Evaluation of a Bubble CPAP System for Low Resource Settings

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BACKGROUND: Despite its established safety, efficacy, and relative simplicity, CPAP treatment is not widely available for newborns and infants in low- and middle-income settings. A novel bubble CPAP system was designed to address the gaps in quality and accessibility of existing CPAP systems by providing blended, humidified, and pressurized gases without the need for electricity, compressed air, or manual power. This was the first study that tested the performance of the system with a simulated patient model. METHODS: In a spontaneously breathing 3dimensional printed nasal airway model of a preterm neonate, CPAP performance was assessed based on delivered pressure, oxygen level, and humidity at different settings. RESULTS: Preliminary device performance characteristics were within 5% among 3 separate devices. Performance testing showed accurate control of CPAP and oxygen concentration at all settings with the bubble CPAP system. Lung model pressure and oxygen concentration were shown to stay within ± 0.5 cm H₂O and $\pm 4\%$ of full scale of the device settings, respectively, with relative humidity > 80%. CONCLUSIONS: Performance testing of the bubble CPAP system demonstrated accurate control of CPAP and oxygen concentration with humidity levels suitable for premature newborns on noninvasive support. Key words: Bubble CPAP; global health; respiratory distress syndrome; humidification. [Respir Care 2021;66(10):1572–1581. © 2021 Daedalus Enterprises]

Introduction

Nearly 4 million infants die throughout the world each year, with 1 million dying principally from respiratory insufficiency in low- and middle-income countries that lack respiratory support devices and technologies commonly used in high resource settings.¹⁻⁵ The absent technologies include advanced invasive mechanical ventilators, but they also include relatively simple interventions, for example,

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noninvasive ventilation. Nasal CPAP is a form of non-invasive respiratory support that has been used for almost 50 years in high resource settings. It is associated with lower indicators of lung injury and inflammation, pulmonary growth arrest, and chronic lung disease than invasive mechanical ventilators. He when it is made available in lowand middle-income countries, nasal CPAP has been shown to reduce mortality in preterm neonates by 66%. As such, the World Health Organization (WHO) strongly recommends CPAP for newborns diagnosed with respiratory distress syndrome. The American Academy of Pediatrics

The Vayu Global Health Foundation provided the bubble CPAP systems for this study.

Mr DiBlasi discloses relationships with Draeger Medical, Bunnell Medical, Vapotherm, and Vero Biotech. Ms Dundek and Ms Ng are engineers with Vayu Global Health Innovations. The other authors have disclosed no conflicts of interest.

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also recommends that nasal CPAP and other oxygen blending systems are made available for all preterm births¹⁴; and others have pointed out the potential of nasal CPAP to support patients in transit. ⁵⁻¹⁷

Despite its established safety, efficacy, and relative simplicity, nasal CPAP treatment is not widely available in low- and middle-income countries. In India, for example, a 2020 survey found that only one third of district hospital neonatal units have any access to CPAP. 18 There are many barriers to accessing nasal CPAP, including cost; the need for electricity; the need for compressed medical air and blending systems; and availability of skilled human resources for training, maintenance, and patient monitoring. As a result, care providers in low- and middle-income settings often resort to improvising nasal bubble CPAP (bubble CPAP) systems; approximately one third of the hospitals in the Indian survey¹⁸ using nasal CPAP used home-made bubble CPAP systems; these systems were made from supplies on hand, which typically include a compressed and nonhumidified pure oxygen (100%) gas source. They often give poor quality CPAP because the thin nasal cannulas that they use are difficult to exhale through 19,20 and they lead to prolonged exposure to pure oxygen, which can cause damage to the eyes, lungs, and brain.

Several low-cost bubble CPAP systems have been developed in recent years to address the demand for affordable methods of delivering CPAP. 21-27 These devices have some significant advantages over previous devices, including successfully eliminating the need for compressed air. However, these more affordable bubble CPAP systems still face formidable barriers to adoption in many facilities. They all require continuous electricity to humidify gases or to blend air and oxygen, but a 2014 WHO Surgical Assessment Tool survey²⁸ of ~800 lowincome hospitals found that only 59% of health-care facilities have reliable electricity access. In addition, many low-cost CPAP systems do not provide the same quality of CPAP as established, expensive devices. Lowcost CPAP systems often do not include humidification, have large amounts of dead space that cause the patient to rebreathe carbon dioxide and use high-resistance circuits that have a large imposed work of breathing. 19 Therefore, there remains an unmet need for a system that provides high-quality bubble CPAP, does not require electricity or compressed air, is easy to use, and is low cost.

