pro-inflammatory cytokines from macrophages and neutrophils prevents resolution and leads to a perpetual state of chronic inflammation. Some studies have also linked increased sputum neutrophil levels with a faster decline in FEV₁.⁴⁵ Several of the above studies examined the effects of the antibiotics on the presence of inflammatory cells in the airways and the potential for use in patients with COPD.

A study published by Hodge et al³² surmised from previous research that ineffective clearance of apoptotic bronchial epithelial cells by alveolar macrophages can encourage inflammation, as necrotic material is not removed. It is possible that this is due to either an inability of the macrophages to deal with the excessive apoptosis, or a “functional deficiency” within the macrophages. Hodge et al³² investigated whether low-dose azithromycin could improve the phagocytic function of alveolar macrophages. The research showed that baseline macrophage ability was lower in subjects with COPD ($P = .005$), which is consistent with the previous theory that failed clearance of apoptotic products may contribute to inflammation. Bronchoalveolar lavage was collected from the subjects (10 healthy volunteers and 9 subjects with COPD), and samples were incubated with a stimulant *in vitro*. Azithromycin was the macrolide stimulant tested, and it was compared to clindamycin as a negative control and to granulocyte-macrophage colony-stimulating factor as a positive control. Results showed that azithromycin caused a significant increase in alveolar macrophages that had phagocytosed a bronchial epithelial cell (ie, a mean increase of 67% in the COPD population). A significant increase in the percentage of apoptotic neutrophils that had been phagocytosed was also noted. These effects were dependent on the duration of alveolar macrophage incubation with the antibiotic, rather than on the duration of bronchial epithelial cell incubation, indicating that azithromycin promotes increased phagocytosis. Another interesting finding was that phagocytosis was inhibited by phosphatidylserine lysosomes. This suggests that the mechanism of azithromycin’s pro-phagocytic effects may be partially due to effects on the phosphatidylserine pathway, which promotes macrophage phagocytosis of epithelial cells.

A subsequent study by some of the same researchers produced similar results after treatment with azithromycin (ie, an improved ability of alveolar macrophages to phagocytose apoptotic bronchial epithelial cells).³³ The researchers also investigated the role of the mannose receptor, which was shown to be significantly underexpressed by subjects with COPD. A link was demonstrated between

the mannose receptor and the phagocytic capabilities of alveolar macrophages *in vitro*; blocking the mannose receptor caused a 60% reduction in alveolar macrophage phagocytosis of apoptotic cells. This is relevant as patients with COPD have a lower percentage of alveolar macrophages expressing the mannose receptor, which may help explain the ongoing inflammation in patients with COPD. Azithromycin treatment was shown by the researchers to significantly increase mannose receptor expression and thus increase phagocytosis. These results implicate defective mannose receptor expression as a contributing factor in COPD, leading to decreased alveolar macrophage phagocytosis. Neither of these 2 trials recorded neutrophil sputum levels. One study that did so found a nonsignificant reduction in sputum neutrophil proportion for subjects with COPD treated with azithromycin compared to control.¹¹

Particularly relevant for COPD was a trial conducted on mice using a non-antibiotic azithromycin analog, CSY0073.⁴² CSY0073 significantly decreased the neutrophil count by 23% in lung inflammation induced by lipopolysaccharide challenge. This was not as large a decrease as with azithromycin, which reduced the count by 63%, but it showed that a non-antibiotic macrolide can still have anti-inflammatory properties. This is important as excessive antibiotic usage in COPD can lead to microbial resistance.

Two of the above studies examined the possible effects of erythromycin on inflammatory cell count. The first, published in 2010, reported a significant reduction in the neutrophil cell count in sputum from baseline at 3 months and 6 months with low-dose erythromycin treatment compared to placebo.¹⁵ The second study, published in 2016, featured 2 treatment groups with a placebo control.⁴⁰ One treatment group received 125 mg 3 times daily for 6 months, as in the previous study,¹⁵ whereas the other received the same dosage for 12 months. A significant reduction in sputum neutrophil count was seen as early as 3 months into the trial and was mirrored by an increase in macrophage ratio, indicating a reduction in neutrophils and an increase in macrophage presence. These results suggest that erythromycin has a similar mechanism to azithromycin, as shown by Hodge et al.,³² with involvement of the phosphatidylserine pathways and the mannose receptors of epithelial cells.

