

Accuracy of the Ventilator Automated Displayed Respiratory Mechanics in Passive and Active Breathing Conditions: A Bench Study

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BACKGROUND: New-generation ventilators display dynamic measures of respiratory mechanics, such as compliance, resistance, and auto-PEEP. Knowledge of the respiratory mechanics is paramount to clinicians at the bedside. These calculations are obtained automatically by using the least squares fitting method of the equation of motion. The accuracy of these calculations in static and dynamic conditions have not been fully validated or examined in different clinical conditions or various ventilator modes. **METHODS:** A bench study was performed by using a lung simulator to compare the ventilator automated calculations during passive and active conditions. Three clinical scenarios (normal, COPD, and ARDS) were simulated with known compliances and resistance set per respective condition: normal (compliance 50 mL/cm H₂O, resistance 10 cm H₂O/L/s), COPD (compliance 60 mL/cm H₂O, resistance 22 cm H₂O/L/s), and ARDS (compliance 30 mL/cm H₂O, and resistance 13 cm H₂O/L/s). Each scenario was subjected to 4 different muscle pressures (P_{mus}): 0, -5, -10, and -15 cm H₂O. All the experiments were done using adaptive support ventilation. The resulting automated dynamic calculations of compliance and resistance were then compared based on the clinical scenarios. **RESULTS:** There was a small bias (average error) and level of agreement in the passive conditions in all the experiments; however, these errors and levels of agreement got progressively higher proportional to the increased P_{mus} . There was a strong positive correlation between P_{mus} and compliance measured as well as a strong negative correlation between P_{mus} and resistance measured. **CONCLUSIONS:** Automated displayed calculations of respiratory mechanics were not dependable or accurate in active breathing conditions. The calculations were clinically more reliable in passive conditions. We propose different methods of calculating P_{mus} , which, if incorporated into the calculations, would improve the accuracy of respiratory mechanics made via the least squares fitting method in actively breathing conditions. [Respir Care 2019;64(12):1555–1560. © 2019 Daedalus Enterprises] *Key words:* least squares fitting method; lung model; muscle pressure; respiratory mechanics; simulation models.

Introduction

Monitoring of respiratory mechanics at the bedside during mechanical ventilation is of utmost importance to the clinician and the patient. Modern ventilators offer a variety of waveforms, loops, graphics, and automated calculations

to guide the clinicians at the bedside. It is invaluable to assess the respiratory mechanics quickly, easily, accurately differentiate various diseases processes, assess progress or regress during therapy, and to optimize mechanical ventilatory support.¹ Traditionally, static measurements of total respiratory compliance, resistance, and auto-PEEP have been obtained by using the end-inspiratory and end-expiratory holding maneuvers during volume-controlled continuous mandatory ventilation with constant flow.² These

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maneuvers are easy to do in the passive patient, that is, with no respiratory effort, but usually become difficult and inaccurate in the active patient with spontaneous respiratory efforts.

As an alternative to these static measurements, a computed method evolved in 1990 by Gillard et al,³ which was proposed to be accurate and provide a rapid approach to respiratory mechanics. This method is known as the linear least squares fitting method. It is a computed regression analysis derived from the respiratory equation of motion, which allows for breath-by-breath display of respiratory mechanics in any mode of ventilation without any holding maneuvers or certain flow pattern.^{1,4} The method attempts to fit the equation of motion to the measured pressure, volume, and flow data to calculate respiratory mechanics. However, the pressure data will be distorted by muscle pressure (P_{mus}) generated during active breathing, which results in erroneous calculated values for resistance and compliance. The simplified equation:

$$P_{\text{Total}} = P_{\text{vent}} + P_{\text{mus}} = V_{\text{T}}/C_{\text{RS}} + R_{\text{aw}} \times \dot{V} + (\text{PEEP} + \text{PEEPi})$$

Where P_{Total} is the total pressure required to move tidal volume, P_{vent} is the airway pressure, P_{mus} is the patient's muscle pressure, all in cm H₂O; V_{T} is the tidal volume in mL; C_{RS} is respiratory system compliance in mL/cm H₂O; R_{aw} is airway resistance in cm H₂O/L/s; \dot{V} is flow in L/s; and PEEPi is the intrinsic PEEP in cm H₂O.

