

AARC Clinical Practice Guideline: Management of Adult Patients with Tracheostomy in the Acute Care Setting

Constance C Mussa, Dina Gomaa, Daniel D Rowley, Ulrich Schmidt, Emily Ginier, and Shawna L Strickland

Introduction
 Committee Composition
 Search Strategy
 Study Selection
 Assessment and Recommendations
 Development of Recommendations
 Tracheostomy Bundle
 Tracheostomy Teams
 Protocol-Directed Care
 Summary

Management of patients with a tracheostomy tube includes many components of care provided by clinicians from various health care disciplines. In recent years, clinicians worldwide have demonstrated a renewed interest in the management of patients with tracheostomy due to the recognition that more effective and efficient management of this patient population is necessary to decrease morbidity and mortality and to optimize the value of the procedure. Commensurate with the goal of enhancing the care of patients with tracheostomy, we conducted a systematic review to facilitate the development of recommendations relevant to the care of adult patients with tracheostomy in the acute care setting. From our systematic review, clinical practice guidelines were developed to address questions regarding the impact of tracheostomy bundles, tracheostomy teams, and protocol-directed care on time to decannulation, length of stay, tracheostomy-related cost, tracheostomy-related adverse events, and other tracheostomy-related outcomes in tracheostomized adult patients in the acute care setting. Using a modification of the RAND/UCLA Appropriateness Method, 3 recommendations were developed to assist clinicians with tracheostomy management of adult patients in the acute care setting: (1) evidence supports the use of tracheostomy bundles that have been evaluated and approved by a team of individuals experienced in tracheostomy management to decrease time to decannulation, tracheostomy-related adverse events, and other tracheostomy-related outcomes, namely, improved tolerance of oral diet; (2) evidence supports the addition of a multidisciplinary tracheostomy team to improve time to decannulation, length of stay, tracheostomy-related adverse events, and other tracheostomy-related outcomes, namely, increased speaking valve use; (3) evidence supports the use of a weaning/decannulation protocol to guide weaning and removal of the tracheostomy tube to improve time to decannulation. *Key words:* tracheostomy; tracheostomy care; tracheostomy team; tracheostomy bundle; tracheostomy protocol. [Respir Care 0;0(0):1–●. © 0 Daedalus Enterprises]

TRACHEOSTOMY MANAGEMENT GUIDELINES

Introduction

A tracheostomy is commonly performed in critically ill patients because it potentially confers several benefits over prolonged endotracheal intubation, including airway stability, facilitation of oral intake, increased comfort, and, possibly, facilitation of weaning.¹⁻⁸ Tracheostomy is one of the most common surgical procedures performed in mechanically ventilated, critically ill, adult patients. Between 1993 and 2012, 9.1% of adult patients ($n = 1,352,432$) requiring mechanical ventilation received a tracheostomy.⁹ A 2013 review of the University Health System Consortium database of patients cared for between 2002 and 2008 revealed that, of 44,124 acute respiratory failure subjects, 4,776 (10.8%) underwent tracheostomy.¹⁰ The analysis also revealed that patients with tracheostomy, compared to patients without tracheostomy, had higher morbidity. The care of patients with tracheostomy is costlier because of their longer hospital stay and because they are commonly discharged to a long-term facility.

Halum and colleagues¹¹ investigated the long-term outcomes of critically ill patients with ages ranging from 15 to 93 y and reported that subjects with tracheostomy had higher mortality (32.1%) compared with subjects who did not receive a tracheostomy (15.4%). It has been reported that 7% of patients with tracheostomy will suffer an adverse event, and that 19.7% will not survive to discharge.¹² Mehta et al⁹ reported that “even patients who survive the first year after tracheostomy have remarkably poor outcomes, with multiple readmissions to acute care hospitals.”

Adult patients with tracheostomy consume a disproportionate amount of health care resources.¹³ Providing cost-

effective, high-quality care for patients with tracheostomies is a complex undertaking that can be challenging due to multiple factors, including the nature and severity of disease, patient age, the use of an extensive assortment of tracheostomy tubes, and variations in the skill level of care providers. Effective and efficient management of patients with tracheostomy is necessary to prevent morbidity and mortality and to reduce the cost of care in this patient population. We conducted a systematic review of peer-reviewed literature to develop recommendations that could enhance the care of adult patients in the acute care setting with tracheostomy tubes in situ. The clinical practice guidelines that were developed from this systematic review are centered around the following questions relevant to the management of adult patients in the acute care setting:

1. Does the use of a tracheostomy bundle impact time to decannulation, length of stay (LOS), tracheostomy-related cost, and tracheostomy-related adverse events in tracheostomized adult patients in the acute care setting?
2. Does the use of tracheostomy teams impact time to decannulation, LOS, tracheostomy-related cost, and tracheostomy-related adverse events in tracheostomized adult patients in the acute care setting?
3. Does protocol-directed care impact time to decannulation, LOS, tracheostomy-related cost, and tracheostomy-related adverse events in tracheostomized adult patients in the acute care setting?

Committee Composition

A committee was selected by American Association for Respiratory Care (AARC) leadership based on their known experience related to the topic, interest in participating in the project, and commitment to the process details. The committee first met face-to-face, where they were introduced to the process of developing clinical practice guidelines. At that time, the committee selected a chair and wrote a first draft of questions in a format that directly related to the patient, intervention, comparison, and outcome (PICO). Subsequent meetings occurred as needed by conference call and included AARC staff as needed. Frequent email communications occurred among committee members and AARC staff. The committee members received no remuneration for their participation in the process, though their expenses for the face-to-face meeting were covered by the AARC.

Search Strategy

A literature search was conducted using the PubMed, CINAHL via EBSCOhost, and the Scopus.com databases

Dr Mussa is affiliated with Point of Light Consulting, Brooklyn, New York. Ms Goma is affiliated with the Department of Surgery, Division of Trauma/Critical Care, University of Cincinnati, Cincinnati, Ohio. Mr Rowley is affiliated with Pulmonary Diagnostics & Respiratory Therapy Services, University of Virginia Medical Center, Charlottesville, Virginia. Dr Schmidt is affiliated with the Department of Anesthesiology, University of California San Diego, San Diego, California. Ms Ginier is affiliated with the Taubman Health Sciences Library, University of Michigan, Ann Arbor, Michigan. Dr Strickland is affiliated with the American Association for Respiratory Care, Irving, Texas.

Supplementary material related to this paper is available at <http://www.rcjournal.com>.