A mouse model study³⁸ evaluated the efficacy of clarithromycin in preventing emphysema, a characteristic feature of COPD, in mice exposed to cigarette smoke extract. Six months of exposure to smoke led to a 2.3-fold increase in macrophage accumulation in the lungs of mice exposed to smoke versus those not exposed ($P < .001$). This confirms the role of cigarette smoke in initiating the inflammatory response. Clarithromycin treatment significantly inhibited this macrophage infiltration, with the treatment group showing an increase of $38.3 \pm 3.66 \times 10^3$ compared to the non-treatment group's increase of $56.5 \pm 4.39 \times 10^3$

($P < .001$). These results suggest clarithromycin has an anti-inflammatory mechanism that reduces inflammation onset in mice exposed to smoke. Reduced alveolar macrophage infiltration may lead to reduced pro-inflammatory cytokine release and may reduce extracellular tissue destruction. It may be that other macrolide antibiotics have a similar mechanism and can partially account for their beneficial effects in stable COPD.

One study examining the impact of the fluoroquinolone levofloxacin investigated the effect on sputum neutrophil count in subjects with stable COPD.³⁰ The treatment group showed only a minor reduction in sputum neutrophil count with levofloxacin. However, when limiting analysis to patients with a high baseline bacterial load ($> 10^6$ colony-forming units/mL), there was a significant reduction of 26.5% in the neutrophil count when compared to the placebo control.

Effects on Transcription Factors

Transcriptional factors (eg, NF- κ B) can induce numerous pro-inflammatory processes and molecules, such as chemokines, adhesion molecules, and angiogenic factors. Cigarette smoke is an important risk factor for increased NF- κ B activity, so it is likely that targeting NF- κ B is a viable mechanism of therapy to reduce chronic inflammation in COPD.

Erythromycin has been shown to reduce NF- κ B activity in human monocytes that had been treated with cigarette smoke extract.³⁹ Similarly, results showed decreased NF- κ B-related protein expression in human macrophages. These results support the conclusion that erythromycin has an effect in reducing inflammation via modulation of NF- κ B activity. The expression of HDAC proteins was also measured in this study; although they are not transcriptional factors, they have an important role in attenuating inflammatory responses. Previous studies have shown that cigarette smoke extract reduces HDAC protein expression;⁴⁶ Li et al.³⁹ confirmed this when they found that pretreating human macrophages with erythromycin increased protein expression of HDAC 1, 2, and 3, while it had no effect on HDAC activity.³⁹ It is possible that no significant increase was seen in HDAC activity due to too small a sample size, so further large trials are recommended. As discussed earlier, decreased HDAC activity is thought to partially explain the modest effects of corticosteroids as treatment. The positive results from this trial suggest erythromycin may have the potential to restore HDAC protein expression and thus improve corticosteroid efficacy in treating COPD inflammation.

A later study involved similar experiments in the macrophage cell line U937, with the aim of comparing solithromycin, a novel macrolide, with several other macrolides.⁴¹ The results showed that solithromycin treatment

significantly inhibits NF- κ B activity, while the other macrolides (ie, azithromycin, clarithromycin, erythromycin, telithromycin) showed no significant results. The research offers no explanation for why erythromycin failed to show a reduction in NF- κ B activity in this trial; however, it does show that solithromycin has the potential to act as an anti-inflammatory agent in COPD by reducing NF- κ B activity.

One study investigated NF- κ B activation during lung inflammation in mice, using azithromycin as treatment.³⁶ Unlike the previous study, Stellari et al³⁶ showed azithromycin to inhibit *in vivo* NF- κ B activation in a dosage-dependent pattern. This adds further evidence that certain macrolides can act as anti-inflammatory agents, although studies on human cell lines need to be conducted.