Some new ventilator manufacturers have incorporated these automated calculation displays to simplify the process at the bedside. These calculations, however, were scrutinized by some investigators.^{5,6} The least squares fitting method leads to gross underestimation of the respiratory system resistance and overestimation of the respiratory system compliance. Specifically, these errors are due to the effect of P_{mus} decreasing P_{vent} during volume-controlled continuous mandatory ventilation or increasing volume and flow during pressure-controlled continuous mandatory ventilation compared with passive inflation.

Given the ease and the availability of these calculations on new ventilator displays, clinicians may be widely misled regarding the real respiratory mechanics of their patients if these numbers were to prove inaccurate. This, in turn, can lead to misdiagnosis and mismanagement of such patients. Our hypothesis of the current descriptive study is that the ventilator automated calculations of respiratory mechanics are inaccurate during active breathing conditions. We attempted to demonstrate the magnitude of errors displayed by the ventilators when calculating mechanics for passive and active breathing conditions by using realistic simulation models. We discussed the reasons beyond these inaccuracies and offered some alternative ways of improving these errors.

QUICK LOOK

Current knowledge

New-generation ventilators display respiratory mechanics (compliance, resistance, and auto-PEEP) as calculated by the least squares fitting method for the equation of motion. This continuous display is intended to provide quick, easy understanding of patients' conditions in any ventilator mode and without any additional maneuvers.

What this paper contributes to our knowledge

This current study showed that the displayed respiratory mechanics were not accurate or reliable in actively breathing conditions. Clinicians should not base any ventilator adjustments or conclusions based solely on the ventilator displayed respiratory mechanics.

Methods

We compared the ventilator calculated compliance and resistance with known parameters for these respective values set on a simulated lung model. The experiment was conducted with a lung simulator (ASL 5000, IngMar Medical, Pittsburgh, Pennsylvania). The "lung model" used was one compartment model. Three clinical scenarios were constructed as follows: normal lung, COPD, and ARDS, with compliances of 50, 60, and 30 mL/cm H₂O, respectively, and resistances of 10, 20, and 13 cm H₂O/L/s, respectively (Table 1). The parameters used were in concordance with Arnal et al⁷ recently published parameters of simulation.

The accuracy of the simulated lung mechanics were validated in each scenario by using the traditional end-inspiratory and end-expiratory holding maneuvers in volume-controlled continuous mandatory ventilation with a constant flow in the passive condition before the experiment to confirm the set parameters. Each scenario was subdivided into 4 experiments. First, the passive condition with zero breathing frequency and zero P_{mus} . The second, third, and fourth experiments were the simulated active conditions "effort model" with a spontaneous respiratory rate of 15 and 3 different maximum values of P_{mus} of -5, -10, and -15 cm H₂O, respectively. All spontaneous breaths were sinusoidal in pattern (pre-programmed in the Active Servo Lung with 10% rise, 5% hold, and 10% release while exhalation was passive. All experiments were conducted by using the adaptive support ventilation mode on a Hamilton-G5 ventilator (Hamilton Medical AG, Bonaduz, Switzerland). The adaptive support ventilation mode is considered a pressure-controlled intermittent

Table 1. Statistics By Using the Paired *t*-Test Between Lung Model (test) and Each Experiment in Normal, COPD, and ARDS Lungs

Parameter*	Compliance (mL/cm H ₂ O)	<i>P</i>	Resistance (cm H ₂ O/L/s)	<i>P</i>
Normal				
Test	49.7 ± 0.1		9.9 ± 0.2	
0	49.4 ± 0.15	<.001	9.5 ± 0.5	<.001
-5	58 ± 1.6	<.001	3.5 ± 0.5	<.001
-10	74.8 ± 0.5	<.001	0.5 ± 0.5	<.001
-15	86.6 ± 1.1	<.001	0.3 ± 0.5	<.001
COPD				
Test	59.9 ± 0.3		20.4 ± 0.2	
0	60.9 ± 0.5	<.001	21.1 ± 0.2	<.001
-5	65.6 ± 0.6	<.001	17.8 ± 0.5	<.001
-10	76.9 ± 1.3	<.001	10.5 ± 0.5	<.001
-15	89.8 ± 1.4	<.001	5.8 ± 0.6	<.001
ARDS				
Test	30.2 ± 0.1		13.3 ± 0.1	
0	29.8 ± 0.1	<.001	12.6 ± 0.5	<.001
-5	44.5 ± 0.5	<.001	7.3 ± 0.7	<.001
-10	51.4 ± 0.5	<.001	2.1 ± 0.1	<.001
-15	62.8 ± 0.7	<.001	0.4 ± 0.5	<.001

Data are presented as mean ± SD.