Mr Rowley has disclosed relationships with Draeger, Philips, and Mallinckrodt. Dr Strickland is an executive staff member of the American Association for Respiratory Care. The remaining authors have disclosed no conflicts of interest.

Correspondence: Constance C Mussa PhD RRT. Email: ccmussa@pointoflightconsulting.org.

DOI: 10.4187/respcare.08206

TRACHEOSTOMY MANAGEMENT GUIDELINES

for studies on tracheostomy care in hospitalized adult patients. The search strategies used a combination of relevant controlled vocabulary (ie, Medical Subject Headings and CINAHL Headings) and keyword variations that related to tracheostomy care and techniques, hospitalization, and outcomes. The searches were limited to English-language studies about human populations. The searches were also designed to filter out citations indexed as commentaries, editorials, interviews, news, or reviews. No date restrictions were applied to the searches. Refer to the online supplement for the complete search strategy executed in each database (see the supplementary materials at <http://www.rcjournal.com>). Duplicate citations were identified and removed using EndNote X7 citation management software (Clarivate Analytics, Philadelphia, Pennsylvania).

Study Selection

Two reviewers independently assessed study eligibility in the Covidence systematic review software (Melbourne, Australia). Inclusion criteria used to assess eligibility were: (1) tracheostomy and (2) adult population. The exclusion criteria used were: (1) not tracheostomy care, (2) non-clinical topic, (3) pediatric population, (4) endotracheal tube, (5) intubated patients, (6) laryngectomy, (7) case study, and (8) not empirical research (eg, theory or opinion articles).

Assessment and Recommendations

The search strategies retrieved 1,457 articles. After the removal of duplicates, 1,117 articles remained for screening, of which 1,000 were excluded at the title and abstract level. Of the remaining 117 articles, 96 were excluded following full text review against the inclusion and exclusion criteria. During the extraction phase, 4 additional articles were excluded. A total of 17 articles were included in this systematic review (Fig. 1). Of these studies, 10 focused on the use of tracheostomy teams,¹⁴⁻²³ 5 focused on the use of a tracheostomy weaning/decannulation protocol,²⁴⁻²⁸ 1 study focused on the use of a tracheostomy-related acquired pressure ulcer (TRAPU) bundle,²⁹ and 1 study focused on a tracheostomy management bundle.³⁰ Tables 1–3 present the key details from the 17 included studies, and Table 4 provides a summary of the key findings for each PICO question addressed in this review.

T1-3
T4

Risk of bias for most of the studies (no. = 16) was ranked as low or moderate at the quality assessment; only 1 study was ranked as high. The most common limitations to the quality of the studies were small sample size, retrospective study design, inadequate description of study subjects and procedures, and weakness in statistical methodology.

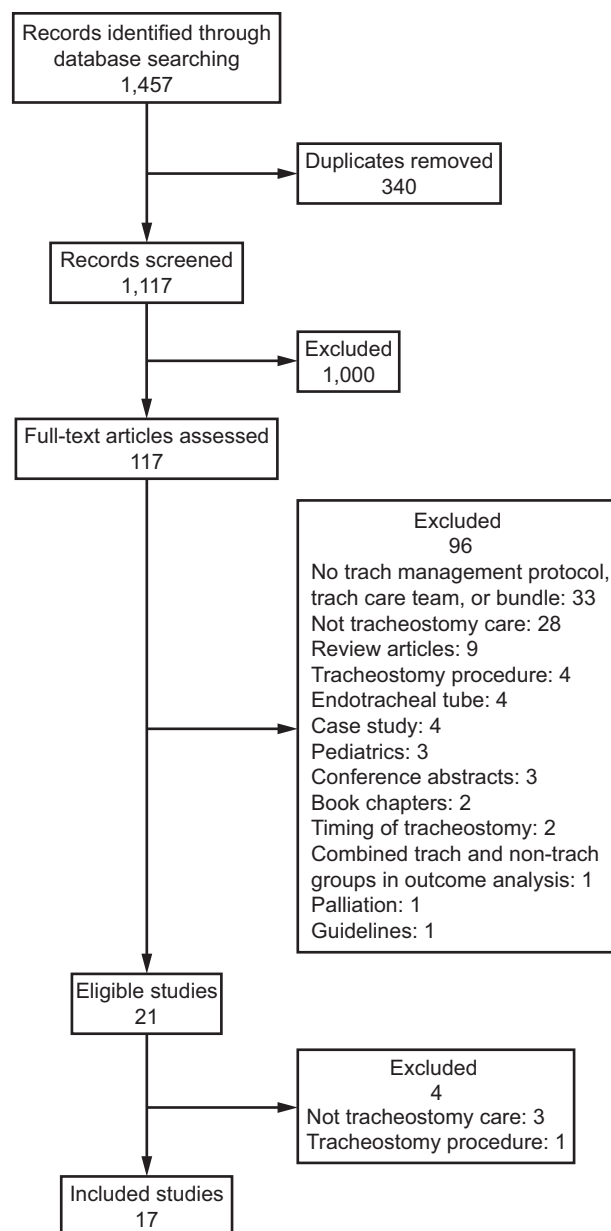


Fig. 1. Flow chart.

Development of Recommendations

It is recognized that a process is necessary to combine the best available evidence with the collective experience of committee members. To achieve this, a modification of the RAND/UCLA Appropriateness Method³¹ was used. The literature was collapsed into evidence tables according to PICO question. Individual panel members were assigned the task of writing a systematic review of the topic, drafting 1 or more recommendations, and suggesting the level of evidence supporting the recommendation: (A) convincing scientific evidence based on randomized controlled trials of sufficient rigor; (B)

TRACHEOSTOMY MANAGEMENT GUIDELINES

Table 1. Observational Studies of Outcomes in Tracheostomized Adult Subjects in the Acute Care Setting Managed With a Tracheostomy Care Bundle

Study	Study Design	Interventions	Subjects, <i>n</i>	Outcomes, <i>n</i> (%)	Main Findings
Mah et al ³⁰	Prospective cohort	Trach care bundle consisting of 4 parts: (1) an electronic postoperative order set with automated RT and SLP consults, (2) a trach tracking and following system, (3) an RT-driven decannulation protocol, and (4) a formal rounding process by TCT	G1: pre-tracheostomy service: 61 G2: tracheostomy service alone: 124 G3: tracheostomy service + post-tracheostomy bundle: 204	Rates of decannulation before discharge: G1: 5 (8.2) G2: 18 (14.5) G3: 54 (26.0), <i>P</i> = .002 Tolerance of oral diet before discharge: G1: 15 (24.6) G2: 44 (35.5) G3: 96 (46.4), <i>P</i> = .005 Length of stay, d* G1: 38.0 (29.5-63.0) G2: 36.0 (28.0) G3: 35.5 (28.0-48.0), <i>P</i> = .35 TRAPU events G1: 20 (10.9) G2: 2 (1.3), <i>P</i> < .003	Tracheostomy bundle plus tracheostomy service improved decannulation rate and tolerance of feeding before discharge compared to tracheostomy service without the tracheostomy bundle.
O'Toole et al ²⁹	Pretest/posttest	TRAPU bundle	G1: Pre-TRAPU bundle: 183 G2: Post-TRAPU bundle: 155		Adoption of a TRAPU care bundle at a tertiary care institution resulted in a significant reduction in the incidence of hospital-acquired tracheostomy-related pressure ulcers.

