Impact of Gas Masks on the Work of Breathing and Breathing Pattern in Subjects With Stable COPD

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BACKGROUND: The gas mask constitutes the main respiratory protective equipment in a chemical, biological, radiological, or nuclear environment. The aim of the study was to evaluate the impact of the gas mask on respiratory pattern, gas exchange, and indexes of respiratory effort in patients with moderate to severe stable COPD. METHODS: Crossover evaluation with 3 randomized-order, 10-min conditions: at rest and with and without a gas mask using 2 different filtered cartridges, each with a distinct inspiratory resistance (cartridge A = $3.5 \text{ cm H}_2\text{O}$; cartridge B = 2.2 cm H₂O, both at 1 L/s). The study involved 8 subjects with COPD, and breathing patterns, indexes of respiratory effort, and capillary blood gases were evaluated. Comparisons of these parameters were made between the tested conditions. RESULTS: Mean subject age was 69 y, and mean $FEV_1 = 1.3 L$ (47% predicted). Short-term utilization of the gas mask was associated with a significant increase in the indexes of effort in comparison to baseline without a mask. The esophageal product-time product significantly increased in comparison with baseline (cartridge A = 281 ± 65 cm H₂O/s/min, cartridge B = 253 ± 47 cm H₂O/s/min, and baseline = 184 ± 46 cm $H_2O/s/min, P < .001$). There were negligible changes in the breathing pattern and gas exchange. CONCLUSIONS: Indexes of respiratory effort increased slightly in subjects with stable COPD while using a gas mask. This effect was likely related to increased inspiratory resistance when the mask was worn. These data are reassuring for the potential short-duration use of such protection for patients with moderate to severe COPD. Key words: COPD; work of breathing; breathing pattern; gas mask; CBRNE (chemical, biological, radiological, nuclear and explosive); respiratory protective devices. [Respir Care 2019;64(9):1049-1056. © 2019 Daedalus Enterprises]

Introduction

The respiratory system is one of the main entry routes for chemical, biological, radiological, and nuclear agents, and protection is achieved through the use of a gas mask. Gas masks may be used in civilian populations in several situations. In healthy subjects, studies have shown that these protection devices are associated with respiratory distress and increased indexes of effort.¹⁻³ The utilization of a gas mask in civilian or military patients with COPD may be difficult due to the additional respiratory load. Several studies have evaluated the impact of different inspiratory resistances in gas masks,^{1,3-10} the impact of gas masks on oxygenation and ventilation,^{11,12} the impact of gas masks on comfort^{5–7,9,10} and exercise capabilities,^{1,3-10} and one study evaluated the work of breathing (WOB) related to gas masks.¹⁰ However, all of these studies were conducted in healthy subjects. There are no data in the literature that evaluate the use of gas masks in subjects with COPD. Thus, the aim of this study was to accurately measure the impact gas masks have

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Dr Lellouche has disclosed a relationship with Oxynov, Mr Bourassa has disclosed a relationship with Medical Intelligence CBRNE. The authors have disclosed a relationship with a vocational program for injured Canadian military members released from the Canadian Armed Forces for medical reasons.

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DOI: 10.4187/respcare.06550

on respiratory effort indexes, breathing patterns, and blood gases in subjects with stable COPD.

Methods

We conducted a randomized controlled crossover study in subjects with stable COPD to evaluate the impact of gas masks. Written consent was obtained from all subjects prior to their participation. The study was conducted at the research center of the Quebec Heart and Lung University Institute, Quebec, Canada. The Research Center Ethics Committee approved this study. Our US Clinical trial registration is NCT02809807 at https://clinicaltrials.gov/.

Subjects

Inclusion criteria were a diagnosis of stable COPD, FEV₁ between 0.30–0.80 L, and no long-term oxygen therapy. The exclusion criteria were claustrophobia, history of esophageal lesions, stroke, or coronary condition. Each subject's medical file was consulted for a valid spirometry test. For those whose test was expired for ≥ 6 months, a new test was administered prior the subject's inclusion. Typical demographic data (eg, age, gender, weight, height, body mass index) were gathered after inclusion (Table 1).