Mollazadeh-Moghaddam et al²⁹ described a low-cost method to blend ambient air and pressurized oxygen without electricity by using a unique fixed performance Venturi blender. An adjustable version of this Venturi blender was created and combined with a humidifier, low-resistance breathing circuit, and water column to create a novel bubble CPAP system (Vayu Global Health Innovations, Boston, Massachusetts). The following descriptive studies in vitro were designed to evaluate the performance of the novel

QUICK LOOK

Current knowledge

CPAP has been used for decades to treat newborns and infants with respiratory distress in high resource settings. Results of studies have shown that CPAP can reduce mortality when implemented in low resource settings, but CPAP treatment remains unavailable in many low-resource hospitals due to its cost and other barriers. Several low-cost bubble CPAP systems have recently been designed to be more accessible, but these systems require continuous electricity and may be expensive.

What this paper contributes to our knowledge

A newborn and infant CPAP system has been developed that does not require electricity or compressed air. This study evaluated device performance in a lung model. The device provided pressure, oxygen concentrations, and humidification to an appropriate range and accuracy for newborns and infants.

bubble CPAP system based on clinically relevant parameters critical to effective CPAP therapy by using a realistic, spontaneously breathing patient model.

Methods

We designed and conducted studies in vitro in 3 separate stages. The first stage evaluated pressure, oxygen concentration delivery, and humidity by using a specialized temperature and humidity chamber at all device settings for 3 samples of the bubble CPAP system. These studies evaluated interdevice variability and performance stability and can be found by accessing the online supplement (see the supplementary materials at http://www.rcjournal.com). After this testing, the second stage evaluated delivered pressure and oxygen concentration in a high-fidelity realistic breathing lung model by using appropriate flow settings for low birthweight newborns, who represent the most common patient population that requires CPAP for respiratory distress syndrome and surfactant deficiency. The third stage evaluated relative humidity (RH) levels within a nonheated lung model by using a bellows, a 3-dimensional model, and a hygrometer. The laboratory setting was maintained at low ambient humidity to evaluate humidity output without rebreathing of exhaled heated humidity.

The Bubble CPAP System

The bubble CPAP system delivers humidified, filtered, pressurized, and oxygen-enriched air to the patient (Fig. 1A)

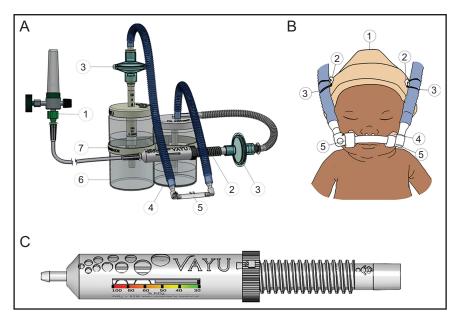


Fig. 1. A: The bubble CPAP system composed of (1) an external pressurized oxygen source; (2) Venturi blender; (3) 2 bacterial and viral filters, one on the inspiratory limb and one on the expiratory limb; (4) a humidifier; (5) nasal prongs; (6) a water column with an adjustable wand to control the delivered pressure from 4 to 10 cm H₂O; and (7) warmer bracket that stabilizes the system and allows it to be placed inside infant radiant warmers. B: The patient interface, composed of (1) hat; (2) 2 safety pins, (3) 2 rubber bands, (4) hook tape moustache, and (5) loop tape strips. C: The Venturi blender is the distinguishing feature of the bubble CPAP system; it delivers 30 – 100% oxygen by mixing pressurized oxygen and ambient air.