* Median (interquartile range).

trach = tracheostomy

RT = respiratory therapy

SLP = speech-language pathology

TCT = trach care team

G*n* = study group *n*

TRAPU = tracheostomy-related acquired pressure ulcer

TRACHEOSTOMY MANAGEMENT GUIDELINES

Table 2. Observational Studies of Outcomes in Tracheostomized Adult Subjects in the Acute Care Setting Managed With a Tracheostomy Care Team

Study	Study Design	Interventions	Subjects, <i>n</i>	Outcomes	Main Findings
Arora et al ¹⁴	Pretest/posttest	Weekly ENT-led MDT trach team	G1: pre-TMDT ward rounds: 79 G2: TMDT ward rounds: 10	Mean time to decannulation, d: G1: 21.1 G2: 5.4, <i>P</i> < .001 Mean total trach time, d: G1: 33.9 G2: 23.8, <i>P</i> = .13 Compliance with trach care bundle, %: G1: 58 G2: 94 LOS, d*: G1: 60 (38–106) G2: 41.5 (29–62), <i>P</i> = .03 Duration of cannulation, d**: G1: 22.5 (17–58) G2: 16.5 (12–25), <i>P</i> = .08 Speaking valve use, % G1: 35 G2: 82, <i>P</i> < .01 Trach-related emergencies, <i>n</i> G1: 2 G2: 0	Implementation of weekly TMDT ward round resulted in increased compliance with tracheostomy care bundle. Decrease in mean decannulation time following discharge from ICU to ward.
Cameron et al ¹⁵	Matched-pairs design with 2 cohorts, before and after intervention	TRAMS introduced as a consultative team that coordinated trach care twice a week, and provided policy, education, and support	G1: pre-TRAMS period: 34 G2: post-TRAMS period: 34		Implementing a TRAMS improved outcomes of spinal cord injury patients; patients left acute care sooner, spoke sooner, and the tracheostomy tube was removed earlier, with associated cost savings. Annual cost savings from implementing TRAMS were about 8 times greater.
Cetto et al ¹⁶	Pretest/posttest	TMDT with task-based checklist	G1: pre-intervention of TMDT: 102 G2: post-intervention of TMDT: 95	Number of clinical incidents in 2nd year after intervention: G1: 58 G2: 7 Mean time to decannulation following ICU discharge, d G1: 21 G2: 11 Mean total trach time, d G1: 34 G2: 25 Mean ICU LOS, d G1: 19.16 G2: 14.14, <i>P</i> = .08	A multidisciplinary care model significantly expedited the decannulation process, reduced the total time a tracheostomy tube was in situ, substantially reduced clinical incidents, and shortened ICU LOS.

(Continued)

TRACHEOSTOMY MANAGEMENT GUIDELINES

Table 2 Continued

Study	Study Design	Interventions	Subjects, <i>n</i>	Outcomes	Main Findings
de Mestral et al ¹⁷	2 cohorts before and after intervention	TMDT meeting twice a week for rounds on patients who went from ICU to medical or surgical ward	G1: preservice: 32 G2: post-service: 54	Mean downsizing time, d G1: 26.0 G2: 9.4, <i>P</i> = .23 Decannulation time, d G1: 50.4 G2: 28.4, <i>P</i> = .91 Speaking valve use, % G1: 19.4 G2: 67.4, <i>P</i> < .001 Tube blockage, % G1: 25.0 G2: 5.5, <i>P</i> = .02 Calls for respiratory distress, % G1: 37.5 G2: 16.7, <i>P</i> = .039	Care provided by specialized TMDT reduced tracheostomy-related complications and increased use of a speaking valve.
LeBlanc et al ¹⁸	Retrospective study with historical controls	TMDT	G1: 27 G2: 34	Mean time to decannulation, d: G1: 41.93 (27.08) G2: 35.44 (19.91), <i>P</i> = .29 Mean LOS, d: G1: 107.8 (83.36) G2: 69.94 (42.42), <i>P</i> = .03 Speaking valve use: G1: 33 G2: 71, <i>P</i> = .04 Extended Glasgow coma scale: G1: 3 G2: 4, <i>P</i> = .09	Implementation of tracheostomy team was associated with decreased LOS and more frequent use of speaking valve.
Norwood et al ¹⁹	Prospective observational with historic control	Tracheostomy specialty team	G1: 51 G2: 119	Discharge to ward with tracheostomy tube, % G1: 39 G2: 17.6, <i>P</i> = .006 Trach-related complications on floor G1: 4 (2 deaths) G2: 0, <i>P</i> = .003 Mean time to decannulation, d G1: 18.96 G2: 18.5 Mean LOS, d:	Significant reduction of patients with tracheostomy in place transferred; fewer tracheostomy-related complications on floor.
Parker et al ²⁰	Pretest/posttest	Trach care team			

(Continued)