Protocol

We tested three 10-min conditions at rest (Fig. 1): without a gas mask, with a gas mask with filtered cartridge A (C7A1, 3M, Brampton, Canada) and with a gas mask with filtered cartridge B (ABD-81, Airboss Defense, Bromont, Canada). The order of the study conditions was randomized with an online tool (https://www.random.org). The same model of gas mask (C4 Gas Mask, Airboss Defense, Bromont, Canada) was used for the entire study. The inspiratory resistance of cartridge A was 3.55 cm H₂O at 1 L/s, and the inspiratory resistance of cartridge B was 2.21 cm H₂O at 60 L/min. These filtered cartridge resistance values represented the extreme range of the values measured for 8 cartridges tested on the bench.13 The internal volume of the mask was 280 mL, and the nose-cup volume was 80 mL, determined with a water-filling technique to measure the different parts of the mask.14

To avoid hypoxemia induced by the mask, we delivered additional oxygen using automated flow titration device (FreeO₂, Oxynov, Quebec, Canada) to maintain a constant S_{pO_2} .¹⁵ The S_{pO_2} target was set at the baseline S_{pO_2} value at steady state for each subject. Between each condition, a washout was done on room air for 10 min.

Physiological Measurements

Breathing pattern and minute ventilation were determined with pneumotachographs placed at the inspiratory

QUICK LOOK

Current knowledge

The respiratory distress experienced by individuals who use gas masks is well known, but it has never been accurately quantified. The resistance of the mask and CO_2 rebreathing may increase the work of breathing and oxygen consumption.

What this paper contributes to our knowledge

In 8 subjects with COPD, the most common population having respiratory insufficiency, we demonstrated the feasibility of safely using a gas mask for a short duration. However, work of breathing was increased in all studied subjects, and oxygen requirements increased for several subjects. Mask design may be improved to reduce the respiratory burden in patients and should include designs to supply oxygen.

and expiratory ports (MP45 \pm 5 cm H_2O; Validyne Engineering, Northridge, California); esophageal pressure was recorded using a simple balloon catheter connected to a differential pressure transducer (MP45 \pm 100 cm H₂O; Validyne Engineering). Signals were digitized at 200 Hz and sampled using an analogic/numeric system (Biopac MP100, Santa Barbara, California). SpO2 was continuously monitored with an oximeter built into the FreeO2 device, providing one S_{pO_2} value and the oxygen flow every second. Capillary blood gases were collected at the end of each condition. We measured the mean oxygen needs with masks for each subject, which was continuously recorded with the FreeO₂ device. Breathing comfort was assessed based on a visual analog scale (Table 2).¹⁶ Capillary blood gases were collected from fingertips immediately at the end of each condition and sent within a few minutes to the laboratory for analysis. We used capillary blood gases for pH and PaCO analysis because they are equivalent to arterial blood gases and do not require arterial puncture.¹⁷

Data Analysis and Assessment of Subject's Effort

Subjects' WOB was calculated from esophageal pressure-tidal volume loops, using a Campbell diagram.¹⁸⁻²⁰ Respiratory muscle pressure-time product (PTP_{es}) was calculated from the esophageal pressure signal versus time, and esophageal pressure swings (Swing P_{es}) were recorded. Analyses were conducted using RESPMAT software as previously described by Maynaud et al.²¹ Auto-PEEP and airway resistance values were also calculated with RESPMAT. We used 10–20 cycles to compute the WOB as usually recommended.^{18,21-24} The

