Risk Factors for Inspiratory Muscle Weakness in Chronic Heart Failure


BACKGROUND: Chronic heart failure is commonly associated with inspiratory muscle weakness. However, few studies have investigated the risk factors for inspiratory muscle weakness in individuals with chronic heart failure and systolic dysfunction (left-ventricular ejection fraction [LVEF] <40%). METHODS: Seventy subjects were recruited in a cardiac center. We assessed clinical parameters, smoking history, peripheral muscle strength, pulmonary function, echocardiographic variables, and brain natriuretic peptide. The subjects were classified with inspiratory muscle weakness when the maximum inspiratory pressure was <70% of predicted values. RESULTS: Thirty-six subjects (51%) had inspiratory muscle weakness. The subjects with inspiratory muscle weakness and the subjects with no inspiratory muscle weakness were similar in age, sex, body mass index, medication use, and physical activity. However, the subjects with inspiratory muscle weakness had lower LVEF (P < 0.003), systolic blood pressure (P = 0.01), diastolic blood pressure (P = 0.042), quadriceps muscle strength (P = 0.02), lung function (P = 0.035), increased brain natriuretic peptide (P = 0.02), smoking history (P = 0.01), and pulmonary hypertension incidence (P = 0.03). Multivariate logistic regression analysis found a lower LVEF, increased smoking history, and lower systolic blood pressure as significant independent predictors for inspiratory muscle weakness. CONCLUSIONS: The combination of lower LVEF, lower systolic blood pressure, and smoking history predicted inspiratory muscle weakness. Patients with suspected inspiratory muscle weakness should be examined and, if inspiratory muscle weakness exists, then inspiratory muscle training should be provided. Reducing inspiratory muscle weakness has the potential to improve many of the deleterious effects of chronic heart failure. Key words: cardiovascular diseases; respiratory function tests; respiratory structure and function; tobacco. [Respir Care 0;0(0):1–. © 0 Daedalus Enterprises]

Introduction

Individuals with chronic heart failure have dyspnea and fatigue, skeletal muscle weakness, and exercise intolerance. One key group of skeletal muscles that have been found to be related to dyspnea and fatigue as well as exercise tolerance of patients with chronic heart failure are the inspiratory muscles.1 Inspiratory muscle weakness is associated with greater dyspnea and fatigue, and with poorer

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Dr Nakagawa, Ms Diz, Dr Kawauchi, Ms Andrade, Ms Murakami, Ms Oliveira-Maul, and Dr Nascimento are affiliated with the Department of Physiotherapy, LIM-54, Faculdade de Medicina da Universidade de São Paulo, São Paulo, Brazil. Dr Umeda is affiliated with the Dante Pazza-nese Institute of Cardiology São Paulo State, São Paulo, Brazil. Drs Nunes, Takada, and Mansur are affiliated with the Division of Cardiology, Heart Institute, Hospital das Clínicas da Faculdade de Medicina da Universidade de São Paulo, São Paulo, Brazil. Dr Cahalin is affiliated with the Department of Physical Therapy, University of Miami Miller School of Medicine, Miami, Florida.

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exercise capacity and survival. In view of the above, inspiratory muscle weakness is an important factor in determining the prognosis and therapeutic options available for subjects with chronic heart failure.

It has been accepted that inspiratory muscle weakness is present when the observed maximum inspiratory pressure ($P_{\text{Imax}}$) is $<70\%$ of the predicted value. A relatively large percentage of subjects with chronic heart failure were observed to have inspiratory muscle weakness ($30\text{–}50\%$), with an even greater percentage of older subjects with chronic heart failure experiencing inspiratory muscle weakness ($70\%$). In fact, $76\%$ of older subjects with chronic heart failure who were admitted for a chronic heart failure exacerbation were observed to have inspiratory muscle weakness that persisted in $71\%$ of the subjects at the time of discharge. Thus, inspiratory muscle weakness is common in chronic heart failure, and a better understanding of the mechanisms responsible for it is needed because it represents a poorer clinical condition.