TRACHEOSTOMY MANAGEMENT GUIDELINES

Table 2 Continued

Study	Study Design	Interventions	Subjects, <i>n</i>	Outcomes	Main Findings
Sodhi et al ²¹	Pretest/posttest	Specialist trach care nurse team	G1: Pre-trach care team: 41 G2: Post-trach care team: 75	G1: 50 G2: 27, <i>P</i> < .001 Trach-related adverse events, <i>n</i> (%): G1: 6 (32) G2: 18 (24) Trach-related adverse events, <i>n</i> (%): G1: 28 (34.1) G2: 7 (6.5), <i>P</i> < .001 Mean ± SD LOS, d: G1: 36 ± 36.88 G2: 26.9 ± 17.55, <i>P</i> = .03 Time to decannulation, d*: G1: 14 (7-31) G2: 7 (3-17), <i>P</i> < .01 LOS, d*: G1: 43 (29-73) G2: 34.5 (26-53), <i>P</i> < .05 Mean time to decannulation, d: G1: 27.3 (32.1) G2: 31.8 (26.5), <i>P</i> = .62 Mean time from tracheostomy insertion to SLP (swallowing) referral, d: G1: 51.8 (37.2) G2: 26.3 (26.3), <i>P</i> = .01	Tracheostomy team approach resulted in significant reductions in survivors' mean hospital LOS (from 50 d to 27 d); no statistically significant reduction in adverse events resulted from implementation of the team approach. Implementation of a specialist tracheostomy care nurse team decreased complication rates and ICU readmissions, increased the number of decannulations, and reduced the average LOS.
Tobin and Santamaria ²²	Case-control	Tracheostomy care provided by multidisciplinary team	G1: Pre-TMDT G2: Post-TMDT		Implementation of a tracheostomy team was associated with reduced time to decannulation and reduced hospital LOS.
Welton et al ²³	Case-control	TMDT with tracheostomy management protocol	G1: Pre- tracheostomy team: 20 G2: Post-tracheostomy team: 24		There was no difference in time to tracheostomy tube decannulation pre- and post-tracheostomy team implementation. However, there was marked improvement in the initial SLP referral times after tracheostomy insertion.

* Median (interquartile range).

ENT = ears/nose/throat (otolaryngology) service

MDT = multidisciplinary team

TMDT = tracheostomy multidisciplinary team

Gr = study group *n*

TRAMS = tracheostomy review and management service

LOS = length of stay

SLP = speech-language pathology

TRACHEOSTOMY MANAGEMENT GUIDELINES

Table 3. Observational Studies of Outcomes in Tracheostomized Adult Subjects in the Acute Care Setting Managed With a Tracheostomy Management Protocol

Study	Study Design	Interventions	Subjects, <i>n</i>	Outcomes	Main Findings
Clifford and Spencer ²⁴	Pretest/posttest	Tracheostomy weaning protocol	G1: pre-tracheostomy weaning protocol: 61 G2: post-tracheostomy weaning protocol: 124	Mean time to decannulation, d: G1: 4.20 (4.23) G2: 2.85 (1.35), <i>P</i> = .18	Time from intubation to extubation was less in the intervention group than in the control group with a reduction in time to decannulation of 1.35 d, but this difference was not statistically significant.
Frank et al ²⁵	Retrospective chart review	Multidisciplinary swallowing and weaning protocol	G1: 12 G2: 35	Mean time to decannulation, d: G1: 94.7 (60) G2: 48.2 (51.6), <i>P</i> = .02 Decannulation rate, <i>n</i> (%): G1: 10 (83.3) G2: 33 (94.3)	Multidisciplinary protocol was associated with earlier decannulation in dysphagic patients.
Smith et al ²⁶	Prospective cohort	Decannulation protocol	G1: Baseline cohort G2: Pilot cohort G3: Follow-up cohort	Mean time to decannulation, d: G1: 15.5 (12.1) G2: 15.7 (2.8), <i>P</i> < .001 G3: 8.1 (7.01), <i>P</i> = .003 Tracheostomy adverse events, <i>n</i> (%): G1: 7 (27) G2: 4 (19) G3: 5 (13)	Decannulation protocol consistently decreased the time to decannulation and had a sustainable impact in clinical practice over time; use of the protocol was not associated with any increase in adverse events.
Thompson-Ward et al ²⁷	Retrospective cohort	Tracheostomy weaning protocol	G1: Tracheostomy management protocol with capping and routine downsizing used in the decannulation processes: 140 G2: Tracheostomy management protocol without capping and routine downsizing used in the decannulation process: 128	Mean time to decannulation, d: G1: 30 (22.70) G2: 25 (17.04), <i>P</i> < .05	Tracheostomy management protocol that did not include capping and routine downsizing in the decannulation assessment process resulted in reduced time to tracheostomy tube decannulation compared to subjects who were managed with a tracheostomy tube management protocol that included capping in the assessment for tracheostomy tube downsizing.

(Continued)

TRACHEOSTOMY MANAGEMENT GUIDELINES

Table 3. Continued

Study	Study Design	Interventions	Subjects, <i>n</i>	Outcomes	Main Findings
Warnecke et al ²⁸	Repeated-measures prospective observational cohort	Swallow evaluation protocol	Tx1: clinical swallow evaluation Tx2: fiberoptic endoscopic evaluation of swallowing protocol: 100	Decannulation rate, <i>n</i> (%) Tx1: 29 (29) Tx2: 54 (54)	Tracheostomy tube decannulation decisions based on fiberoptic endoscopic evaluation of swallowing protocol resulted in more subjects being decannulated compared to decisions to decannulate based on the clinical swallow evaluation protocol.

Gn = study group *n*
Tx = treatment

weaker scientific evidence based on lower levels of evidence such as cohort studies, retrospective studies, case-control studies, and cross-sectional studies; (C) based on the collective experience of the committee.

Committee members reviewed the first draft of evidence tables, systematic reviews, recommendations, and evidence levels. Each committee member rated each recommendation using a Likert scale of 1–9, with 1 meaning expected harms greatly outweigh the expected benefits and 9 meaning expected benefits greatly outweigh the expected harms. The ratings were returned to the committee chair. The first ratings were done with no interaction among committee members. A conference call was convened, during which the individual committee ratings were discussed. Particular attention was given to any outlier scores and the justification. Recommendations and evidence levels were revised with input from the committee members. After discussing each PICO question, committee members re-rated each recommendation. The final median and range of committee members' scores are reported. Strong agreement required that all committee members rank the recommendation 7 or higher, whereas weak agreement meant that one or more committee members ranked the recommendation below 7, but the median vote was at least 7. For recommendations with weak agreement, the percentage of committee members who rated 7 or above was calculated and reported after each weak recommendation. Figure 2 illustrates the process flow the panel used to rate the appropriateness and quality of the literature selected through the search process.

Drafts were distributed among committee members in several iterations. When all committee members were satisfied, the document was submitted for publication. The clinical practice guidelines were subjected to peer review before final publication.