* 0 is passive effort model with no muscle pressure (P_{mus}); -5, -10, -15 are the P_{mus} of each experiment in the active effort model, expressed as cm H₂O.

Table 2. Bland-Altman Analysis of Compliance Between Simulated Lung and Ventilator Calculations: Each Experiment in Normal, COPD, and ARDS Lungs

Parameter*	Mean Bias (average error) (mL/cm H ₂ O)	Lower LOA (mL/cm H ₂ O)	Upper LOA (mL/cm H ₂ O)
Normal			
0	-0.6	-0.9	-0.3
-5	8.0	4.9	11.1
-10	24.8	23.8	25.8
-15	36.6	34.6	38.7
COPD			
0	0.9	0.1	1.8
-5	5.6	4.4	6.9
-10	16.9	14.4	19.3
-15	29.8	26.9	32.5
ARDS			
0	-0.2	-0.4	-0.1
-5	14.5	13.5	15.4
-10	21.4	20.5	22.3
-15	32.8	31.4	34.1

* 0 is passive effort model with no muscle pressure (P_{mus}); -5, -10, -15 are the P_{mus} of each experiment in the active effort model, expressed as cm H₂O.

LOA = level of agreement

P_{mus} = muscle pressure

mandatory ventilation with optimal and intelligent targeting scheme, in which the ventilator automatically adjusts the targets of the ventilatory pattern to either minimize or maximize some overall performance characteristic.⁸ The studied mode and ventilator calculates the compliance and resistance by using the linear regression method explained in the introduction.

Settings used were for a male patient, height 170 cm, with 100% minute ventilation support. Fifty breaths were analyzed in each experiment (5 per minute for 10 min). Only the spontaneous active breaths were included in the active groups. We were able to only analyze the compliances and resistances because the ventilator did not display the auto-PEEP in most of the active conditions.

To quantify the agreement between the parameters (compliance and resistance) set on the simulated lung and those displayed by the ventilator, we applied the Bland-Altman method and obtained the mean bias and limits of agreement for each one of the single experiments. Before each analysis, we performed a Kolmogorov-Smirnov test to confirm the normal distribution of the differences between values. This is a pre-requisite for the use of the Bland-Altman method. The analysis was carried out by using R (version 3.5.2) and RStudio (version 1.1.463). The Pearson correlation coefficient was used to test the relationship between the P_{mus} and the resultant compliances and resistances in each clinical scenario.

Results

The results are summarized in Tables 2 and 3. In all 3 scenarios, the ventilator-displayed compliances and resistances showed a small mean bias and a narrow limits of agreement for the passive effort model (P_{mus} = 0 cm H₂O) compared with the active effort model (P_{mus} > 0 cm H₂O). The mean bias progressively increased and the limits of agreement progressively widened with the increase in P_{mus} under the active effort model. There was a strong statistical positive correlation between the P_{mus} and the respiratory compliances (R = 0.99 in normal, 0.99 in ARDS, and 0.98 in COPD) (Fig. 1). Similarly, there was a strong statistical negative correlation between the P_{mus} and the resistances (R = -0.93 in normal, -0.98 in ARDS, and -0.98 in COPD) (Fig. 2). The auto-PEEP could not be obtained from the ventilator in any of the actively breathing scenarios.

Discussion

As explained above, some modern-generation ventilators use a computed multiple linear regression analysis called the linear least squares fitting method to fit the equation to the data to derive values for the equation parameters: respiratory system compliance, respiratory system resistance, and the total PEEP. The equation is displayed above in introduction section and is explained in

Table 3. Bland-Altman Analysis of Resistance Between Simulated Lung and Ventilator Calculations: Each Experiment in Normal, COPD, and ARDS Lungs

Parameter*	Mean Bias (average error) (cm H ₂ O/L/s)	Lower LOA (cm H ₂ O/L/s)	Upper LOA (cm H ₂ O/L/s)
Normal			
0	-0.5	-1.5	0.5
-5	-6.5	-7.5	-5.5
-10	-9.5	-10.5	-8.5
-15	-9.7	-10.6	-8.8
COPD			
0	1.1	0.6	1.5
-5	-2.2	-3.2	-1.2
-10	-9.5	-10.5	-8.5
-15	-14.2	-15.4	-12.9
ARDS			
0	-0.5	-1.5	0.5
-5	-5.8	-7.2	-4.3
-10	-11	-11	-11
-15	-12.6	-13.6	-11.6

* 0 is passive effort model with no muscle pressure (P_{mus}); -5, -10, -15 are the P_{mus} of each experiment in the active effort model, expressed as cm H₂O.