Several proposed mechanisms for inspiratory muscle weakness include reduced cardiac output; increased proteolysis, with loss of muscle mass and atrophy; oxidative stress, which induces protein modifications; and contractile dysfunction. Although the above mechanisms have been proposed, to the best of our knowledge, there has been no comprehensive examination of clinical characteristics responsible for inspiratory muscle weakness in subjects with chronic heart failure. Therefore, we prospectively examined a variety of clinical characteristics, the functional capacity, and the systemic inflammatory and/or cardiovascular disease markers in subjects with chronic heart failure (New York Heart Association functional class II and III) to help identify risk factors for inspiratory muscle weakness.

Methods

Study Design and Study Population

This cross-sectional study complied with the Declaration of Helsinki and was approved by the ethics committee of the Faculdade de Medicina da Universidade de São Paulo (ID 232/12). Subjects were consecutively recruited at a heart institute from September 2012 to May 2016, and were entered into the study after giving written informed consent to participate. The inclusion criteria were the following: both sexes, left-ventricular ejection fraction (LVEF) $<40\%$, New York Heart Association functional class II or III, with optimized drug therapy, and clinically stable. The exclusion criteria were the following: myocardial infarction in the past 6 months; uncontrolled and/or severe arrhythmia; pleural effusion or pulmonary congestion; $S_{\text{PO}_2}$ at rest of $<92\%$; respiratory infection in the past 30 d; and cognitive, neurologic, or orthopedic alterations that could affect the performance during muscle strength or functional capacity assessments.

Assessments

Clinical history, physical examination, and functional capacity tests were performed. LVEF was calculated from apical images according to the Simpson method by using echo-Doppler cardiography. Pulmonary hypertension was defined by echocardiography estimation from the velocity of tricuspid regurgitation. Physical activity was investigated by using the long form of the International Physical Activity Questionnaire. Health-related quality of life was also assessed by using the Short-Form 36 Questionnaire and the Minnesota Living with Heart Failure Questionnaire. Blood samples were obtained for inflammatory and cardiovascular diseases biomarkers determination by using Luminex analysis (Milliplex Map Human cytokine/chemokine and cardiovascular assays kits, Merck Millipore, Burlington, Massachusetts), in-

QUICK LOOK

Current knowledge

Chronic heart failure is commonly associated with inspiratory muscle weakness. The inspiratory muscle weakness is associated with greater dyspnea and fatigue, poorer survival, and poorer exercise capacity. Inspiratory muscle weakness has been accepted as an important factor in determining the prognosis for patients with chronic heart failure.

What this paper contributes to our knowledge

This study provided valuable findings to assist in the clinical decision-making and management of patients with chronic heart failure. The combination of a lower left-ventricular ejection fraction, lower systolic blood pressure, and smoking history can predict inspiratory muscle weakness. In patients with chronic chronic heart failure, inspiratory muscle training may represent a key strategy in their treatment.
cluding B-type natriuretic peptide analysis (brain natriuretic peptide immunoassay, Biosite Diagnostics, San Diego, California).

Respiratory muscle strength was measured for PImax and maximum expiratory pressure (PEmax) by using a mouth pressure transducer (MVD-300 Microhard System, GlobalMed, Porto Alegre, Brazil). According to the American Thoracic Society guidelines,14 we standardized measurements of PImax close to functional residual capacity and PEmax close to total lung capacity. Both were measured 3 consecutive times, and the highest absolute value was registered.14 The results were presented as the percentage of predicted PImax and PEmax by taking into account the specific population of the country.15 Spirometry for lung function determination (Koko Legend, InSpire Health, Longmont, Colorado) was performed according to the American Thoracic Society guidelines.16 Classification of type and severity of lung disease was performed according to the Global Initiative for Chronic Obstructive Lung Disease guideline (2010).17 Briefly, (A) restrictive alterations were: FVC < 80% predicted and FEV1/FVC ≥ 0.7 with FEV1 > 80% of predicted values; (B) obstructive alterations were: FEV1 < 80% predicted and FEV1/FVC < 0.7; (C) mixed alterations were: FVC < 80% and FEV1 < 80% predicted and FEV1/FVC < 0.7.