Tracheostomy Bundle

Patients with tracheostomies are medically complex and require integrated care from several different health care professionals. To streamline the care of multiple providers, care bundles have been introduced. A care bundle consists of 3–5 components that describe structured approaches to providing care to a specific patient population (<http://www.ih.org/topics/bundles/pages/default.aspx>, accessed June 2, 2020). Care bundles have become commonplace because their use has demonstrated improvement in both care processes and outcomes. For example, discharge care bundles for patients with COPD result in fewer hospital readmissions,³² and specific ventilator bundle components are associated with improved outcomes.³³

Despite this evidence, a review of the relevant literature yielded only 1 observational study of a tracheostomy care bundle, which consisted of 4 structured approaches to

TRACHEOSTOMY MANAGEMENT GUIDELINES

Table 4. Summary of Recommendations for Each PICO Question

PICO Question	Summary of Recommendations
1. Does the use of a tracheostomy bundle impact time to decannulation, length of stay, tracheostomy-related cost, and tracheostomy-related critical incidents in tracheostomized adult patients in the acute care setting?	Evidence supports the use of tracheostomy bundles that have been evaluated and approved by a team of subject-matter experts for tracheostomized adult patients in the acute care setting (Evidence level B; median appropriateness score 7, range 6–8).
2. Does the use of tracheostomy teams impact time to decannulation, length of stay, tracheostomy-related cost, and tracheostomy-related critical incidents in tracheostomized adult patients in the acute care setting?	Evidence supports the addition of a multidisciplinary tracheostomy team to the management strategy of tracheostomized adult patients in the acute care setting (Evidence level B; median score 7, range 5–8).
3. Does protocol-directed care impact time to decannulation, length of stay, tracheostomy-related cost, and tracheostomy-related adverse events in tracheostomized adult patients in the acute care setting?	Evidence supports the use of a protocol to guide weaning and removal of the tracheostomy tube in tracheostomized adult patients in the acute care setting (Evidence level B; median appropriateness score 8, range 8).

PICO = patient, intervention, comparison, outcome

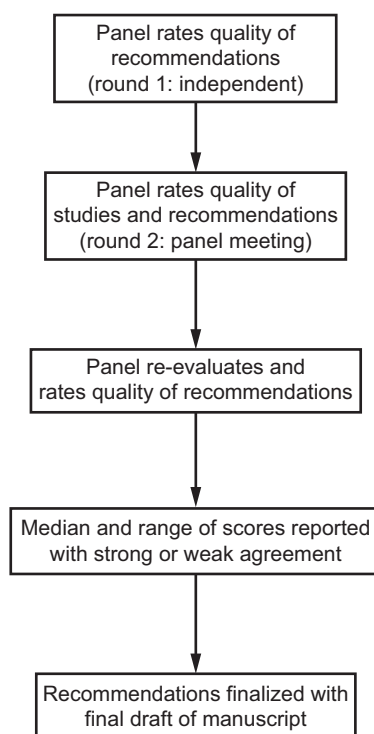


Fig. 2. Literature appraisal process.

providing care for patients with tracheostomies.³⁰ This study focused on 3 tracheostomy-related outcomes: rate of decannulation, hospital LOS, and tolerance of oral diet before discharge.

Tracheostomy-related outcomes are important consequences of having a tracheostomy tube in situ and include time to decannulation, tracheostomy-related adverse events, tracheostomy-related health care cost, hospital LOS, tracheostomy-related pressure injury, time to oral intake, and

time to communication. Only 2 studies, which were observational in nature, have addressed this question (Table 1).

Using a prospective cohort study, Mah et al³⁰ compared the decannulation rate, tolerance of oral diet, and hospital LOS between a retrospective control group ($n = 61$), a group of subjects with tracheostomy whose care involved an intensivist-led tracheostomy service (tracheostomy service group, $n = 124$), and a group of subjects with tracheostomy whose care involved using a tracheostomy bundle that consisted of an electronic postoperative tracheostomy order set, a tracking and following system, a decannulation protocol, and tracheostomy rounds by the intensivist-led tracheostomy service (post-tracheostomy care bundle group, $n = 208$). The researchers noted that the decannulation rate before discharge (26.0%) was significantly higher for the post-tracheostomy bundle group than the decannulation rates for the tracheostomy service group (14.5%) and the control group (8.2%) ($P = .002$). Additionally, the proportion of subjects in the post-tracheostomy bundle group that tolerated an oral diet before discharge (46.4%) was significantly higher than the proportion of subjects in the tracheostomy service (35.5%) or control groups (24.6%) ($P = .005$). There was no significant difference in the median hospital LOS between the 3 groups of subjects. Because this observational study of 393 subjects was conducted in a single institution and the severity of illness and duration of mechanical ventilation was different between groups, it is unclear whether these results can be generalized.

Over the past decade, the awareness of medical device-related pressure injury has increased, as have recommendations for preventive measures for reducing pressure injury caused by respiratory care devices, such as noninvasive positive pressure device interfaces and endotracheal tubes.^{34,35} Commensurate with the increasing vigilance in

TRACHEOSTOMY MANAGEMENT GUIDELINES

this area, O'Toole and colleagues²⁹ investigated the association between a TRAPU bundle and hospital-acquired tracheostomy-related pressure ulcers using a pretest/posttest study of 338 subjects. The bundle used in the study consisted of 4 components: (1) placement of a hydrocolloid dressing underneath the tracheostomy flange in the postoperative period, (2) removal of plate sutures within 7 d of the tracheostomy procedure, (3) placement of a polyurethane foam dressing after suture removal, and (4) neutral positioning of the head. The researchers reported a significant reduction in the rate of hospital-acquired tracheostomy-related pressure ulcers in the post-TRAPU care bundle group (1.3%) compared to the pre-TRAPU care bundle group (10.9%) ($P < .001$).

Given the benefits of using a structured approach to provide unique care to each patient, evidence supports the use of tracheostomy bundles that have been evaluated and approved by a team of individuals experienced in tracheostomy management for tracheostomized adult patients in the acute care setting (Evidence level B; median appropriateness score 7, range 6–8). This approach has been shown to decrease time to decannulation, decrease tracheostomy-related adverse events, and improve other tracheostomy-related outcomes, namely, tolerance of oral diet.

Tracheostomy Teams

The most rigorous assessment of the evidence regarding the use of tracheostomy teams in the management of patients with tracheostomy tubes was performed by Speed and Harding³⁶ via a systematic review and meta-analysis. Because no randomized controlled trials had been conducted to investigate this issue, the researchers were able to include only 7 observational studies (pretest/posttest cohort design), which were of low to moderate quality.^{15-20,22} All 7 studies were single-center studies, and the composition of the tracheostomy team as well as the responsibilities and activities of the tracheostomy team were different across the studies. After the publication of this meta-analysis, 2 observational studies^{21,23} that focused on the use of a tracheostomy team were published. The study by Arora and colleagues¹⁴ was not included in the meta-analysis. These 3 additional observational studies were determined to be of low to moderate quality.