Table 1. Subject Characteristics at Inclusion

Subject	Gender	Age, y	Weight, kg	Height, cm	Body Mass Index, kg/m ²	FEV ₁ , L	% of Predicted FEV ₁	S _{pO2} , %	P _{aCO2} , mm Hg	рН	HCO ₃ ⁻ , mmol/L
1	М	66	78.5	172	26.7	2.31	72.0	94	41.5	7.42	26.1
2	Μ	68	87.1	176	27.6	1.85	59.3	95	NA	NA	NA
3	Μ	72	97.1	173	32.6	1.33	43.0	96	35.9	7.43	24.5
4*	F	61	125	157	51.0	0.84	38.0	88	62.8	7.28	25.5
5	Μ	71	114	188	32.5	1.89	54.3	93	32.2	7.46	24.2
6	F	70	70.3	158	28.0	1.05	53.0	94	39.1	7.42	25.0
7	Μ	71	88.0	163	33.1	1.08	40.0	90	51.1	7.37	26.6
8	Μ	72	71.0	164	26.4	0.82	30.2	93	43.4	7.38	24.4
9	Μ	74	74.0	162	21.0	0.87	34.0	95	43.7	7.41	26.7
Mean \pm	SD	69.4 ± 3.9	89.4 ± 19.4	168 ± 10	31.0 ± 8.4	1.34 ± 0.55	47.1 ± 13.5	93.1 ± 2.6	43.7 ± 9.6	7.40 ± 0.06	25.4 ± 1.0

Subjects breathed room air

* Subject 4 was excluded from the analysis because results available after completion of the study showed clinical instability of arterial blood gases.

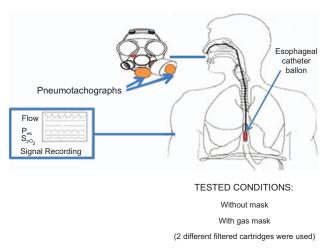


Fig. 1. Experimental setup showing the different measurements taken in subjects with COPD.

esophageal catheter was placed as previously described.²⁴ Subjects were evaluated for these parameters with and without the gas mask. When evaluating the condition of subjects with no gas mask, an anesthetic mask was used (3M).

Statistical Analysis

Continuous variables were expressed using mean \pm SD. Nominal variables were expressed using percentages. Separate statistical analyses were conducted for our group of COPD subjects. Data were analyzed with a 2-way mixed model. We defined 2 experimental factors, one related to the comparison between measurements at the baseline, the other to the comparison with or without the gas mask, factors fixed, with interaction terms between the fixed factors. These factors were analyzed as repeated measures Table 2. Visual Analog Scale

Visual Analog Scale				
Very, very comfortable	10 cm			
Very comfortable	9			
Comfortable (satisfying)	8			
Fairly comfortable	7			
Barely comfortable	6			
More or less comfortable	5			
Barely uncomfortable	4			
Fairly uncomfortable	3			
Uncomfortable (but tolerable)	2			
Very uncomfortable	1			
Very, very uncomfortable	0 cm			

This visual analog scale has been adapted to assess the breathing comfort with a gas mask, with a 10-cm horizontal line marked with "Very, very uncomfortable" at the left end (at 0 cm) and marked with "Very, very comfortable" at the right end (at 10 cm). A patient marks the comfort level somewhere along the line, with the distance from the left end corresponding to a value in the table.

with 2 levels using an unstructured covariance matrix for both factors (one@one). The results were considered significant with *P* values \leq .05. All analyses were conducted by the biostatistician of the research center using SAS/STAT statistical analysis software, version 9.4 (SAS Institute, Cary, North Carolina) and the R Project (Foundation for Statistical Computing, Vienna, Austria).