Handgrip and quadriceps muscle strength were also assessed, by using dynamometers (Jamar [Sammons Preston Rolyan Inc, Bolingbrook, Illinois], and MicroFet2 [Hoggan Health, Utah], respectively). The subjects sat in a chair, with the hips and back in 90° of flexion, sufficient to maximally extend the knee for quadriceps force analysis in both limbs, and with 90° of elbow flexion and 0° hand extension for hand grip force analysis in both limbs. The results are presented as the average newtons of 3 maximum tests. The 6-min walk test was performed according to the American Thoracic Society Standards.18

Statistical Analysis

Statistical analysis was performed with standard software (Statistical Analysis System, SAS version 9.3, SAS Institute, Cary, North Carolina). The 2 groups of the study were defined according to the presence of inspiratory muscle weakness or no inspiratory muscle weakness. The presence of inspiratory muscle weakness was defined by PImax < 70% of the predicted value.4 Results of categorical variables were expressed as absolute numbers and percentages, and comparisons were performed by using the chi-square test. Continuous variables were expressed as mean ± SD or median (25%–75%) when appropriate, and comparisons were performed by using the unpaired t test or the Mann-Whitney test.

To detect associations between variables, we used the Pearson or the Spearman correlation coefficient, as appropriate, based on the distribution of the data. To determine predictors for inspiratory muscle weakness, we performed multivariate logistic regression analysis (stepwise method) by using, as a dependent variable, the dichotomous classification for inspiratory muscle weak: 1 as < 70% of predicted values) and normal was shown as 0. The other variables: body mass index, LVEF, systolic blood pressure (SBP), pack-years, brain natriuretic peptide, predicted FEV1, and predicted FVC. We calculated the probability of inspiratory muscle weakness as previously described.19 The receiver operating characteristic curve analysis was used to determine the accuracy for inspiratory muscle weakness classification. A level of P < .05 was considered statistically significant.

Results

Seventy subjects who were clinically stable entered the study. Thirty-six subjects (51%) had inspiratory muscle weakness. The inspiratory muscle weakness and the no inspiratory muscle weakness groups had a similar number of subjects with sinus rhythm (47% vs 68%, respectively), atrial fibrillation (30% vs 12%, respectively), and implantable cardioverter-defibrillator (22% vs 29%, respectively) (P = .12).

Demographic and clinical characteristics are presented in Table 1. The inspiratory muscle weakness and the no inspiratory muscle weakness groups had similar age, sex, body mass index, New York Heart Association functional class, etiology of chronic heart failure, physical activity level, use of medications, and comorbidities, with the exception of the proportion of subjects with pulmonary hypertension that was greater in the inspiratory muscle weakness group compared with the no inspiratory muscle weakness group (P = .03). There was a weak significant correlation between inspiratory muscle weakness and pulmonary hypertension (r = −0.26 and P = .03).

LVEF was lower in the inspiratory muscle weakness group compared with the no inspiratory muscle weakness group (P = .003), and the inspiratory muscle weakness group had greater mean left-ventricular end systolic volume (211 vs 129 mL, respectively; P = .02) and diastole (254 vs 212 mL, respectively; P = .01) compared with the no inspiratory muscle weakness group. The proportions of never smokers, ex-smokers, and current smokers between the 2 groups were similar. However, when taking into account smoking history, the inspiratory muscle weakness and the no inspiratory muscle weakness groups differed in the mean pack-years (P = .01), and in the mean number of cigarettes per day (P = .043).

In addition, the univariate analysis (Table 2) result was that subjects with inspiratory muscle weakness had lower systolic and diastolic blood pressures (P = .005 and
lower PEmax ($P_{\text{Emax}} = 0.02$), lower nondominant quadriceps muscle strength ($P = 0.02$), and impaired lung function ($P = 0.035$). However, when using the Global Initiative for Chronic Obstructive Lung Disease\(^1\) classification for diagnosis and staging of lung disease, there were no significant differences ($P = 0.17$) between the inspiratory muscle weakness and the no inspiratory muscle weakness groups in the number of subjects with normal lung function (8 vs 11 subjects, respectively), obstructive lung function (7 vs 11 subjects, respectively), restrictive lung function (19 vs 9 subjects, respectively), and mixed pulmonary alterations (2 vs 3 subjects, respectively).