The outcomes on which the meta-analysis by Speed and Harding³⁶ focused were limited to time to decannulation and hospital LOS. However, other clinically important tracheostomy-related outcomes were reported in the meta-analysis. The results of the meta-analysis indicated that tracheostomy teams were associated with reductions in total tracheostomy time and increased speaking valve use. The authors cited insufficient evidence to determine the effect of tracheostomy teams on hospital or ICU LOS. Of the 10

studies¹⁴⁻²³ (all observational) that focused on the use of tracheostomy teams, 8 reported time to decannulation as an outcome,^{14-19,22,23} and 3 of these 8 studies^{14,16,22} reported a significant decrease in time to decannulation in subjects managed by a tracheostomy team. Five studies reported LOS as an outcome,^{15,18,20-22} and these found significantly decreased LOS in subjects managed by a tracheostomy team; 1 study reported on ICU LOS, with no significant difference in ICU LOS in subjects managed by a tracheostomy team.¹⁶ Three studies^{15,17,18} reported speaking valve use as an outcome, with a significant increase in the use of speaking valves after tracheostomy team implementation. Six studies^{15-17,19-21} reported tracheostomy-related complications or adverse clinical events as an outcome, and all 6 studies reported significantly less adverse clinical outcomes in subjects managed by a tracheostomy team. One study reported tracheostomy-related cost,¹⁵ and 1 study reported tracheostomy tube downsizing time¹⁷ (Table 2).

The composition of the multidisciplinary tracheostomy team, role of team members, and team responsibilities varied for each study. In the 2 North American studies by de Mestral et al¹⁷ and Welton et al,²³ a respiratory therapist was a member of the multidisciplinary team that included a physician and a speech-language pathologist. However, in other countries such as Australia and England, where respiratory therapists are unavailable, the multidisciplinary teams may consist of a combination of one or more physicians from different specialties (eg, otolaryngology, pulmonary, critical care), one or more nurses, a speech-language pathologist, a respiratory physiotherapist, a dietitian, and a social worker. Sodhi and colleagues²¹ used specially trained staff nurses for the specialized tracheostomy team.

Evidence supports the addition of a multidisciplinary tracheostomy team to the management strategy of tracheostomized adult patients in the acute care setting (Evidence level B; median score 7, range 5–8). A multidisciplinary tracheostomy team can decrease time to decannulation, LOS, and tracheostomy-related adverse events; this approach can also improve other tracheostomy-related outcomes, namely, increase in speaking valve use. The composition of the tracheostomy team should follow local custom.

Protocol-Directed Care

The use of evidence-based protocols in health care has become widely accepted, and respiratory therapists are expected to be highly proficient in the application of protocols to improve the quality of the care they provide. With regard to patients with tracheostomies, the inherent complexity of providing safe, efficacious care for them merits the use of evidence-based protocols. A review of the literature yielded a total of 5 studies²⁴⁻²⁸ that focused on the use

TRACHEOSTOMY MANAGEMENT GUIDELINES

of protocols in the management of tracheostomized adult patients in the acute care setting. All 5 studies were observational and were judged to be of low to moderate quality. Three of the studies used a weaning/decannulation protocol,^{24,26,27} while 1 study used a swallow evaluation protocol in conjunction with a weaning/decannulation protocol,²⁵ and 1 study compared 2 different swallow evaluation protocols.²⁸

Most of the studies that investigated the effectiveness of protocols in the care of subjects with tracheostomies used time to decannulation as the primary outcome (Table 3). Frank and colleagues²⁵ performed a retrospective chart review 3 y after the implementation of a multidisciplinary swallowing and weaning protocol for dysphagic patients with tracheostomies. Data for 35 subjects who had tracheostomy tubes in situ after the protocol was implemented and data for 12 subjects with tracheostomy tubes in situ before the protocol was implemented were analyzed. The mean time to decannulation prior to protocol implementation was 94.7 ± 60 d; this time decreased to 48.2 ± 51.6 d after implementation of the protocol ($P = .02$). The researchers concluded that the multidisciplinary protocol was associated with earlier decannulation. In a prospective cohort study, Smith and colleagues²⁶ compared time to decannulation between 3 different groups of subjects with tracheostomies: a baseline cohort that included patients who underwent tracheostomy before implementation of a decannulation protocol ($n = 26$), a pilot cohort that included subjects who underwent tracheostomy 1 y after the protocol was implemented ($n = 21$), and a follow-up cohort that included subjects who underwent tracheostomy 2 y after the protocol was implemented ($n = 39$). The mean total time to decannulation in the baseline cohort was 15.5 ± 12.1 d. After pathway implementation in the pilot cohort, total time to decannulation decreased to 5.7 ± 2.8 d ($P < .001$). In the follow-up cohort, total time to decannulation was 8.1 ± 7.1 d ($P = .003$). These results demonstrated that the decannulation protocol was associated with decreased time to decannulation.

Clifford and Spencer²⁴ used a pretest/posttest study involving 40 subjects to investigate the association between method of tracheostomy weaning and time to decannulation. They reported that implementation of a tracheostomy weaning protocol did not significantly decrease the time to decannulation (4.2 ± 4.23 d vs 2.85 ± 1.35 d). In a retrospective cohort study of 268 subjects, Thompson-Ward and colleagues²⁷ compared the association between 2 different tracheostomy weaning protocols and time to decannulation. The results of the study indicated that the tracheostomy weaning protocol that did not include capping and routine downsizing in the decannulation assessment process was associated with a reduction in the time to tracheostomy tube decannulation from 30 d to 25 d ($P < .05$). Warnecke et al²⁸ conducted a repeated-measures prospective

observational study of 100 subjects with acute neurologic disease to determine the association between decannulation rate and type of swallow evaluation protocol. Each subject underwent a protocol-directed clinical swallow evaluation as well as a protocol-directed fiberoptic endoscopic evaluation of swallowing. The specific investigation (clinical swallow evaluation or fiberoptic endoscopic evaluation of swallowing) carried out by each investigator upon each subject was randomized to minimize order effects. The investigators found that tracheostomy tube decannulation decisions based on the fiberoptic endoscopic evaluation of swallowing protocol resulted in a greater number of subjects being decannulated (54%) compared to decisions to decannulate based on clinical swallowing examination protocol (29%).

Evidence supports the use of a weaning/decannulation protocol to guide weaning and removal of the tracheostomy tube in tracheostomized adult patients in the acute care setting (Evidence level B; median appropriateness score 8, range 8); this approach can improve time to decannulation.