Results

Population

During the study period, 12 COPD out-patients were approached; 2 individuals declined to participate, and another had to withdraw from the study. The remaining 9 subjects participated in and completed the study. One subject

Table 3. Results of Crossover Examination of 3 Conditions

	Without Mask	Cartridge A	Cartridge B
Respiratory parameters			
Breathing frequency, min	16.3 ± 5.6	15.6 ± 5.7	16.1 ± 5.7
Tidal volume, L	0.59 ± 0.31	0.58 ± 0.19	0.58 ± 0.24
\dot{V}_{E} , L/min	8.2 ± 1.2	8.3 ± 1.7	8.3 ± 1.6
Peak flow, L/s	0.62 ± 0.09	0.82 ± 0.16 †	0.81 ± 0.11
Inspiratory time, s	1.68 ± 0.81	$1.91 \pm 1.09*$	$1.88 \pm 1.17^{*}$
Expiratory time, s	2.55 ± 1.04	2.59 ± 1.15	2.56 ± 1.26
Total time, s	4.21 ± 1.82	4.53 ± 2.19	4.44 ± 2.41
T _I /T _{tot} , s	0.39 ± 0.04	0.42 ± 0.06	0.42 ± 0.04
V _T /T _I , L/s	0.35 ± 0.04	0.34 ± 0.09	0.33 ± 0.07
Auto-PEEP, cm H_2O	2.58 ± 0.84	3.60 ± 0.94 †	$3.23 \pm 0.57 \ddagger$
Indexes of effort			
Work of breathing, J/breath	0.54 ± 0.28	0.72 ± 0.24	0.62 ± 0.25
PTP_{es} , cm H ₂ O·s/min	184 ± 45	281 ± 65 †	253 ± 47 †
Swing P_{es} , cm H_2O	9.2 ± 3.0	12.8 ± 4.1 †	$11.8 \pm 2.9 \ddagger$
Capillary gases			
S _{pO2} , %	93.5 ± 1.9	$94.5 \pm 2.2*$	$94.3 \pm 2.1*$
Oxygen flow, L/min	0	0.47 ± 0.98	0.08 ± 0.13
pH	7.41 ± 0.03	$7.40 \pm 0.03*$	$7.41 \pm 0.04*$
P _{aCO2} , mm Hg	41.0 ± 6.1	$41.8 \pm 6.3*$	$42.0 \pm 5.8*$
HCO ₃ , mmol/L	25.4 ± 1.1	25.3 ± 0.9	25.4 ± 1.0

N = 8 subjects. Values are presented as mean \pm SD. S_{pO2} values were continuously collected by the FreeO₂ system and computed over a 10-min duration. Oxygen flow was delivered to subjects wearing masks with FreeO₂ to maintain stable the baseline oxygenation.

 $\dagger P \leq .001$

 $\dot{V}_E = expiratory minute volume$

 $T_I/T_{tot} = inspiratory time/total time$

 $PTP_{es} = esophageal pressure-time product$

Swing P_{es} = swing esophageal pressure

 V_T/T_I = tidal volume/inspiratory time

was not stable at baseline, presenting with respiratory acidosis and was excluded from the main analysis (Table 1).

Indexes of Effort

Compared to the baseline (ie, the unmasked condition), all respiratory effort indexes increased 15–55% (Table 3, Fig. 2, and Fig. 3). PTP_{es} and Swing P_{es} were significantly increased, while WOB was not significantly increased (PTPes: P < .001; Swing P_{es}: P < .001; WOB: P = .08). With filtered cartridge A, which had the highest resistance, indexes of effort were all higher than with cartridge B, but the differences were not significant.

Breathing Pattern

Among respiratory parameters, very little variation was observed between any of the conditions except for the peak flow, which increased by 30% when a mask was worn (Table 3). There was no difference for other respiratory parameters.

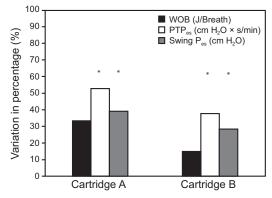


Fig. 2. Variation (%) in the respiratory effort indexes with filtered cartridge A and filtered cartridge B (with the same gas mask) in comparison to the baseline condition with no mask in subjects with COPD, at rest (N = 8). Values are presented as mean \pm SD. In comparing filtered cartridge B vs filtered cartridge A, there was no significant differences, and the respective variations were -15% for the work of breathing, -10% for PTP_{es}, and -8% for the Swing P_{es}. *P < .001.