LOA = level of agreement

P_{mus} = muscle pressure

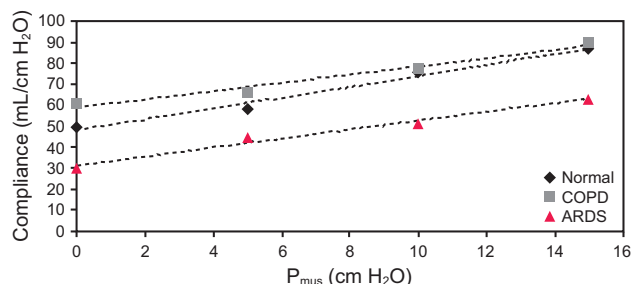


Fig. 1. Pearson correlation coefficient, showing a strong linear positive correlation between muscle pressure (P_{mus}) and respiratory system compliance.

detail elsewhere⁹ The rationale for our finding was the missing P_{mus} in the equation of motion during the respiratory cycle. The ventilator measures the airway pressure, volume, and flow, while assuming passive or zero P_{mus}.¹

Now the questions that need to be answered are the following: can the ventilator measure the P_{mus}? If yes, then can that measurement be incorporated or plugged into the equation of motion to give accurate measurements of the respiratory mechanics? There are multiple ways to calculate the P_{mus}, which would require additional equipment, mainly esophageal balloon manometry. Given its many useful features, various new-generation ventilators are currently equipped with built-in ports to measure the esophageal pressures.

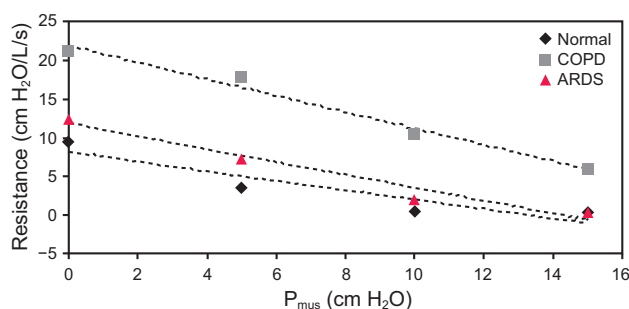


Fig. 2. Pearson correlation coefficient, showing a strong linear negative correlation between muscle pressure (P_{mus}) and respiratory system resistance.

A brief summary of the equations are displayed below, detailed discussions of these equations are beyond the scope of this study and are explained elsewhere. The P_{mus} is estimated to be the difference between the static recoil pressure of the relaxed chest wall (P_{cw, rel}) and the esophageal pressure (P_{es}).

$$P_{mus} = (P_{cw, rel}) - P_{es}$$

This is discussed in detail by Akoumianaki et al¹⁰ in their review of this technology. A similar approach to estimate the P_{mus} also requires esophageal balloon manometry by using the rapid interrupter technique.¹¹ This technique is used to calculate the pressure-time product. The equation is described as

$$(P_{mus, occl}) = (P_{alv, occl}) - (P_{el, rs})$$

Where P_{mus, occl} is the P_{mus} during the occlusion maneuver; P_{alv, occl} is the alveolar pressure during the occlusion maneuver; and P_{el, rs} is the elastic pressure of the respiratory system. Another feasible and easy estimation of the P_{mus} would be the difference in esophageal pressure as a surrogate of pleural pressure (P_{pl}) during the passive state and the active state if receiving same tidal volume and flow.¹²

$$P_{mus} = P_{pl} (passive) - P_{pl} (active)$$

Although much less precise, the simple change of the esophageal pressure during inspiration during airway occlusion maneuver could be used as a bedside monitoring tool, as done in some sleep studies¹³ or during a weaning trial.¹⁴

A commercially available monitor, Patient Ventilator Interaction (PVI Monitor, YRT, Winnipeg, Manitoba, Canada), was designed in 2007 by Younes et al¹⁵ to monitor and improve patient-ventilator interaction and asynchronies. The monitor uses a signal generated by the equation of motion by using improvised values for resistance and elastance. The monitor was later tested by Kondili et al¹⁶ to quantify the inspiratory P_{mus}, which shows an excellent estimate of P_{mus} calculated by the Campbell diagram of the esophageal balloon volume-pressure curve.