via secured nasal prongs (Fig. 1B). The system requires a 50-psi source of medical-grade oxygen with an adjustable flow meter. Oxygen enters the circuit and passes through an adjustable Venturi blender (Fig. 1C), where it mixes with ambient air to create a source of oxygen-enriched air of adjustable concentration. The mixture is filtered, humidified, and delivered to the patient through short bi-nasal prongs. Exhaled gases exit the system by bubbling out through the water column, which generates CPAP. The amount of pressure delivered to the patient is determined by the depth of submersion of the expiratory tubing in the water column (4–10 cm H_2O). The volumetric flow of the source gas is set to the minimum flow that maintains continuous bubbling throughout the respiratory cycle at the set CPAP level.³⁰

The bubble CPAP system Venturi blender, an adjustable concentration Venturi blender that can handle operational low flows and relatively thin tubing found in neonatal circuits, ²⁹ generates oxygen-enriched air by augmenting the flow of pure oxygen from a cylinder with entrained ambient air. It can be adjusted from 30 to 100% oxygen by turning the threaded portion to position an indicator along a numbered scale. A nut can be tightened to lock the position, and a swivel connector downstream allows the Venturi blender to be adjusted during treatment without coiling the tubing. A bacterial viral filter (Product number: VF-2160, Great Group Medical, Changhua, Taiwan) is positioned downstream of the Venturi blender and upstream of the humidifier. The bubble-through humidifier comprises a custom molded lid screwed onto a plastic reservoir and operates

passively as the enriched, filtered gas passes through a small volume of water before exiting the chamber and entering the inspiratory limb of the breathing circuit. Standard 10-mm corrugated tubing connects the humidifier and water column to the nasal prongs (Infant Nasal Prongs, Great Group Medical). The expiratory limb of the breathing circuit is attached to a downstream filter, which connects to the wand of the custom-designed water column lid. The wand can be rotated to change the depth of submersion, which changes the amount of CPAP pressure delivered.

Nasal Airway and Lung Models

Nasal resistance in newborns accounts for nearly half of total airway resistance.³¹ As such, we designed a realistic replica of a nasal airway modeled from a computed tomography of an infant at 30 weeks of gestation (Fig. 2). This model has been described in detail elsewhere.³² The model did not have an oral airway opening; therefore, only closedmouth conditions were simulated. The nasal model was attached to 2 different spontaneously breathing preterm neonatal lung models for testing. Oxygen and pressure delivery was measured with the ASL 5000 Test Lung (Ingmar Medical, Pittsburgh, Pennsylvania), a digitally controlled, high-fidelity breathing simulator, which used a screw-drive controlled piston and mathematical modeling to simulate size- and disease-specific pulmonary mechanics (Fig. 3). Spontaneous breathing was simulated by using the preterm neonate normal values given in Table 1. The

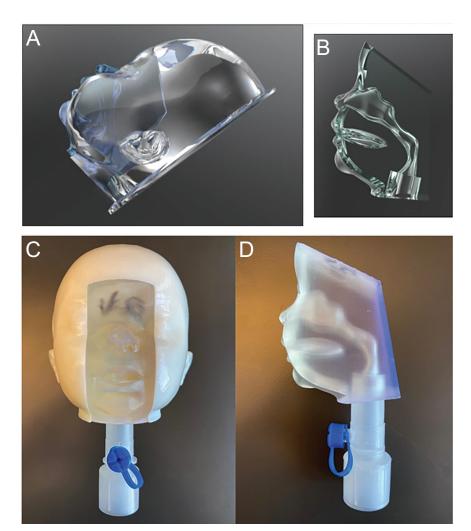


Fig. 2. Three-dimensional printed nasal airway of preterm infant taken from a 28-wk-old subject. The full model is shown on the left (A) and the modular nasal airway is shown on the right (B). The nasal passages and closed oral cavity are clearly shown in B.

inspiratory-to-expiratory ratio was held constant at 1:3, and inspiratory effort was adjusted to maintain a constant tidal volume (6 mL/kg). The second lung model, a Silastic infant test lung with compliance of 0.47 mL/cm H₂O and resistance of 150 cm H₂O/L/s (model 191, Maquet, Wayne, New Jersey) (Fig. 4) was used to evaluate humidity levels.