No significant differences were found between the 2 groups with regard to health-related quality of life when using the Short-Form 36 Questionnaire and the Minnesota Living with Heart Failure Questionnaire. There were no significant differences in systemic inflammatory and cardiovascular biomarkers between the inspiratory muscle weakness and the no inspiratory muscle weakness groups (Table 3). However, brain natriuretic peptide concentrations were significantly higher in the inspiratory muscle weakness group compared with the no inspiratory muscle weakness group ($P = 0.02$).

Stepwise multivariate logistic regression analysis identified several independent risk factors for inspiratory muscle weakness (Table 4). The combination of 3 significant predictors (LVEF + pack-years + SBP) showed the area under the curve of 0.79 (Fig. 1), and we calculated the likelihood of inspiratory muscle weakness, as published by Pavlou et al\(^1\)\(^9\) by using beta estimates of logistic regression:

![Table 1. Demographic and Clinical Characteristics of the 70 Subjects With Chronic Heart Failure](image-url)
We investigated demographic and clinical characteristics as well as systemic inflammatory and/or cardiovascular disease biomarkers to identify predictors of inspiratory muscle weakness in subjects with systolic chronic heart failure. Higher LVEF and SBP increase the likelihood of normal inspiratory muscle strength, whereas increased smoking history (pack-years), and lower LVEF and SBP increased the likelihood of inspiratory muscle weakness. We determined a model of inspiratory muscle weakness prediction (area under the curve of 0.79) based on simple, noninvasive, and easy-to-obtain variables (LVEF, SBP, and pack-years). In this study, 51% of the subjects with chronic heart failure had inspiratory muscle weakness, which was in keeping with earlier reports.\(^5\),\(^{20}\),\(^{21}\) Besides a marked reduction in the percentage of predicted \(P_{\text{Emax}}\), the inspiratory muscle weakness group also showed a comparably reduced percentage of predicted \(P_{\text{Emax}}\), which has not been previously reported.

Skeletal muscle performance depends on several key factors, including perfusion, mass, composition, and energy metabolism among other factors.\(^22\) Among the many clinical parameters we examined, lower LVEF and blood pressure explained part of the mechanisms responsible for inspiratory muscle weakness. Systolic dysfunction reduces cardiac output and increases end-systolic and diastolic left ventricular volume, which results in increased pulmonary vascular pressure and congestion, and subsequent pulmonary hypertension. The reduction in SBP is likely to compromise respiratory muscle blood flow and perfusion because of a reduction in cardiac output.\(^{23-25}\) Increasing cardiac output has the potential to improve SBP, to decrease a ventilation-perfusion mismatch, and to improve respiratory muscle strength.

A reduction in type I skeletal muscle fibers contributes to poorer oxygen extraction and consumption that also limits skeletal muscle function and performance.\(^{26}\) In our study, peripheral limb muscle strength was less affected than the respiratory muscles. It seems that systolic dysfunction may have a preferential selective effect on the

### Discussion

**1. Risk coefficient (RC) = 6.0694 + (0.0315 × pack-years) − (0.1038 × LVEF) − (0.03 × SBP) and**

2. **Probability of inspiratory muscle weakness = (Exponential [RC])/(1 + Exponential [RC])**
skeletal muscles of the body with a primary negative effect on the respiratory muscles, followed by the lower limb muscles. This type of compartmentalization of muscle dysfunction in the diaphragm and lower limbs accompanied by preserved upper limb muscle function has been reported in subjects with COPD.27

In the subjects with chronic heart failure, results of study point out that an oxygen demand-delivery mismatch in the respiratory muscles may lead to a reduction in the perfusion reflex of the peripheral limb muscles, which features a respiratory metaboreflex.25 In the present study, quadriceps muscle strength was reduced in the nondominant limb