Summary

Clinical decision-making regarding the various aspects of caring for patients with a tracheostomy is largely based on anecdotal evidence and the experience of individual care providers rather than on evidence-based guidelines. The complex nature of tracheostomy management, the heterogeneity of patients with tracheostomies, and the multifaceted outcomes linked to the care of these patients make it difficult to conduct randomized controlled trials. Consequently, we have endeavored to synthesize data from observational studies to complement the clinical consensus statement on tracheostomy care developed and promulgated by the American Academy of Otolaryngology-Head and Neck Surgery Foundation.³⁷

One study indicated a higher rate of decannulation after the implementation of a tracheostomy management bundle.³⁰ The tracheostomy bundle elements described in this study are based on scientific evidence as standardized order sets have been shown to reduce hospital LOS, mortality, and medication errors.³⁸ Similarly, there is evidence that the electronic medical record system enhances the ability to identify and track specific patient populations.^{39,40}

Our findings indicate that the use of specialized tracheostomy care teams is associated with decreased time to decannulation, less tracheostomy-related adverse events, and increased use of speaking valves. This finding is consistent with the results of the systematic review conducted by Speed and colleagues,³⁶ which indicated that multi-disciplinary tracheostomy teams are associated with a mean reduction in total tracheostomy time of 8 d (95% CI 6–11 d). A shorter time with the tracheostomy tube in situ confers many clinical benefits, including restoration of normal

TRACHEOSTOMY MANAGEMENT GUIDELINES

respiratory physiology, swallowing, cough function, and communication. Additionally, a shorter time with the tracheostomy tube in situ may reduce the risk for tracheostomy-related iatrogenic complications such as infection and death from an obstructed tube. It is unclear whether the differences in team composition, team member roles, and team responsibilities affected the outcome of the studies included in our systematic review.

The use of tracheostomy weaning and decannulation protocols can decrease the time to decannulation. This is consistent with the use of protocols in other areas of respiratory care. For example, there is strong evidence that the use of protocols in liberating patients from mechanical ventilation reduces the duration of mechanical ventilation.⁴¹ Other studies have reported that patients who are usually deemed difficult or impossible to wean from the tracheostomy tube were successfully decannulated after implementation of protocols.⁴²

An important caveat with regard to the clinical outcomes of tracheostomized patients based on interventions is the underlying disease process that contributed to the need for tracheostomy insertion. Studies have noted that ICU and hospital LOS, time to decannulation, and time to oral intake commencement may vary based on underlying disease processes.⁴³ The difference in etiologies for tracheostomy insertion must be taken into consideration when interpreting the effects of specific interventions on tracheostomy-related clinical outcomes.

The evidence from multiple observational studies of low to moderate quality indicate that tracheostomy bundles, a multidisciplinary tracheostomy team, and tracheostomy weaning and decannulation protocols can reduce time to decannulation in tracheostomized adult patients in the acute care setting. Additionally, there is some evidence that a multidisciplinary tracheostomy team can reduce LOS and tracheostomy-related complications, but there is insufficient evidence regarding the effect of weaning and decannulation protocols on these outcomes. There is also insufficient evidence regarding the effect of tracheostomy teams and weaning/decannulation protocols on tracheostomy-related cost. More rigorously designed studies are needed to inform clinical decision-making in the care of tracheostomized adult patients in the acute care setting.

REFERENCES

- Hess DR. Facilitating speech in the patient with a tracheostomy. *Respir Care* 2005;50(4):519-525.
- Heffner JE, Miller KS, Sahn SA. Tracheostomy in the intensive care unit. Part 1: indications, technique, management. *Chest* 1986;90(2):269-274.
- Heffner JE, Miller KS, Sahn SA. Tracheostomy in the intensive care unit. Part 2: complications. *Chest* 1986;90(3):430-436.
- Kollef MH, Ahrens TS, Shannon W. Clinical predictors and outcomes for patients requiring tracheostomy in the intensive care unit. *Crit Care Med* 1999;27(9):1714-1720.
- Fischler L, Erhart S, Kleger GR, Frutiger A. Prevalence of tracheostomy in ICU patients: a nation-wide survey in Switzerland. *Intensive Care Med* 2000;26(10):1428-1433.
- Frutos-Vivar F, Esteban A, Apezteguia C, Anzueto A, Nightingale P, Gonzalez M, et al. Outcome of mechanically ventilated patients who require a tracheostomy. *Crit Care Med* 2005;33(2):290-298.
- Pierson DJ. Tracheostomy and weaning. *Respir Care* 2005;50(4):526-533.
- Adly A, Youssef TA, El-Begermy MM, Younis HM. Timing of tracheostomy in patients with prolonged endotracheal intubation: a systematic review. *Eur Arch Otorhinolaryngol* 2018;275(3):679-690.
- Mehta AB, Syeda SN, Bajpayee L, Cooke CR, Walkey AJ, Wiener RS. Trends in tracheostomy for mechanically ventilated patients in the United States, 1993–2012. *Am J Respir Crit Care Med* 2015;192(4):446-454.
- Freeman BD, Stwalley D, Lambert D, Edler J, Morris PE, Medvedev S, et al. High resource utilization does not affect mortality in acute respiratory failure patients managed with tracheostomy. *Respir Care* 2013;58(11):1863-1872.
- Halum SL, Ting JY, Plowman EK, Belafsky PC, Harbarger CF, Postma GN, et al. A multi-institutional analysis of tracheotomy complications. *Laryngoscope* 2012;122(1):38-45.
- Shah RK, Lander L, Berry JG, Nussenbaum B, Merati A, Roberson DW. Tracheostomy outcomes and complications: a national perspective. *Laryngoscope* 2012;122(1):25-29.
- Mehta AB, Walkey AJ, Curran-Everett D, Douglas IS. One-year outcomes following tracheostomy for acute respiratory failure. *Crit Care Med* 2019;47(11):1572-1581.
- Arora A, Hettige R, Ifeacho S, Narula A. Driving standards in tracheostomy care: a preliminary communication of the St Mary's ENT-led multidisciplinary team approach. *Clin Otolaryngol* 2008;33(6):596-599.
- Cameron TS, McKinstry A, Burt SK, Howard ME, Bellomo R, Brown DJ, et al. Outcomes of patients with spinal cord injury before and after introduction of an interdisciplinary tracheostomy team. *Crit Care Resusc* 2009;11(1):14-19.
- Cetto R, Arora A, Hettige R, Nel M, Benjamin L, Gomez CMH, et al. Improving tracheostomy care: a prospective study of the multidisciplinary approach. *Clin Otolaryngol* 2011;36(5):482-488.
- de Mestral C, Iqbal S, Fong N, LeBlanc J, Fata P, Rzaek T, Khwaja K. Impact of a specialized multidisciplinary tracheostomy team on tracheostomy care in critically ill patients. *Can J Surg* 2011;54(3):167-172.
- LeBlanc J, Shultz JR, Seresova A, de Guise E, Lamoureux J, Fong N, et al. Outcome in tracheostomized patients with severe traumatic brain injury following implementation of a specialized multidisciplinary tracheostomy team. *J Head Trauma Rehabil* 2010;25(5):362-365.
- Norwood MGA, Spiers P, Bailiss J, Sayers RD. Evaluation of the role of a specialist tracheostomy service. From critical care to outreach and beyond. *Postgrad Med J* 2004;80(946):478-480.
- Parker V, Giles M, Shylan G, Austin N, Smith K, Morison J, Archer W. Tracheostomy management in acute care facilities—a matter of teamwork. *J Clin Nurs* 2010;19(9-10):1275-1283.
- Sodhi K, Shrivastava A, Singla MK. Implications of dedicated tracheostomy care nurse program on outcomes. *J Anesth* 2014;28(3):374-380.
- Tobin AE, Santamaria JD. An intensivist-led tracheostomy review team is associated with shorter decannulation time and length of stay: a prospective cohort study. *Crit Care* 2008;12(2):R48.
- Welton C, Morrison M, Catalig M, Chris J, Pataki J. Can an interprofessional tracheostomy team improve weaning to decannulation times? A quality improvement evaluation. *Can J Respir Ther* 2016;52(1):7-11.
- Clifford C, Spencer A. An evaluation of the impact of a tracheostomy weaning protocol on extubation time. *Nurs Crit Care* 2009;14(3):131-138.