Lung Model Pressure and Oxygen Concentration Testing

The bubble CPAP system, nasal model, and lung simulator were set up as shown in Figure 3. A pressurized oxygen gas cylinder connected to a regulator set at 50 psi (not shown) was attached to an adjustable flow meter. This flow meter was connected to the Venturi blender using oxygen tubing. A calibrated flow sensor (TSI INc Model #5220A, Shoreview, Minnesota) was inserted between the Venturi blender and the upstream filter to monitor the total flow into

the system (ie, blended air/oxygen flow), and direct readout of the flow was readily visible from an accompanying screen. To obtain the desired bias flow, the oxygen flow from the cylinder was titrated until the readout from the sensor matched. A flow of 6 L/min was used because it was the minimum flow that maintained vigorous bubbling throughout the respiratory cycle at all CPAP levels.

The TSI flow meter was removed after measuring the flow and before testing. Nasal prongs (size 1) were inserted snugly into the nasal airway openings of the nasal model. Initially, the Venturi blender was set to 30% oxygen and the wand in the water column was set to 4 cm H₂O. Once the readout of the oxygen concentration stabilized, 20 breathing cycles were recorded. The same steps were repeated for set CPAP levels of 6, 8, and 10 cm H₂O, and the pressures were also applied at oxygen levels of 40, 60, 80, and 100% to record data for all setting combinations. Lung model pressures (end-expiratory pressure), oxygen

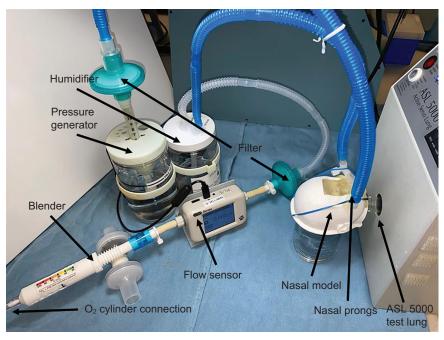


Fig. 3. Test setup for PEEP and oxygen concentration performance testing.

Table 1. Lung Model Configuration and Testing Conditions

Variable	Preterm Neonate, 1 kg
Compliance, mL/cm H ₂ O	1
Resistance, cm H ₂ O/L/s	100
Pleural pressure, cm H ₂ O*	7–8
Tidal volumes, mL	6
Frequency, breaths/min	50
Minute ventilation, L/min	0.3

^{*}Simulated pleural pressures were adjusted to maintain tidal volume of 6 mL at all testing conditions.

concentration, and tidal volume were measured within the ASL 5000 Test Lung.

Humidity Testing

Humidification performance testing was conducted at a bias flow of 6 L/min and a set CPAP of 5 cm $\rm H_2O$. The lung model was attached as shown in Figure 4. A hygrometer (Fisherbrand Traceable Temperature/Humidity Meter, Thermo Fisher Scientific, Waltham, Massachusetts) was inserted distal to the nasal model to measure the temperature and RH of the gases after they passed through the CPAP system and nasal passages. Breathing was simulated by manually generating breaths, distending and retracting the Silastic lung ~ 2 cm in length. Two 1-way valves were placed in series to prevent rebreathing and recirculating of exhaled humidity from the lung model. For reference

points, the RH of the laboratory ambient air and of the oxygen proximal to the cylinder were recorded and compared with the gas mixture exiting the bubble humidifier.

Data Analysis

The sampled data for each run of 20 breaths was extracted from the ASL 5000 Test Lung Software and saved to a spreadsheet (Excel, Microsoft, Redmond, Washington). The mean \pm SD was calculated for 20 simulated breaths by using Matlab (Mathworks, Natick, Massachusetts).