The 3 studies discussed above largely corroborate each other and suggest that azithromycin, erythromycin, and solithromycin all inhibit NF- κ B expression and activation, which may be a potential mechanism by which pro-inflammatory cytokines in COPD can be modulated. Further studies would be of use, particularly those examining other classes of antibiotics (eg, fluoroquinolones).

Effects on Cytokines and Inflammatory Mediators

Table 2 shows the macrolide class of antibiotics to be the most effective at inhibiting pro-inflammatory interleukins (IL-1 β , IL-6, IL-10, IL-12, IL-13, IL-17, IL-23), chemokines (IL-8, CXCLs, CCLs), and other inflammatory cytokines (TNF- α and granulocyte-macrophage colony-stimulating factor). Azithromycin shows the most convincing evidence in support of anti-inflammatory properties: it was found to reduce levels of every inflammatory molecule it was tested on, across a range of study types. This included inhibiting IL-10 and IL-12, which none of the other antibiotics were shown to reduce. Clarithromycin and roxithromycin were both shown to have very similar immunomodulatory properties,³⁵ so they may also be effective anti-inflammatory agents. In contrast, erythromycin did not affect cytokine or chemokine release.

The novel non-antibiotic macrolide CSY0073 had positive results and an anti-inflammatory profile similar to that of azithromycin. Novel macrolides such as CSY0073 have potential in COPD therapy because they do not inhibit bacterial growth and possess no antimicrobial properties, which theoretically makes them suitable for longer-term usage without causing microbial resistance. In one study, it was noted that withdrawal of therapy led to a return of cytokine levels to baseline, so prolonged therapy is desirable.⁴⁰ The tetracycline antibiotic doxycycline showed poor results in the only study in which it was used because it had no effect on any of the inflammatory markers in sputum samples from subjects with COPD. Of the 2

fluoroquinolones used in the studies above, moxifloxacin and levofloxacin, moxifloxacin appeared to be the superior, although both showed poor results.

Summary

This review indicates that prophylactic use of certain low-dose macrolide antibiotics is of benefit in reducing exacerbation-related outcomes in COPD. These include reducing exacerbation frequency, duration of exacerbation, and the time until first exacerbation after starting treatment. This conclusion applies only to azithromycin and erythromycin, as the other antibiotics showed no clinically important improvement, and no evidence of effect could be shown from the available studies. This could be due to a lack of therapeutic effect from the other antibiotics studied, but is more likely due to a dearth of sufficiently powered studies investigating relevant outcomes.

Analysis of whether antibiotics have an anti-inflammatory role in COPD identified some possible mechanisms by which antibiotics can alter the pulmonary environment. Azithromycin was shown to have important effects on inflammatory cells, including improving phagocytic function of alveolar macrophages, up-regulation of the phosphatidylserine pathway, and increased expression of mannose receptors on alveolar macrophages. Macrolides have been shown to accumulate in high concentrations in alveolar macrophages compared to other classes of antibiotics.⁴⁷⁻⁴⁹ This may partially explain their efficacy in altering alveolar macrophage activity.

Macrolides were also shown to have effects on transcriptional factors and mediator molecules. Erythromycin restored HDAC protein expression, which supports the findings of previous research,⁴⁶ and azithromycin, solithromycin, and erythromycin all appear to have a role in reducing NF- κ B activity. The restoration of HDAC expression is an important finding because research has suggested that reduced HDAC activity is a reason why corticosteroids have only modest effects on chronic inflammation in COPD;²² therefore, erythromycin therapy may improve corticosteroid efficacy.