<table>
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<tr>
<th>Table 3. Results of Cardiovascular Disease and Inflammatory Biomarkers in 70 Subjects With Chronic Heart Failure</th>
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<tr>
<td>BNP, pg/mL</td>
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<td>Lactate, mmol/L</td>
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<td>GPT, ng/mL</td>
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<td>ADAMST–13, ng/mL</td>
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<td>GDF–15, ng/mL</td>
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<td>Myoglobin, ng/mL</td>
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<td>sVCAM–1, ng/mL</td>
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<td>EGF, ng/mL</td>
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Data are presented as median (range, 25–75% quartiles); univariate analysis by using the t test or the Mann-Whitney test.

BNP = brain natriuretic peptide
GOT = glutamic-oxaloacetate transaminase
GPT = glutamic-pyruvic transaminase
ADAMST–13 = von Willebrand factor-cleaving protease 13
GDF–15 = growth differentiation factor–15
sICAM–1 = soluble intercellular adhesion molecule–1
MPO = myeloperoxidase
NGAL = neutrophil gelatinase-associated lipocalin
sVCAM–1 = soluble vascular cell adhesion molecule–1
EGF = epidermal growth factor
TGF = transforming growth factor
GM-CSF = granulocyte-macrophage colony-stimulating factor
IFN = interferon
IL = interleukin
PImax = maximum inspiratory pressure
TNF = tumor necrosis factor
VEGF = vascular endothelial growth factor
in subjects with inspiratory muscle weakness and with no significant influence on the 6-min walk test distance. The lower limbs, such as the quadriceps and psoas muscles, have antigravitational postural activity, and they may be affected first during inactivity, rather than the upper limbs. In the upper limbs, we observed similar handgrip strength in the inspiratory muscle weakness and the no inspiratory muscle weakness groups, probably because our subjects had mild-to-moderate chronic heart failure (New York Heart Association functional class II and III), without a global myopathy. Reduced handgrip strength has been associated with increased frailty, morbidity, and mortality in subjects with advanced chronic heart failure.

Chronic heart failure alone alters lung compliance and leads to the development of mild obstructive and restrictive alterations in lung function, while reducing gas exchange and increasing work of breathing (via reduce compliance), airway obstruction, and mechanical airway impedance. In fact, we observed no significant differences in ventilatory patterns between the inspiratory muscle weakness and the no inspiratory muscle weakness groups. Of 70 subjects, 28 (40%) showed restrictive pulmonary alterations that was in line with other study that showed similar prevalence in subjects with chronic heart failure. The abnormal restrictive lung function is directly associated with heart failure severity because patients with cardiomegaly may have reduced total lung volume capacity.

Based on our results and others, one could argue that smoking status could be a confounding variable for inspiratory muscle weakness because it is a major risk factor in the development of COPD and ischemic cardiomyopathy. In the present study, although the subjects with inspiratory muscle weakness showed trends toward higher concentrations of some cardiovascular and inflammatory biomarkers, for instance, growth differentiation factor–15 (P = .64), serum neutrophil gelatinase-associated lipocalin (P = .29), soluble vascular cell adhesion molecule–1 (P = .17), IL-4 (P = .14), IL-8 (P = .40), and IL-10 (P = .17), no significant differences were found between the inspiratory muscle weakness and the no inspiratory muscle weakness groups, possibly explained by greater interindividual clinical variations associated with the number of subjects in each group as well as the similar proportion of subjects who were never smokers, ex-smokers, and current smokers in the two groups. Indeed, we found that pack-years smoked was significantly greater in the inspiratory muscle weakness group and was a key predic-

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Table 4. Results of Logistic Regression Analysis to Determine Risk Factors for Inspiratory Muscle Weakness