Results

Outcomes for delivered end-expiratory pressure (CPAP) and tidal volume based on different CPAP and oxygen concentration settings are shown in Figure 5. The tidal volume remained consistent across all combinations of CPAP and oxygen concentration settings, within ± 0.3 mL of the intended 6 mL volume. Flow remained consistent across all combinations of CPAP and oxygen concentration settings, with mean \pm SD registering 6.0 ± 0.25 L/min. CPAP values registered within ± 0.5 cm H₂O of their set CPAP settings across all oxygen levels. Measured oxygen concentrations compared with the set percentage of oxygen are shown in Figure 6. Measured oxygen concentrations at settings of 30, 40, 60, 80, and 100% fell within ± 1 , ± 2 , ± 3 , ± 4 , and $\pm 2\%$, respectively, of full scale of their intended setpoint value. Generally, higher CPAP levels generated

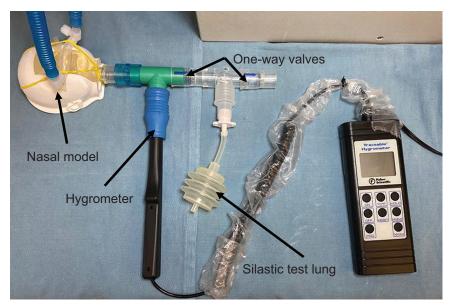
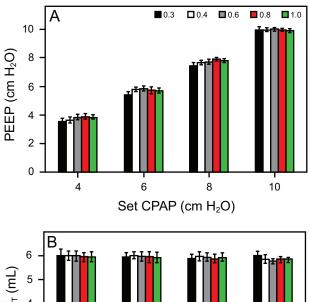


Fig. 4. Test setup for humidification performance testing.



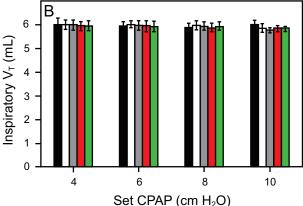


Fig. 5. Results for PEEP (A) and tidal volume (B) for all set CPAP levels and oxygen concentration settings. Each bar indicates the mean value of 20 breaths, and the error bars denote SD.

slightly higher oxygen concentrations, particularly for the settings of 60% and 80%.

Results from the humidity testing when using the nonheated valved lung model are shown in Figure 7. Reference values were observed to be 44.3 \pm 0.2% RH for laboratory ambient air and 1.7 \pm 0.05% RH proximal to the oxygen cylinder. There were only small differences in the RHs in the Silastic lung model that received humidified gas through the bubble humidifier at 100, 60, and 30% oxygen; at these settings, the RHs were 87.7 \pm 0.4%, 85.8 \pm 0.2%, and 81.8 \pm 0.2%, respectively. Combined effects from passive humidification and entrainment of ambient humidity, without assistance from heat, provided nearly 2-fold greater RH than ambient and substantially greater humidity than the gas exiting the oxygen cylinder.

Discussion

The major findings from these studies in vitro with the bubble CPAP system showed accurate control of CPAP and oxygen concentration as well as adequate humidity delivery. Pressure and oxygen concentration were recorded well within ± 0.5 cm H_2O and $\pm 4\%$, respectively, across all device settings. RHs > 80% were achieved without the aid of heat or moisture from actual nasal passageways. CPAP system performance is dependent on controlling pressure, blending oxygen, and humidifying breathing gases, and the bubble CPAP system delivered on all 3 criteria.

The bubble CPAP system maintains positive pressure by submerging the distal end of the breathing circuit into a

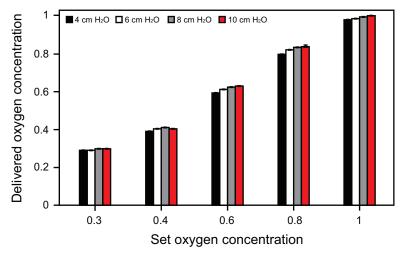


Fig. 6. Measured oxygen concentration as a function of a set oxygen concentration to illustrate the deviation from the intended value. Each bar indicates the mean value of 20 breaths and the error bars denote SDs. In each group, the different set CPAPs are denoted by different colors.