Azithromycin, clarithromycin, and roxithromycin were shown to have the broadest range of effects on pro-inflammatory cytokine production. Erythromycin failed to show a strong ability to inhibit cytokines and chemokines, which is not consistent with the results from the other macrolide antibiotics. This may suggest that erythromycin and azithromycin have different mechanisms of action; while both provide benefits to patients with COPD, they do not share the same anti-inflammatory profile. However, this requires confirmation because much of the cytokine and chemokine data on macrolides came from a single study.³⁵ Doxycycline, moxifloxacin, and levofloxacin were not studied in depth regarding their anti-inflammatory effects, so there

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Table 2. Summary of Modulatory Effects of Antibiotics in COPD

| Cytokines and Inflammatory Mediators | Modulatory Effects |
|--------------------------------------|--|
| IL-1 β | <ul style="list-style-type: none"> • Hodge et al³² and Marjanovic et al³⁵ showed AZM significantly reduced IL-1β; Balloy et al⁴² showed no effect. • Balloy et al⁴² showed non-antibiotic CSY0073 had no effect. • Marjanovic et al³⁵ showed CAM and RXM significantly reduced IL-1β. |
| IL-6 | <ul style="list-style-type: none"> • Blasi et al³⁴ and Marjanovic et al³⁵ showed AZM significantly reduced IL-6 levels, although IL-6 levels rose back to baseline once treatment stopped. Hodge et al³² and Balloy et al⁴² showed AZM had no effect on IL-6. • Marjanovic et al³⁵ and Seemungal et al¹⁶ showed ERM had no effect. • Marjanovic et al³⁵ showed CAM and RXM reduced IL-6 in a dosage-dependent manner. • Prins et al³¹ showed doxycycline had no effect. |
| IL-8/CXCL8 | <ul style="list-style-type: none"> • Hodge et al³², Marjanovic et al³⁵, and Zimmermann et al²⁸ showed AZM significantly reduced IL-8, although this effect only applies to TNF-α-stimulated IL-8 (ie, it is not spontaneous). Simpson et al¹¹ showed AZM had no effect. • Marjanovic et al³⁵ and Seemungal et al¹⁶ showed ERM had no effect. • Marjanovic et al³⁵ showed CAM and RXM significantly reduced IL-8. • Zimmermann et al²⁸ showed MXF significantly reduced both spontaneous and TNF-α-mediated IL-8 in a dosage-dependent manner. • Siva et al³⁰ and Zimmermann et al²⁸ showed LVX had no significant effect on IL-8, although Siva et al showed a non-significant reduction. • Prins et al³¹ showed doxycycline had no effect. |
| IL-10 | <ul style="list-style-type: none"> • Marjanovic et al³⁵ showed AZM significantly inhibited IL-10 release from COPD sputum cells in vitro. Hodge et al³² showed no effect on alveolar macrophage IL-10 production. • Marjanovic et al³⁵ showed CAM and RXM both significantly inhibited IL-10 release from COPD sputum cells in vitro. |
| IL-12 | <ul style="list-style-type: none"> • Hodge et al³² showed AZM had no effect on IL-12. Segal et al³⁷ showed AZM significantly reduced IL-12 levels in BAL, but not in alveolar macrophages. |
| IL-13 | <ul style="list-style-type: none"> • Segal et al³⁷ showed AZM significantly reduced IL-13 levels in BAL, but not in alveolar macrophages. |
| IL-17 | <ul style="list-style-type: none"> • Tan et al⁴⁰ showed ERM significantly reduced IL-17 levels in peripheral blood, with a return to baseline levels once treatment was stopped. |
| IL-23 | <ul style="list-style-type: none"> • Tan et al⁴⁰ showed ERM significantly reduced IL-23 levels in peripheral blood, with a return to baseline levels once treatment was stopped. |
| TNF- α | <ul style="list-style-type: none"> • 5 studies showed AZM significantly reduced TNF-α levels. Balloy et al⁴² and Segal et al³⁷ showed this effect in BAL fluid, Blasi et al³⁴ in exhaled breath condensate, Marjanovic et al³⁵ in COPD sputum cells, and Hodge et al³² in alveolar macrophages. • Segal et al³⁷ showed a reduction in BAL and reported AZM did not reduce TNF-α ex vivo. • Marjanovic et al³⁵ showed ERM had no effect. • Marjanovic et al³⁵ showed CAM and RXM significantly inhibited TNF-α. • Balloy et al⁴² showed CSY0073 significantly reduced TNF-α levels in BAL fluid. |
| GM-CSF | <ul style="list-style-type: none"> • Marjanovic et al³⁵ showed AZM significantly reduced GM-CSF production by COPD sputum cells in vitro. Zimmermann et al²⁸ showed AZM had no effect on spontaneous or TNF-α-stimulated GM-CSF release by human bronchial epithelial cells. • Marjanovic et al³⁵ showed ERM and RXM had no effect on GM-CSF. • Marjanovic et al³⁵ showed CAM significantly reduced GM-CSF. • Zimmermann et al²⁸ showed MXF reduced spontaneous GM-CSF production. MXF also reduced TNF-α-stimulated GM-CSF release in an inverse concentration-related pattern. |
| CCL | <ul style="list-style-type: none"> • Marjanovic et al³⁵ showed AZM, CAM, and RXM significantly reduced CCL levels from COPD sputum samples; ERM had no effect. |
| CXCLs | <ul style="list-style-type: none"> • Marjanovic et al³⁵ and Balloy et al⁴² showed AZM significantly inhibited CXCL1. • Marjanovic et al³⁵ showed CAM and RXM significantly inhibited CXCL1 and CXCL5; ERM had no effect. • Balloy et al⁴² showed CSY0073 significantly inhibited CXCL1. |