 $[*]P \le .05$

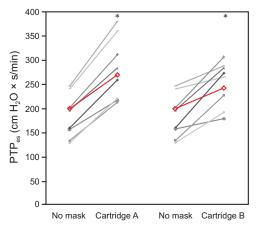


Fig. 3. PTP (cm H2O·s/min) for all subjects with COPD during unmasked and masked comparisons (N = 8). Each line denotes a different subject (subject #4 was excluded); red lines show the median.

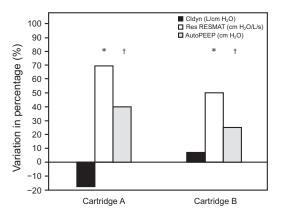


Fig. 4. Variation (%) in the respiratory compliance, respiratory resistance, and auto-PEEP for filtered cartridge A and filtered cartridge B (with the same gas mask) compared with the unmasked condition in subjects with COPD, at rest (N = 8). Values are presented as mean \pm SD. * P < .05; † P < .001.

Respiratory Mechanics

Respiratory resistances and intrinsic PEEP values were both significantly increased when a mask was worn (airway resistance at baseline: $8.2 \pm 2.6 \text{ cm H}_2\text{O/L/s}$; airway resistance with filtered cartridge A: $13.9 \pm 3.1 \text{ cm H}_2\text{O/L/s}$; airway resistance with filtered cartridge B: $12.3 \pm 2.8 \text{ cm H}_2\text{O}$; P = .01) (Table 3, Fig. 4). The variation for the auto-PEEP, dynamic lung compliance, and airway resistances were all increased with masks in comparison to the baseline without a mask (Table 3, Fig. 4).

Gas Exchange

Differences for pH, P_{aCO_2} , and oxygenation parameters are displayed in the Table 3. In masked conditions, oxygen was administered with the FreeO₂ automated oxygen titration

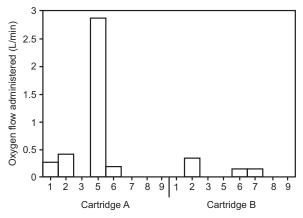


Fig. 5. Mean oxygen flow delivered (L/min) with automated oxygen titration, FreeO₂ in subjects with COPD with filtered cartridge A and filtered cartridge B (N = 8). For each subject, the mean oxygen flow is indicated, both with cartridge A and cartridge B.

device to maintain the S_{pO_2} at a constant level during nearly half of the tested conditions (Fig. 5 and Fig. 6). At baseline, none of the subjects received oxygen therapy. In most subjects, oxygen administration was minimal (ie, no oxygen or below < 0.5 L/min) when masked. The FreeO₂ device delivered an average oxygen flow of 0.49 ± 0.98 L/min for cartridge A compared to 0.08 ± 0.98 L/min for cartridge B.

Breathing Comfort

Comfort was slightly higher without the mask compared to the masked conditions, but the difference was not statistically significant: without mask 7.14 \pm 2.38 vs filtered cartridge A 5.91 \pm 2.48 (P = .19); without mask 7.14 \pm 2.38 vs filtered cartridge B 6.07 \pm 2.08 (P = .53). When cartridges A and B were compared, comfort values were similar and differences were not statistically significant: (P = .94).

Discussion

This is the first study to evaluate the impact of wearing a gas mask on indexes of respiratory effort in stable COPD subjects. We found that, in this population, wearing a gas mask increased respiratory effort by 15–55%, but no respiratory distress occurred. Impact on gas exchange as well as oxygen requirements to maintain stable oxygenation was minimal. Given the possibility that civilian subjects may use these protective masks, improving these masks would be necessary to allow them to be worn for prolonged periods in this population.