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<tr>
<th>Parameter</th>
<th>Odds Ratio</th>
<th>Wald 95% CI</th>
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<tbody>
<tr>
<td>Left-ventricular ejection fraction</td>
<td>0.90</td>
<td>0.81, 0.99</td>
</tr>
<tr>
<td>Pack-years</td>
<td>1.03</td>
<td>1.01, 1.06</td>
</tr>
<tr>
<td>Systolic blood pressure</td>
<td>0.97</td>
<td>0.94, 0.99</td>
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Fig. 1. Receiver operating characteristic curve analysis with left ventricular ejection fraction (LVEF), with LVEF plus pack-years and a model with the 3 predictors LVEF + pack-years + systolic blood pressure (SBP) for inspiratory muscle weakness discrimination.
tor of inspiratory muscle weakness. Analysis of these findings indicates that pack-years smoked represents a better measure of possible damage and disease imposed from smoking compared with smoking status alone.

We found pulmonary hypertension in 46% of the subjects with chronic heart failure in our study, similar to previous descriptions of 26 to 80%. Pulmonary hypertension can contribute to respiratory muscle weakness by increasing dead space and impairing alveolar gas exchange, which results in inefficient ventilation. In the present study, the proportion of subjects with pulmonary hypertension was significantly greater in the inspiratory muscle weakness group, with 2 subjects with pulmonary hypertension in the inspiratory muscle weakness group for every 1 subject with pulmonary hypertension in the no inspiratory muscle weakness group.

Furthermore, we found an inverse correlation between inspiratory muscle strength and pulmonary hypertension; however, pulmonary hypertension was not relevant in the multivariate model that predicts inspiratory muscle weakness. The subjects with pulmonary hypertension have been found to have marked inspiratory muscle weakness that seems to be just as amenable to inspiratory muscle training, as in subjects with systolic chronic heart failure. Robust data have demonstrated the important role that inspiratory muscle training has in improving many of the pathophysiologic manifestations associated with chronic heart failure, including peak oxygen consumption, ventilatory efficiency, 6-min walk distance, and even pulmonary artery pressure. Thus, subjects suspected to have inspiratory muscle weakness based on pack-years smoked, LVEF, and SBP should be examined for inspiratory muscle weakness and be provided inspiratory muscle training if inspiratory muscle weakness exists. Reducing inspiratory muscle weakness has the potential to improve many of the deleterious effects of chronic heart failure.

Our study had limitations. We did not measure the peak or the maximum oxygen consumption, which is an accepted standard for testing cardiopulmonary capacity assessment in chronic heart failure. However, we used other clinically meaningful functional capacity tests, including PImax and Pepeak, peripheral skeletal muscle strength, a measure (6-min walk test), and lung function. Studies report that maximum respiratory pressures significantly correlate with peak oxygen consumption in subjects with chronic heart failure. The 6-min walk test can also determine the maximum exercise capacity in patients with debilitated chronic heart failure. Also, we did not measure respiratory muscle endurance.

Muscle function is characterized by strength and endurance, and the loss of either one can result in muscle weakness and impaired performance. However, there is evidence that the degree of dyspnea in subjects with chronic heart failure is not correlated with respiratory muscle fatigability but rather with muscle strength. An additional concern is that the optimum cutoff point for PImax used to determine inspiratory muscle weakness would be lower in subjects administered β-blockers and higher in subjects administered angiotensin-converting enzyme inhibitors because these agents seem to preserve actin myosin crossbridge properties of the diaphragm. However, there were no significant differences in the use of β-blockers (variation between 97% and 100%) and angiotensin-converting enzyme inhibitors (variation between 59% and 61%) between the 2 groups of subjects.

Conclusions

Among a variety of demographic, clinical, functional capacity, and inflammatory and/or cardiovascular diseases biomarkers, we determined a clinical model with simple, noninvasive, and easy-to-obtain variables (LVEF, SBP, and pack-years) that combined predicted inspiratory muscle weakness in subjects with chronic heart failure, New York Heart Association functional class II and III. These results provided important findings to assist in the clinical decision making and management of patients with chronic heart failure. Inspiratory muscle training may represent a key strategy in the treatment of patients with chronic systolic chronic heart failure when inspiratory muscle weakness exists.

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REFERENCES