A recent index to quantify the inspiratory P_{mus} was developed by Bellani et al,¹⁷ termed the P_{mus}/EA_{di} in-

dex, which relates the pressure generated by the respiratory muscles (P_{mus}) to the electrical activity of the diaphragm (EA_{di}). This index does not require an esophageal balloon but does require a different catheter to measure the EA_{di} , currently available on only one commercial ventilator (Servo, MAQUET, Rastatt, Germany). Conceptually, measuring the airway occlusion pressure at 0.1 s ($P_{0.1}$) could be a substitute for P_{mus} . $P_{0.1}$ is a mechanical measurement of the output of the whole complex of the inspiratory muscles during a short occlusion at the beginning of inspiration and is expressed as a negative value of cm H₂O.¹⁸ It is a very simple automatic measurement available on multiple new-generation ventilators, the occlusion can be measured the airway pressure or the esophageal pressure. This parameter has been used for the prediction of weaning from mechanical ventilation.¹⁹ $P_{0.1}$ was found to correlate well with the work of breathing and pressure-time product. Interestingly there are no studies to compare the correlation of the $P_{0.1}$ measurements to actual P_{mus} to determine if it could be a surrogate for the more complex measurements of P_{mus} .

Our findings confirmed those of Iotti et al,⁵ who showed wide discrepancies of the respiratory dynamics measured during passive volume-controlled continuous mandatory ventilation and incremental pressure support ventilation, and concluded that the higher pressure support caused more relaxation of P_{mus} estimated by $P_{0.1}$. Similarly, Spadaro et al⁶ found that the least squares fitting method performed better in neurally adjusted ventilatory assist compared with pressure support ventilation, which was attributed to more physiologic patient-ventilation interactions.

Another interesting finding was that the ventilator was not able to calculate the auto-PEEP in any of the spontaneous breaths. According to Iotti and Braschi,²⁰ the least squares fitting method greatly underestimates the static auto-PEEP compared with measurements with the classic approach, and, hence, the evaluation of auto-PEEP seems to be the weakest point of the least squares fitting method. The worst results have been found in cases with dynamic hyperinflation.

The Hamilton G5 ventilator operator's manual includes a note disclaiming, "Actively breathing patients can create artifact or noise, which can affect the accuracy of these measurements (Available at: <http://abalar dx.xyz/Docs/Resp%20Hamilton-G5%20Operators%20Manual%20180213.pdf>. March 21, 2019). The more active the patient, the less accurate the measurements. To minimize patient activity during these measurements, you can choose increased pressure support by 10 cm H₂O. After completion, return this control to its former setting." This warning underestimates the issue of the missing P_{mus} . Increasing pressure support by 10 cm H₂O does not guarantee a relaxed patient

with a low P_{mus} . However, Hamilton medical has released updated software that will not display the respiratory mechanics if there are 5 consecutive patient-triggered breaths and has an additional option of turning the displayed mechanics off in the spontaneous breathing conditions.

To our knowledge, our study was the first to examine the displayed respiratory mechanics by the least squares fitting method in the adaptive support ventilation mode. As mentioned above, least square fitting method has been studied in continuous mandatory ventilation, neurally adjusted ventilatory assist, and pressure support ventilation.^{5,6} The findings of the present study must be interpreted in the context of some potential limitations. The study was conducted by using a lung simulator with the inherent limitations of lung simulation. For more on ventilator simulation, we refer the readers to the editorial by Chatburn²¹ on simulation-based evaluation of mechanical ventilators. Our lung model was a single chamber model, which was identical to the model assumed by the ventilator in its calculations of compliance and resistance but is far less complex than the human lung in both health and disease states. The P_{mus} used was only inspiratory, which assumes a passive expiratory phase, which might not be the case in various conditions or asynchronies. We only selected 4 incremental P_{mus} (0, -5, -10, -15 cm H₂O), with constant parameters and amplitude during each breath in the experiment, whereas it might be variable in a real patient. We doubt that these limitations had any effect on the measured numbers. A limitation of the least squares fitting method itself is that it relies on a linear single-compartment model, which is the most simplified description of respiratory system mechanics, whereas the human respiratory system is definitely not linear by any means.

Conclusions

Our findings confirmed the hypothesis that the displayed automated breath-by-breath respiratory mechanics calculations were unreliable and could be misleading, especially in the actively breathing conditions. The more P_{mus} generated during the inspiratory cycle (more negative P_{mus}), the more unreliable the calculations became, with overestimation of compliance and underestimation of resistance. The bedside clinician should not base any decision making or ventilator changes based solely on those calculations. Additional research is needed to explore different methods that would improve these calculations.

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