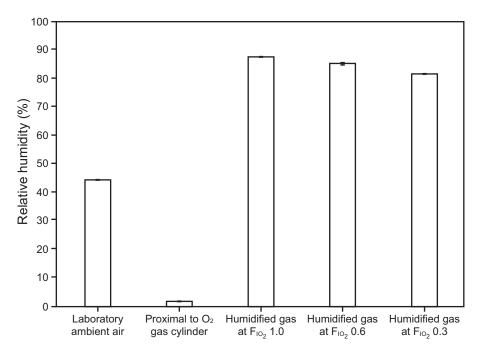


Fig. 7. The relative humidity at each condition. Each bar indicates the mean of either 1 min of observation or 20 breaths. The error bars denote SDs. The first 2 conditions are reference values to the latter 3 conditions, which are simulated breathing.

water reservoir. This method of pressure generation has been used for decades in other bubble CPAP systems. Bubbling in the water column superimposes oscillatory pressure waveforms on top of the CPAP level that may make bubble CPAP even more beneficial than other modes of CPAP delivery that do not generate pressure oscillations, for example, ventilator-driven CPAP.³³ Even with these oscillations, the bubble CPAP system consistently and accurately delivered various mean CPAP levels throughout the study.

Preliminary data (see the supplementary materials at http://www.rcjournal.com) showed that the measured pressure was within ± 0.4 cm H_2O of the set pressure for a range of blended flows, which indicated that the resistance of the breathing circuit did not significantly affect delivered CPAP. Furthermore, with the lung simulator, pressures were observed to be within ± 0.5 cm H_2O of the set value regardless of the oxygen concentration being delivered. Therefore, pressure delivery was not impacted by the unique Venturi blender because the correct pressure was

delivered regardless of the Venturi blender's setting. Overall, the bubble CPAP system delivered pressure well within ± 1.0 cm H_2O , a metric consistent with bubble CPAP devices that are FDA cleared.³⁴

Traditional Venturi blenders have not been used with infant CPAP systems before because they cannot generate any flow with back pressure as little as 0.6 cm H₂O.³⁵ A unique Venturi blender was recently described that can handle the low flows and thin tubing of infant oxygen delivery systems.²⁹ This was the first published study to show high-accuracy oxygen delivery within a spontaneously breathing lung model when using a CPAP system that integrates an adjustable version of this unique Venturi blender. This represents a technologic breakthrough because other air-oxygen blending mechanisms in CPAP systems and other oxygen delivery devices for infants all require high-pressure air and oxygen inputs, electricity, compressors, oxygen concentrators, or expensive pneumatic blenders.

Because of these barriers, many providers in low- and middle-income countries currently give patients unblended 100% oxygen, which can cause retinopathy of prematurity, bronchopulmonary dysplasia, and neurologic injury. The WHO estimates that there are 1.4 million blind children worldwide, two thirds of whom live in nations where retinopathy of prematurity is a major contributor to blindness, which highlights the need for inexpensive and accurate oxygen delivery mechanisms.³⁶ We demonstrated consistent and accurate oxygen delivery across all CPAP and oxygen concentration levels in the bubble CPAP system for the tested model.

The WHO recommends starting CPAP at 30% oxygen and limiting use of higher oxygen concentrations.¹³ The bubble CPAP system successfully delivered oxygen concentrations from 30 to 100%. The Venturi blender was most accurate at the lower settings, which are the most commonly used. For all oxygen concentration settings, the device delivered a blend of air and oxygen within 4 percentage points of full scale of the setting. This was comparable with the accuracy of commercially available pneumatic blenders ($\pm 3\%$ full scale) that are expensive, complex, and require pressurized air.37 Therefore, the bubble CPAP system delivered adequate range and accuracy of oxygen concentration settings in the tested model. Additional studies in vitro are needed to determine accuracy across all patient sizes by testing the system on larger infant and pediatric models.

The need for heated humidification is clear during mechanical ventilation, when the normal heating and humidifying functions of the nasopharynx are bypassed but is less clear during noninvasive ventilation. Some CPAP systems contain heated humidifiers, whereas others do not include any supplemental humidification but instead recommend applying nasal saline solution drops. Patients on these devices can experience nasal irritation and nose bleeds, which

indicates that some humidification is appropriate. 38,39 The WHO⁴⁰ and the American Association for Respiratory Care⁴¹ recommend humidification of oxygen delivered at flows greater than 4 L/min to prevent drying of nasal mucosae. The question remains whether passive bubble humidification is adequate or if heated humidification is required during noninvasive ventilation. Many CPAP systems include an active heated humidifier, but these have drawbacks, including that they can cause condensation to accumulate in the circuit. Condensation may increase the infection risk and has been associated with nasal obstruction⁴² and unintended increases in pressure levels.³²