Impact on Indexes of Respiratory Effort

In all subjects, we documented an increase in most of the respiratory effort indexes with a gas mask. The in-

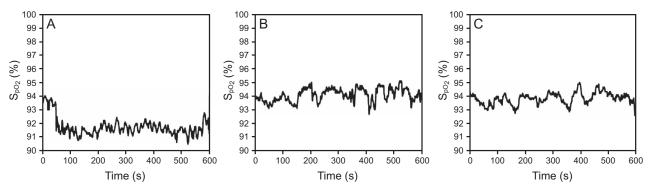


Fig. 6. S_{pO_2} signal based on a mean among all subjects with COPD for a 10-min duration (N = 8). Values are presented as mean \pm SD. A: No mask. B: cartridge A. C: cartridge B.

crease was moderate, and we never had to discontinue the measurements due to respiratory distress. This led us to conclude that the short-term use of a gas mask is feasible for this population. We did not, however, evaluate the impact of wearing gas masks for longer durations. In addition, due to their frailty, we did not evaluate the impact of exercise in these subjects under these conditions, as we have done previously in healthy subjects.³

In the literature on gas masks, we conducted the only other study that has included measurements of esophageal pressure with measured indexes of respiratory effort.³ We assessed the impact of wearing a C4 gas mask in healthy subjects, at rest and during effort, using the same cartridge A.³ At rest, the indexes of effort increased by 30– 60% in healthy subjects wearing the mask. In the present study, we found similar increases in subjects with COPD while wearing the same masks.

The administration of oxygen to avoid desaturation may have been a confounding variable. Without supplemental oxygen, the respiratory drive and consequently the respiratory indexes of effort may have been greater. However, only 7 of 16 subjects tested (44%) required supplemental oxygen. In addition, subjects used very low average oxygen flows (ie, mean oxygen flow was 0.28 L/min). The highest mean oxygen flow was 2.86 L/min.

Factors Responsible for the Increase in Respiratory Effort

The increase in respiratory effort can be explained by several factors: resistance, dead space/rebreathing, and intrinsic PEEP. Previous research has found that inspiratory resistance of gas masks may affect the perception of the respiratory distress when wearing a mask.^{1,2,5,6,9,10} In a bench test, we found that total mask resistance was as much as 5.5 cm H₂O/L/s, with the predominant part related to the filtered cartridge.¹³ It is also possible that rebreathing occurs within masks, but as previously discussed, our hypothesis is that the dead space of the mask

plays a limited role. The impact of auto-PEEP may also explain in part the increase in respiratory effort, especially in this population with limited expiratory flow.²⁵ In fact, auto-PEEP was increased by 25–40% in our bench test using gas masks. Finally, our hypothesis is that the impact of the resistance predominantly explains the increase in respiratory effort and the respiratory distress described when gas masks are worn.^{1,2} To conclude definitively on the part attributable to rebreathing, an analysis of the gas flow inside the mask during inhalation and exhalation would be required, particularly in the nose cup.

Impact on Ventilation (CO₂)

There was no clinically relevant effect of the tested conditions on gas exchange in the subjects with COPD in this short-term study. These results contrast with those of Arieli et al,¹¹ who found that wearing a gas mask in 9 healthy subjects led to hypoxemia and hypercapnia due to the dead space of the mask and the rebreathing effect. The difference in the internal volume of the masks used in their study and in ours may explain the differences. The internal volume of the mask tested in the study by Arieli et al¹¹ was 3.5 L, and the internal volume of the internal mask (ie, the nose cup) was 130 mL. The mask used in our study had smaller volumes (280 mL internal volume and 80 mL for the nose cup). Given the complexity of our experimental setup, we chose not to add measurements of gas composition with our subjects. It is possible, however, that the increase in WOB observed in our subjects is partly related to a certain level of rebreathing effect.