IL = interleukin

TNF- α = tumor necrosis factor α

GM-CSF = granulocyte-macrophage colony-stimulating factor

AZM = azithromycin

BAL = bronchoalveolar lavage

CAM = clarithromycin

ERM = erythromycin

LVX = levofloxacin

MXF = moxifloxacin

RXM = roxithromycin

CCL = C-C motif chemokine

CXCL = C-X-C motif chemokine

is insufficient evidence to draw conclusions. Overall, the evidence is consistent with the macrolide health benefits in COPD being, at least partly, due to anti-inflammatory properties.

Appropriate conclusions have been made on the basis of available evidence for azithromycin and erythromycin, but the evidence is incomplete regarding clarithromycin, roxithromycin, and the fluoroquinolone class of antibiotics. The conclusions made above are not necessarily applicable to the wider COPD population. Eleven out of 12 studies reported full data on the subjects included; the subjects were typically older than the average COPD patient, and subjects with relevant comorbidities, such as prolonged QT interval, resting tachycardia, or other respiratory pathologies (eg, asthma, pulmonary cancer), were often excluded. This reduces the generalizability of the results because many patients with COPD have comorbidities, so care needs to be taken to restrict macrolide usage to suitable patients.

In conclusion, this review supports the use of continuous low-dose azithromycin or erythromycin therapy to improve exacerbation-related COPD outcomes. Patients should be monitored for the development of antibiotic resistance, and care needs to be taken to avoid unnecessary usage. There is no clear evidence of effect for the other antibiotics discussed, so no conclusions can be drawn. Thus, the research, while convincing, is incomplete and further large-scale trials need to be conducted. Specifically, these trials should compare the macrolide antibiotics and various treatment plans and continuous therapy versus pulsed therapy. It is important for future studies to be adequately powered to investigate a range of exacerbation-related outcomes, health status, and adverse events. Clinicians need this information to make the best decisions for patients.

In view of the strong evidence for anti-inflammatory effects of certain macrolide antibiotics of relevance to COPD, but bearing in mind the danger of bacterial resistance resulting from prolonged use of antibiotics, the development of non-antibiotic macrolide analogues (eg, CSY0073) should be a focus of further research. These molecules have important potential in COPD treatment, because they would enable long-term use without promoting antibiotic resistance.

ACKNOWLEDGMENTS

The authors thank Dr Kieran Ayling for advice on performing the review.

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