There are no defined standards for optimal temperature and humidity levels of CPAP breathing gases. Analysis of some data from adults suggests that the minimum absolute humidity is 15 mg H₂O/L⁴³; whereas a heated humidifier commonly used to support neonates with bubble CPAP in high resource settings generates 23-27 mg H₂O/L.⁴² The tested bubble CPAP system sources humidity both from the humidity present in entrained ambient air and from a passive bubble humidifier. Therefore, the amount of humidity generated by the device depends on the temperature and humidity of the environment. Based on preliminary data (see the supplementary materials at http://www.rcjournal.com), the bubble CPAP system generated 22.0–28.6 mg H₂O/L in an environment at 30°C and 30% RH, which was comparable with the temperature and humidity levels of hospitals in India and Tanzania. The values of 22.0-28.6 mg H₂O/L were well over the minimum 15 mg H₂O/L and were comparable with commercial devices commonly used in high resource settings.

Furthermore, we observed RH > 80% with the bubble humidifier in our cool, dry (44% RH) laboratory. This level of humidity meets International Standardization Organization 8185:1997 - Humidifiers for medical use recommended values and is comparable with recently published data on noninvasive devices with active humidifiers applied to neonatal mannequins. This observation led to the assumption that exchange of humidity entrained through the Venturi blender (ambient) and humidifier combined with dried oxygen from the cylinder occurs very rapidly before entering the nasal cavity. Future studies should address whether additional increases in RH by bubble humidifiers are the result of increased water vapor, generation of aerosols, or both.

The bubble CPAP system was able to generate all tested flows with a 50 psi source of oxygen. Preliminary data (see the supplementary materials at http://www.rcjournal.com) showed that the blended flow of air and oxygen out of the Venturi was always greater than the flow of pure oxygen from the external source for oxygen concentrations < 100% due to the addition of entrained ambient air. This allows the user to conserve oxygen relative to improvised devices that source breathing gases only from pressurized oxygen sources. For example, consider an M tank filled to 2,200 psi

(7,080 L) that will be refilled when it is 25% full. For a patient who needs 6 L/min of breathing gases and 5 cm H_2O pressure on an improvised bubble CPAP system that provides 100% oxygen, the tank will last almost 15 hours. For the same patient on the subject bubble CPAP system at 30% oxygen, the tank will last 26 hours.

Our study was limited in several regards. Only a preterm neonatal lung model was used, so further evaluation is needed to determine if the bubble CPAP system's reliable performance translates to larger infants. Furthermore, there is a limit to the extent that data from a mechanical lung simulator can be applied to actual patients. For example, the lung compliance and volume of patients on bubble CPAP may change over time, unlike in the lung simulator. Future studies in a dynamically breathing lung model may be useful to determine delivered pressure and oxygen over time. Our study did not consider oral leak and how a leaky seal around the nasal prongs may affect performance.

We also only used a set flow of 6 L/min with the neonatal lung model. Understanding how higher flows affect performance would be worthwhile because precise control of flow with a meter may not be available in low- and middle-income countries. Also, bench-top studies such as this demonstrate efficacy of a device in ideal conditions, but in low resource settings where staff and biomedical engineering support are limited, it is difficult to create ideal conditions. Analysis of recent research has emphasized the challenges of implementing bubble CPAP treatment in low-resource settings. Further studies are needed to see how effective this novel system is when integrated into health-care facilities in different contexts.

Conclusions

To our knowledge this bubble CPAP system is the first infant CPAP device that is simple to use and provides CPAP with humidification and oxygen concentration control without the need for electricity, compressed air, or manual power. The results of this study demonstrated that the bubble CPAP system provided CPAP pressures, delivered oxygen concentration precision, and humidification comparable with commercial CPAP devices. The next steps are to build on the results of this study with further bench tests and to identify implementation strategies to integrate bubble CPAP systems effectively into health systems, optimize provider performance, and support quality care for newborns and infants in respiratory distress.

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