Fraticelli et al¹⁴ evaluated the short-term effects of internal volumes of several noninvasive ventilation masks on indexes of respiratory effort, respiratory parameters, and gas exchange. No differences were shown in their comparison with internal volumes up to 980 mL. The dead space of masks during NIV is influenced by the direction of the inspiratory and expiratory flows, and this is likely true with gas masks. The hypothesis was that the internal volume of the masks did not reflect the dead space of these devices.¹⁴ This concept was supported by Fodil et al, who analyzed gas flow distribution within different interfaces.²⁶ However, a direct comparison of the 160 mL dead space of the C4 gas mask to data from Fraticelli et al¹⁴ is not possible. Indeed, subjects in that study were assessed in the acute phase of respiratory distress, with noninvasive ventilation and pressure support, whereas our subjects were patients with stable COPD without mechanical support.¹⁴

Impact on Oxygenation

We found that, in approximately half of the subjects, oxygen administration was required to maintain baseline oxygenation while wearing the gas mask. We used automated oxygen titration to accurately measure the oxygen requirements in this specific situation.¹⁵ Our evaluation of oxygen delivery through a gas mask is another original aspect of this work, and there are no equivalent data in the literature. Automated oxygen titration through masks could be adapted to enable its use in a military context that requires the minimization of oxygen consumption for logistical reasons. This study is a continuation of the work previously carried out by Bourassa²⁷ on respiratory assistance through gas masks. In addition, accurate titration of oxygen may be required in patients with COPD to avoid hypercapnia induced by hyperoxia.²⁸⁻³⁰

Study Limitations

We have not fully evaluated the part of the increased respiratory load attributable to the technological dead space of gas masks. Indeed, as discussed above, the internal volume does not correspond directly to the dead space of these devices. Nevertheless, we could not measure the exact internal volume of the masks, the inspired and exhaled fractions of O_2 and CO_2 from the gases in the mask, nor the respiratory flows within the mask. As a result, we could not assess the precise role played by the mask's dead space in the increase in WOB found in our subjects. Although Fraticelli et al¹⁴ hypothesized that a mask's dead space plays a limited part in the increase of the WOB, we cannot conclude definitively regarding this aspect.

The use of oxygen within masks may have reduced the overall impact on WOB; however, this allowed the evaluation of the impact of the mask with similar oxygenation levels. In our study, we measured capillary blood gases, not arterial blood gases. There are data in the literature that supports the use of capillary gases as adequate, with excellent correlations for pH, P_{aCO_2} , and bicarbonate.¹⁷ The number of subjects included in the our study was limited, which reduces the power of the analysis. However, all of our subjects showed similar increases in indexes of effort,

and it is likely that more subjects would have yielded similar results. The small sample size may also explain why it was not possible to find statistically significant results for some parameters, such as comparisons for WOB, auto-PEEP, or comfort between filtered cartridge A and cartridge B (with A being more resistive).

Conclusions

The short-term utilization of a gas mask in subjects with stable moderate to severe COPD moderately increased respiratory effort indexes without causing respiratory distress. In this population, wearing a gas mask for short periods of 10 min seems safe, and results encourage further clinical research, particularly as it may relate to use when extracting individuals from a situation in which a gas mask is necessary. Moreover, wearing a gas mask had no impact on P_{aCO2}, and we showed the feasibility of delivering oxygen through the gas mask. With respect to pathological respiratory conditions such as COPD, maintaining a subject-specific $S_{pO_{\gamma}}$ target was possible with automated oxygen titration. However, prolonged utilization of gas masks may be problematic in this fragile population, and masks with reduced resistances and designs that further reduce rebreathing may be desirable.

ACKNOWLEDGMENTS

The authors thank Serge Simard for the biostatistics analysis, Major (Retired) Daniel Noebert for reviewing the English language of the article, and Major (Retired) Marc Dauphin MD and Eva Dickson PhD for their mentorship.

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