TRACHEOSTOMY MANAGEMENT GUIDELINES

25. Frank U, Mäder M, Sticher H. Dysphagic patients with tracheotomies: a multidisciplinary approach to treatment and decannulation management. *Dysphagia* 2007;22(1):20-29.
26. Smith KA, Matthews TW, Dubé M, Spence G, Dort JC. Changing practice and improving care using a low-risk tracheotomy clinical pathway. *JAMA Otolaryngol Head Neck Surg* 2014;140(7):630-634.
27. Thompson-Ward E, Boots R, Frisby J, Bassett L, Timm M. Evaluating suitability for tracheostomy decannulation: a critical evaluation of two management protocols. *J Med Speech-Lang Pathol* 1999;7(4):273-281.
28. Warnecke T, Suntrup S, Teismann IK, Hamacher C, Oelenberg S, Dziewas R. Standardized endoscopic swallowing evaluation for tracheostomy decannulation in critically ill neurologic patients. *Crit Care Med* 2013;41(7):1728-1732.
29. O'Toole TR, Jacobs N, Hondorp B, Crawford L, Boudreau LR, Jeffe J, et al. Prevention of tracheostomy-related hospital-acquired pressure ulcers. *Otolaryngol Head Neck Surg* 2017;156(4):642-651.
30. Mah JW, Staff II, Fisher SR, Butler KL. Improving decannulation and swallowing function: a comprehensive, multidisciplinary approach to post-tracheostomy care. *Respir Care* 2017;62(2):137-143.
31. Fitch K, Bernstein SJ, Aguilar MD, Burnand B, LaCalle JR, Lazaro P, et al. The RAND/UCLA Appropriateness Method User's Manual. Santa Monica, CA: RAND; 2001.
32. Ospina MB, Mirkas K, Deuchar L, Rowe BH, Leigh R, Bhutani M, Stickland MK. A systematic review of the effectiveness of discharge care bundles for patients with COPD. *Thorax* 2017;72(1):31-39.
33. Klompas M, Li L, Kleinman K, Szumita PM, Massaro AF. Associations between ventilator bundle components and outcomes. *JAMA Intern Med* 2016;176(9):1277-1283.
34. Black JM, Cuddigan JE, Walko MA, Didier LA, Lander MJ, Kelpel MR. Medical device related pressure ulcers in hospitalized patients. *Int Wound J* 2010;7(5):358-365.
35. Mussa CC, Meksraityte E, Li J, Gulczynski B, Liu J, Kuruc A. Factors associated with endotracheal tube related pressure injury. *SM J Nurs* 2018;4(1):1-6.
36. Speed L, Harding KE. Tracheostomy teams reduce total tracheostomy time and increase speaking valve use: a systematic review and meta-analysis. *J Crit Care* 2013;28(2):216.e1-e10.
37. Mitchell RB, Hussey HM, Setzen G, Jacobs IN, Nussenbaum B, Dawson C, et al. Clinical consensus statement: tracheostomy care. *Otolaryngol Head Neck Surg* 2013;148(1):6-20.
38. Wells C, Loshak H. Standardized Hospital Order Sets in Acute Care: A Review of Clinical Evidence, Cost-Effectiveness, and Guidelines. Ottawa, ON: Canadian Agency for Drugs and Technologies in Health; 2019.
39. LaRoché KD, Hinkson CR, Thomazin BA, Minton-Foltz PK, Carlbon DJ. Impact of an electronic medical record screening tool and therapist-driven protocol on length of stay and hospital readmission for COPD. *Respir Care* 2016;61(9):1137-1143.
40. Pollack AH, Kronman MP, Zhou C, Zerr DM. Automated screening of hospitalized children for influenza vaccination. *J Pediatric Infect Dis Soc* 2014;3(1):7-14.
41. Blackwood B, Alderdice F, Burns K, Cardwell C, Lavery G, O'Halloran P. Use of weaning protocols for reducing duration of mechanical ventilation in critically ill adult patients: Cochrane systematic review and meta-analysis. *BMJ* 2011;342(jan13 2):c7237.
42. Gundogdu I, Ozturk EA, Umay E, Karaahmet OZ, Unlu E, Cakci A. Implementation of a respiratory rehabilitation protocol: weaning from the ventilator and tracheostomy in difficult-to-wean patients with spinal cord injury. *Disabil Rehabil* 2017;39(12):1162-1170.
43. Pryor L, Ward E, Cornwell P, O'Connor S, Chapman M. Patterns of return to oral intake and decannulation post-tracheostomy across clinical populations in an acute inpatient setting. *Int J Lang Commun Disord* 2016;51(5):556-567.